Use of Low-Value Pediatric Services Among the Commercially Insured

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BACKGROUND: Claims-based measures of "low-value" pediatric services could facilitate the implementation of interventions to reduce the provision of potentially harmful services to children. However, few such measures have been developed.

METHODS: We developed claims-based measures of 20 services that typically do not improve child health according to evidence-based guidelines (eg, cough and cold medicines). Using these measures and claims from 4.4 million commercially insured US children in the 2014 Truven MarketScan Commercial Claims and Encounters database, we calculated the proportion of children who received at least 1 low-value pediatric service during the year, as well as total and out-of-pocket spending on these services. We report estimates based on "narrow" measures designed to only capture instances of service use that were low-value. To assess the sensitivity of results to measure specification, we also reported estimates based on "broad measures" designed to capture most instances of service use that were low-value.

RESULTS: According to the narrow measures, 9.6% of children in our sample received at least 1 of the 20 low-value services during the year, resulting in \$27.0 million in spending, of which \$9.2 million was paid out-of-pocket (33.9%). According to the broad measures, 14.0% of children in our sample received at least 1 of the 20 low-value services during the year.

CONCLUSIONS: According to a novel set of claims-based measures, at least 1 in 10 children in our sample received low-value pediatric services during 2014. Estimates of low-value pediatric service use may vary substantially with measure specification.

abstract



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Dr Chua conceptualized and designed the study, acquired the data, conducted the initial analyses, drafted the initial manuscript, and revised the manuscript for important intellectual content; Dr Schwartz conceptualized and designed the study, interpreted the data, and revised the manuscript for important intellectual content; Drs Volerman and Conti interpreted the data and reviewed and revised the manuscript for important intellectual content; and Dr Huang interpreted the data, reviewed and revised the manuscript for important intellectual content, and supervised the study; all authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

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WHAT'S KNOWN ON THIS SUBJECT: Claims-based measures of "low-value" pediatric services could facilitate the implementation of interventions to reduce the provision of unnecessary care to children. Few such measures have been developed. The extent and financial burden of low-value pediatric service use are unknown.

WHAT THIS STUDY ADDS: Using novel claims-based measures of 20 low-value pediatric services and claims from 4.4 million commercially insured children, at least 1 in 10 children in our sample received a low-value pediatric service during 2014.

To cite: Chua K, Schwartz AL, Volerman A, et al. Use of Low-Value Pediatric Services Among the Commercially Insured. *Pediatrics*. 2016;138(6):e20161809 Recent high-profile initiatives such as Choosing Wisely have highlighted the importance of avoiding "lowvalue" pediatric health care services that typically do not improve child health.¹ Reducing the use of these services could prevent iatrogenic harm (eg, radiation exposure from imaging tests)² while decreasing unnecessary financial burden on families and the health care system.^{3–11} Improving the efficiency of pediatric care is an increasingly important policy goal given the rapid rise in pediatric health care expenditures¹² as well as the proliferation of alternative payment models such as pediatric accountable care organizations (ACOs), which incentivize providers to judiciously use resources and enhance the quality of care.13-18

Large-scale analyses of overuse can be facilitated by measures that directly assess low-value pediatric service use in insurance claims databases.⁴⁻⁸ These measures exploit the relative strengths of claims data, including their large sample sizes, longitudinal nature, widespread availability, and inclusion of utilization across multiple providers and care settings.^{3,4} Using 1 such set of measures, for example, Schwartz et al⁴ found that 25% to 42% of elderly Medicare beneficiaries received at least 1 of 26 low-value services in 2009, resulting in \$1.9 billion to \$8.5 billion in spending. A subsequent study used these measures to report reduced use of certain low-value services after implementation of the Medicare Pioneer ACO model.⁶

To date, however, few claims-based measures of low-value pediatric services have been developed. Furthermore, the extent and financial burden of low-value pediatric service use are unknown. To address these gaps, we developed a novel set of claims-based measures for 20 lowvalue pediatric services that occur across a variety of conditions and settings. We used these measures and a large claims database to estimate use of and spending on the 20 services among 4.4 million commercially insured US children in 2014.

