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Author manuscript *Clin J Pain*. Author manuscript; available in PMC 2018 March 01.

Published in final edited form as:

Clin J Pain. 2017 March ; 33(3): 264–270. doi:10.1097/AJP.00000000000397.

## A conceptual framework for understanding the role of adverse childhood experiences in pediatric chronic pain

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## Abstract

**Objectives**—This review outlines a conceptual framework adapted from the biopsychosocial model of pain to examine the relationship between adverse childhood events (ACEs) and chronic pain in youth in order to highlight the state of current research and guide future efforts.

**Methods**—A review of the literature was performed in the areas of ACEs and health outcomes with general adult and pediatric populations in addition to studies within the pain literature. Potential relationships between ACEs, chronic pain, and its impact in youth are outlined and discussed.

**Results**—The literature suggests an association between adverse outcomes of ACEs and chronic pain in children and adolescents although causal links have not been confirmed. However, ACEs are associated with multiple risk factors identified in the biopsychosocial model of pain, and may serve to exacerbate or confer heightened risk for pain and poor outcomes.

**Discussion**—Adverse experiences in childhood may be associated with greater risk for the development/maintenance of chronic pain in youth. More research is needed on ACEs and how they uniquely affect the biopsychosocial mechanisms underlying chronic pain in children throughout the lifespan.

## Keywords

adverse childhood experiences; pediatric pain; youth

Adverse experiences in childhood have been associated with increased risk for a variety of negative health outcomes (e.g., cancer, heart disease, chronic illness)<sup>1–4</sup>. Prior studies have defined adverse childhood experiences (ACEs) as physical, mental, or sexual abuse, emotional or physical neglect, a violent home environment, household substance abuse, exposure to parent mental illness, parental separation or divorce, and parental incarceration<sup>4</sup>.

Conflicts of Interests: There are no conflicts of interest.

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In the pain literature, the relationship between ACEs and increased risk for pain and maladaptive pain outcomes have mostly been investigated in the context of retrospective accounts in adulthood, usually restricted to abuse or trauma<sup>4–6</sup>; and a few studies in youth have suggested that ACEs are reported more often by children and adolescents with pain syndromes (e.g., migraine headaches, fibromyalgia) than their healthy peers<sup>7,8</sup>. However, little is known about how ACEs may impact concurrent or long-term outcomes in youth with chronic pain and much work is needed in this area. Drawing from the existing literature, this review outlines a conceptual framework, adapted from the biopsychosocial model of pain<sup>9</sup>, to highlight the state of current research and guide future efforts to examine the relationship between ACEs and chronic pain in youth populations. A review of the literature was performed in the areas of ACEs and health outcomes with general adult and pediatric populations, in addition to studies within the pain literature. Search terms included such phrases as: "Adverse childhood experiences", "chronic pain", "health outcomes", "pediatric", and "stress and pain". Relevant articles were categorized using the biopsychosocial model as a guide.

## ACEs in Chronic Pain

#### ACEs in Adults with Chronic Pain

In a landmark study of 13,494 adults from a population based sample, Felitti and colleagues<sup>4</sup> examined the relationship between exposure to ACEs and health outcomes. Results from this study indicated that the number of exposures to ACEs in childhood was significantly associated with the later development of serious health concerns in adulthood, including cancer, lung disease, heart disease, as well as chronic pain conditions such as headache and painful musculoskeletal conditions. Another compelling finding was that the association between ACEs and chronic conditions was frequency dependent, indicating that a higher number of ACEs leads to greater severity of chronic health concerns (e.g., both pain related and other) as an adult<sup>4</sup>. Several additional studies have subsequently been carried out examining the relationship between ACEs and chronic pain in adults. For example, evidence from multiple population-based studies suggests that a history of ACEs in childhood is significantly associated with the existence of various pain conditions as an adult (e.g., migraine headaches, back pain)<sup>1,3,5,10</sup>. Although these preliminary findings are compelling, the majority of research in adult pain populations to date has been cross-sectional and/or retrospective. Thus, these findings may be significantly influenced by recall bias (i.e., false negatives) in reporting<sup>11,12</sup>. Further, few prospective, longitudinal, population-based studies on the relationship between ACEs and pain exist to date. Of those (e.g., The British Birth Cohort Study<sup>13</sup>), methodological concerns were found regarding the operationalization of ACEs, as the examination of sexual and/or physical abuse (which are critical and frequently occurring ACEs<sup>14</sup>) was not included. Thus, generalizability of these results to pediatric chronic pain samples and predictive inferences are limited.

