

# Expression of metastasis suppressor gene *AES* driven by a Yin Yang (YY) element in a CpG island promoter and transcription factor YY2

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## Key words

*AES*, colon cancer, CpG island, transcription, YY2

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## Funding Information

Ministry of Education, Culture, Sports, Science and Technology of Japan; Japan Agency for Medical Research and Development.

Received May 9, 2016; Revised August 21, 2016; Accepted August 23, 2016

*Cancer Sci* 107 (2016) 1622–1631

doi: 10.1111/cas.13063

We recently found that the product of the *AES* gene functions as a metastasis suppressor of colorectal cancer (CRC) in both humans and mice. Expression of amino-terminal enhancer of split (*AES*) protein is significantly decreased in liver metastatic lesions compared with primary colon tumors. To investigate its downregulation mechanism in metastases, we searched for transcriptional regulators of *AES* in human CRC and found that its expression is reduced mainly by transcriptional dysregulation and, in some cases, by additional haploidization of its coding gene. The *AES* promoter-enhancer is in a typical CpG island, and contains a Yin-Yang transcription factor recognition sequence (YY element). In human epithelial cells of normal colon and primary tumors, transcription factor YY2, a member of the YY family, binds directly to the YY element, and stimulates expression of *AES*. In a transplantation mouse model of liver metastases, however, expression of Yy2 (and therefore of *Aes*) is downregulated. In human CRC metastases to the liver, the levels of *AES* protein are correlated with those of YY2. In addition, we noticed copy-number reduction for the *AES* coding gene in chromosome 19p13.3 in 12% (5/42) of human CRC cell lines. We excluded other mechanisms such as point or indel mutations in the coding or regulatory regions of the *AES* gene, CpG methylation in the *AES* promoter enhancer, expression of microRNAs, and chromatin histone modifications. These results indicate that *Aes* may belong to a novel family of metastasis suppressors with a CpG-island promoter enhancer, and it is regulated transcriptionally.

Metastasis is responsible for most cancer deaths.<sup>(1,2)</sup> The metastasis cascade consists of local invasion, intravasation, transport, extravasation, formation of micrometastases, and colonization.<sup>(3)</sup> Studies over the past two decades showed that approximately 20 proteins function as metastasis suppressors in various types of cancer.<sup>(4,5)</sup> We recently identified *AES* as a novel metastasis suppressor in human CRC, and it is an endogenous inhibitor of Notch signaling.<sup>(6,7)</sup> Namely, expression of *Aes* inhibits metastasis of CRC cells in an orthotopic transplantation model in mice, whereas *Aes* gene knockout causes local invasion and intravasation of tumors in intestinal adenomatous mice.<sup>(7–9)</sup> The expression of *Aes/AES* protein is decreased in liver metastases as compared with primary colon tumors in both mice and humans; however, its downregulation, the key mechanism for metastasis, has not been investigated.

Several lines of evidence indicate that expression of metastasis suppressors is controlled at the transcription level.<sup>(10)</sup> For example, expression of *KAI1* is transcriptionally regulated by

$\beta$ -catenin and p53, negatively and positively, respectively.<sup>(11,12)</sup> While *KISS1* is stimulated by the DRIP-130–SP1 transcription complex,<sup>(13)</sup> reversion-inducing cysteine-rich protein with *RECK* is transcriptionally suppressed downstream of the RAS signaling.<sup>(14)</sup>

In this study, we aimed to identify regulators of *AES* expression. Possible mechanism(s) include transcriptional suppression, point or indel mutations in the *AES* coding or regulatory regions of the gene, CpG methylation in the *AES* promoter enhancer, transcriptional pausing, selective protein degradation, expression of miRNAs, and/or chromatin histone modifications. We present here the results showing that *AES* is stimulated by transcription factor YY2 in the colonic epithelium, and that expression of YY2 is decreased in liver metastatic lesions of CRC. In addition, we found that the *AES* gene is heterozygously deleted in 12% of CRC cell lines. We have also ruled out most other conceivable mechanisms mentioned above.

## Materials and Methods

Materials and methods are provided in Data S1.

## Results

**Transcription of *AES* mRNA is initiated from a CpG island promoter at multiple sites.** We focused on transcriptional regulation of the *AES* gene because other mechanisms did not appear to affect expression of *AES* protein, as described later (Fig. 1, final section). First, we noticed that the 1st exon of the major *AES* mRNA is in a CpG island (86.0% GC content, 17.5 CpG and 19.4 GpC doublets/100 bp) (Fig. 2a). To determine the transcription start sites of the *AES* gene, we used 5' RACE. The results revealed clustered *AES* transcription start sites producing the major (short form of the) mRNA, with multiple 5'-ends in human CRC cells (Fig. 2a,b). In addition, we found another minor (long form of the) mRNA initiated from an upstream promoter. We designate here the short mRNA as *AES* and the long one as *AES-L* (Figs 2a,S1a), because the level of the short form was much higher (>15 times) than that of the long form in the colonic epithelium and in CRC cell lines (Fig. 2c). The high level expression of *AES* mRNA may be attributable to the strong CpG island promoter, in contrast to the *AES-L* mRNA that is driven by a weaker TATA-box-like promoter (Fig. 2b,d). Consistently, we failed to detect *AES-L* protein by Western blotting of CRC cells, although forced expression of *AES-L* mRNA produced a corresponding protein band in 293T cells (Fig. S1b). In addition, exogenous expression of *AES-L* mRNA only weakly inhibited Notch activities in RKO and HCT116 that expressed endogenous Notch receptors, whereas *AES* did inhibit all Notch1–4-mediated transcription significantly (Fig. 2e). These results suggest that *AES* promoter and mRNA are responsible for expression of *AES* protein, whereas *AES-L* mRNA has little significance in its expression.

**Yin Yang element plays essential roles in transcription of *AES* gene.** Because multiple transcription factors cooperatively activate CpG island promoter genes,<sup>(15)</sup> we hypothesized that *AES* is one of these genes. To test this hypothesis, we first searched through the *AES* promoter enhancer sequence for regulatory elements using ENCODE,<sup>(16)</sup> *Harr* plot,<sup>(17)</sup> and promoter reporter assays.