METHODS

Construction of Low-Value Service Measures

In fall 2015, members of our research team of pediatricians and health services researchers compiled a candidate list of low-value pediatric services by reviewing >400 recommendations from the Choosing Wisely initiative, recommendations from the US Preventive Services Task Force and the United Kingdom's National Institute for Health and Care Excellence, childfocused evidence reports from the Cochrane Collaboration, clinical practice guidelines published by US medical specialty societies such as the Infectious Diseases Society of America, and peer-reviewed literature.^{19–56} Based on this review, we identified several hundred lowvalue pediatric services, including services that cause more harm than benefit (eg, cough and cold medicines for young children) and services that typically do not improve child health (eg, Papanicolaou tests). From this list, we excluded services that could not be easily identified as low-value in claims due to the lack of necessary clinical information (eg, head imaging for minor head trauma), as well as services that were likely to be infrequent among children (eg, electroencephalograms for headache).

We ultimately selected 20 pediatric services that could be identified as low-value in claims data, including 6 diagnostic tests, 5 imaging tests, and 9 prescription drugs. We constructed claims-based measures of these 20 services based on data elements that are typically contained in US insurance claims data, including: *International Classification of* Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis and procedure codes; Current Procedural Terminology codes; and demographic information. Our measures assessed low-value service use in primary care offices, hospital outpatient departments, urgent care centers, retail clinics, emergency departments, community hospitals, and academic children's hospitals.

For the main analysis, we constructed "narrow measures" that included multiple restrictions to only capture instances of service use that were low-value, potentially at the expense of missing some instances of lowvalue service use. For many of the narrow measures, we employed a modified version of a widely used administrative algorithm to exclude services received by children with a "complex chronic condition" (eg, congenital anomalies, dependence on technology, cancer).⁵⁷ These children are excluded from many clinical practice guidelines, and assessment of care appropriateness for these children may be difficult without detailed clinical information. Other restrictions were based on relevant studies, guidelines, and reports identifying each service as low-value (Table 1).^{19–56} The codes used in each measure are presented in Supplemental Information.

To assess whether the amount of detected low-value service use varies with measure specification, we also created broad versions of our 20 measures, following previously published studies in the adult population.⁴ These "broad measures" contained minimal restrictions and were designed to capture most instances of service use that were low-value, potentially at the expense of misclassifying some instances of appropriate service use as low-value.

For each measure, we defined a denominator population of children who could potentially receive the service (eg, children with a diagnosis of bronchiolitis for the

ervice o.	Service	Source	Justification	Broad Measure Definition	Narrow Measure Definition (Additional Exclusions)	Denominator
	Population-based screening for vitamin D deficiency	CW	Because vitamin D deficiency is common, healthy patients at risk should take supplements instead of being tested. Screening is useful for very high-risk patients when laboratory values will be used to determine the aggressiveness of supplementation ^{24,25}	25-hydroxy vitamin D blood test	 No diagnosis potentially warranting testing on day of test or prior claims^a (osteomalacia, rickets, hyperparathyroidism, osteoporosis, pathologic fracture, obesity, sarcoidosis, hepatic failure, chronic kidney disease, inflammatory bowel disease, cystic fibrosis, celiac disease, inflammatory bowel disease, cystic fibrosis, developmental motor delay, long-term glucocorticoid use) No diagnosis of vitamin D deficiency in prior claims^a No diagnosis indicating pregnancy on day of test developmental romplex chronic conditions^b (eg, children with risk factors for severe vitamin D deficiency such as malabsorption or occorrection 	All children
	Skin prick test or IgE blood tests in children with atonic dermatitis	CW	Patch testing is more useful for evaluating atopic dermatitis than skin prick tests or IgE blood tests ²⁶²⁷	Skin prick test or allergen- specific IgE blood test and diagnosis of atopic dermaitis on day of test	or poor nucruou) (1) No other diagnoses potentially warranting testing on day of test (food allergy, anaphylaxis, asthma, allergic or chronic rhinitis, allergic conjunctivitis, allergic colitis/gastroenteritis, history of penciallin allersty	Children with a diagnosis of atopic dermatitis during the vear
	Testing for RSV in children with bronchiolitis	ААР	Testing is only indicated in the rare situation in which knowledge of the etiologic agent would change clinical management ²⁸	Test for RSV (eg, rapid RSV test) or respiratory viral panel and diagnosis of bronchiolitis on day of test	 (1) Exclude infants aged <90 d (may be part of a sepsis evaluation) (2) Exclude testing associated with hospitalization⁶ (may be required for cohorting patients per hospital policy) (3) Exclude children who received Synagis prophylaxis in the prior 30 d (breakthrough RSV infection may prompt discontinuation of prophylaxis) (4) Exclude children with complex chronic conditions^b (could influence decision inthe entrophylaxis) 	Children with a diagnosis of bronchiolitis during the year
	Blood tests in children with a simple febrile seizure	ААР	Electrolyte levels are often abnormal in children with simple febrile seizures; incidence of bacteremia is not increased in children with simple febrile seizures ²⁹	Blood cell count or electrolytes and diagnosis of simple febrile seizure on day of test	 (1) Exclude infants aged <1 y (blood cell count may be part of an evaluation for central nervous system infections in this age group) (2) No diagnosis for complex febrile seizure, vomiting/diarrhea, or dehydration on day of test (could warrant blood or electrolyte testing) (3) Exclude testing associated with hospitalization^c (4) Exclude children with complex chronic conditions^b (eg. children with endenyers) 	Children with a diagnosis of simple febrile seizure during the year
	Cervical cancer screening with human papillomavirus test or Papanicolaou test in childhen	CWUSPTF	Evidence does not support cervical cancer screening before age 21 y in most cases ^{30,31}	Human papillomavirus test or Papanicolaou test	 (1) Vordagnossi potentially warranting testing on day of test or prior claim^a (abnormal Papanicolaou test result, dysplasia, or malignancy of cervix/vagina/vulva) (2) Exclude children with complex chronic conditions^b (eg. children with HIV or other immunodeficiency) 	Female children aged ≥14 y
	Testing for group A streptococcal pharyngitis in children aged <3 y	IDSA	Streptococcal pharyngitis (and therefore acute rheumatic fever) are rare before age $3 y^{32}$	Test for group A streptococcal pharyngitis (eg. rapid streptococcal test or throat culture) in children aged <3 y	 Exclude testing associated with hospitalization⁶ No diagnosis indicating exposure to communicable diseases on day of test (eg, sick contact with strep throat) Exclude children with complex chronic conditions^b (eg, children with immunodeficiency) 	Children aged <3 y

