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# Multiple pathways of crystal nucleation in an extremely supersaturated aqueous potassium dihydrogen phosphate (KDP) solution droplet

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Solution studies have proposed that crystal nucleation can take more complex pathways than previously expected in classical nucleation theory, such as formation of prenucleation clusters or densified amorphous/liquid phases. These findings show that it is possible to separate fluctuations in the different order parameters governing crystal nucleation, that is, density and structure. However, a direct observation of the multipathways from aqueous solutions remains a great challenge because heterogeneous nucleation sites, such as container walls, can prevent these paths. Here, we demonstrate the existence of multiple pathways of nucleation in highly supersaturated aqueous  $KH_2PO_4$  (KDP) solution using the combination of a containerless device (electrostatic levitation), and in situ micro-Raman and synchrotron X-ray scattering. Specifically, we find that, at an unprecedentedly deep level of supersaturation, a highconcentration KDP solution first transforms into a metastable crystal before reaching stability at room temperature. However, a low-concentration solution, with different local structures, directly transforms into the stable crystal phase. These apparent multiple pathways of crystallization depend on the degree of supersaturation.

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multipath nucleation | liquid-droplet levitation | supersaturation | in situ X-ray diffraction | in situ micro-Raman spectroscopy

Nucleation is the first step toward crystallization, in which atoms or particles aggregate to form clusters in a metastable liquid, called crystal nuclei. The crystal nuclei in metastable liquid grow continuously if their size exceeds a critical limit, and are subsequently stabilized. Based on the classical nucleation theory (CNT) (1, 2), nucleation is mainly governed by two factors, that is, interfacial free energy and volume Gibbs free energy (or chemical potential) between liquid and crystal phases. Although the volume Gibbs free energy acts to stabilize the crystal nuclei, the interfacial free energy works as an energy barrier preventing the formation of the nuclei. If the crystal–liquid interfacial free energy creates a sufficiently high energy barrier, the liquid can be supercooled, supersaturated, or even supercompressed. In case of liquid metals (3–6), the crystal–liquid interfacial free energy arises from configurational entropy differences between crystal and liquid. That is, the greater difference in local structural orderings between crystal and liquid phases results in higher interfacial free energy, which consequently leads to a higher nucleation barrier and thus deeper supercooling. This concept has been verified in various metallic systems for elements (7) and many alloys (8–12). However, many experimental and theoretical investigations have raised questions that CNT may not be adequate to describe the initial nucleation processes in biomaterials (13–17) and minerals (18–26).

Recently, an alternate nucleation mechanism, called multipathway nucleation (or crystallization) (13–23, 25, 26), has been proposed for supersaturated solutions. In this model, nucleation does not take place directly from solutions, but instead may take intermediate steps, such as forming prenucleation clusters and even a dense liquid (or an amorphous) state in the solution. In particular, the formation of a dense liquid cluster in the supersaturated solution carries important implications; in contrast to CNT in which density and structural fluctuations usually occur simultaneously, those fluctuations in a metastable phase are separated upon nucleating (13, 16, 18, 21). This process is called twostep nucleation (TSN). The TSN phenomenon has been often observed in protein- and mineral-based materials where the density fluctuation precedes the structural counterpart due to liquid–liquid (L–L) separation (13, 16, 18, 21). This raises crucial questions. First, how can the dense liquids be achieved if there is no L–L separation? Second, by how much does the liquid need to be densified to observe the TSN? Last, if the TSN occurs under such a dense liquid environment, is the nucleation process in the low supersaturation regimes the same as in the highly supersaturated? For the first two questions, one could attempt to manifest the dense liquid by achieving a highly supersaturated or deeply supercooled solution that provides favorable conditions for near-homogenous nucleation. The last question can be addressed by monitoring the nucleation process in a bulk solution at different regimes of supersaturation.

