

# Predicting survival after acute civilian penetrating brain injuries

## The SPIN score

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### ABSTRACT

**Objective:** To identify predictors associated with survival in civilian penetrating traumatic brain injury (pTBI) utilizing a contemporary, large, diverse 2-center cohort, and to develop a parsimonious survival prediction score for pTBI.

**Methods:** Our cohort comprised 413 pTBI patients retrospectively identified from the local trauma registries at 2 US level 1 trauma centers, of which one was predominantly urban and the other predominantly rural. Predictors of in-hospital and 6-month survival identified in univariate and multivariable logistic regression were used to develop the simple Surviving Penetrating Injury to the Brain (SPIN) score.

**Results:** The mean age was  $33 \pm 16$  years and patients were predominantly male (87%). Survival at hospital discharge as well as 6 months post pTBI was 42.4%. Higher motor Glasgow Coma Scale subscore, pupillary reactivity, lack of self-inflicted injury, transfer from other hospital, female sex, lower Injury Severity Score, and lower international normalized ratio were independently associated with survival (all  $p < 0.001$ ; model area under the curve 0.962). Important radiologic factors associated with survival were also identified but their addition to the full multivariable would have resulted in model overfitting without much gain in the area under the curve.

**Conclusions:** The SPIN score, a logistic regression-based clinical risk stratification scale estimating survival after pTBI, was developed in this large, diverse 2-center cohort. While this preliminary clinical survival prediction tool does not include radiologic factors, it may support clinical decision-making after civilian pTBI if external validation confirms the probability estimates.

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### GLOSSARY

**ED** = emergency department; **GCS** = Glasgow Coma Scale; **GSW** = gunshot wounds; **hCT** = head CT; **ICU** = intensive care unit; **IMPACT** = International Mission for Prognosis and Analysis of Clinical Trials in TBI; **INR** = international normalized ratio; **ISS** = Injury Severity Score; **IVH** = intraventricular hemorrhage; **mGCS** = motor Glasgow Coma Scale; **pTBI** = penetrating traumatic brain injury; **SBP** = systolic blood pressure; **SPIN** = Surviving Penetrating Injury to the Brain; **TBI** = traumatic brain injury; **UMASS** = University of Massachusetts Medical School/UMASS Memorial Medical Center; **UMSTC** = University of Maryland Shock-Trauma Center.

The predominant experience of penetrating traumatic brain injury (pTBI) derives from battlefield settings, but the contemporary civilian experience in patients treated after 2005 is limited to small and single-center studies.<sup>1-5</sup> As a result, the epidemiology of civilian pTBI in modern trauma and neurocritical care settings remains incompletely understood, as does the neuroanatomical and biological basis for secondary brain injury. pTBI is often devastating, yet mortality rates among trauma centers vary widely, between 44% and 100%.<sup>2</sup> Gunshot wounds (GSW), the primary mechanism for pTBI, are a serious public health problem. They account for a significant proportion of deaths across all head trauma, and claim over 32,000 lives in the United States annually,<sup>6</sup> among >62,000 victims of firearms-related injuries.<sup>7</sup> According to the most updated 2013 Centers for Disease Control and Prevention statistics, death rates by firearms comprised 5.1/100,000 for homicides, and 6.7/100,000 for suicides.<sup>6</sup> A comprehensive, multivariable assessment

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of disease-, patient- and hospital-specific characteristics are lacking at a multicenter and population level. Recent and recurrent civilian mass shootings, and the severe wounding of US Congresswoman Gabrielle Giffords in 2011, have raised the public's desire for understanding the prognosis after pTBI.<sup>8,9</sup> Defining pTBI outcome determinants is the necessary first step to identify potential strategies to improve patient outcomes.

In blunt TBI, clinical and anatomical predictors of outcome have been validated.<sup>10,11</sup> However, the pathophysiology of pTBI is distinct from blunt TBI, and independent identification and validation of predictors for survival are crucial for families and physicians deciding about the allocation of scarce resources and interventions.