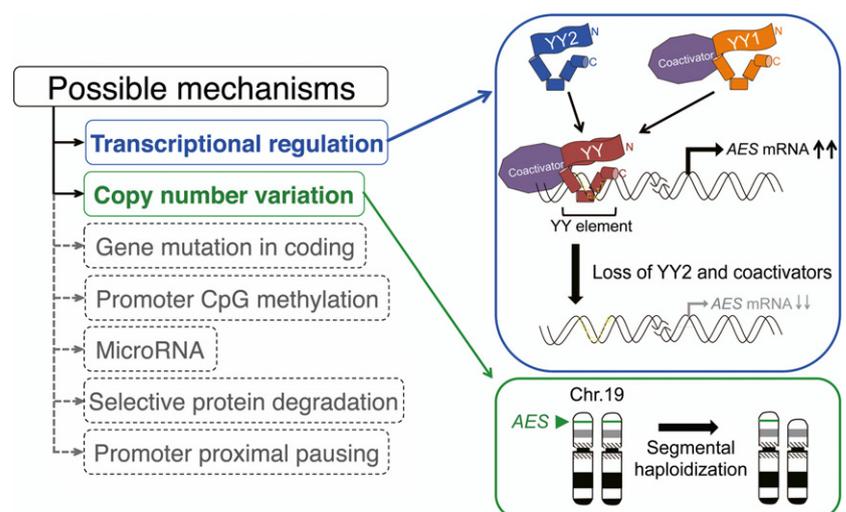
By ENCODE analysis, we found DNase I hypersensitive sites in the segment of –285 to +195, suggesting its actively

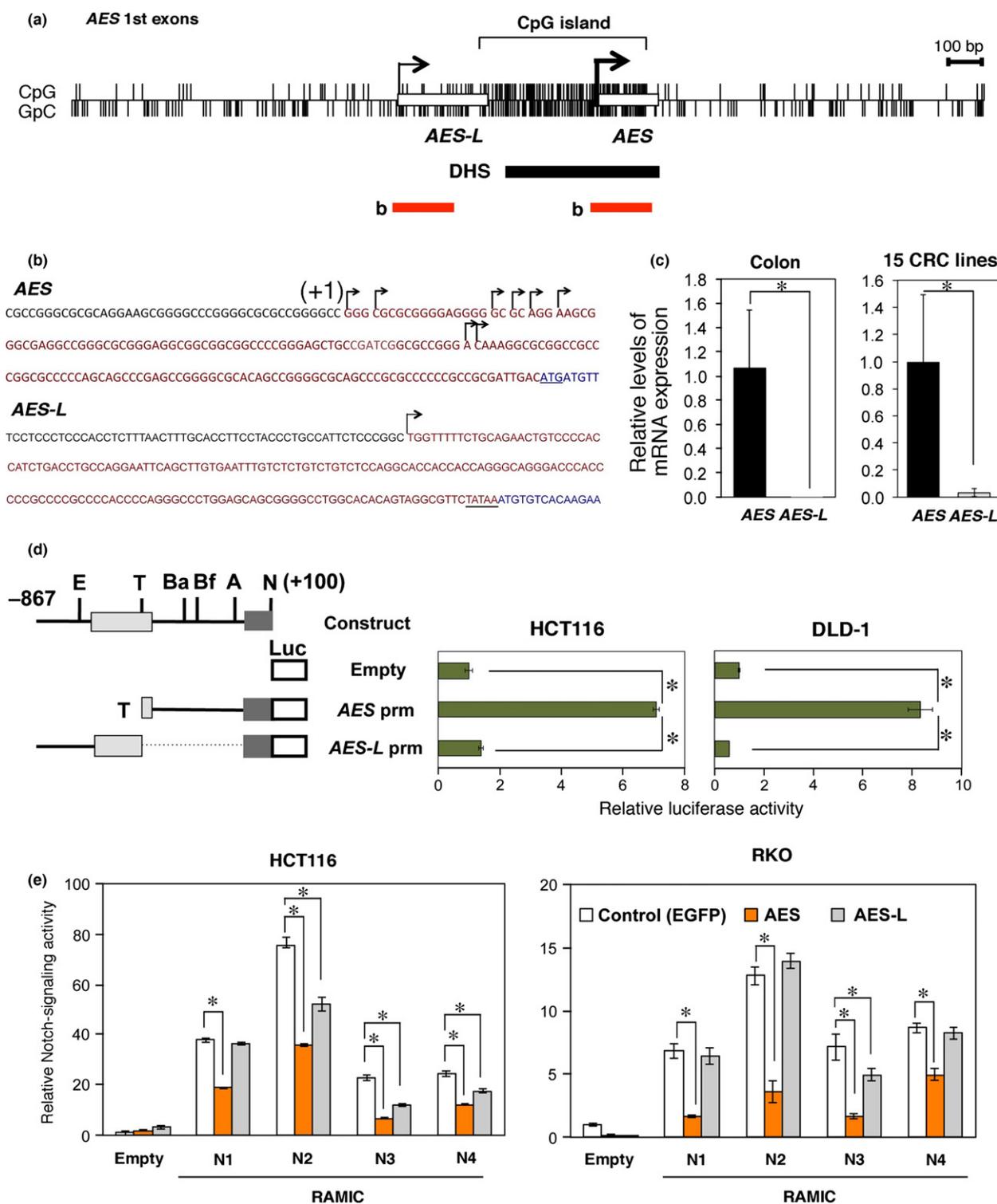
transcribed state (Fig. 2a). To determine the subregions important for expression of *AES*, we constructed two series of luciferase reporters that carried the partial segments of the *AES* promoter enhancer with or without additional CMV-minimal promoter (Fig. 3). The reporters carrying the Ba to A (–230 to –22) and Bf to A fragment (–172 to –22) showed the strongest luciferase activities (Figs 3a,b,S2a), suggesting that the enhancer activity resides between Bf and A. This enhancer sequence (downloaded from the University California, Santa Cruz Genome Browser <http://genome.ucsc.edu>) contains some distinct elements that can bind transcription factors such as Enhancer box binding protein, YY, and SOX (Fig. S2b). In addition, a *Harr* plot analysis revealed that these recognition sequences are conserved between humans and mice (Fig. 3c,e), and that the YY element is found even in frogs, such as *Xenopus tropicalis* (Fig. 3d,e). Interestingly, the *Xenopus aes* promoter was not associated with a CpG island, likely because of the low density of CpG islands in the species (Fig. S2b).<sup>(18)</sup>

To determine whether these transcription factor binding elements actually participate in expression of *AES* *in vivo*, we introduced inactivating mutations into three motif sequences, and carried out reporter assays (Fig. 3f). The constructs carrying a point mutation in the YY element, either with or without additional ones in the SOX binding and/or E box elements, significantly decreased the *AES* promoter activity in the CRC cell lines. Taken together, these results indicate that the YY element is essential for the *AES* promoter activities.