#### **Significance**

We successfully achieve unprecedentedly deep levels of supersaturation (S  $\sim$  4.1) with KH<sub>2</sub>PO<sub>4</sub> (KDP) solutions by using a newly developed device that combines electrostatic levitation with Raman and X-ray scattering. Our study reveals two interesting phenomena. One is an existence of two different solution states, that is, low-concentration KDP solution (LCS) and high-concentration KDP solution (HCS). The other is an emergence of different crystallization paths that depend on the degree of supersaturation: (i) LCS to stable KDP crystal (tetrahedral structure), (ii) LCS to HCS to a metastable KDP crystal (monoclinic structure) to stable KDP crystal. This is a direct in situ observation of multiple pathways of nucleation in aqueous solution.

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Fig. 1. (Left) Schematics of ESL apparatus combined with real time in situ micro-Raman and X-ray scattering. A reference laser beam (632.8 nm, 1 mW) incidents on a liquid droplet casting a circular shadow on a position-sensitive detector (PSD). (Right) The levitated KDP solution droplet is prepared at an initial concentration of 20 g/100 mL and undergoes supersaturation (A ~ /) as it evaporates at a rate of 2.92 × 10<sup>-4</sup> mm<sup>2</sup>/s. During the evaporation, the diameter of the droplet shrinks from 2.5 to 1.25 mm. The contactless environment enables unprecedentedly high degree of supersaturation (S ∼ 4.1) where a very rapid crystallization can be observed within 1 s ( $H \sim \Lambda$ ). (Scale bar: 1 mm.)

Although the suggested concept for nucleation (i.e., TSN) in solutions is plausible and supported by experiments (1–11, 14–16, 21–26) and simulation (13) that incorporate the idea of phase separation, direct answers to the above questions still remain elusive. Such phenomena are often difficult to observe and confirm experimentally due to stochastic and fluctuating behavior of the nucleation, small sizes of nuclei (typically less than a few nanometers), and short lifetime of the metastable liquid and crystal phases. Moreover, container walls retaining the solutions provide unavoidable heterogeneous nucleation sites hindering deep supersaturation. Ultimately, these obstacles have prevented direct studies of the homogeneous nucleation mechanisms and the correct interpretation of the results with CNT. Motivated by these questions and technical challenges, we have developed an instrument that integrates a containerless device based on the electrostatic levitation (ESL) with in situ micro-Raman and X-ray scattering apparatus. Although there have been previous efforts to combine levitation techniques [acoustic (27, 28) and electrodynamic levitation (29, 30)], various technical limitations such as the presence of strong acoustic pressures or very small sample sizes may have hindered direct observation of the spontaneous nucleation at the deepest level of supersaturation.

We demonstrate an unprecedented degree of supersaturation with  $KH<sub>2</sub>PO<sub>4</sub>$  (KDP) aqueous solution, which is more than three times over previously reported values (31–39) [\(Table S1\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=ST1) by using the solution ESL apparatus. A crystal–solution interfacial free energy value estimated by CNT was also found to be much greater than previously reported (31–36, 38). In addition, we measure two distinctive probability distributions for the nucleation events as a function of supersaturation, which implies a possible existence of two different solution states. Surprisingly, in situ micro-Raman and synchrotron X-ray scattering measurements reveal that the local structure of the highly supersaturated solution indeed differs from the one at low supersaturation. We observe two different pathways of KDP crystal formation at room temperature. A new metastable monoclinic KDP crystal can be formed in the highly supersaturated solution, which subsequently transforms into a stable tetragonal KDP crystal phase. On the other hand, the stable tetragonal KDP crystal can be directly formed in the solution at relatively low supersaturation.