TABLE 1 Claims-Based Measures of 20 Low-Value Pediatric Services

TABLE 1	Continued					
Service No.	Service	Source	Justification	Broad Measure Definition	Narrow Measure Definition (Additional Exclusions)	Denominator
7	Face or nose radiograph in children with head or face trauma	CW	Radiographs are not sensitive for the detection of facial or nasal fractures in children; CT scans are preferred ^{35–35}	Radiographs of face or nose and diagnosis of head or face trauma on same day of test	No additional restrictions	Children with a diagnosis of head or face trauma during the year
ω	Ultrasound in children with cryptorchidism	CW	Ultrasound is neither sensitive nor specific for localizing nonpalpable testes ^{36–38}	Ultrasound of scrotum, pelvis, abdomen, or retroperitoneum and diagnosis of cryptorchidism on dav of test	 (1) Exclude imaging in neonates aged ≤28 d (ultrasound may be part of an evaluation for a disorder of sex development in neonatal period) (2) No diagnosis potentially warranting imaging on day of test or prior claim^a (eg, indeterminate sex, adrenogenital disorder, hypospadias, obesity) 	Children with a diagnosis of cryptorchidism during the year
თ	Sinus imaging in children with acute sinusitis	CW	Sinus imaging is not routinely necessary to diagnose acute sinusitis ³⁹	Paranasal sinus radiograph, maxillofacial CT scan, or face MRI and diagnosis of acute sinusitis on day of test	 No diagnosis of acute sinusitis between 180 and 30 d before imaging No diagnosis of chronic sinusitis on day of imaging or prior 180 d No other diagnosis potentially warranting imaging on day of test No othical cellulitis, cranial nerve palsy, meningismus, seizures, visual disturbances, exophthalmos, altered mental status, nasal polyps) Exclude imaging associated with hospitalization^c Exclude children with complex chronic conditions^b (eg, children with cystic fibrosis or immunodeficiency) 	Children with a diagnosis of acute sinusitis during the year
10	Neuroimaging in children with a simple febrile seizure	ААР	Evidence does not support need to routinely obtain neuroimaging in children with simple febrile seizures; neuroimaging may involve risks of radiation exposure or procedural sedation ²⁸	Head CT or brain MRI and diagnosis of simple febrile seizure on day of test	 No other diagnosis potentially warranting imaging on day of test (complex febrile seizure, focal neurologic examination abnormalities, head/face trauma) Exclude imaging associated with hospitalization^c Exclude children with complex chronic conditions^b (eg. children with epilepsy, congenital neurologic anomalies, ventriculoperitoneal shunt) 	Children with a diagnosis of simple febrile seizure during the year
-	Neuroimaging in children with headache	GW	Results of neuroimaging are typically negative in the absence of risk factors for structural disease ^{42–44}	Head CT or brain MRI scan and diagnosis of headache on day of test	 No diagnosis potentially warranting imaging on day of test (convulsions, syncope, head/face trauma, posttraumatic headache, complicated headache syndromes, bleeding disorders, history of stroke, focal neurologic examination abnormalities) Exclude imaging associated with hospitalization^c Exclude children with complex chronic conditions^b (eg, children with cancer or hydrocephalus) 	Children aged ≥12 y with a diagnosis of headache during the year
12	Cough and cold medications in children aged <6 y	CC	Evidence does not support efficacy; concerns about side effects ^{45,46}	Drug claim for cough or cold medication ^d in children aged <6 y	No additional exclusions	Children aged <6 y
13	Oral antibiotics for acute upper respiratory infections	CW CC NICE	Evidence does not support efficacy: concerns about side effects and antibiotic resistance ⁴⁷	Drug claim for oral antibiotics within 3 d of a diagnosis of acute upper respiratory infection	No other diagnosis potentially warranting oral antibiotics on the same day of the index diagnosis of acute upper respiratory infection or in the following 3 d ^e	Children with a diagnosis of acute upper respiratory infection during the year
4	Oral antibiotics for acute OME	CW CC NICE	Evidence does not support efficacy; concerns about side effects and antibiotic resistance ^{48,49}	Drug claim for oral antibiotic within 3 d of a diagnosis of acute OME	 No other diagnosis potentially warranting oral antibiotics on the same day of the index diagnosis of acute OME or in the following 3 d^e No diagnosis of acute OME between 180 and 90 d before the index diagnosis No diagnosis of chronic OME on the day of the index diagnosis of chronic OME on the day of the index diagnosis or in the prior 180 d 	Children with a diagnosis of acute OME during the year