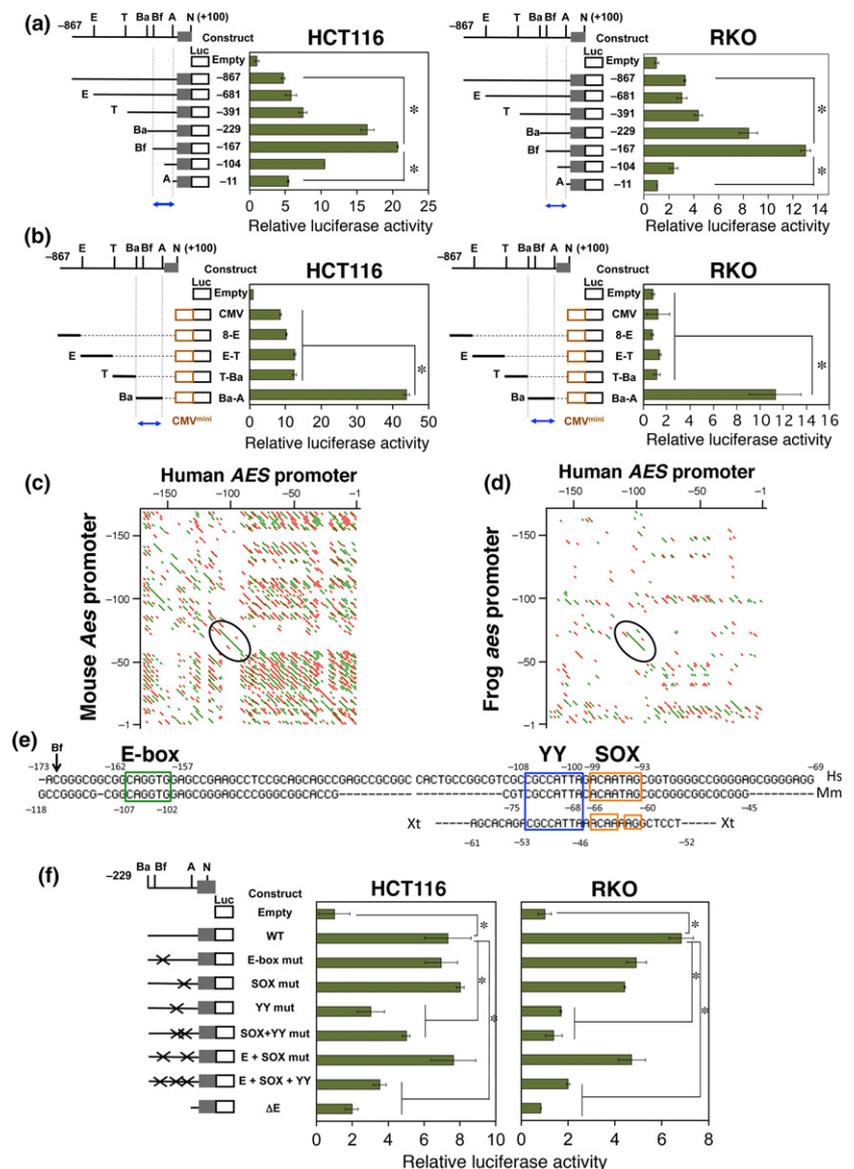
**Transcription factor YY2 stimulates expression of *AES* from *AES* promoter YY element.** To test whether the transcription factors that can recognize the *AES* promoter enhancer affect transcription of *AES*, we next expressed them exogenously in HCT116 and RKO CRC cell lines. Consistent with the mutagenesis analysis (Fig. 3f), YY2 that binds to the YY element increased expression of the *AES* reporter significantly (Fig. 4a),<sup>(19)</sup> although no other transcription factors (TFs) for the three elements did. Furthermore, YY2 did not affect transcription from other tumor-suppressor genes, such as *TP53* or *SLC5A8*, although it increased the transcription from *AES* promoter up to approximately six times that of the vector control in a dose-dependent manner (Fig. 4b).<sup>(20–22)</sup> Deletion of the enhancer region or a point mutation in the YY element sequence reduced the YY2-dependent transcriptional activation to 18–25% of the wild-type control (Fig. 4c). These results

**Fig. 1.** Schematic representation of possible regulatory mechanisms for amino-terminal enhancer of split (*AES*). We propose that expression of *AES* is suppressed by transcriptional regulation including loss of Yin Yang 2 transcription factor (YY2) and/or co-activators (blue rounded rectangle); and in some cases, by segmental haploidization of the *AES*-coding chromosome (green rounded rectangles). We have excluded other conceivable mechanisms such as mutations in the coding or regulatory regions of the *AES* gene, promoter CpG methylation, microRNA expression, selective protein degradation, and promoter-proximal transcriptional pausing (dashed rounded rectangles). Green arrowhead and bands indicate the position of the *AES* gene in chromosome (Chr.) 19p13.3.





**Fig. 2.** Promoter analysis of the human AES gene. (a) Schematic representation of the CpG island in the AES promoter region. Open rectangles indicate the 1st exons of AES and AES-L. Upper and lower vertical lines show CpG and GpC dinucleotides, respectively. Black and red bars represent the DNase I hypersensitive site (DHS) and the regions shown in (b), respectively. (b) Transcription start sites of the AES and AES-L genes in human colorectal cancer (CRC) cell line T84 determined by 5' RACE. Transcribed genomic sequences are shown in red, with the coding sequence in blue. The hooked arrows show clustered multiple start sites for AES mRNA with (+1) indicating the most upstream one, and single start site for AES-L. (c) Quantitative RT-PCR analysis of the endogenous AES and AES-L mRNA levels in human colonic mucosa and CRC cell lines. (d) Promoter activities of AES and AES-L as determined by luciferase reporter transfections. The numbers (+100 and -867) indicate the nucleotide positions from the most upstream transcription start site (+1). Recognition sites by restriction enzymes are shown on top: A, *Ascl*; Ba, *Bam*HI; Bf, *Bfu*AI; E, *Eco*RI; N, *Not*I; T, *Taq*I. Filled bars on the right indicate relative luciferase activities calibrated to those of the promoter-less construct (Empty). Error bars show the standard deviations of triplicated samples ( $P < 0.01$ ). (e) Suppression of Notch reporter activity by AES in HCT116 and RKO human CRC cells. Expression vectors encoding EGFP (control) or AES, and Notch1-4 (N1-4) intracellular domain (RAMIC)<sup>(42)</sup> were cotransfected with the Notch-reporter plasmid pGa981-6. Luciferase activities are shown relative to those of the control vector-transfected cells. \* $P < 0.01$  compared with controls.