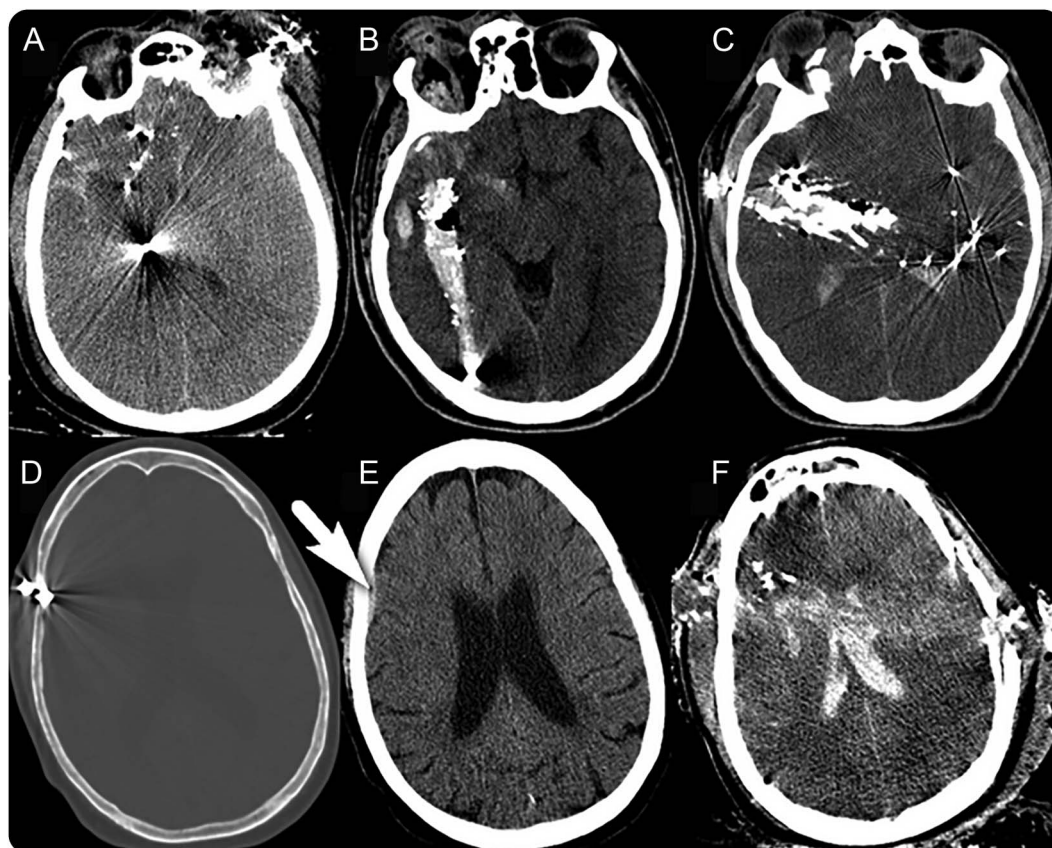
The objectives of the current study were to identify predictors associated with survival in a modern, large, diverse 2-center cohort, and

to develop a parsimonious survival prediction score for civilian pTBI.

**METHODS Study design and population.** We conducted a retrospective study of consecutive patients with pTBI at the level 1 trauma centers University of Maryland Shock-Trauma Center ([UMSTC]; n = 508) between January 1, 2000, and December 31, 2009, and the University of Massachusetts Medical School/UMASS Memorial Medical Center ([UMASS]; n = 63) between June 20, 2003, and April 17, 2013, identified from the local trauma registries. Data were obtained from the trauma registry and medical records. Inclusion criteria were age  $\geq 18$  years with confirmed pTBI involving perforation of the dura on head CT (hCT) and clinical evidence of brain injury on neurologic examination. The medical record was not available for review in 16 patients at UMSTC and 24 patients at UMASS. Patients who were dead on arrival were excluded (UMSTC, n = 115; UMASS, n = 3), totaling 413 patients (n = 377 from UMSTC; n = 36 from UMASS).

**Standard protocol approvals, registrations, and patient consents.** The institutional review boards at both centers approved this study with a waiver of consent due to the retrospective design.

**Figure 1** Head CT (hCT) examples of the radiologic grading for trajectory and anatomy



(A–C) hCTs for 3 different patients, all with penetrating trajectories without perforation but involving different lobes (anatomy): (A) single lobe involvement; (B) unilateral involvement of multiple lobes (temporal, parietal, and occipital); (C) bilateral involvement of multiple lobes (bitemporal, parietal). (D, E) hCT of one patient with tangential trajectory: (D) bone window captures the bullet tangentially penetrating the dura; (E) brain window of same patient reveals an associated small subdural hematoma (arrow). (F) Perforating trajectory with through-and-through injury involving bilateral hemispheres and the ventricles.

**Clinical management.** Routine clinical care was provided analogously at both centers with attention to close adherence to the Brain Trauma Foundation for severe traumatic brain injury (TBI) guidelines,<sup>12</sup> as well as the American Association of Neurological Surgeons/Congress of Neurological Surgeons recommendations for the management and treatment of pTBI, including emergency neurosurgery.<sup>13–15</sup> All patients were received as level 1 trauma activations with immediate resuscitation occurring in the trauma bays of the emergency departments (EDs). Support of ventilation/oxygenation by intubation and hemodynamic support to achieve a cerebral perfusion pressure  $\geq 60$  mm Hg with isotonic fluids and vasopressors as well as 30° head of the bed elevation was achieved. Coagulopathies were rapidly corrected using blood products and tranexamic acid or activated Factor VII (in patients on warfarin). Prothrombin complex concentrates were not yet available for clinical use during the study period. All patients were immediately admitted to the neurotrauma intensive care unit (ICU) under the care of board-certified neurointensivists or surgical intensivists with expertise in neurocritical care. Detailed descriptions of the ICU care for severe TBI patients at both centers have been published.<sup>16,17</sup>