#### Results

High Supersaturation by Electrostatic Levitation. An undersaturated solution droplet is levitated between two electrodes by injection

of the solution via a syringe (Fig. 1, Left and [Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=SF1)). The droplet becomes supersaturated via evaporation as shown in Fig. 1 (Right) at an ambient temperature of  $25 \pm 0.2$  °C and relative humidity of  $42 \pm 2\%$ . Here, we assumed that only water evaporates during the levitation as the initial and final KDP solute masses differ by less than 3%. Fig. 2 shows representative supersaturation curves as a function of time for different initial concentrations. (See *[Supersaturation Ratio Measurement](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT)*, [Figs.](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=SF2) [S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=SF2)–[S4](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=SF4) for detail.) When the supersaturation,  $S = C/C_e$  ( $C_e$  is the concentration of a solution), reaches 4.1, the droplet solidifies within 1 s (Fig. 1  $H$  and  $I$ , and [Movie S1\)](http://movie-usa.glencoesoftware.com/video/10.1073/pnas.1604938113/video-1), which is referred as "crystal I." The crystallization also often takes longer than a few seconds at relatively lower levels of supersaturation and is referred to as "crystal II" [\(Movie S2\)](http://movie-usa.glencoesoftware.com/video/10.1073/pnas.1604938113/video-2). If such levels of supersaturation were achieved by supercooling, rather than evaporation, a supercooling of 75 K from 393 K would be required for a



Fig. 2. Representative saturation curves of KDP solutions for different initial concentrations (blue, 15 g/100 mL; green, 18 g/100 mL; red, 22 g/100 mL). We confirm that all curves have almost the same evaporation rate ([Super](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT)[saturation Ratio Measurement](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT)). The evaporation rate is 2.92  $\times$  10<sup>-4</sup> mm<sup>2</sup>/s with an experimental uncertainty of  $\pm 0.31 \times 10^{-4}$  mm<sup>2</sup>/s. (Inset) Solubility curve of KDP (tetragonal structure): the highest supersaturation corresponds to supercooling the droplet by 75 K.



Fig. 3. The probability of crystallization events in supersaturated solution drops as a function of supersaturation. The first and second peaks at around  $S = 2.45$  and  $S = 3.83$  form more Gaussian-like distributions. A blue line is a cumulative curve with two Gaussian fitting curves. Metastable zone width (MZSW) is shown below  $S = 2.0$ .

concentration of 100 g/100 mL (Fig. 2, Inset). It corresponds to about 20% of the degree of supercooling, which is close to a homogenous nucleation limit for liquid metals (40).

Crystallization Probability Dependence on Supersaturation. Fig. 3 shows the number of crystallization events as a function of supersaturation based on 128 experimental trials. Initially, the crystallization events increases with supersaturation because of increasing driving force for crystallization (i.e., chemical potential difference between solution and crystal) until around  $S = 2.45$ . At this level, CNT predicts that the probability of nucleation should decrease, because the viscosity contribution becomes dominant. According to previous studies (31–37), the maximum supersaturation of a KDP solution extends up to  $S = 1.95$  at 30 °C. This boundary is very important in crystal engineering communities because a spontaneous nucleation and growth occurs beyond this limit. The region between the saturation solubility and the supersolubility is called the metastable zone width (MSZW). In this region, nucleation cannot occur and only growth of the already existing nuclei is allowed. For this reason, we attributed the crystallization, which occurred below  $S = 2$  to heterogeneous nucleation [\(Fig. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=SF5)). Those events could have been caused by impurities or perturbation due to positioning instability of the droplet. A supersaturation greater than  $S = 2$  is still above the previous results,  $S =$ 1.1∼1.95, in which homogenous nucleation was assumed (31–36, 38, 39). Interestingly, the crystallization probability increases after  $S = 3.0$ , forming another distribution centered about  $S = 3.83$ , which has not been observed in the previous studies (31–39). CNT does not predict this phenomenon, because viscosity should continuously increase with supersaturation. The two distinctive crystallization events imply that different crystal types may be able to form, and local structures of the highly supersaturated solution  $(S > 3)$  may be different from the one at low supersaturation, as we will elaborate in the following sections. Although the possibility of heterogeneous nucleation cannot be completely discounted, the crystallization at  $S \sim 4.1$  should have occurred under significantly more homogenous conditions.