**Fig. 3.** Evolutionarily conserved Yin Yang (YY) element in AES enhancer is essential for transcription. (a, b) Localization of regulatory elements in the AES enhancer promoter region. (a) Luciferase reporter constructs driven by the endogenous AES promoter. (b) Additional constructs containing exogenous CMV-minimal promoter. The nucleotide numbers are based on the most upstream transcription start site (+1). Green bars indicate relative luciferase activities calibrated to those of the promoter-less construct on top (Empty). Error bars show standard deviations of triplicated samples ( $P < 0.01$ ). Blue arrows indicate the restriction fragments that contained the enhancer sequence shown in (e) below. (c, d) Harr-plot analysis of the human, mouse, and frog AES/Aes/aes promoters. Evolutionarily conserved sequences between human and mouse (c), and human and frog (d) are shown as line segments. Encircled regions contain YY and SOX-related HMG box (SOX) elements (c), and YY element (d). Both green and red lines show the conserved elements between the species. Two colors were used for clarity. (e) Nucleotide sequences of the AES enhancer promoter segments in humans (*Hs*), mice (*Mm*), and frogs (*Xt*) aligned for the best matches between the species. Possible binding motifs for relevant transcription factors are framed in colors. The nucleotide numbers are counted from the upstream-most transcription start site (+1) (Fig. 2b). (f) Mutagenesis analysis of the putative transcription factor binding motifs in the AES enhancer promoter. Inactivating point mutations (mut) were introduced into the respective binding sites. Green bars show the relative luciferase activities calibrated to those of the basal vector (Empty). Error bars show standard deviations of triplicated samples.  $*P < 0.01$ .  $\Delta E$ , reporter lacking upstream of the *Ascl* (A) site (for enhancer deletion); Ba, *Bam*HI; Bf, *Bfu*AI; E, *Eco*RI; N, *Not*I; T, *Taq*I.

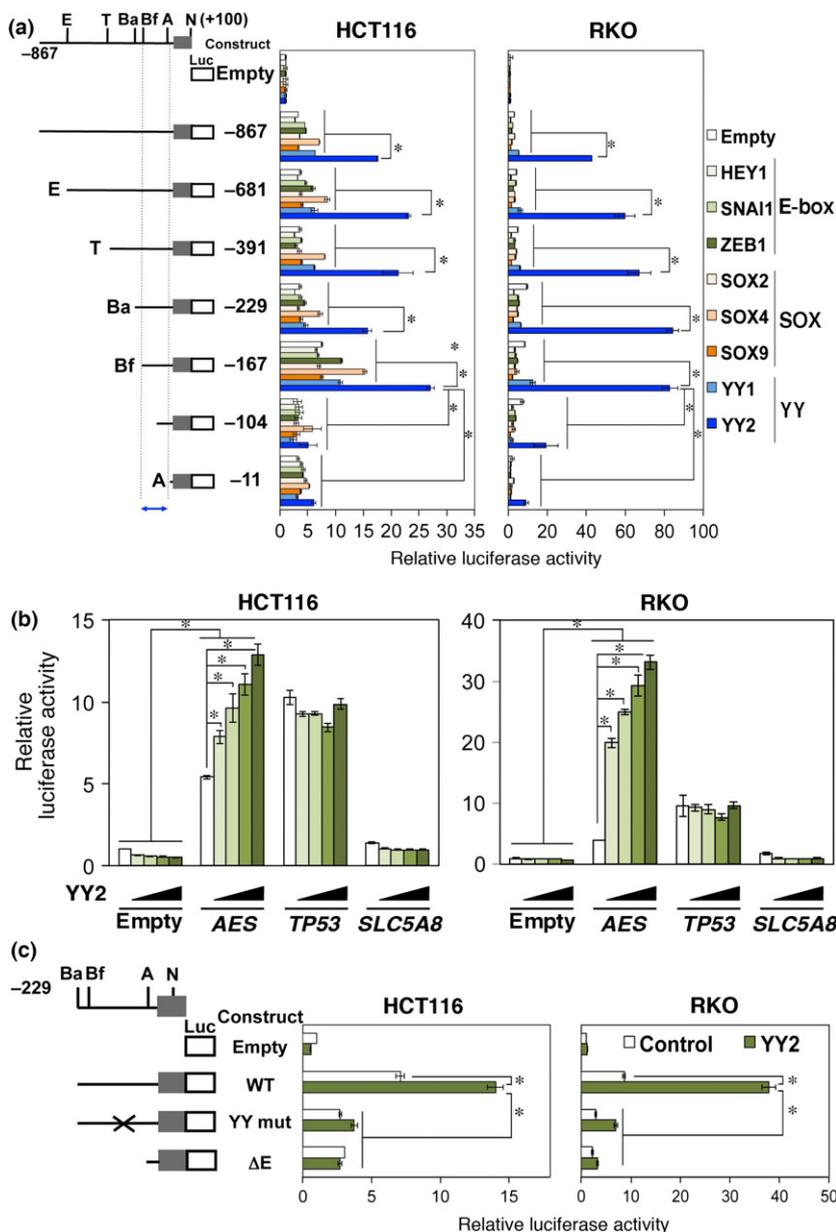
indicate that YY2 stimulates transcription from the AES promoter by binding to the YY element.

It is reported that transcription factors YY1, YY2, and reduced expression protein 1/Zinc finger protein 42 can bind to the YY element, and activate or suppress transcription from their target promoters.<sup>(23)</sup> We therefore evaluated YY1 and YY2 for the regulation of AES expression (Figs 5,6,S3). Here, we excluded reduced expression protein 1, an embryonic stem cell marker from the evaluation, because of its lack of expression in CRC cell lines.<sup>(24)</sup> To determine whether YY1 and YY2 bound differentially to the YY element of AES promoter enhancer, we next performed EMSA using recombinant YY proteins. The binding affinity of YY2 was far stronger than that of YY1 (Figs 5a,6a,S3a). As described above (Fig. 4a), YY1 showed much fewer effects on AES promoter activity than YY2.

To test whether YY2 bound to the genomic AES promoter, we carried out CHIP-PCR using sonicated extracts of mouse CT26 and human RKO CRC cells that endogenously expressed YY2 (Fig. S4a–c). We confirmed that each antibody for YY

proteins specifically reacted to the target antigen (Fig. S3b) and that the two independent anti-YY2 antibodies successfully immunoprecipitated YY2 protein in this analysis (Fig. S3c,d,f). As shown in Figure 5(b), YY2 was enriched in the AES promoter region. To further study whether YY2 affected expression of the endogenous AES gene, we then determined the levels of AES mRNA in RKO and HCT116 cells in which expression of YY2 was altered (Fig. 5c,d). Overexpression of YY2 significantly increased the level of AES mRNA by 1.7–2.3 times (Fig. 5c). Consistently, knockdown of YY2 in HCT116 decreased the level of AES mRNA to 35–50% of the controls (Fig. 5d). These results indicate that YY2 can bind directly to and activate the genomic AES promoter in CRC cells.