**Measurements.** Besides basic patient and hospital demographics, we recorded time-to-hospital arrival, transfer from other hospital, mechanism of injury (GSW, knife, or other object), and self-infliction. Clinical parameters included admission Glasgow Coma Scale (GCS) score, Abbreviated Injury Scale–Head, and Injury Severity Score (ISS), calculated by the local trauma attending, pupillary reactivity, and arrival systolic blood pressure (SBP). Admission laboratory values were recorded. Illicit substance use was documented using an initial urine or serum toxicology screen. Each patient received a noncontrast hCT on arrival. All hCT images were reviewed by 2 trained evaluators, including one board-certified neurocritical care attending (K.N.S. or S.M.) at each site, for bullet trajectory (tangential, penetrating, perforating), injury anatomy (single lobe, multilobe/unilateral, bilateral, or posterior fossa), side of injury (neither, right, left, or both), presence of intracranial hemorrhage, intraventricular hemorrhage, subarachnoid hemorrhage, cisterns (open, compressed, absent), midline shift at the septum pellucidum, and bullet track through the ventricles (figure 1). Furthermore, placement of intracranial pressure monitor, craniectomy, use of prophylactic antibiotics and antiepileptic drugs, and placement of tracheostomy or gastrostomy tube were recorded. Discharge data included hospital length of stay, overall withdrawal of care, withdrawal of care on day 1, do not resuscitate status on admission, mechanism of death, and discharge disposition.

**Statistical analysis.** The primary outcome measure was inpatient survival; the secondary outcome measure was 6-month survival. Initial frequencies were compared using  $\chi^2$  tests, *t* tests, or Wilcoxon rank sum tests, as appropriate. Associations of individual parameters with discharge and 6-month survival were calculated using univariate logistic regression. SBP was grouped using clinically meaningful cutoffs:  $<90$  mm Hg,  $\geq 90$ –139 mm Hg,  $\geq 140$ –180 mm Hg, and  $\geq 180$  mm Hg. Motor GCS (mGCS) was first treated as an ordinal variable; however, mGCS 2–5 had similarly small observation rates, and each group separately did not have any statistically different associations with survival. Therefore, mGCS was collapsed into 3 groups: 1, 2–5, and 6. Variables with *p* value  $< 0.25$  were candidates for the multivariable analysis. Multivariable logistic regression models were constructed incrementally by manually adding and removing variables due to the large number of univariate associations with survival. We created a base model using

the validated International Mission for Prognosis and Analysis of Clinical Trials in TBI (IMPACT) variables<sup>10</sup> for blunt TBI: mGCS, pupillary reactivity, (hypotension [SBP  $< 90$  mm Hg], and hypoxia in the field or ED [ $O_2$  saturation  $< 90\%$ ]). Of these, only mGCS and pupillary reactivity were significant predictors, and formed the final base model. Next, grouped variables from the radiologic, demographic, injury, and laboratory categories were manually added and eliminated stepwise. Variables independently associated with survival ( $p < 0.05$  using Wald statistic) and resulting in the best model fit, assessed by  $-2$  log-likelihood and *C* statistic, remained. Variables were examined for collinearity and interaction. We combined group variables with the base model as able without overfitting to construct a parsimonious multivariable model. From this, we developed a risk stratification scale. The nearest integer from the parameter estimates from the multivariable logistic model was used to assign the score points for the Surviving Penetrating Injury to the Brain (SPIN) score. Associations with survival were expressed in odds ratios with 95% confidence intervals. *p* Values  $< 0.05$  were considered statistically significant. SAS 9.3 (SAS Institute Inc, Cary, NC) was used for all analyses.

**RESULTS** Among the 413 patients, the mean age was  $33 \pm 16$  years; 87% were male and 58% were black (table 1). A total of 175 patients (42.4%) survived to hospital discharge and 6 months after pTBI, with no additional deaths between hospital discharge and 6 months at either center. A total of 156 (41.4%) survived at UMSTC, and 19 (52.8%) at UMASS. There were several baseline differences between the UMSTC and UMASS cohorts (table 1): UMSTC patients were generally younger, predominantly black, more often had isolated pTBI with lower ISS, more commonly had prior traumas, less commonly had self-inflicted pTBI, were less commonly transferred from other centers, and had lower survival rates.

Laboratory values were similar between the centers, except UMASS patients were more anemic, had lower median platelet counts, and had more elevated alcohol levels (table 1). Additional baseline variables are shown in table e-1 at Neurology.org.

hCTs were available for review in 336 (89.1%) UMSTC patients and in 35 (97.2%) UMASS patients (table e-2). Most had penetrating (53.3%) compared to perforating (22.5%) and tangential (14.3%) trajectories. Admission hCTs were similar between the centers, except UMASS patients had higher proportions of penetrating or perforating injuries and intraventricular, intracranial, and subarachnoid hemorrhage.

Univariate associations with survival are shown in table e-3, and identified several candidate variables for the multivariable analysis.