Crystal–Solution Interfacial Free Energy. Such deep supersaturation requires an extremely high nucleation barrier that is caused by a large crystal–liquid interfacial free energy. Once the liquid is supersaturated, the probability to form crystal nuclei increases due to the lowered nucleation barrier. If the size of the nucleus formed in the supersaturated liquid is larger than a critical size,  $r^*$ , the nucleus starts growing. Ideally, at least one nucleus with the critical size is necessary to trigger crystallization at a given supersaturation. In previous studies (31–36, 38, 39), the crystal–solution interfacial free energy of KDP was obtained by the induction time method where the solution is initially supercooled to a desired temperature, and the elapsed times until nucleation events are measured. In our study, nucleation occurs by continuous evaporation at a fixed temperature. Therefore, we here applied a different analysis method that has been widely used in supercooling experiments of metallic liquids (7–10). This alternative analysis uses precise knowledge of the volume and concentration of the solution droplet, which are measured from magnified droplet images during supersaturation. The details are elaborated in *[Supersaturation Ratio](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT)* [Measurement](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT), [Crystal Nucleation Analysis](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT), and [Table S2,](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=ST2) as well as in our previous study (41). We obtain an approximate interfacial free energy of  $39.7 \pm 1.3$  mJ/m<sup>2</sup> at supersaturation  $S = 4.1$  with a critical radius  $r^* \sim 1.3$  nm. This value is significantly greater than any other results previously reported (31–36, 38, 39) (i.e.,  $\sigma = 2 \sim 16.87 \text{ mJ/m}^2$ at  $S = 1.2 \sim 1.95$ ). If nucleation took place at  $S = 1.95$  near the MSZW limit, the estimated interfacial free energy based on our approach yields  $24.80 \text{ mJ/m}^2$ . Although this value is still greater than the highest value  $16.87 \text{ mJ/m}^2$  previously reported, it is close to a theoretical value of 24 mJ/m2 that was reported by Söhnel (39).

In Situ Micro-Raman Scattering Experiment. We investigated the possibility of finding a new metastable liquid in the deep supersaturation limit by using an in situ micro-Raman spectroscopy ([Fig. S6\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=SF6). Fig. 4A shows typical Raman signals of  $H_2PO_4$  molecule observed at undersaturation  $(S = 0.48$  and  $S = 0.91)$  and low supersaturation ( $S = 1.4$ ,  $S = 1.85$ , and  $S = 2.40$ ). The undersaturated KDP solution shows almost symmetric shape of  $P(OH)<sub>2</sub>$  Raman peak appearing at 877 cm<sup>-1</sup> with a nearly equal intensity to that of PO<sub>2</sub> peak at 1,077 cm<sup>-1</sup>, which indicates that the  $H_2PO_4^-$  exists in monomers of hydrated clusters [H<sub>2</sub>PO<sub>4</sub><sup>-</sup>- $(H<sub>2</sub>O)<sup>n</sup>$ ] (32–34). As the supersaturation increases, the monomers aggregate, forming dimers, trimers, oligomer, and higher polymers. Such polymerization behaviors can be deduced from the shape of  $\overline{PO_2}$  peak at 1,077 cm<sup>-1</sup>, which becomes progressively more asymmetric and broadens at higher supersaturation as reported previously (42–44). The P(OH)<sub>2</sub> Raman peak at 877 cm−<sup>1</sup> shows a blue-shift behavior with respect to supersaturation as expected (33). In addition, we observe that the symmetry of the  $P(OH)_2$  peak changes beyond  $S = 1.85$  in Fig.  $4A$ . In fact, the blue shift of the P(OH)<sub>2</sub> peak is complemented with the appearance of a new Raman vibration peak at around



Fig. 4. (A) Raman spectra of  $P(OH)_2$  and  $PO_2$  bands in KDP solution with supersaturation. The crystallization of the supersaturated solution shows two distinct crystallization paths; one is crystal I, and the other is crystal II. The crystallization of crystal I from supersaturated liquid takes about 1∼3 s, whereas the crystallization of crystal II takes more than 20 s. (B) Decompositions of  $P(OH)_2$ peaks at  $S = 3.08$ ,  $S = 1.85$ , and  $S = 0.48$ . As supersaturation increases, a new Raman peak (green line) appears at 896 cm<sup>-1</sup>, as a precursor for a new phase.



