

# The predictive value of blood neutrophil-lymphocyte ratio in patients with end-stage liver cirrhosis following ABO-incompatible liver transplantation

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**Background:** The study was designed to assess the role of preoperative neutrophil, lymphocyte, and neutrophil-lymphocyte ratio (NLR) in predicting survival outcomes of ABO-incompatible liver transplantation (LT). **Materials and Methods:** We retrospectively collected the demographic and clinical characteristics of 71 patients with end-stage liver cirrhosis following ABO-incompatible LT in this study. Kaplan–Meier survival analysis and Cox multiple factors regression analysis were performed to determine the independent risk factors from preoperative blood parameters for poor prognosis. **Results:** The 1-, 3-, and 5-year overall survival were 94.9%, 80.0%, and 80.0% in the normal NLR group, respectively, and 59.4%, 55.4%, and 55.4% in patients with up-regulated NLR, respectively ( $P = 0.001$ ). Furthermore, no significant difference was observed on post-LT complications between normal NLR and high-NLR groups. The high NLR was identified as the only independent prognostic risk factor for recipient survival ( $P = 0.015$ , 95% confidence interval = 3.573 [1.284–9.943]). **Conclusion:** The preoperative high NLR could be considered as a convenient and available indicator for selecting ABO-incompatible LT candidates.

**Key words:** ABO-incompatible liver transplantation, hepatitis B, liver failure, neutrophil-lymphocyte ratio

**How to cite this article:** Lin B, Geng L, Zheng Z, Jia J, Shen T, Zhang J, Zhou L, Zheng S. The predictive value of blood neutrophil-lymphocyte ratio in patients with end-stage liver cirrhosis following ABO-incompatible liver transplantation. *J Res Med Sci* 2016;21:69.

## INTRODUCTION

End-stage liver cirrhosis (ESLC) is a fatal clinical condition and frequently accompanied with mental alteration, ascites, jaundice, coagulopathy, and persistent deterioration of liver function.<sup>[1]</sup> Once a complication of ESLC develops, the 5-year survival rate decreased to low than 20%, and patients should be recommended to take liver transplantation (LT).<sup>[2]</sup> Advances in transplantation have improved long-term survival rates to more than 70% at 5 years after LT.<sup>[3]</sup> In the case of life-threatening hepatic failure and donation severe shortage, ABO-incompatible LT (ABO-i LT) has been suggested as an optimal option for rescuing life.

Recently, some parameters from blood were identified as promising markers for prognosis of patients with diverse diseases. Among them, the elevated preoperative neutrophil-lymphocyte ratio (NLR) is a useful predictor for overall survival rates in colorectal cancer, hepatocellular carcinoma (HCC), or nontumorous diseases.<sup>[4-6]</sup> In addition, the patients characterized by thrombocytopenia and declining platelet counts were associated with an ominous prognosis.<sup>[7,8]</sup> Nagai *et al.* demonstrated that the restoration of posttransplant absolute lymphocyte count can be a novel prognostic factor for the recurrence of hepatitis C and HCC.<sup>[9,10]</sup> Hellberg and Källskog previously revealed that neutrophil contributed to mediating postischemic kidney dysfunction.<sup>[11]</sup> Given this, the study was designed to determine the effect of presurgery blood

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DOI:

10.4103/1735-1995.189653

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**Received:** 28-05-2014; **Revised:** 13-04-2015; **Accepted:** 26-05-2016

parameters on outcomes of patients with ESLC after ABO-i LT.

## MATERIALS AND METHODS

### Patients and blood parameters collection

A total of 84 ESLC patients undergoing ABO-i LT from the first affiliated hospital, Zhejiang University School of Medicine between January, 2004, and December, 2011, were enrolled in our study. The AB blood type recipients and O blood type donors were excluded from the study. An NLR  $\geq 5$  was considered as a significant elevation according to previously reported cutoff.<sup>[12]</sup> The cutoff of neutrophil, lymphocyte count, and platelet were acquired according to their median, respectively. Patients with preoperative sepsis, autoimmune hepatitis, HCC, steroids administration before LT, illicit drug abuse, and loss to follow-up were excluded from our study. ESLC was defined as decompensated chronic liver cirrhosis. All LT were performed following obtaining informed consent from all patients, and this study was approved by the Ethical Review Board of the first affiliated hospital of the medical school of Zhejiang University (No. 2012ZX10002-017).