TABLE	1 Continued					
Service No.	Service	Source	Justification	Broad Measure Definition	Narrow Measure Definition (Additional Exclusions)	Denominator
15	Oral antibiotics for acute otitis externa	CM	Otic antibiotics are the first- line therapy for acute otitis externa ⁵⁰	Drug claim for oral antibiotic within 3 d of a diagnosis of acute otitis externa	 No other diagnosis potentially warranting oral antibiotics on the same day of the index diagnosis of acute otitis externa or in the following 3 d^e No diagnosis of acute otitis externa during the 30 d before the index diagnosis No diagnosis of chronic or malignant otitis externa on the same day of the index diagnosis or during the prior 180 d Extende children with complex chronic conditions^b (eg, children with become a supervention of the index diagnosis of the prior 180 d 	Children with a diagnosis of acute otitis externa during the year
16	Oral antibiotics after tonsillectomy	M D D D	Evidence does not support efficacy; concerns about side effects and antibiotic resistance ^{51,32}	Drug claim for oral antibiotic within 3 d of tonsillectomy	immunouenciency) (1) No other diagnosis potentially warranting oral antibiotics on the same day of the tonsillectomy or in the following 3 d ^e (2) Exclude children with complex chronic conditions ^b (eg, children requiring endocarditis prophylaxis due to heart disease or innolants)	Children undergoing tonsillectomy during the year
17	Oral antibiotics for bronchiolitis	AAP NICE	Evidence does not support efficacy, concerns about side effects and antibiotic resistance ⁵³	Drug claim for oral antibiotic within 3 d of a diagnosis of bronchiolitis	(1) No other diagnosis potentially warranting oral antibiotics on the same day of the index diagnosis of bronchiolitis or in the following 3 $d^{\rm e}$	Children with a diagnosis of bronchiolitis during the vear
18	Oral corticosteroids for bronchiolitis	CW AAP CC NICE	Evidence does not support efficacy, concerns about side effects ²⁸	Drug claim for oral corticosteroid within 3 d of a diagnosis of bronchiolitis	(1) Exclude children with complex chronic conditions ^b (eg. children taking steroids for other conditions)	Children with a diagnosis of bronchiolitis during the year
19	Short-acting β-agonists for bronchiolitis	CC CC	Evidence does not support efficacy, concerns about side effects ^{28,55}	Drug claim for inhaled short- acting β-agonist within 3 d of a diagnosis of bronchiolitis	 Limit to first-time wheezing (defined as no diagnosis of wheezing, bronchiolitis, or asthma before the index diagnosis of bronchiolitis) Exclude children with complex chronic conditions^b (eg, patients with chronic lung disease) 	Children with a diagnosis of bronchiolitis during the year
20	Acid blockers for infants with uncomplicated gastroesophageal reflux	CC	Reflux in infancy is most often physiologic and does not require treatment; little is known about safety of proton pump inhibitors, particularly in infants ^{54–56}	Drug claim for oral histamine ₂ ⁻ blooker or oral proton pump inhibitor in infants aged <1 y	(1) No diagnosis potentially warranting acid blockade on the same day of drug claim or in prior claim ^a (failure to thrive, weight loss, underweight, irritability, excessive crying, apnea, apparently life- threatening event, gastritis, peptic ulcer, gastrointestinal bleed)	Infants aged <1 y
		NICE			(2) Exclude children with complex chronic conditions ^b (eg. children with risk factors for severe gastroesophageal reflux disease such as neurologic impairment)	
AAD Amo	viran Aradamy of Dadiatrice.	C Pochrai	no Collaboration: CT committed tomography-	· PW Phoneing Wisely IDSA Infections Div	seases Society of America: IdE_immunodiohulin E: NICE_National Institute for Health and	Care Evrallance, OME ofitie