Fig. 5. (A) X-ray diffraction patterns of the levitated KDP solution droplets at varying degrees of supersaturation. A single unit-scale along y axis corresponds to 4,400 X-ray photons. (B) Magnified scattering patterns at low  $q$  side. (C) Changes of shoulder intensity at 2.85 Å<sup>-1</sup> as a function of supersaturation.

896 cm<sup>-1</sup> as shown in Fig. 4B. That is, P(OH)<sub>2</sub> peaks at  $S = 2.40$ and 3.08 can now be decomposed into two peaks, each centered at 879 cm<sup>-1</sup> and 896 cm<sup>-1</sup>, respectively. Although the first peak at 879 cm<sup>-1</sup> is identical to that of the monomers of P(OH)<sub>2</sub> observed in a dilute solution, the second peak at 896  $cm^{-1}$  only appears at deep supersaturation and becomes dominant at around  $S = 3.08$ . This observation implies possible new preferential local structures in the solution, which is consistent with our preliminary expectation based on the probability distribution in Fig. 3. The similar asymmetric Raman peak due to an emergence of a new solution phase was observed in  $MgSO<sub>4</sub>$  solution (45).

Surprisingly, we observe that the highly supersaturated KDP solution takes two different crystallization paths. In the first case, the solution under  $S \sim 3.0$  slowly crystallizes (taking more than 20 s) into a stable KDP crystal with a tetragonal structure as indicated by crystal II in Fig. 4A. Alternatively, the highly supersaturated solution at around  $S = 3.2$  transforms into a metastable KDP crystal, in which the crystallization takes place within 1∼3 s as marked by a crystal I. After crystallization, the Raman spectra of  $P(OH)_2$  is centered at 912 cm<sup>-1</sup> for the crystal II and at 928 cm−<sup>1</sup> for the crystal I, respectively. The Raman peak position for the former case is consistent with that of a stable KDP crystal (tetragonal) as shown in Fig. 4A. The Raman peak position for the crystal I (928 cm<sup>-1</sup>) was shifted to 912 cm<sup>-1</sup> after ∼30 min, following the onset of the crystallization. This observation implies that the crystal I is a new metastable phase.

In Situ Synchrotron X-ray Diffraction Study. Fig.  $5$  shows the X-ray (with a wavelength of 0.692 Å) diffraction patterns of KDP solutions at varying degrees of supersaturation. The first interesting feature is the increasing intensity at low  $q$  range less than 2  $\mathring{A}^{-1}$  as a function of supersaturation in Fig. 5 A and B (marked by a red and blue arrow in the Fig. 5B). This behavior is related to the formation of networks or medium range ordering of molecules, and it is consistent with the broadening of the Raman spectra at 1,077 cm<sup>-1</sup>. The shoulder of the second peak continuously shifts toward higher  $q$  where supersaturation causing broadenings of the peak (marked by the blue arrow in Fig. 5B). Finally, the intensity ratio of the second peak with respect to the first peak (see  $In$  Situ Synchrotron X-ray Diffraction [and Peak Intensity Analysis](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT) and [Fig. S7](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=SF7)) initially decreases until  $S = 3.2$  is reached, and then rapidly increases as shown in Fig. 5C. The turning point found at  $S = 3.2$  coincides with the regimes of supersaturation where crystal I is found, which also corresponds