### Management for patients following liver transplantation

The improved strategy in our center for reducing anti-ABO antibodies consisted of rituximab, basiliximab, and intravenous immunoglobulin (IVIG). Rare ABO-i LT patients received splenectomy were due to the serious hypersplenism which commonly diagnosed by stubborn decreasing of white blood cell, lymphocyte, platelet, and neutrophil. Our immunosuppression protocol following ABO-i LT adopted a standard protocol of quadruple regimen consisted of basiliximab, methylprednisolone, tacrolimus, and mycophenolate mofetil (MMF). The median serum tacrolimus concentration was  $8.9 \pm 4.3$  ng/ml in the high-NLR group, and  $9.2 \pm 6.5$  in the normal NLR group presenting no significant difference between two groups. MMF was administrated with fixed dosage (0.5 g Bid). Our immunosuppression protocol following ABO-i LT adopted an standard protocol of quadruple regimen. Basiliximab was injected twice at postoperative day 1 and 4. Methylprednisolone (initially 1000 mg then tapered and withdraw at 1<sup>st</sup> month), tacrolimus (initially plasma target level: 10–12 ng/ml and titrated down to 8–10 ng/ml), and MMF (500 mg twice daily) were administered for maintaining the immunosuppressive effect after surgery. Furthermore, piperacillin-tazobactam, fluconazole, and ganciclovir were adopted postoperatively as an anti-infection strategy. Patients with hepatitis B virus were intravenously injected with lamivudine and hepatitis B immunoglobulin to prevent the recurrence of hepatitis B after LT.

### Statistical analysis

The quantitative and qualitative variables were presented as mean with standard deviation and frequency, respectively. Fisher's exact test, independent sample *t*-test, and Pearson's  $\chi^2$  test were performed to evaluate the differences in the clinicopathologic factors of ABO-i LT patients with high and normal NLRs. The Kaplan–Meier method and Cox proportional hazard model analysis were used to determine the risk factors for poorer prognosis. The patient characteristics were valued using univariate analysis first, and then risk factors with  $P < 0.1$  were selected and entered into Cox proportional hazard model analysis to estimate their hazard ratio. The software program SAS version 9.1 (SAS Institute Inc., Cary, NC, USA) was used for analyzing data, and statistical significance was adopted at  $P < 0.05$ .

## RESULTS

### Patient demographics and outcomes

Of the 84 adult recipients who underwent emergency ABO-i LT for ALF at our hospital, 13 (15.5%) were excluded (seven recipients had steroids administration before LT, three were loss to follow-up, two were autoimmune hepatitis, and one had drug abuse). The study populations consisted of 47 males and 24 females and the median age at diagnosis was 47.9 years. All patients were caused by hepatitis B infection. Of 71 patients, 13 (18.3%) received preoperative artificial liver support, three (4.2%) underwent splenectomy along with LT [Table 1]. The blood type combinations between donors and recipients included the following pairings: A to B, O; AB to A, B, O; B to A, O; whereas AB blood type recipients and O blood type donors were excluded from the study [Table 2].

The median follow-up period was 41.2 months and the courses of death during study period included multiple organ

**Table 1: Patient demographics and clinical characteristics**

Variable	Normal NLR (n=39)	Elevated NLR (n=32)	P
Gender (female/male)	16/23	8/24	0.156
Age, years (mean, SD)	46.85 (11.11)	49.22 (11.40)	0.459
Platelet (mean/pl, SD)	86.61 (70.67)	73 (40.87)	0.065
Neutrophil (mean/pl, SD)	3.976 (2.58)	6.828 (2.72)	<0.001
Lymphocyte (mean/pl, SD)	1.62 (1.35)	0.71 (0.36)	0.003
MELD score (mean, SD)	22.64 (11.17)	29.53 (11.65)	0.013
INR	2.95 (1.62)	2.75 (1.10)	0.552
Mean time on wait list (days)	2.68 (0.98)	2.02 (0.97)	0.022
Surgery (%)			0.676
LT alone	37 (94.9)	31 (96.9)	
LT with splenectomy	2 (5.1)	1 (3.1)	
White blood cell	5.71 (2.54)	5.40 (2.55)	0.604
Child-Pugh scores	2.75 (1.10)	2.95 (1.62)	0.148