5 IS WISCIY, 'find media with effusion; RSV, respiratory syncytial virus; USPTF, US Preventive Task Force. AAP, AN

^b befined as children with an ICD-9 diagnosis or procedure code indicating a complex chronic condition on any claim from January 1, 2013, until the day of the service; codes were based on a widely used algorithm (see Feudther et al³⁷). ^a Refers to all claims from January 1, 2013, until the day before the service.

 $^{
m c}$ Defined as a test occurring on or between the admission and discharge dates for a hospitalization.

^d Defined as medications containing pseudoephedrine, phenylephrine, guaifenesin, dextromethorphan, brompheniramine, chlorpheniramine, homatropine/hydrocodone, codeine/promethazine, and codeine/pyrilamine. Diphenhydramine was

excluded because it is commonly used for indications other than cough and cold. • Bacterial infections such as acute suppurative otitis media, urinary tract infection, pneumonia, and cellulitis.

low-value bronchiolitis measures). For denominator populations based on age cutoffs (eg, children aged <3 years), we used age as of January 1, 2014. In a sensitivity analysis, results were not substantially altered when using age as of December 31, 2014 (Supplemental Information).

Data Source and Study Design

We conducted a cross-sectional analysis of the 2014 Truven MarketScan Commercial Claims and Encounters database, a convenience sample of claims data from >47 million US residents aged 0 to 64 years with employer-sponsored private health insurance. Because many measures excluded children with specific diagnosis or procedure codes in previous claims, we used the 2013 MarketScan database as a "lookback period" for the 2014 analyses.

Study Population

There were 12.2 million children aged 0 to 18 years in the 2014 MarketScan database. To ensure a sufficiently long look-back period for each instance of service use, we limited the sample to children who were born before 2013 and continuously enrolled for 365 days in 2013 and 2014, or born in 2013 or 2014 and continuously enrolled until the end of 2014. Of the resulting 6 374 551 million children, we excluded 1 336 938 million children whose prescription drug claims were not included in the MarketScan database and an additional 632 843 children who were enrolled in capitated plans in any month during 2014 (because claims submitted by these plans may not reliably report payment).58-60 The final sample included 4 404 770 children, representing 5.6% of the 78.1 million US children in 2014 and 11.9% of the 36.9 million US children with employer-sponsored private insurance in 2014.61

Statistical Analyses

Summary statistics were calculated by using the demographic

information available in the MarketScan enrollment file. Using the narrow and broad measures, we calculated the percentage of eligible children in the denominator population who received each service at least once during the year, the number of services received per 100 eligible children, and the percentage of children in the overall sample who received at least 1 of the 20 lowvalue services during the year. For each service and across all services, we also calculated out-of-pocket spending (the sum of coinsurance, copays, and deductibles) and total spending (allowed charges). To provide context for spending estimates, we limited the sample to children who received each service at least once in 2014, then calculated the proportion of annual health care spending among these individuals that was due to the service.

A single instance of service use can be represented by multiple claims in the MarketScan database due to separate billing of facility and professional components, claims adjustment, or billing error.⁵⁸ For analyses of service use, we considered multiple instances of a service on the same day for a given individual to represent a single instance of service use. For spending analyses, we summed payment variables across all claims for a service on the same day for a given individual. This method of collapsing payment to the "claim-day" has been used in previous MarketScan analyses, including an Institute of Medicine report on geographic variation in spending among the privately insured US population.^{59,60}

Analyses were performed by using SAS version 9.4 (SAS Institute, Inc, Cary, NC). The institutional review board of the University of Chicago exempted this study from review.