to the second distribution of the crystallization event in Fig. 3. Fig. 6 shows the X-ray diffraction patterns of the levitated KDP crystals immediately following the crystallization of crystal I at  $S = 4$ (Fig. 6A) and crystal II at  $S = 2.7$  (Fig. 6B). A Pawley refinement analysis using Lorentzian profile function reveals that the crystal structure of crystal I is identified with monoclinic structure (space group: C1c1, Bottom in Fig. 6A) with lattice parameters of  $a =$ 12.2286 Å,  $b = 8.9479$  Å, and  $c = 14.2165$  Å and angles of  $\alpha = 90^{\circ}$ ,  $\beta = 91.826$ °, and  $\gamma = 90$ °.

This is an observation of the monoclinic KDP at room temperature. Previously, the monoclinic structure only has been found at high temperature ( $\sim$ 503 K, P2<sub>1</sub>/c) (46) or at low temperature (∼211 K, C1c1) (47) regimes. In Fig. 6A, the monoclinic KDP transformed into tetragonal structure (space group:  $I\overline{4}2d$ ) eventually  $(Top)$  in Fig. 6A), indicating that the monoclinic KDP is a metastable phase. This observation is consistent with Raman spectral result shown in Fig. 4. The diffraction pattern of the crystal II yields a tetragonal structure with lattice parameters,  $a = 7.4707 \text{ Å}$  and  $c =$ 6.9884 Å. The in situ real-time X-ray study clearly shows that the crystallization path of the highly supersaturated solution can be distinguished from the low supersaturated solution.

### **Discussion**

Over a century ago, Ostwald (48) recognized that a first crystal phase nucleated from a liquid can be often one of the intermediate phases rather than the most thermodynamically stable phase. This concept is called Ostwald step rule (48). van Santen (49) theoretically revealed that the multiple steps taking intermediate phases for crystallization minimized entropy production for the transformation. Therefore, the detailed mechanism for the multiple pathways of nucleation should be related to the local structural similarity, that is, entropic similarity, between solution and crystal phases during each transformation step. According to the Spaepen's model (4–6), the crystal–liquid interfacial free energy determining nucleation barrier is attributed to the configurational entropy difference between crystal and liquid, as given by the following:



Fig. 6. In situ X-ray diffraction patterns of KDP crystals formed from supersaturated solutions. (A) Crystal I with monoclinic structure is formed from highly supersaturated solution at  $S = 4$  (Top in A). The monoclinic crystal of crystal I transforms to tetragonal structure later (Bottom in A). (B) Crystal II with tetragonal structure is formed from low supersaturated solution at  $S = 2.7$ . X-ray diffraction pattern of the crystal I was refined through a Pawley refinement analysis using Lorentzian profile function. The diffraction patterns for the crystal II coincide exactly with the peak of reported tetragonal phase. Using the obtained structural information and atomic coordinates, the diffraction peaks were indexed. The monoclinic and tetragonal crystal structures are visualized in C and D, respectively (see [Crystal Nucleation Analysis](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT) for details).

$$
\alpha_T = \frac{\sigma}{\Delta H_f} = \frac{N_i}{N} \left( \frac{\Delta S_{\text{config}}(\text{Bulk}) - \Delta S_{\text{config}}(\text{Interface})}{\Delta S_f} \right), \quad [1]
$$

where  $\alpha$  is called Turnbull's coefficient, that is, interfacial free energy per fusion enthalpy,  $N_i$  is the number of atoms in the interface,  $N$  is the number of atoms in the crystal plane,  $\Delta S_{\text{config}}$ (Bulk) is the configurational entropy of the bulk crystal,  $\Delta S_{\text{config}}$ (Interface) is the configurational entropy of the interface, and  $\Delta S_f$  is the fusion entropy per atom. Although the enthalpic property also affects the formation of crystal–solution interface, Eq. 1 still provides an insight for the origin of the interfacial free energy in a geometrical point of view. That is, the local structural similarity between crystal and liquid determines the interfacial free energy and thus crystallization behaviors, which have been demonstrated in metal and alloy liquids (7–12).