The multivariable base model (mGCS and pupillary reactivity) revealed a *C* statistic of 0.935 (figure 2). Three separate additional multivariable models were constructed: model 1 (base + injury variables), model 2 (base + radiologic variables), and model 3 (base + laboratory variables), which all

**Table 1** Baseline characteristics

	University of Maryland, n = 377	University of Massachusetts, n = 36	Total, n = 413
Age, y, mean (SD)	32.1 (15.5)	35.8 (17.7)	32.5 (15.7)
Male	330 (87.5)	31 (86.1)	361 (87.4)
<b>Race/ethnicity</b>			
White	131 (34.7)	27 (75)	158 (38.3)
Black	232 (61.5)	6 (16.7)	238 (57.6)
Hispanic	4 (1.1)	0	4 (1)
Asian	3 (0.8)	0	3 (0.7)
Other	5 (1.3)	1 (2.8)	6 (1.5)
Admission GCS, median (Q1, Q3)	3 (3, 12)	3 (3, 13)	3 (3, 12)
<b>Motor GCS</b>			
1	194 (51.5)	22 (61.1)	216 (52.3)
2	14 (3.7)	1 (2.8)	15 (3.6)
3	14 (3.7)	1 (2.8)	15 (3.6)
4	20 (5.3)	2 (5.6)	22 (5.3)
5	28 (7.4)	2 (5.6)	30 (7.3)
6	107 (28.4)	8 (22.2)	115 (27.8)
<b>Abbreviated injury scale, head</b>			
2	0	1 (2.8)	1 (0.2)
3	9 (2.4)	3 (8.3)	12 (2.9)
4	94 (24.9)	8 (22.2)	102 (24.7)
5	255 (67.6)	18 (50)	273 (66.1)
6	19 (5)	5 (13.9)	24 (5.8)
ISS, median (Q1, Q3)	26 (25, 34)	28 (23.5, 38)	26 (25, 34)
Prior trauma	29 (7.7)	2 (5.6)	31 (7.5)
Other trauma	122 (32.4)	34 (94.4)	156 (37.8)
Transfer	53 (14.1)	17 (47.2)	70 (16.9)
Time to hospital presentation, h, median (Q1, Q3)	0.68 (0.43, 1.08)	1.4 (1, 3)	0.7 (0.45, 1.15)
Admission SBP, mm Hg, median (Q1, Q3)	133 (110, 156)	117.5 (102, 131.5)	131 (109, 155)
<b>Pupils</b>			
Equal and reactive	138 (36.6)	10 (27.8)	148 (35.8)
Unequal	49 (13)	5 (13.9)	54 (13.1)
Equal unreactive	143 (37.9)	15 (41.7)	158 (38.3)
Globe rupture/nonvisible	35 (9.3)	0 (0)	35 (8.5)
<b>Mechanism of injury</b>			
Gunshot	350 (92.8)	36 (100)	386 (93.5)
Knife	10 (2.7)	0	10 (2.4)
Other object	16 (4.2)	0	16 (3.9)
Self-inflicted injury	109 (28.9)	22 (61.1)	131 (31.7)
ICP monitor	85 (22.5)	9 (25)	94 (22.8)
Craniectomy	104 (27.6)	10 (27.8)	114 (27.6)
Tracheostomy performed during hospitalization	60 (15.9)	4 (11.1)	64 (15.5)
PEG tube performed during hospitalization	53 (14.1)	4 (11.1)	57 (13.8)
LOS, d, median (Q1, Q3)	2 (0, 7)	2 (1, 14.5)	2 (0, 7)

Continued

Table 1 Continued

	University of Maryland, n = 377	University of Massachusetts, n = 36	Total, n = 413
<b>Mechanism of death</b>			
Withdrawal	62 (16.4)	4 (11.1)	66 (16)
Brain death	72 (19.1)	9 (25)	81 (19.6)
Cardiac death	83 (22)	5 (13.9)	88 (21.3)
Other	0 (0)	1 (2.8)	1 (0.2)
Discharge mortality	221 (58.6)	17 (47.2)	238 (57.6)
Survival at 6 mo	156 (41.4)	19 (52.8)	175 (42.4)
<b>Admission laboratory values</b>			
INR, median (Q1, Q3)	1.2 (1, 1.5)	1.1 (1, 1.2)	1.1 (1, 1.5)
WBC, thousands/mm <sup>3</sup> , mean (SD)	13.4 (6.7)	13.6 (7.9)	13.4 (6.7)
Hgb, g/dL, mean (SD)	12.2 (2.3)	10.6 (2.8)	12.1 (2.4)
Hct, %, mean (SD)	36.5 (6.6)	31 (8.3)	36.06 (6.9)
Platelets, thousands/mm <sup>3</sup> , median (Q1, Q3)	210 (165, 266)	163 (136, 195)	206 (162, 263)
Lactate, mmol/L, mean (SD)	5.4 (3.6)	4.2 (3.8)	5.3 (3.6)

Abbreviations: GCS = Glasgow Coma Scale; Hct = hematocrit; Hgb = hemoglobin; ICP = intracranial pressure; INR = international normalized ratio; ISS = Injury Severity Score; LOS = length of stay; PEG = percutaneous endoscopic gastrostomy; SBP = systolic blood pressure; WBC = white blood count. Values are n (%) unless noted otherwise.