RESULTS

Of the 4.4 million children in the sample, 29.3% were aged 0 to 5

years, 35.6% were aged 6 to 12 years, and 35.1% were aged 13 to 18 years; 51.1% were male; and 85.2% lived in an urban area. Our sample included children from all US states, Washington, DC, and Puerto Rico.

Table 2 and Fig 1 report the percentage of overall service counts, out-of-pocket spending, and total spending constituted by each category of low-value service use (diagnostic tests, imaging tests, and prescription drugs). Table 2 also reports the percentage of children in the sample who received at least 1 service in these categories during the year.

According to the narrow measures, 37.9%, 2.8%, and 59.4% of all lowvalue services in our sample were for diagnostic tests, imaging tests, and prescription drugs, respectively (Table 2). Low-value imaging occurred relatively infrequently but accounted for 26.7% of all out-of-pocket spending and 33.7% of all spending on low-value services detected by using our measures. During the year, 3.9%, 0.4%, and 6.1% of children in the sample received at least 1 low-value diagnostic test, imaging test, and prescription drug. Overall, 9.6% of children in the sample received at least 1 of the 20 low-value services during the year. Total spending across all 20 services was \$27.0 million, of which \$9.2 million was paid out-of-pocket (33.9%).

According to the broad measures, 14.0% of children in the sample received at least 1 of the 20 low-value services during the year (Table 2). Total spending was \$53.0 million, of which \$16.3 million was paid out-ofpocket (30.8%).

Table 3 displays use and spending estimates for each of the 20 lowvalue pediatric services. Some services were received by a low percentage of eligible children but a large number of children overall due

TABLE OTHER STATISTICS.	The Area Disk south the	т	I D D D D D D C
TABLE 2 Use of and Spending	on Low-Value Diagnostic	lests, imaging lests	, and Prescription Drugs

Variable	Diagnostic Tests	Imaging Tests (Measures	Prescription Drugs	Total
	(Measures 1–6)	7–11)	(Measures 12-20)	
Narrow measures				
Service counts	286 066	19470	419957	707 493
% of total low-value service count in sample	37.9%	2.8%	59.4%	NA
Out-of-pocket spending	\$2 394 690	\$2 448 950	\$4312215	\$9 155 855
% of total out-of-pocket spending on low- value services in sample	26.2%	26.7%	47.1%	NA
Total spending	\$6951671	\$9 103 7 17	\$10934306	\$26 989 694
% of total spending on low-value services in sample	25.8%	33.7%	40.5%	NA
% of sample receiving at least 1 service during the year	3.9%	0.4%	6.1%	9.6% ^a
Broad measures				
Service counts	357 161	36 196	726 789	1 120 146
% of total low-value service count in sample	31.9%	3.2%	64.9%	NA
Out-of-pocket spending	\$3760452	\$4847918	\$7 678 528	\$16286898
% of total out-of-pocket spending on low- value services in sample	23.1%	29.8%	47.1%	NA
Total spending	\$12338221	\$20 009 840	\$20608710	\$52956771
% of total spending on low-value services in sample	23.3%	37.8%	38.9%	NA
% of sample receiving at least 1 service during the year	5.1%	0.7%	9.7%	14.0% ^a

NA, not applicable.

^a Represents the percentage of the sample receiving at least 1 of the 20 low-value pediatric services during the year. This number does not equal the sum of the first 3 columns because some children were affected by >1 category of low-value service during the year.

to the size of the denominator (eg, vitamin D testing), whereas other services were received by a high percentage of eligible children but a small number of children overall because the condition is rare (eg, ultrasound for cryptorchidism). Estimates of low-value service use varied significantly between the narrow and broad measures for some measures (eg, allergy testing for eczema) but not for others (eg, cervical cancer screening). Among the major categories of low-value pediatric services, we observed the highest rates of use for prescription drugs. Spending on each low-value service represented small proportions of annual health care spending among children who received the service during the year (median among 20 services, 0.7% for broad measures and 0.5% for narrow measures).

Due to the large sample size of our study, our estimates had very small 95% confidence intervals.⁶² For example, estimates of the percentage of children who received at least 1 low-value pediatric service during the year had 95% confidence intervals with a width <0.08 percentage point.

DISCUSSION

Even though most children are healthy and have a limited number of interactions with the health care system, at least 9.6% of children in our sample received at least 1 of 20 low-value pediatric services during 2014, consistent with the notion that waste in pediatrics may be widespread. Although previous literature has documented significant underuse of highvalue pediatric services such as immunizations,⁶³ our findings suggest that overuse of low-value services may be an equally pressing deficiency in pediatric care quality. Our findings are similar to those of previous studies showing frequent use of low-value services among

adults,^{3–11} which suggests that interventions to improve care efficiency should include patients across the entire age spectrum.