We next analyzed expression of Aes and Yy2 proteins in mouse intestine using anti-Aes and anti-Yy2 antibodies. We first confirmed that the Yy2 signal detected by immunoblotting was weakened by siRNA against Yy2 in CT26 cells (Fig. S4a, b) and that the protein bound by anti-Yy2 antibody included Yy2-specific fragments by mass-spectrometric analysis (Fig. S4d). A Western blot analysis revealed that Yy2 as well



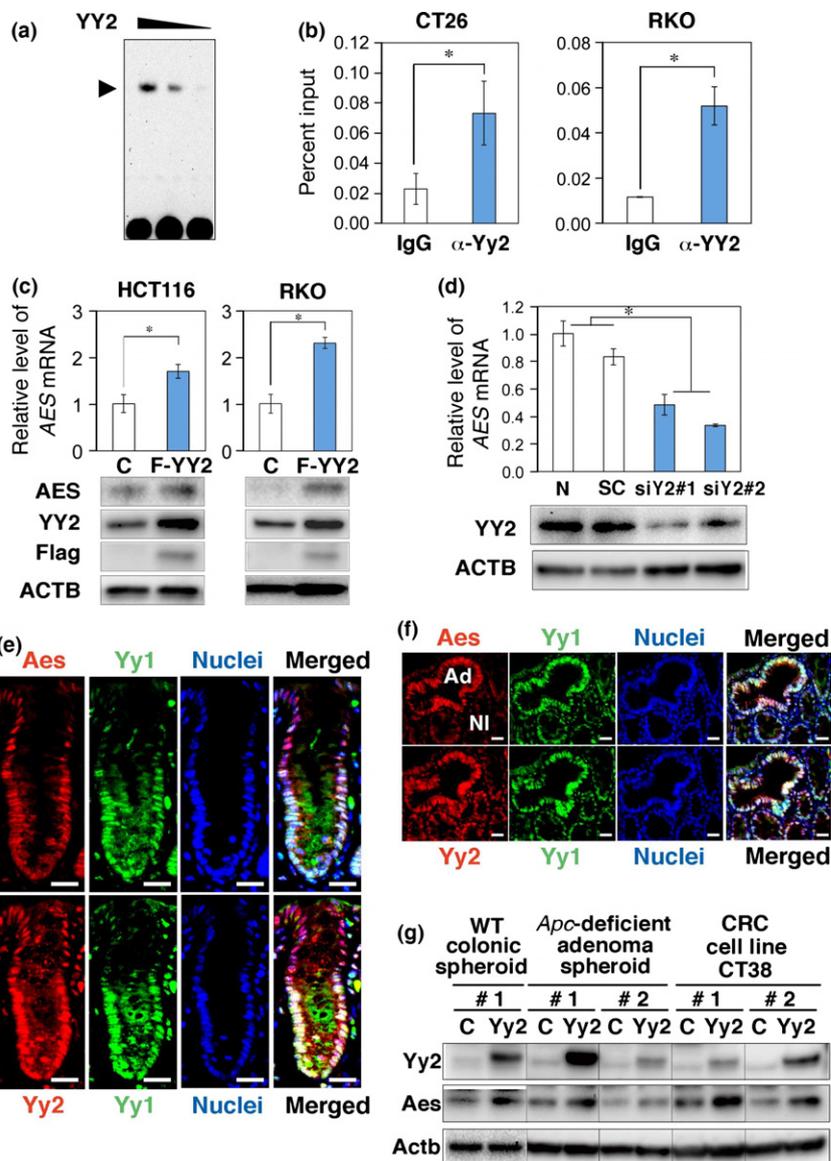
**Fig. 4.** Yin Yang 2 transcription factor (YY2) stimulates transcription from *AES* enhancer promoter. (a) Effects of exogenously introduced transcription factors that recognize E-box, SRY-related HMG box (SOX), and YY elements of the *AES* enhancer promoter. Colored bars on the right show the relative luciferase activities calibrated to those of the basal luciferase vector and those without expression of exogenous transcription factors (Empty). Blue arrow indicates the enhancer-containing fragment. (b) Effects of exogenously introduced YY2 on the activity of *AES*, *TP53* and *SLC5A8* reporters. Expression vectors were transfected together with the luciferase reporter plasmid. (c) Activation of *AES* transcription mediated by the YY element and transcription factor YY2. YY mut contains the point mutation that abolishes YY2 binding to the YY element. Luciferase activities are shown relative to those of the empty vector-transfected cells. \* $P < 0.01$  compared with controls.  $\Delta E$ , reporter lacking upstream of the *Ascl* (A) site (for enhancer deletion); Ba, *Bam*HI; Bf, *Bfu*AI; E, *Eco*RI; Luc, luciferase; N, *Not*I; T, *Taq*I.

as *Aes* was expressed in various mouse organs including the brain, liver, kidney, testis, stomach, and intestines at wide levels (Fig. S4e,f).

Using mouse colonic epithelial cell spheroids,<sup>(25)</sup> we further found that both *Aes* and *Yy2* proteins are expressed in the mouse colonic epithelium at significant levels (Fig. S4g). We also confirmed their expression in the normal and adenomatous colonic epithelia by immunohistochemistry, as shown in Figure 5(e,f). Notably, the *Yy2* protein was localized both in the cytoplasm and nucleus of the colonic crypt epithelia that also expressed *Aes*. Likewise, we confirmed upregulation of *Aes* by overexpression of *Yy2* protein in the normal and adenomatous colonic epithelial spheroids, and another mouse CRC cell line CT38 (Fig. 5g). Taken together, these results suggest that expression of *Aes* is stimulated by *Yy2* in the normal colonic, adenomatous, and cancer epithelial cells of the mouse.

**Yin Yang 1 stimulates expression of *AES* in the presence of co-activators.** Electrophoretic mobility-shift assay using recombinant YY proteins showed that the binding affinity of YY2 was

far stronger than that of YY1 (Figs 5a,6a,S3a). However, YY1 protein is abundantly expressed in mammalian normal and tumor cells (Fig. S4b,e,f)<sup>(26)</sup> and shares the common target DNA sequence motif with YY2.<sup>(23)</sup> We therefore tested whether YY1 can bind to and stimulate the *AES* promoter *in vivo*. A ChIP-PCR analysis using sonicated extracts of HCT116 and RKO CRC cells showed that YY1 was enriched in the *AES* promoter region (Figs 6b,S3e,f). To further test whether the binding enhances transcription from the *AES* promoter, we undertook luciferase reporter assays. Although YY1 hardly affected the *AES* promoter by itself (Fig. 6c), it could disturb the YY2-mediated transcription from the *AES* promoter (Fig. 6d). In contrast, YY1 stimulated expression of *AES* in the presence of co-activators (Fig. 6e).<sup>(27)</sup> To further analyze the role of YY1 in stimulating expression of *AES in vivo*, we determined the effects of knocking down YY1 expression in CRC cell lines. Knockdown of YY1 in HCT116 or RKO cells decreased the expression levels of *AES* mRNA to approximately 70% of that of the controls (Fig. 6f). We also