attained slightly improved *C* statistics compared to the already very high base model's *C* statistic. Combining the base, injury, and laboratory variables (model 4) achieved the highest *C* statistic of 0.962 (figure 2) with lower ISS, lack of self-inflicted injury, transfer, female sex, and lower international normalized ratio (INR) as independent predictors of survival while adjusting for mGCS and pupillary reactivity (table 2). Adding radiologic variables into this combined model would have resulted in model overfitting, and therefore was not possible. After adjusting for mGCS and pupillary reactivity, trajectory, lack of intraventricular hemorrhage (IVH), lack of cisternal compression, and single (vs multiple) penetrating brain injury at one time remained as independent predictors of survival (table 2).

The SPIN score, a survival risk stratification scale, was developed as a sum of individual points (figure 3) from model 4 (base + injury + laboratory model). The score ranged from 4 to 52, with higher scores indicating stronger likelihood of survival. To facilitate the score's usefulness, mGCS, ISS, and INR were divided into the clinically most meaningful categories. In the model, 98% of the patients with a SPIN score of  $\geq 35$  survived, while only 3% of the patients with a score of  $\leq 20$  survived (figure 3). In fact, there were no patients with a SPIN score of  $\leq 16$  who survived.

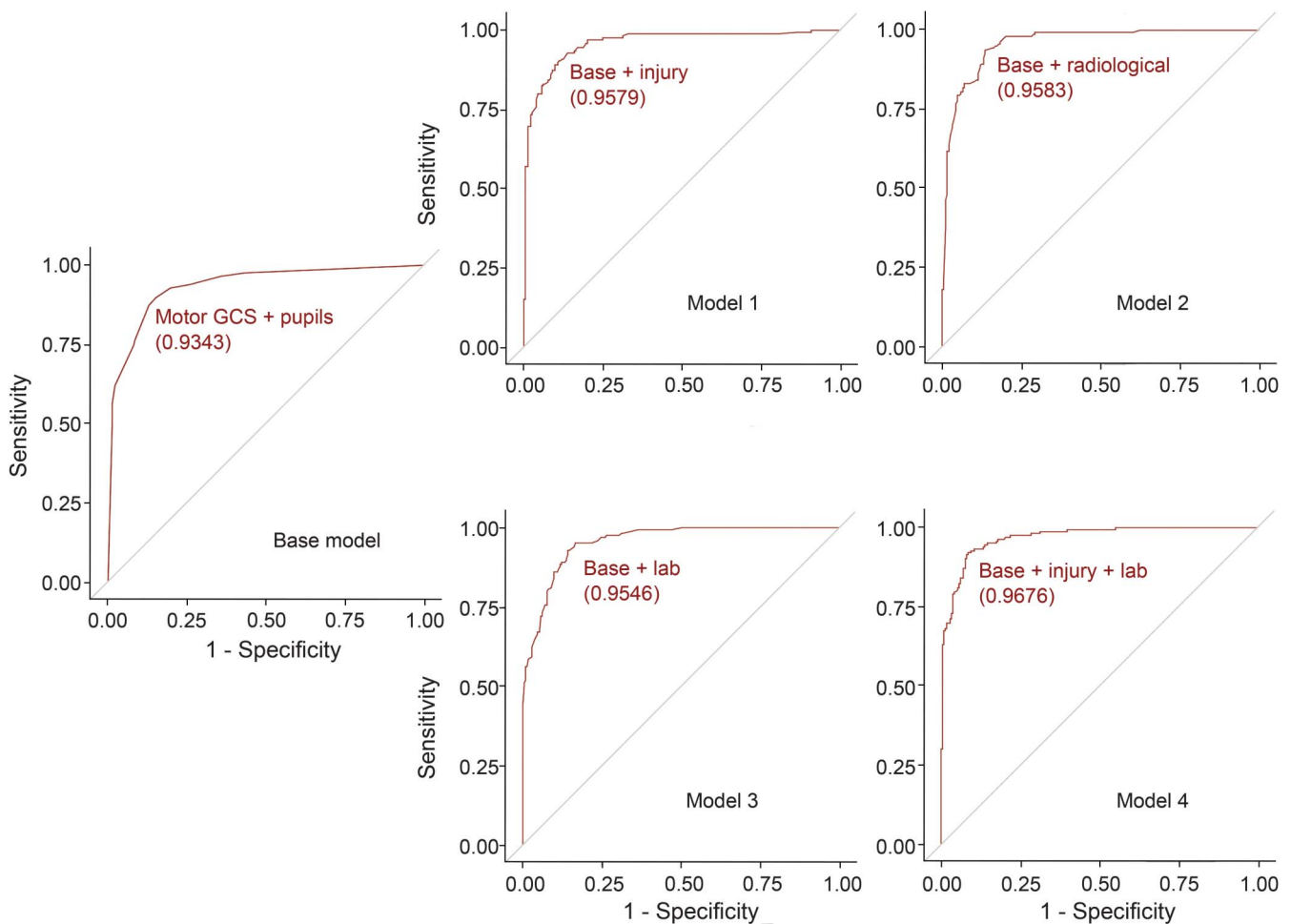
**DISCUSSION** In this contemporary, large, ethnically diverse, civilian pTBI population from 2 centers, we

found that admission mGCS and pupillary reactivity (base model) were by far the strongest predictors of survival. We identified several additional independent predictors of survival. However, mGCS and pupillary reactivity were such dominant predictors that the other variables improved the survival prediction accuracy only minimally.