SD = Standard deviation; MELD = Model for end-stage liver disease; INR = International Normalized Ratio; LT = Liver transplantation; NLR = Neutrophil-lymphocyte ratio

failure/sepsis, cerebral hemorrhage, antibody-mediated rejection (AMR), neurological complications, and gradual graft failure. Among them, sepsis was the main death factor [Figure 1a]. Of 14 dead patients were due to sepsis, 11 (78.6%) with high NLR and three with normal NLR. The 1-, 3-, and 5-year overall survival rates of the study population were 78.8%, 68.0%, and 68.0%, respectively.

**Correlation between blood parameters and outcomes after ABO-incompatible liver transplantation**

The abnormal renal function was defined by high creatinine (>133 μmol/L). We found that the patients with high serum creatinine were respectively 26 in the high-NLR group and seven in the normal NLR group, but LT receptors with pretransplant high serum creatinine did not presented poorer prognosis including survival outcomes and complications. Of all factors, only high NLR had remarkable effect on survival outcomes after ABO-i LT and related results were presented in Table 3. The 1-, 3-, and 5-year overall survival were 94.9%, 80.0% and 80.0% in the normal NLR group respectively, and 59.4%, 55.4% and 55.4% in patients with up-regulated NLR respectively [ $P=0.001$ , Figure 2a]. We also analyzed the effect of elevated NLR on complications and found none correlation between them [Figure 1b].

**The role of neutrophil and lymphocyte in neutrophil-lymphocyte ratio**

The median counts of neutrophil and lymphocyte were significantly different between the elevated NLR group and

the normal NLR group ( $P < 0.001$ ,  $P = 0.003$  respectively). The 1-, 3- and 5-year recipient survival rates were 61.8%, 58.5% and 58.5%, respectively, in the high neutrophil group, and 94.6%, 74.8% and 74.8%, respectively in the low-neutrophil group [ $P = 0.016$ , Figure 2b]. However, we found that the 1-, 3- and 5-year overall survival rates were 77.4%, 59.7% and 59.7% in the lymphopenia group, respectively, and 79.9%, 77.1% and 77.1% in the normal-lymphocyte group, respectively [ $P = 0.504$ , Figure 2c]. In addition, we further divided patients into a death group and a survival group and found that there were a significant difference in NLR and neutrophil count whereas not in lymphocyte count and white blood cell [Figure 3]. These results suggested that the high-neutrophil count was the main cause for high NLR inducing poorer recipient survival. In addition, high neutrophil and high NLR presented  $P < 0.1$  in univariate analysis; these factors were further selected and entered into Cox proportional hazard model analysis to estimate their hazard ratio, and only high NLR remains statistical significance [Table 3].

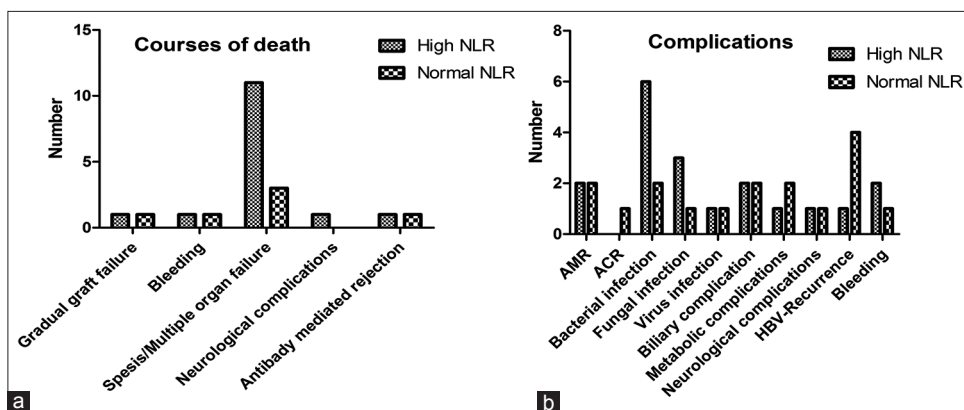
**DISCUSSION**

To date, most of the researchers payed attention to preexisting anti-ABO antibody titer that neglects other potential predictors. Moreover, Egawa *et al.* found the preoperative high anti-ABO IgM did not have any significance in predicting the frequency of AMR and 5-year survival rate following ABO-i LT.<sup>[13]</sup>

Recently, several studies reported that NLR could predict the long-term outcomes of patients with HCC after LT and even was selected to challenge the Milan criteria as a precise predictive marker.<sup>[5,14]</sup> NLR was a miniature of systemic inflammation and easily calculated by routine examine of peripheral blood samples. Our results presented that elevated NLR was significantly associated with poorer both overall survival and graft survival, in our study population.