#### ACEs in Youth with Chronic Pain

The relationship between ACEs and chronic pain conditions in child and adolescent populations is less researched and primarily based on studies relying on retrospective report. The lack of empirical research in this area is particularly concerning, as chronic pain

conditions are highly prevalent (approximately 20–25% of youth) and can be disabling<sup>15,16</sup>. Although an abundance of research has examined the role of various psychosocial factors in youth with chronic pain that may be proxies for ACEs, including psychological comorbidities<sup>17–19</sup>, parenting factors<sup>20,21</sup>, and social functioning<sup>22</sup>, targeted research on the relationship between chronic pain and ACEs in children remains limited, since exposure to ACEs is rarely assessed in a systematic way in the context of clinical care or research. However, preliminary evidence is compelling with recent research indicating that youth with a history of ACEs such as abuse are 21% more likely to hold the diagnosis of a chronic medical condition including a chronic pain condition (e.g., migraines, rheumatic disease) than their same-aged peers with no ACE history<sup>2</sup>.

Evidence also suggests that children and adolescents (up to age 20) with certain chronic pain conditions (e.g., fibromyalgia, abdominal pain, recurrent headache) report a higher number of ACEs experienced (1) across adolescence than healthy comparison cohorts<sup>7,8,23</sup>. There may also be differences within subpopulations such as adolescents with widespread pain more likely to report more frequent experience of abuse/trauma than those with chronic migraine<sup>24</sup>. However, given the cross-sectional nature of all of these studies, it is unclear if ACEs increases risk for the development or maintenance of chronic pain and/or pain related impairment. Pain outcomes may also vary depending on frequency of experiences, with multiple ACEs (e.g., complex trauma) during childhood associated with greatest impairment<sup>8,25,26</sup> as compared to a single ACE. However, similar to adult research, most of these studies are limited inferentially, as the existing literature on youth populations includes predominantly cross-sectional data on only a subset of pain disorders (e.g., migraine, functional abdominal pain, fibromyalgia). Prospective longitudinal research, including a comprehensive assessment of ACEs, in these conditions, in addition to other pain populations that have yet to be systematically examined in youth (e.g., back pain, sickle cell disease, complex regional pain, etc.) are needed to further explicate the current understanding of this relationship.

## **Biopsychosocial Model of Pain: Relationship with ACEs**

It is well known that biological mechanisms relating to pain do not by themselves adequately explain or predict magnitude of risk for pain-severity and/or disability both immediately and over time<sup>27</sup>. Accordingly, the biopsychosocial model offers an integrative view of pain by incorporating the biological (e.g., genetic, neurobiological, HPA axis, neuroendocrine), psychological (e.g., subjective experience of pain, affective components, coping abilities), and social (e.g., peer and family environment, social learning) factors impacting the pain experience<sup>9,28</sup>. Interestingly, in a parallel line of research, many of the above noted constructs are also implicated as relating to ACEs in youth<sup>2</sup>. In the context of evidence suggesting a relationship between ACEs and increased risk for chronic pain, more attention needs to be focused on the potential complex interplay within ACEs and biopsychosocial factors to better understand the specific nature and magnitude of risk that ACEs may have on the development and/or exacerbation of chronic pain in child and adolescent populations.

## The Proposed Framework

The proposed conceptual framework in this paper (Figure 1) is adapted from the biopsychosocial model of pain<sup>28</sup>, and highlights the potential exacerbating influence that ACEs may have on the development of chronic pain<sup>9,28</sup>. Specifically, biological (i.e., allostatic load), psychological (i.e., cognitive processes, psychological comorbidities), and social (i.e., family and peer/social environment) risk factors are reviewed. Despite the fact that some of these risk factors have been studied extensively in adult pain populations, commonalities between ACEs and pediatric chronic pain within each of these areas have not been systematically investigated. Thus, potential relationships and avenues for future research will be delineated. Although it is recognized that ACEs may also be related to broader health outcomes<sup>4,5,29</sup> (which is reflected in the model), the current study is specifically focused on chronic pain and its impact.