The in situ structural studies from Raman and X-ray scattering, and statistical analysis of the nucleation events indicates the existence of two types of liquids depending on the degree of supersaturation, which we hereafter refer as low- (LCS) and high-concentration solutions (HCS). We also discovered different crystal nucleation pathways that go through crystal I and crystal II. According to Eq. 1, the formation of the monoclinic KDP crystal from the highly supersaturated solution implies that the structural configuration or short range order structure should become more similar to a monoclinic structure rather than a tetragonal structure of the stable KDP. Regarding local structure of the crystal phases, as shown in Fig. 6, tetrahedral clusters of  $H_2PO_4$  molecules in the monoclinic structure are distorted with four different forms and are more disordered in their arrange-ment, compared with that of the tetragonal structure [\(Fig. S8](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=SF8)). Therefore, the peak broadening with supersaturation in Figs. 4B and 5B signal that the local orders of regular tetrahedra are distorted in extremely supersaturated solution. According to this rationale, the local orders of the solution at low supersaturation (LCS) may become more similar to that of the monoclinic KDP at high supersaturation. Consequently, we expect that HCS, which is composed of distorted tetrahedra of  $H_2PO_4$  with random ordering, carries a relatively low interfacial free energy with the monoclinic crystal compared with the tetragonal KDP.

Although we can show that the configurational similarity of local structures between solution and metastable crystal underlies the formation of metastable KDP phase, the meaning of such a high crystal–solution interfacial free energy 39.7 mJ/m<sup>2</sup> at  $S =$ 4.1 is still ambiguous. In addition, a deep supersaturation should give a lower nucleation barrier for the stable phase than for the metastable phase in general, because the nucleation barrier is given by  $\Delta \vec{G}^* = 16\pi v_m^2 \sigma^3/(kT \ln S)^2$ . One way to understand this phenomenon is to consider the relation between the interfacial free energy and the equilibrium; a high concentration gives a low interfacial free energy (39). However, this does not guarantee whether the lower interfacial free energy value leads to the formation of metastable or stable phases. This ambiguity can be resolved if we have a saturation curve of the metastable monoclinic phase, which is difficult to obtain experimentally. Therefore, we are unable to provide an exact value of the interfacial free energy for the metastable phase presently. Instead, we may provide its upper-bound limit. If we determine the interfacial free energy for the stable KDP crystal that nucleated at  $S = 3$ , it is 34.5 mJ/m<sup>2</sup> based on Eq. 1. Because the nucleation barrier  $\Delta G^*(-\sigma^3/(\ln S)^2)$  of the metastable phase is smaller than that of the stable phase, the interfacial energy of the metastable phase<br>should be smaller than that of the stable phase, that is  $\pi^{-3}$ should be smaller than that of the stable phase, that is,  $\sigma_{ms}^3 < \sigma_s$  $(\text{ln}S_{ms}/\text{ln}S_s)^2$  (where subscripts *ms* and *s* denote metastable and stable phases). Provided that the saturated concentration of the metastable phase is almost same as that of the stable phase, the formation of the metastable phase implies that its interfacial free energy should be smaller than  $34.5 \text{ mJ/m}^2$ . This upper-bound

value is still overestimated because the nucleation observed in this study may not be perfectly homogeneous due to possible presence of impurities in raw KDP materials.

As reported in aqueous solutions (24, 50), nucleation can occur at the gas–solution surface or in bulk solutions, depending on transport properties in the liquid and interfacial properties. Although our current camera resolution is insufficient for studying surface nucleation, we estimate a Peclet number (Pe), the ratio between advection and diffusion rates inside the droplet, of about 0.22 (see *[Peclet Number Estimation](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT)* for details). This implies homogeneous condition in the droplet and less possibility for the surface nucleation. If  $Pe > 1$ , which implies fast evaporation and relatively slow diffusion, the solution may form a denser layer near gas–solution interface where nucleation is likely to occur. A more elaborate study will be needed to address this issue in the future.