**Table 2: Blood type combinations between donors and recipients**

Recipient	Donor			Total (%)
	A	B	AB	
A	0	8	11	19 (26.8)
B	7	0	6	13 (18.3)
O	14	11	14	39 (54.9)
Total (%)	21 (29.6)	19 (26.8)	31 (43.7)	71

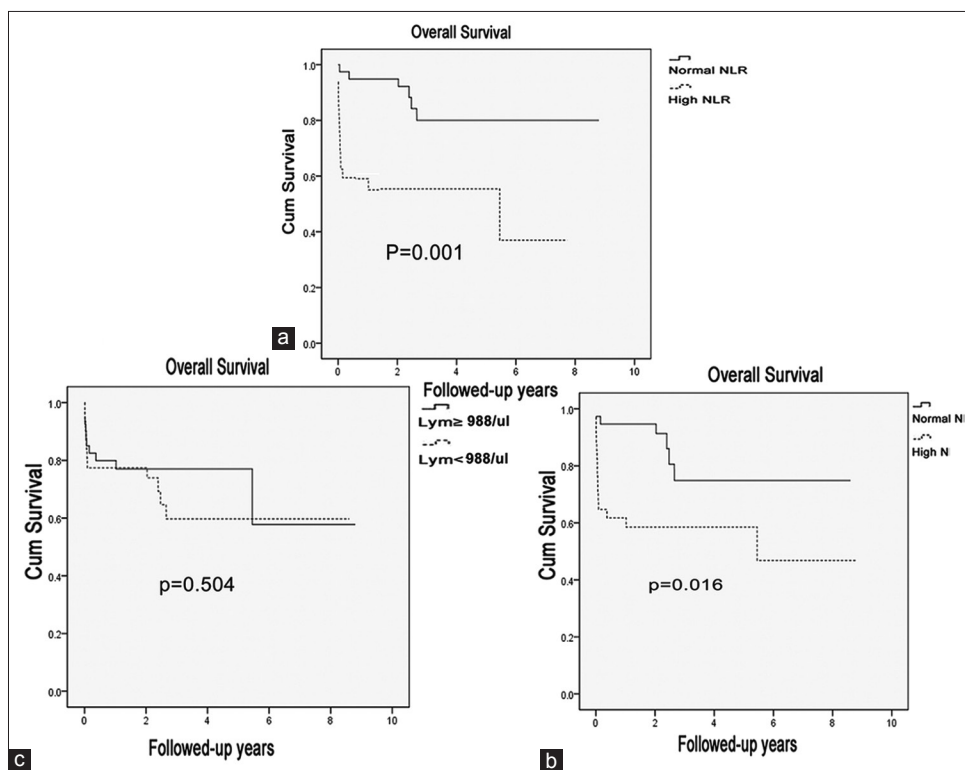


**Figure 1:** The relationship of complications, courses of death, and neutrophil-lymphocyte ratio. (a) Suggested that sepsis was the main course of death, specially, in the high neutrophil-lymphocyte ratio group ( $P = 0.025$ ), (b) the infection complications was more in the high neutrophil-lymphocyte ratio group than in the normal group but presented no statistical significance ( $P = 0.056$ )

**Table 3: Univariate and multivariate analysis of factors affecting overall survival after ABO-incompatible liver transplantation**