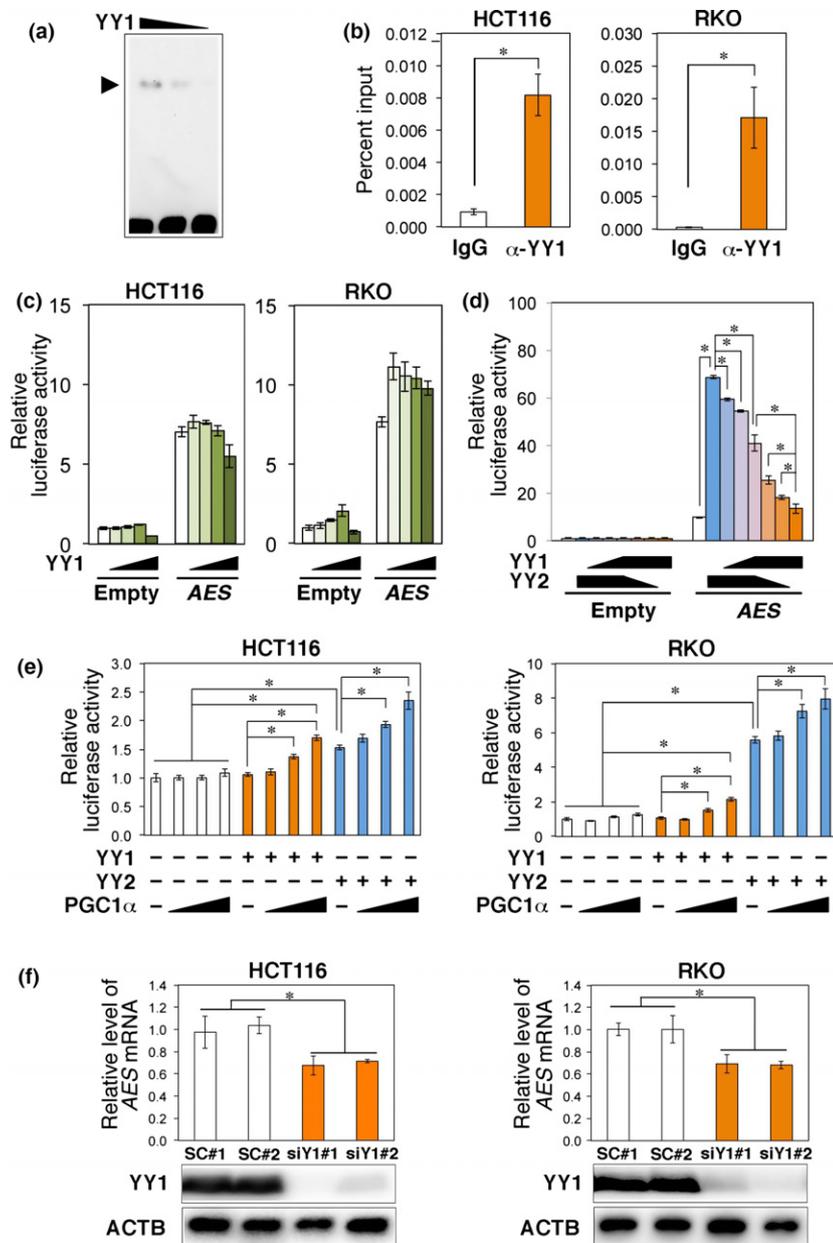


confirmed that a simultaneous knockdown of YY1 and YY2 further decreased expression of *AES* (Fig. S3g). These results indicate that YY1 can enhance *AES* expression in the presence of co-activators.

**Levels of *Aes* are correlated with those of YY2 in liver metastases.** By microarray analysis, we previously found that expression of *Aes* is downregulated in liver metastases of mouse CRC cells transplanted into mouse rectal mucosa.<sup>(7)</sup> Accordingly, we re-examined the array data and found that Yy2 mRNA level was also decreased in metastatic lesions both in the liver and lung (Fig. S3h), which was consistent with the immunohistopathology (Fig. S3i). A qRT-PCR analysis confirmed reduced expression of Yy2 and *Aes* mRNA in mouse liver metastases, compared with the primary tumors (Fig. 7a). Furthermore, we analyzed expression of *AES* and YY2 proteins by immunohistopathology in human CRC lesions metastasized to the liver, and found that the levels of *AES* were positively correlated with those of YY2 (Figs 7b, S5a, Table 1;  $P = 1.78 \times 10^{-6}$ ). We also confirmed the positive correlation between them even in human primary CRC (Fig. S5b, Table S1;  $P = 0.0002$ ). In addition, we found a tendency for

positive correlation between YY1 and *AES* expression in CRC primary tumors ( $P = 0.077$ ) and in their liver metastases ( $P = 0.002$ ) (Tables S2, S3). Notably, the statistical significance for YY2 (primary tumors,  $P = 0.0002$ ; liver metastases,  $P = 1.78 \times 10^{-6}$ ) is far stronger than that for YY1 ( $P = 0.077$  for primary tumors and  $P = 0.002$  for liver metastases) (Tables 1, S1–S3). Taken together, these results strongly suggest that expression of *AES* is driven chiefly by YY2 in both human and mouse CRC lesions.

**Segmental haploidization of *AES*-coding chromosome in CRC cells.** Recently, array-based comparative genomic hybridization analyses were carried out to study the genomic landscape of CRC, and the results were compiled in public databases. We looked into them for copy number alterations in the genomic region of chromosome 19p near the telomere where *AES* is located (Fig. S6a, b). Notably, we found segmental haploidization of 19p13.3 in approximately 12% (5/42) of CRC cell lines, and in approximately 3% of tumor tissues in the CRC patients (Cancer Genome Project database at Sanger Institute <http://www.sanger.ac.uk/cgi-bin/genetics/CGP/cghviewer/>; CghHome.cgi and SKY/M-FISH and CGH Database at NCBI