#### **Biological factors: Allostatic Load**

A number of biological mechanisms that underlie chronic pain have been identified<sup>30,31</sup> including central sensitization (i.e., a state of increased neuronal activity within the central nervous system leading to the amplification of nociceptive signaling)<sup>32</sup>. Additionally, the stress model of chronic pain asserts that persistent pain may develop from sustained endocrine response (e.g., increased cortisol in the brain) and reduced hippocampal volume following repeated stressors<sup>33,34</sup>. Relatedly, several biological processes have been implicated in the exposure to stressful events, regardless of pain function. Specifically, when individuals experience multiple and/or repeated stressful experiences, their body is frequently exposed to several stress hormones (e.g., cortisol), thus predisposing them towards maintaining a constant state of arousal, which is often referred to as "sensitization" in the stress literature<sup>35,36</sup>. With repeated exposure to ACEs, significant wear and tear on the body's sympathetic and parasympathetic response systems (e.g., "allostatic load") may occur<sup>34,37–39</sup>.

Allostatic load and over-activation of the HPA axis (a major element of allostatic load) has been directly implicated in increasing risk for the development and/or maintenance of chronic pain (in child and adult populations) irrespective of history of ACEs<sup>28,34,40,41</sup>. Although much of the research examining allostatic load as a function of chronic stress in relation to chronic health conditions (e.g., diabetes, migraines, fibromyalgia) has been performed with adult populations, more recent efforts to examine the concept of repeated exposure to stressful events (i.e., complex trauma) in relation to allostatic load have indicated that these processes may also be significantly involved in youth<sup>29,34,42</sup>. Similarly, the experience of social stressors such as peer rejection (i.e., termed "social pain" by the authors) may activate neural pathways implicated in the processing of physical pain<sup>43,44</sup>. Taken together, predominant theories on the neurobiology of ACEs (e.g., chronic stress) appear to overlap with processes involved with altered pain processing in chronic pain. For example, dysregulation caused by allostatic load can manifest in several different areas related to chronic pain (e.g., endocrine, immune, etc.) Thus, individuals with both chronic pain and chronic stress conditions may experience greater impairment in their neurological

and neuroendocrine function. More research needs to examine the relationship between neuroendocrine processes and chronic pain in youth with a history of ACEs.

#### **Psychological Factors**

Psychological comorbidities—Cross-sectional and longitudinal research indicates that youth with a history of ACEs are more likely to experience higher rates of mental health problems, including anxiety and depressive symptoms<sup>2,14,45</sup>. Similarly, an abundance of research has demonstrated the significant link between emotional difficulties (e.g., anxiety, depression) and increased pain and disability in pediatric pain patients<sup>46–48</sup>. As such, a large number of youth with chronic pain and a history of stressful experiences may be especially vulnerable to experiencing disturbances in mood and/or behavioral functioning, potentially leading to decreased coping efficacy and increased disability. Several longitudinal studies have been carried out examining the developmental trajectories of mental health concerns and chronic pain conditions in youth<sup>19,49</sup>. While evidence in youth specifically suggests a strong link between psychological symptoms and chronic pain conditions, varying trajectories even within the same pain condition have been found (e.g., some evidence indicates that anxiety precedes the development of functional abdominal pain<sup>50</sup>, while other studies evidence anxiety as an outcome of this diagnosis<sup>51</sup>). It is indeed possible that this may be bidirectional relationship. Greater understanding of this association is needed, particularly in youth with a history of ACEs, to better understand whether exposure to early ACEs, through psychological comorbidities or otherwise, puts them at greater risk for chronic pain or pain-related disability.

**Cognitive factors**—According to the Lazarus and Folkman model of stress and coping, when individuals are faced with a stressor, they first perform a primary appraisal of the severity of the stressor (i.e., threat), immediately followed by a secondary appraisal - their perceived ability to cope with the stressor<sup>52</sup>. This model has been frequently applied to pain populations, in that youth with chronic pain likely appraise pain as a stressful experience (often called pain catastrophizing, which is defined as the interpretation of pain as inherently negative<sup>53</sup>) and may subsequently appraise their ability to handle this stress (i.e., pain) more negatively than children without pain<sup>54,55</sup>. In pain populations many of these negative appraisals, most notably pain catastrophizing are associated with increased long-term disability<sup>56–58</sup>. Irrespective of pain, youth with a history of ACEs frequently appraise innocuous or ambiguous experiences as more dangerous or threatening than they actually are, which can lead to negative effects on their perceived ability to cope<sup>59</sup>. Within the context of chronic pain, this tendency to engage in negative appraisals may lead youth with a history of ACEs to engage in cognitive distortions surrounding threat appraisal of perceived stressors including pain, thus potentially influencing their coping efficacy and subsequent impairment. This area has potentially very important implications for behavioral intervention and yet the influence of ACEs on pain appraisals and coping has not been systematically studied.