Other studies examining specifically GSW have previously identified an association with lower presenting GCS and pupillary reactivity with higher mortality and worse functional outcomes.<sup>2,3,5</sup> We applied this to our large mixed pTBI cohort, which included mostly but not exclusively GSWs. Our sequential model building approach confirmed both mGCS and pupillary reactivity as the strongest predictors of survival with a very high area under the curve. Unlike all other studies on GSW, which used total GCS, we intentionally used mGCS, as landmark studies in blunt TBI have shown that in cohorts with mostly intubated patients (as is the case in pTBI), the verbal subscore cannot be obtained due to the presence of the endotracheal tube, and the eye opening subscore is not consistently and reliably recorded, partially due to several external factors, including drug or alcohol intoxication.<sup>18,19</sup> We did not equate the verbal GCS subscore to 1 in intubated patients, as it underestimates GCS. Therefore, as in the IMPACT score,<sup>10</sup> we used mGCS in our model.

We found that increasing ISS was independently associated with lower odds for survival. ISS indicates

**Figure 2** Receiver operating characteristic (ROC) curves for the multivariable models



Base model: motor Glasgow Coma Scale (mGCS) and pupillary reactivity on admission. Model 1 (base + injury variables): base + Injury Severity Score (ISS) + self-inflicted + transfer + sex. Model 2 (base + radiologic variables): base + trajectory + intraventricular hemorrhage (IVH) + cisterns + multiple brain wounds. Model 3 (base + laboratory variables): base + international normalized ratio (INR). Model 4 (base + injury + laboratory variables): base + ISS + self-inflicted + transfer + sex + INR. The corresponding area under the curve values (C statistic) are shown in parentheses under each ROC.

the presence of additional body injuries and polytrauma, which portends a higher mortality risk due to systemic injury. Further, self-inflicted pTBI was independently associated with lower odds for survival. In fact, our multivariable adjustment revealed an almost 80% higher odds for dying when the pTBI was self-inflicted. This is likely explained by the close proximity between the entry point of the penetrating object and the brain, with a higher ballistic and more destructive energy. Lower INR indicated higher odds for survival, due to improved hemostasis. We are unable to conclude from our data whether our patients' admission INRs were elevated due to warfarin intake or the presence of disseminated intravascular coagulation from the pTBI.

Female patients had 76% higher odds for survival compared to men, even after adjusting for severity of injury. Female sex has been shown to be protective in blunt TBI,<sup>20</sup> although the reason for this remains unclear. While several preclinical and uncontrolled

clinical studies have suggested that progesterone provides neuroprotection,<sup>21–23</sup> this was not confirmed in large clinical trials in early severe blunt TBI.<sup>24,25</sup> However, one additional explanation may be that female patients may have less severe pTBI as they commonly practice less risky behaviors that predispose to pTBI. Alternatively, our injury severity adjustment using ISS may not be robust enough to control for pTBI severity.

We found it surprising that transfer from another hospital was associated with survival; one would assume that direct admission to a level 1 trauma center would result in improved outcomes due to faster specialty trauma care and resuscitation. Our finding may be explained by the fact that patients were only transferred if they survived the initial resuscitation. Further, we excluded all patients who were dead on arrival. Therefore, we call for the attempt to validate transfer from other hospital as a predictor of survival in other cohorts.

**Table 2** Final multivariable models

Model	Variables	Adjusted OR	95% CI
<b>Base + radiologic model (model 2)</b>	Motor GCS 2-5 vs 1	1.13	0.46-2.74
	Motor GCS 6 vs 1	12.16	3.85-38.44
	Pupils equal unreactive vs equal and reactive	0.06	0.02-0.18
	Pupils globe rupture/nonvisual vs equal and reactive	0.23	0.07-0.79
	Pupils unequal vs equal and reactive	0.42	0.15-1.16
	Trajectory perforating vs penetrating	0.26	0.10-0.71
	Trajectory tangential vs penetrating	3.33	0.81-13.74
	IVH yes vs no	0.23	0.10-0.53
	Cisterns compressed vs absent	5.07	1.75-14.74
	Cisterns open vs absent	4.53	1.36-15.16
	Multiple penetrating brain injuries yes vs no	0.13	0.03-0.52
<b>Base model + injury model + laboratory model (model 4)</b>	Motor GCS 2-5 vs 1	0.70	0.28-1.76
	Motor GCS 6 vs 1	22.37	7.1-70.49
	ISS	0.95	0.91-0.99
	Pupils equal unreactive vs equal and reactive	0.03	0.01-0.10
	Pupils globe rupture/nonvisual vs equal and reactive	0.22	0.07-0.70
	Pupils unequal vs equal and reactive	0.18	0.06-0.53
	Self-inflicted yes vs no	0.21	0.09-0.51
	Transfer no vs yes	0.21	0.07-0.58
	Sex male vs female	0.24	0.08-0.75
	INR (0.1 increments)	0.03	0.01-0.19

Abbreviations: CI = confidence interval; GCS = Glasgow Coma Scale; INR = international normalized ratio; ISS = Injury Severity Score; IVH = intraventricular hemorrhage; OR = odds ratio .