Factors (cutoff/n)	Univariate analysis (P)		Multivariate analysis	
	P	HR (95%CI)	P	HR (95%CI)
Gender (39 male/24 female)	0.438	1.454 (0.569-3.750)		
Age (47.9 years)	0.504	1.343 (0.565-3.194)		
Meld (25.7)	0.164	1.835 (0.770-4.373)		
High creatinine	0.662	1.196 (0.536-2.668)		
NLR (5)	0.001†	4.637 (1.779-12.08)	0.015	3.573 (1.284-9.943)
Neutrophil (5256/ $\mu$ l)	0.016†	3.216 (1.242-8.326)	0.182	1.996 (0.724-5.506)
Lymphopenia (988/ $\mu$ l)	0.504	0.747 (0.317-1.761)		
Platelet (8760/ $\mu$ l)	0.650	0.815 (0.337-1.974)		
White blood cell (5.5/ $\mu$ l)	0.642	1.228 (0.517-2.917)		
INR (2.86)	0.669	0.812 (0.313-2.106)		
Child-Pugh scores (11.3)	0.878	1.069 (0.453-2.525)		

†These factors at  $P < 0.1$  that were selected to enter into the multivariate analysis. MELD = Model for end-stage liver disease; INR = International Normalized Ratio; LT = Liver transplantation; HR = Hazard ratio; CI = Confidence interval; NLR = Neutrophil-lymphocyte ratio

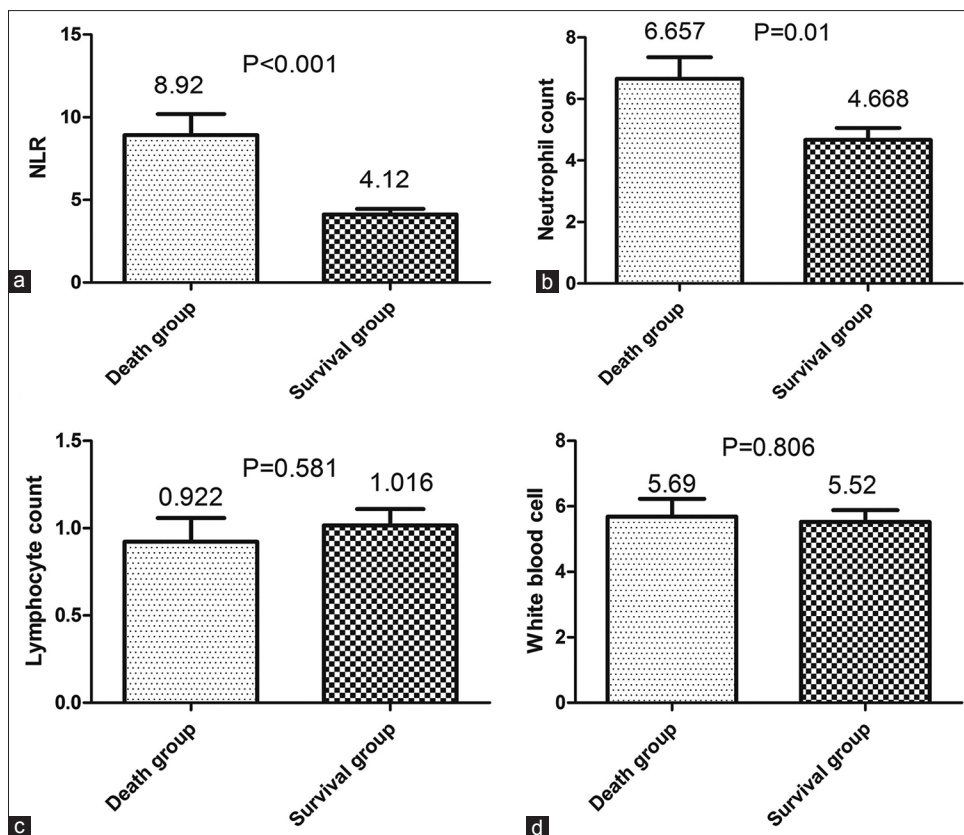


**Figure 2:** Kaplan–Meier chart comparing both overall survivals for patients classified according to the neutrophil-lymphocyte ratio (a), neutrophil count (b), and lymphocyte count (c) preoperatively. The curves presented different survival rates of patients with ABO-incompatible liver transplantation with different preoperative neutrophil-lymphocyte ratio or neutrophil count, but bot with different lymphocyte count

The reactive neutrophilia and lymphocytopenia were a physiological response to the immune system to a variety of stressful events, so the high preoperative NLR not only showed the presence of immune dysfunction but also the severity of affliction. The immune dysfunction of patients with ESLC undoubtedly promoted postsurgery infection. Besides, in high-NLR group, patients presented more serious lymphocytopenia that increases the susceptibility to infection. Furthermore, the sepsis rather than AMR was the crucial cause of patients death after ABO-i LT in the study and a previously reported literature,<sup>[15]</sup> which suggested that the increased postoperative infection diseases are the

mechanism underlying high NLR influencing survival outcomes of ABO-i LT, and the carefully perioperative management for preventing infection is very important. Although the accurate mechanism underlying NLR influencing survival outcomes in various diseases was not clear, our data still provided some hint.