This study highlights the clinical and policy importance of reducing the use of low-value pediatric services. Most importantly, the services assessed in our study can directly harm children both in the short term (eg, side effects from antibiotics) and the long term (eg, increased lifetime incidence of cancer from unnecessary imaging). Furthermore, one-third of all spending on low-value pediatric services in our study was paid out-ofpocket, suggesting that use of these services could lead to unnecessary financial burden for families exposed to cost-sharing. Finally, our findings suggest that reducing use of lowvalue pediatric services could substantially decrease health care spending.¹⁰ Annual spending on lowvalue pediatric services totaled \$27.0 million in our sample, which included 11.9% of all commercially insured



FIGURE 1

Distribution of: A, low-value service counts, B, out-of-pocket spending on low-value services, and C, total spending on low-value services. Estimates are based on the narrow measures and represent the proportion of sample totals accounted for by low-value diagnostic tests, imaging tests, and prescription drugs.

children in the United States.⁶¹ Under the strong assumption that our sample is representative of this population, total annual spending on the 20 low-value pediatric services

is roughly \$227 million just for commercially insured children alone.

Our use of direct claims-based measures differs from the

approach of previous pediatric overuse studies, most of which have indirectly assessed waste by documenting unexplained variation in care patterns between regions and hospitals.^{64–66} This variationbased approach is useful for setting target rates of utilization based on a percentile rate, or "achievable benchmark of care."66 However, it is difficult to determine appropriateness based on relative rates of utilization, especially if case mix differences between comparison groups cannot be adjusted for with available data or if waste frequently occurs even among providers with the lowest utilization rates.^{3,4} In contrast, direct approaches produce estimates of the absolute level of low-value service use, a quantity that is easier to interpret in isolation.

Conversely, a potential challenge of using claims-based measures to assess overuse may be the sensitivity of estimates to measure specification. In our study, we frequently observed a wide range of estimates when using narrow and broad versions of measures, illustrating a fundamental tradeoff when measuring overuse in claims: overly narrow measures may only capture a small fraction of all service use that is low-value, whereas overly broad measures may capture a significant amount of service use that is high-value.4 This scenario suggests that for each application of claims-based measures of low-value services, organizations and researchers must carefully tailor the measures to their measurement goals.

Despite this challenge, claims-based measures of low-value services could be essential tools in several types of pediatric quality improvement efforts,³ including pay-forperformance initiatives. For example, Massachusetts provider groups participating in the Alternative Quality Contract received a global budget and additional financial

	0											
Service	Service			Narr	ow Measures				Bro	ad Measures		
NO.		No. of Children in Denominator	No. Receiving Service at Least Once During Year	% of Denominator Receiving Service at Least Once During Year	No. of Services per 100 Children in Denominator	Out-of-Pocket Spending(\$ Million)	Total Spending (\$ Million)	No. Receiving Service at Least Once During Year	% of Denominator Receiving Service at Least Once During Year	No. of Services Per 100 Children in Denominator	Out-of- Pocket Spending (\$ Million)	Total Spending (\$ Million)
- c	Vitamin D screening	4 404 770 06 640	54 125 221 A	1.2	1.3 A C	0.8	2.5	87279 10657	2.0	2.3	1.4 7.0	5.1 2 z
7	Aller'gy testing for atopic dermatitis	30 04 Z	4107	4.7	0.7		0.4	10001	D. II	1.21	0.7	0.2
2	Respiratory syncytial virus testing for	60459	10857	18.0	19.7	0.2	0.5	14561	24.1	27.0	0.2	0.7
4	Bloodwork for febrile	4825	782	16.2	31.5	0.0	0.1	1279	26.5	55.6	0.0	0.2
5	seizures Cervical cancer	635766	11480	1.8	2.4	0.0	0.5	14696	2.3	3.6	0.1	0.7
9	screening Testing for group	735958	94890	12.9	24.4	1.2	3.0	105 142	14.3	27.4	1.3	3.3
	A streptococcal pharyngitis in											
7	connuren ageu vRadiographs of face	195126	6821	3.5	3.7	0.3	0.7	6821	3.5	3.7	0.3	0.7
ø	or nose for trauma Ultrasound for	5161	894	17.3	19.1	0.1	0.3	1184	22.9	25.9	0.1	0.3
6	cryptorchidism Sinus imaging for	329054	1603	0.5	0.5	0.1	0.2	3205	1.0	1.1	0.2	0.6
10	sinusitis Neuroimaging for	4825	186	3.9	4.1	0.0	0.2	391	8.1	8.7	0.1	0.3
=	febrile seizures Neuroimaging for	110941	8909	8.0	8.5	1.9	7.7	21470	19.4	21.4	4.2	18.1
12	Cough and cold	1 290 473	42129	3.3	4.5	0.6	1.1	42 129	3.3	4.5	0.6	1.1
13	neurcations Oral antibiotics for	785 199	142 660	18.2	20.7	1.4	3.4	271 022	34.5	42.5	3.0	7.8
	upper respiratory tract infections											
14	Oral antibiotics for otitis media with	127 245	50930	40.0	45.5	0.6	1.5	69728	54.8	9.99	6.0	2.4
1	effusion Oral antihiotics for	78758	8596	108	110	01	60	25.316	39.1	34.5	2 U	2.0
2	acute otitis externa)		0	-		1	- - - -		0	2	5
16	Oral antibiotics after	20567	5294	25.7	26.0	0.0	0.1	6552	31.9	32.3	0.0	0.1
17	tonsillectomy Oral antibiotics for	60459	9710	16.1	17.4	0.1	0.2	24238	40.1	46.0	0.3	0.7
18	bronchiolitis Oral steroids for	60459	10686	17.7	19.6	0.1	0.1	12040	19.9	22.2	0.1	0.1
	bronchiolitis											