Listed are the 2 final, parsimonious multivariable models predicting survival (model 2 and model 4 from figure 2), adjusting for motor GCS and pupillary reactivity.

Unexpectedly, age was not associated with survival in our adjusted base model. Patients with pTBI are younger in general (mean age  $\leq 35$  years), and therefore age is not an explanatory predictor of outcome in pTBI as seen in other diseases afflicting elderly, including blunt TBI, stroke, or cancer.

Other smaller studies examining factors on hCTs have previously revealed that compression of the basal cisterns, IVH, and bullet trajectory with involvement of the zona fatalis, i.e., perforation through bilateral lobes (except bilateral frontal lobes), brainstem, and the ventricles indicate much worse outcomes.<sup>1,2,5,26</sup> These studies, however, included only 119 hCTs or fewer, and did not have the statistical power to examine the associations of specific neuroanatomical CT findings to outcomes in a more refined way. Our study comprising 371 hCTs revealed that survival was independently and incrementally associated with a penetrating or tangential trajectory, compared to a perforating trajectory. Similarly, the presence of open or compressed cisterns each carried a 5 times higher odds for survival compared to absent cisterns on hCT. This suggests that aggressive resuscitation should not be

withheld based upon presence of a penetrating (but not perforating) trajectory, or compressed (but not absent) cisterns. Importantly, none of the radiologic factors we found to be associated with survival was included in the SPIN score to prevent model overfitting. Therefore, the SPIN score remains preliminary. Even in examples of very low SPIN scores, the radiologic findings should still be considered before making clinical decisions about a patient.

Our study also revealed that single rather than concomitant multiple pTBI was independently associated with 87% higher odds for survival in the adjusted model. This association has not been reported before, although clinically it is not surprising. It is rare to have multiple pTBI at once, and only 8% of patients in our cohort presented with multiple pTBI. However, our study's power was sufficient to document its association with outcome for the first time.

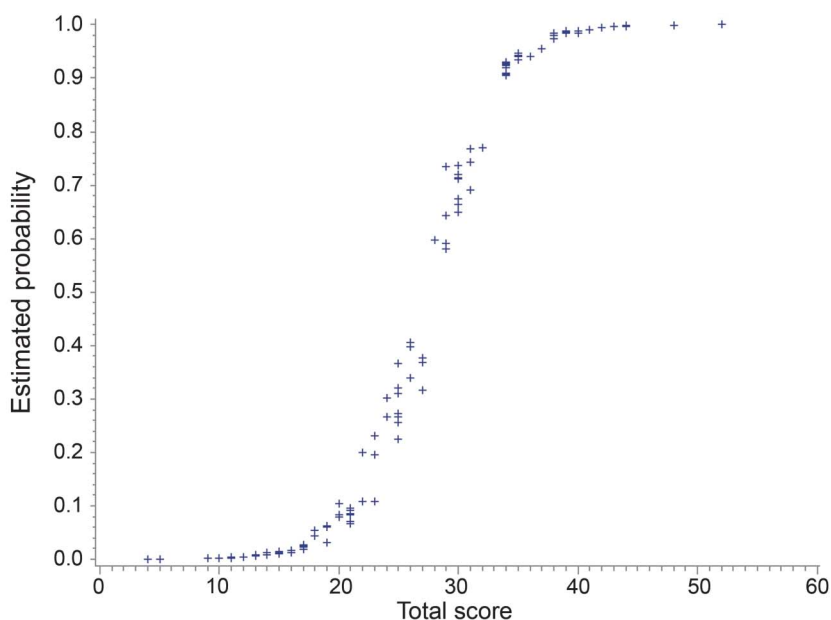
Interestingly, at both centers, the proportion of patients surviving pTBI was the same at hospital discharge as at 6 months postinjury. We paid particular attention to whether this may be due to incomplete follow-up information. At both centers, however,