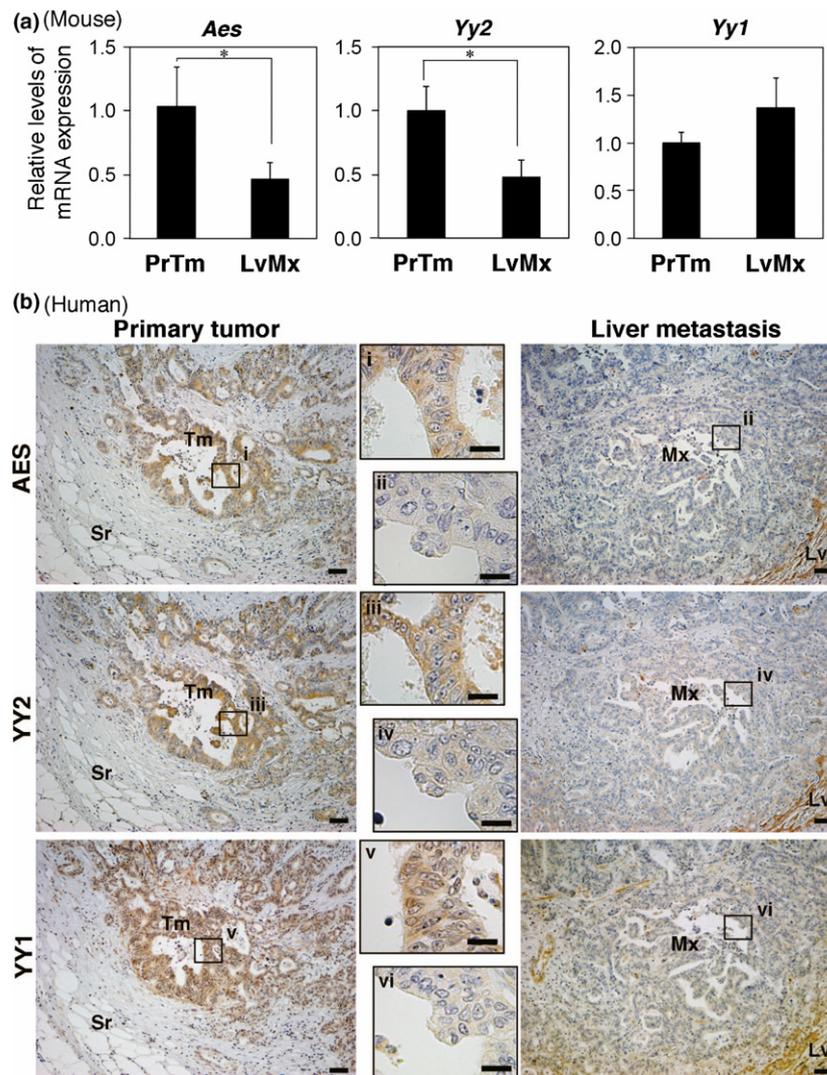
**Fig. 6.** Yin Yang 1 transcription factor (YY1) stimulates expression of AES in the presence of co-activators. (a) EMSA using the YY element of AES enhancer fragment and recombinant YY1 protein. Arrowhead indicates the position of the YY1 protein–DNA complex. (b) ChIP–quantitative PCR analysis of YY1 binding to the AES promoter using HCT116 and RKO colorectal cancer cell extracts. The column  $\alpha$ -YY1 shows immunoprecipitates with the anti-YY1 antibody. (c) Effects of exogenously introduced YY1 on the activity of AES reporters. Expression vectors were transfected together with the luciferase reporter plasmid. (d) Competitive effects of exogenously introduced YY1 and/or YY2 on the activity of AES reporters. Expression vectors were transfected together with the luciferase reporter plasmid. (e) Activation of AES promoter by exogenously introduced PGC1 $\alpha$  in HCT116 and RKO cells in the presence of YY proteins. (f) Summary of quantitative RT-PCR determinations of AES mRNA levels on expression of siRNA against YY1 in HCT116 and RKO cells. siY1#1 and siY1#2 indicate independent siRNA constructs compared with scramble siRNA (SC#1 and SC#2). Bottom photographs show Western blotting for protein expression. \* $P < 0.01$  compared with controls (b–f).

<http://www.ncbi.nlm.nih.gov/sky/>) (Fig. S6a–c).<sup>(28)</sup> Three of these five CRC cell lines (DLD-1, SW837, and WiDr) that were haploid for the AES gene hardly expressed AES protein (Fig. S6d, right), suggesting that losing one of the alleles was partly responsible for the extremely reduced AES levels. Expression of AES mRNA was decreased also in other CRC cell lines without copy number alterations (e.g., HCT116, HT29, and RKO) when compared with normal colonic tissues and adenoma cell line AA/C1 (Fig. S6d,e). These results suggest that LOH contributed to the reduced expression of AES in a subset of CRC cases in addition to transcriptional repression (Fig. 1).

**Downregulation of AES is not associated with gene mutations, promoter CpG methylation, or miRNA expression.** We found that the levels of AES protein and AES mRNA were reduced significantly in human CRC cell lines compared with an adenoma cell line or colonic epithelium (Fig. S6d,e). Consistently, one can find in cancer tissue databases that AES mRNA levels are

lower than in the normal colonic mucosa (Fig. S6f). To find additional causes of AES downregulation, we searched for mutations in the AES coding and promoter regions in CRC. However, we failed to find any mutations in AES cDNA by sequencing 14 human CRC cell lines (Fig. S7a). Likewise, we found no mutations in the AES coding sequence in The Cancer Genome Atlas database.<sup>(29)</sup> We did not find any mutations in the AES promoter region either, including in the YY element (Fig. S7a).

Having excluded the mutational changes in the coding and promoter sequences of AES (Fig. S7a), we tested the possibilities of AES protein destabilization, CpG methylation in the AES promoter enhancer, promoter-proximal transcriptional pausing,<sup>(30)</sup> and expression of miRNAs, all with negative data (Figs 1,S7–S10). We evaluated the protein stability of AES using translation inhibitor cycloheximide. AES protein was as stable as the bulk of other proteins in human CRC cells (Fig. S7d). As shown in Figure 2(a), AES promoter enhancer



**Fig. 7.** Reduced expression of amino-terminal enhancer of split (AES) and Yin Yang 2 transcription factor (YY2) in liver metastases of colorectal cancer (CRC). (a) Summary of quantitative RT-PCR quantifications of Aes, Yy2, and Yy1 in liver metastases (LvMx) of mouse CRC cells transplanted to the mouse rectum. \* $P < 0.05$ . PrTm, primary tumor. (b) Immunohistochemical staining for AES, YY1, and YY2 in primary and liver metastasis lesions obtained from the same CRC patient. Framed areas i-iv are magnified in the middle panels. Scale bar = 20 μm and 100 μm for high and low magnifications, respectively. Lv, liver parenchyma; Mx, metastasis; Sr, serosa; Tm, tumor.

**Table 1.** Relationship of expression between amino-terminal enhancer of split (AES) and Yin Yang 2 (YY2) in metastatic liver lesions of human colorectal cancer patients

| Factors        | AES expression |           | P-value               |
|----------------|----------------|-----------|-----------------------|
|                | +(n = 24)      | -(n = 31) |                       |
| YY2 expression |                |           | $1.78 \times 10^{-6}$ |
| +(n = 28)      | 21             | 7         |                       |
| -(n = 27)      | 3              | 24        |                       |

is in a typical CpG island. Accordingly, we suspected possible CpG methylation in the region. However, expression of *AES* was unaffected by DNA demethylating reagent 5-aza-2'-deoxycytidine in eight CRC cell lines (Fig. S8). Although we also assessed the possibility of epigenetic suppression by histone modification, histone deacetylase inhibitors did not increase the expression of *AES* in any of six human CRC cell lines (Fig. S9). The 3'-UTR of the *AES* gene contains an miRNA recognition motif for mir-124, which is evolutionarily conserved in mammals (Fig. S7b). Yet, no members of the mir-124 family were expressed in the CRC cell lines at any detectable levels by qPCR (Fig. S7b,c), consistent with a previous

report.<sup>(31)</sup> We also examined the possibility of promoter-proximal transcriptional pausing,<sup>(30)</sup> but it did not appear to be taking place at levels that affected *AES* expression significantly (Fig. S10a-c). These results ruled out the above mechanisms commonly found in tumor-suppressor genes, and suggested that expression of *AES* is regulated by other mechanisms such as specific transcription factor YY2, and by copy number reduction as presented above (Fig. 1).