We found that high NRL group presented a higher model for end-stage liver disease (MELD) score comparing with the normal NLR group. In the previously published literature, LT patients with higher MELD score presented poorer survival outcomes, but the cutoff of high MELD score was



**Figure 3:** The difference of pretreatment neutrophil-lymphocyte ratio (a), neutrophil count (b), lymphocyte count (c) and white blood cell (d), between the survival and death groups in the retrospective cohort. There were a significant difference in neutrophil-lymphocyte ratio and neutrophil count, whereas not in lymphocyte count and white blood cell

extraordinary different in the different LT cohort. In our previously published data, we found MELD score  $\geq 28$  was an independent risk factor. Therefore, we added the analysis of MELD score  $\geq 28$  predicting prognosis of ABO-i LT recipients. We found that ABO-i LT recipients with MELD score  $\geq 28$  presented a significant trend of poorer graft survival outcomes ( $P = 0.047$ , less than MELD score  $\geq 25.7$ ). In addition, by the Cox proportional hazard model analysis, we defined that high MELD score could not interfere in NLR as a predictor for ABO-i LT recipients.

The combination of plasma exchange PE, graft local infusion (GLI), splenectomy, rituximab, and IVIG is a standard protocol of reducing anti-ABO antibody titer and the incidence of AMR. However, recently several studies presented some different viewpoints. Raut *et al.* reported that preoperative rituximab could effectively prevented AMR irrespective of splenectomy, and not any immunological benefit was obtained due to splenectomy during the initial 8 weeks.<sup>[16]</sup> Ikegami *et al.* indicated that the new protocol using PE, rituximab, and IVIG without GLI in four cases after ABO-i living donor LT seemed to be a safe treatment because GLI led to various catheter-associated complications.<sup>[17]</sup> In addition, Urbani *et al.* and Ikegami *et al.*, respectively reported that

AMR was highly associated with postoperative rebound elevation of isoagglutinin titer, and high-dose IVIG was very effective.<sup>[18,19]</sup> In this study, all of the voluntary donor livers come from the accidentally dead people, so we were not sure the blood type pairs of LT before the operation. In addition, receptors were with a life-threatening condition. The two reasons urged us to make an emerge ABO-i LT without PE. All patients were administered the improved protocol, and there were only two episodes of AMR presented in the study. The low incidence of AMR provided a possibility for rituximab totally substituting for PE and highlighted the role of high-dose IVIG and subsequent quadruple immunosuppressive scheme involving steroids in treatment and/or prophylaxis of severe AMR. The small sample size is a limitation in this study, the larger sample size and the further investigation for the effect of high NLR and the improved protocol on long-term survival outcomes are needed in future study.

## CONCLUSION

We found that high NLR was the independent risk factor for poorer overall survival after ABO-i LT, which might be selected as an index for rearranging the ABO-i LT candidates list. The high neutrophil count rather than

lymphopenia contributed to the effect of elevated NLR on postoperative survival outcomes. Furthermore, the improved protocol for decreasing antibody titer is worthy to be recommended.

### Financial support and sponsorship

This work was supported by National S and T Major Project (No. 2012ZX10002-017), National Natural Science Foundation of China (81373160, 81302074) and NSFC for Innovative Research Group (81121002) and National Natural Science Foundation of Zhejiang Province (LQ13H160004), Scientific Plan of Medicine Care in Zhejiang Province (20147283).

### Conflicts of interest

The authors have no conflicts of interest.

### AUTHORS' CONTRIBUTION

ShZ and LZ contributed in the conception of the work, BL and LG, ZhZh conducted the study, revised the draft, and others fished the data collection and analysis.

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