**Figure 3** Surviving Penetrating Injury to the Brain (SPIN) score

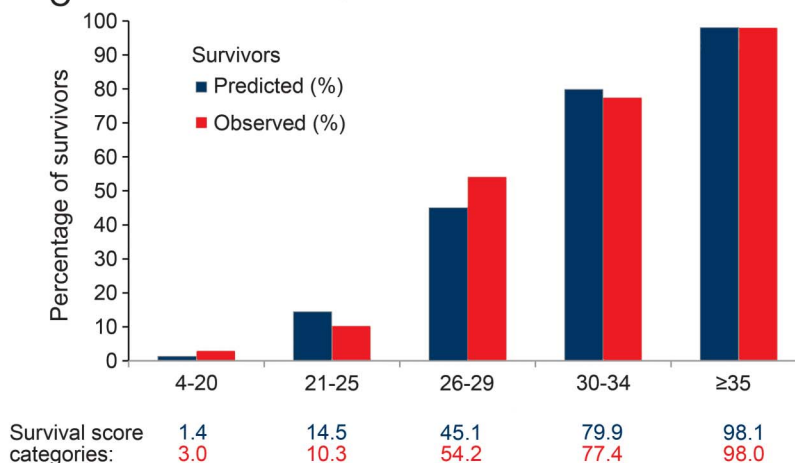
**A. Components of the SPIN score**

Survival score component	Score points
Motor GCS	
1-5	0
6	9
Pupils	
Nonreactive bilaterally	0
Unequal	4
Globe rupture/nonvisual	6
Equal and reactive bilaterally	9
Self-inflicted	
Yes	0
No	4
Transferred	
No	0
Yes	4
Sex	
Male	0
Female	4
Injury severity score	
≥56	0
41-55	1
25-40	5
≤24	10
INR	
≥2.1	0
1.4-2.0	6
≤1.3	12
<b>Total SPIN score</b>	<b>4 - 52</b>

**B. Survival probability survival score**



**C**



(A) Components of the SPIN score and assigned score points. (B) Estimated survival probability (y-axis) plotted against the total survival (SPIN score). (C) Comparison of the observed (red bars) to predicted (blue bars) proportion of survivors by SPIN score categories. Y-axis: percent of patients who survive at hospital discharge and 6 months after penetrating traumatic brain injury. X-axis: SPIN score categories. Data table: percent of predicted and observed patients in the entire cohort per SPIN score category. GCS = Glasgow Coma Scale; INR = international normalized ratio.

we confirmed through inpatient and outpatient chart review, as well as the public death certificate registry, that survivors were still alive at 6 months, while the deceased had died during the hospital admission within the early period after injury.

Our study has important limitations. We did not internally or externally validate the SPIN score. We considered but decided against internal validation to maximize the power of the full cohort for score development. External validation is ideal, and in planning. Until then, the SPIN score remains preliminary. We excluded patients who were dead on arrival, because we were looking for factors associated with survival

for the purpose of bedside prognostication. We may have overlooked other important variables that are associated with imminent death prior to hospital arrival. Our cohort is mostly composed of UMSTC patients, thereby potentially skewing our models towards the urban pTBI population and underestimating factors unique to a rural population. Both subcohorts stem from academic US East Coast centers, and therefore findings may not be generalizable to other geographic areas. Importantly, our cohort comprises a large proportion of minorities. In the United States, pTBI is more prevalent in minority populations<sup>7</sup>; hence our cohort is representative of the population at highest risk for



pTBI. Our study does not prove causality or modifiability. It remains unclear whether early and aggressive interventions to improve mGCS and pupillary reactivity by use of aggressive resuscitation, osmotherapy, or neurosurgery ultimately alters outcome. Due to the study's retrospective nature, some variables were incompletely collected because of unavailability. For the same reasons, we were inherently unable to confirm reliably whether the care at both centers was truly identical, although clinical care was provided at each institution according to level 1 trauma center standards following published guidelines. Therefore, it is conceivable that institutional differences in the routine clinical care of patients existed, resulting in unadjusted biases. We standardized the definitions for our variables pre hoc so that the data acquisition was as uniform as possible. While the attempt at both centers is not to discuss withdrawal of care with the family or setting treatment limitations before 48 hours after admission, we cannot adjust for center- or physician-specific biases that may have led to early limitations of care. Therefore, we were not able to control for early self-fulfilling prophecies or therapeutic nihilism, a common dilemma in all studies involving critically ill brain-injured patients.<sup>27–29</sup> Finally, we did not examine whether the GCS and pupillary findings were irreversible or improved serially. Future studies should address whether patients with early clinical findings suggestive of poor outcome improve in the first 12–24 hours, and whether this improvement carries further prognostic value.

In this large, contemporary 2-center US cohort, we identified important clinical and radiologic predictors associated with survival after pTBI. Higher mGCS and pupillary reactivity on admission were by far the strongest independent predictors of survival, with all other independent predictors adding only very little to the improvement of the models' *C* statistic. The SPIN score has not been validated and does not contain radiologic factors, and therefore is currently a preliminary tool that may provide guidance for physicians and families in their direction-of-care decision-making in patients with pTBI.

#### AUTHOR CONTRIBUTIONS

S.M., K.N.S., T.M.S., D.M.S., and T.E. were responsible for study concept and design. D.A., A.A., and S.I. were responsible for acquisition and analysis of data. S.M., D.A., and K.N.S. were responsible for drafting the manuscript and figures.

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#### DISCLOSURE

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