## Discussion

In human CpG island promoters, binding motifs are enriched for transcription factors Sp1, E2F, and ETS,<sup>(32)</sup> as well as for BoxA, CRE, and E-box binding factors.<sup>(33)</sup> In addition, the YY element is also found in CpG island promoters far more frequently than in non-CpG island regions of the genome, driving expression of, for example, mitochondrial genes.<sup>(34)</sup> Consistently, our search through the ENCODE ChIP-seq data revealed that YY1 transcription factors are preferentially bound to CpG island promoters.<sup>(16)</sup> However, information on YY2 appears to be relatively rare.

Expression of YY2 was downregulated in 49% (27/55) of liver metastasis lesions of CRC (Fig. 7b, Table 1). We noticed two possible mechanisms: (i) in 2% of CRC cases, point or

indel mutations were present in the YY2 coding region, which could destabilize YY2 protein (COSMIC database, <http://cancer.sanger.ac.uk/cosmic>); and (ii) epigenetic changes including histone modifications appeared important for transcription of the YY2 promoter (Fig. S10d).

We recently found that AES suppresses invasion and metastasis of CRC through Notch signaling inhibition, and forms NM foci together with Rbpj transcription complex, TLE1, and HSC70.<sup>(7, 35)</sup> It is known that transcription factor YY1 is associated with NM and nucleoli.<sup>(36)</sup> It remains to be determined whether YY2 modifies the AES–TLE1–HSC70 NM foci and thereby affects Notch signaling through transcriptional induction of AES. One of the Notch target genes inhibited by AES in CRC is *DAB1*.<sup>(8)</sup> DAB1 protein is phosphorylated by ABL tyrosine kinase, which activates ABL reciprocally by stimulating its autophosphorylation, causing strong activation of ABL in a manner quite localized to the sites of invasion including intravasation. One of the targets of phosphorylation by ABL is the RAC/RHO-GEF protein TRIO at its Tyr residue 2681 (pY2681), resulting in RHO activation in CRC cells.<sup>(8)</sup> Importantly, the extent of phosphorylation at TRIO Y2681 correlates with significantly poorer prognosis of patients with CRC after surgery.<sup>(8)</sup> Accordingly, these results indicate that the transcriptional activation of YY2 followed by expression of AES leads to suppression of CRC invasion and metastasis mediated by the NOTCH–DAB1–ABL–TRIO–RHO cascade.

It has been reported that mutation profiles are hardly changed between metastatic lesions and the primary tumor, and that epigenetic changes appear to be important for metastatic progression.<sup>(37)</sup> Consistently, metastasis suppressor AES had no mutations in CRC, and its positive regulator YY2 is likely to be induced by epigenetic changes (Fig. S10d).<sup>(38)</sup> It is also possible that the copy-number reduction at the AES locus plays

a role in metastatic progression in a subset of cancer cases. Namely, the chromosomal region 19p13.3 including AES is deleted often in other types of cancer such as lung cancer, lymphoma, and breast carcinoma,<sup>(39–41)</sup> although its frequency in CRC is only approximately 3% (7/280; <http://www.ncbi.nlm.nih.gov/sky/>). Accordingly, it is possible that partial haploidization at 19p13.3 contributes to the progression of multiple types of cancer. Further investigations will be needed to elucidate the precise mechanisms that affect AES expression upstream of YY2.

## Acknowledgments

We thank B. Aronow, T. Honjo, S. Nagata, M. Yoshida, H. Handa, K. Biyajima, and S. Yamashita for helpful suggestions and discussions; T. Honjo for the NICD constructs; M. Okabe for the pCX vector; S. Nagata for the pEFBosneo vector; C. Paraskova for the AA/C1 colon adenoma cell line; and T. Yamori for the CT38 CRC cell line.

## Disclosure Statement

The authors have no conflict of interest.

## Abbreviations

|         |                                  |
|---------|----------------------------------|
| AES     | amino-terminal enhancer of split |
| CRC     | colorectal cancer                |
| ENCODE  | Encyclopedia of DNA Elements     |
| indel   | insertion–deletion               |
| miRNA   | microRNA                         |
| NM      | nuclear-matrix                   |
| qRT-PCR | quantitative RT-PCR              |
| SOX     | SRY-related HMG box              |
| YY2     | Yin Yang 2 transcription factor  |

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## Supporting Information

Additional Supporting Information may be found online in the supporting information tab for this article:

**Fig. S1.** Information on *AES-L* mRNA.

**Fig. S2.** *AES* promoter analysis.

**Fig. S3.** Evaluation of anti-Yin Yang 2 transcription factor (YY2) antibodies and expression of Yy2 in liver metastases of mouse colorectal cancer.

**Fig. S4.** Expression analysis of Yin Yang 2 transcription factor (Yy2)/YY2 in tissues and colorectal cancer cell lines.

**Fig. S5.** Expression of amino-terminal enhancer of split (*AES*) and Yin Yang 2 transcription factor (YY2) in human primary colorectal cancer and its loss in liver metastasis.

**Fig. S6.** *AES* expression is reduced by copy number alteration and transcriptional regulation.

**Fig. S7.** Sequence analysis for coding and 3'-UTR regions of *AES*, and protein stability analysis.

**Fig. S8.** Demethylation analysis of *AES* promoter.

**Fig. S9.** Histone acetylation analysis of *AES* promoter.

**Fig. S10.** Testing for promoter-proximal transcriptional pausing in the *AES* promoter, and induction of YY2 transcription by histone deacetylase inhibitors.

**Table S1.** Relationship of expression between amino-terminal enhancer of split (*AES*) and Yin Yang 2 transcription factor (YY2) in primary tumors of colorectal cancer patients.

**Table S2.** Relationship of expression between amino-terminal enhancer of split (*AES*) and Yin Yang 1 transcription factor (YY1) in primary tumors of colorectal cancer patients.

**Table S3.** Relationship of expression between amino-terminal enhancer of split (*AES*) and Yin Yang 1 transcription factor (YY1) in metastatic liver lesions of colorectal cancer patients.

**Data S1.** Supplementary materials and methods.