infants with reflux

al ding lion)

payments based on improvements in performance on several claimsbased quality measures, including 1 measure assessing low-value antibiotic prescriptions.14 However, although payment could be carefully linked to aggregate performance on claims-based measures at the level of large provider groups, we would caution against using these measures to summarily deny payment for individual instances of apparent low-value service use, as claims may be unable to fully account for the heterogeneous and idiosyncratic clinical circumstances leading to service use in each health care encounter.67

Our study has a number of limitations. First, as with any administrative data analysis, we did not have access to potentially important clinical information that might influence assessments of appropriateness, which could lead to potential misclassification of services as low-value even when using the narrow measures. Although future efforts such as chart reviews may help quantify the reliability of claims-based measures, we also note that in many research settings, the impact of any misclassification bias can be attenuated by including additional restrictions or by using strong study designs. For example, 1 study used a difference-indifferences design and claims-based measures to evaluate the impact of the Medicare Pioneer ACO model on low-value service use.⁶ In this study, any significant differential changes in low-value service use were unlikely to be driven by misclassification bias unless the change in this bias before and after implementation of the ACO model differed in magnitude between the non-ACO and ACO groups. Analyses of trends in low-value pediatric service use will also not be affected by any misclassification bias unless the magnitude of this bias changes over time.

Second, it is unclear whether our results generalize to other pediatric populations, including publicly insured children. It is possible that publicly insured children receive fewer low-value services due to access barriers that result in less care overall; however, it is also possible that these children receive more low-value services because of systematic disparities in the quality of their care. Third, condition-based measures that use diagnosis codes as inclusion criteria may miss instances of lowvalue service use if the condition was not appropriately coded in claims (eg, if acute otitis media with effusion was coded as acute otitis media, not otherwise specified). Fourth, although we relied on recommendations from several high-profile organizations to classify a service as low-value, we acknowledge that some providers may have different perceptions of the utility of some of the services we assessed.

Finally, we only assessed 20 services, which we carefully selected from a large list of candidates primarily on the basis of whether they could be identified as low-value in claims. As such, our findings undoubtedly underestimate use of and spending on low-value pediatric services, including other services that could potentially be measured with additional claims-based measures as well as services that cannot be easily classified as low value by using claims data. We also note that our spending estimates do not account for any downstream events associated with low-value service use, including immediate events (eg, follow-up testing for falsepositive initial results) and events that may occur much later (eg, use of broad-spectrum antibiotics due to antimicrobial resistance from antibiotic overuse).^{68–70} To more fully capture the scope of low-value

service use in pediatrics, future studies should quantify these downstream costs, identify costly low-value interventions for children with complex chronic conditions,⁵⁷ and assess low-value applications of lucrative elective procedures that may not have been included by medical specialty societies participating in Choosing Wisely.⁷¹

CONCLUSIONS

Overuse of low-value services may be widespread in pediatrics. As health care systems increasingly incorporate payment and delivery models that prioritize value, claimsbased measures of low-value pediatric services could facilitate the implementation of interventions to reduce the provision of potentially harmful services to children.

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ABBREVIATIONS

ACO: accountable care organization ICD-9: International Classification of Diseases, Ninth Revision

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