Our results support the theory that the structures of HCS beyond  $S = 3.2$  differ from LCS and the outcome of the multiple pathways of the crystallization depends on the degree of supersaturation. That is, the solution at low supersaturation (LCS) directly transforms into the stable tetrahedral KDP (s-KDP) (case II in Fig. 7), whereas HCS over  $S = 3.2$  can initially crystallizes to the metastable (ms-KDP) crystal as shown in Fig. 7 (case I), and then to s-KDP. In this case, the formation of ms-KDP may be attributed to the structural similarity between the metastable solution (HCS) and the metastable crystal (monoclinic phase). For the formation of ms-KDP, the crystal–solution interfacial free energy should be smaller than 34.5 mJ/m<sup>2</sup>, the upper-bound limit. The detailed mechanism of the formation of HCS is still ambiguous in the present study (marked by dashed lines in Fig. 7B). We note that spinodal decomposition has been predicted in KDP solutions under extremely supersaturated condition, at about  $S \sim 25.56$  (30), in which solution density is higher than that of the crystal. Because the supersaturation in our current study is far below the spinodal line, this topic requires further studies.

In summary, we successfully achieved an extremely high degree of supersaturation for KDP solution up to  $S = 4.1$  and reveal that the solution transforms from a low-concentration to a



Fig. 7. (A) Multiple pathways of KDP crystallization from solution. The supercooling is from the solubility curve of stable KDP. During evaporation, I shows a complex crystallization path of low-concentration solution (LCS) → high-concentration solution (HCS)  $\rightarrow$  metastable KDP crystal (ms-KDP)  $\rightarrow$ stable KDP crystal (s-KDP). A corresponding schematic for a Gibbs energy diagram is shown in B. II shows the crystallization path from LCS to s-KDP at low supersaturation, which follows the energy landscape shown in C. In the case of II, we often observed that LCS transforms into a single KDP crystal at low supersaturation ([Movie S3](http://movie-usa.glencoesoftware.com/video/10.1073/pnas.1604938113/video-3)).

high-concentration solution with different local structures. The degree of supersaturation was an important criterion for determining different KDP crystallization paths; specifically, whether the supersaturated solution transformed into a stable KDP crystal or the newly found metastable crystal with monoclinic structure. Although the Ostwald step rule does not explicitly state the origin of multiple steps of crystallization, the findings in this study (i.e., different local orders between LCS and HCS as well as the configurational similarity between HCS and the monoclinic KDP crystal) implies a possible basis for such mechanism. Recently, a CaSO4 crystal was nucleated through dense liquid clusters with mesoscopic sizes but with short lifetimes in a highly supersaturated solution, which also suggests the multiple pathways of nucleation (24). If the levitation technique had been available to achieve higher supersaturation, a longer lifetime may have been given to the dense clusters and we may have more detailed insights about the phenomenon. The present results reflect that the multiple pathways of nucleation may be more common than previously expected, and open up venues for studying multiple pathways for crystallization in aqueous solution systems.

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## Materials and Methods

[Supporting Information](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604938113/-/DCSupplemental/pnas.201604938SI.pdf?targetid=nameddest=STXT) provides further technical information about levitation procedure, light scatterings, and sample preparations.

Electrostatic Levitation of Liquid Droplet. The solution ESL unit consists of two vertically aligned electrodes in an environmentally isolated chamber for the sample levitation. He–Ne position laser (632.8-nm wavelength) incidents on the droplet casting a shadow on a position-sensitive detector, which outputs positional feedbacks for maintaining the sample suspension.

Solution Preparation. We prepare our aqueous solution by dissolving KDP of purity 98% (Daejung Chem) and 99% (Aldrich) in deionized water with a resistivity of 18.2 MΩ·cm, which is produced by using Milli-Q ultrapure water purification system.

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