#### Social Factors

**Family environment**—In conjunction with one's internal coping resources, individuals utilize external coping networks when faced with a stressful experience. In children, this

network is often immediately found in the home (e.g., parents/primary caregivers). It is well documented that chronic pain tends to cluster in families<sup>60,61</sup> with parents of children with chronic pain also suffering from chronic pain<sup>62</sup>. As a consequence, these families may be at higher risk for ACEs resulting from parental disability, job loss, marital conflict, etc. In addition to these potential stressors, families with children suffering from chronic pain frequently exhibit more conflictual and/or enmeshed relational (e.g., parental overprotection or monitoring of child pain symptoms) and communication styles with parents<sup>63</sup>. Research also indicates that how a parent copes with their child's pain is significantly related with the child's own ability to cope with their pain<sup>64</sup>, in addition to pediatric pain outcomes<sup>65</sup>. In turn, many children and adolescents with chronic pain engage in ineffective coping strategies to manage their pain<sup>64,66</sup>. Similarly, children and adolescents with a history of ACEs, which may include pain and non-pain related ACEs (e.g., parental health concerns, parent incarceration, abuse/neglect, etc.), often live in dysfunctional and/or conflictual home environments<sup>59</sup>. Living in stressful social environments significantly increases risk for disrupted social functioning (e.g., interpersonal attachment, family relational styles)<sup>67</sup>. Accordingly, these children may not possess or be able to appropriately utilize the positive support systems (e.g., parental modeling of coping) that can help ameliorate the stress of everyday demands (e.g., school, social)<sup>68,69</sup>. More research is needed on the influence that family environment may have on functioning in youth with chronic pain and a history of ACEs.

**Peer/social environment**—Studies have identified social supports as a significant mediator in the relationship between stressful events in childhood and later psychosocial functioning, including the development of posttraumatic stress symptoms  $^{70-72}$ . Evidence also suggests that children and adolescents with a history of ACEs hold greater risk for decreased prosocial behavior (e.g., sharing, cooperating, taking-turns), which can immediately affect their peer relationships and continue to influence their relational styles as they age into adulthood  $7^3$ . In children with chronic pain, there is evidence that social support systems are disrupted, especially with respect to peer relationships. Specifically, evidence indicates that children and adolescents with chronic pain are more socially isolated and may experience bullying and/or loss of friendships<sup>74–76</sup>. Relevant to both pain and ACEs, adolescence is a critical developmental period in which youth increasingly develop more complex social and/or peer relational skills (e.g., awareness and understanding one's own thoughts, ability to adapt to varying peer groups)<sup>77</sup>. With these processes disrupted, youth with a history of ACEs and/or chronic pain may be at increased risk for impaired social functioning long-term. A greater understanding on how ACEs and chronic pain together can influence a child's ability to successfully establish and maintain healthy social relationships (and subsequently use these resources to cope with stress) is crucial to understanding longterm adjustment and coping.

#### Potential Bidirectional Relationships within Conceptual Framework

A variety of factors related to both chronic pain and a history of ACEs (e.g., negative cognitions, ineffective coping strategies and external resources) put children and adolescents at risk for a host of ill effects. Although the model highlights the potential risk factors for various chronic pain outcomes (i.e., biopsychosocial) as separate, constructs relating to the

experience of pain and subsequent outcomes may be interrelated; for example, youth with anxiety (identified as a significant factor above) may experience heighted HPA activation and/or allostatic load. There is also the potential for a bi-directional relationship between maladaptive outcomes and chronic pain (see figure). This notion is supported by research

indicating that the negative impact of pain on physical and psychosocial outcomes may in turn further exacerbate pain and impairment over time<sup>76,78</sup>. This has specifically been highlighted in several sections above in relation to psychological<sup>46,47</sup> and social functioning<sup>57,65</sup>.

Aside from these outcomes, other areas impacted by chronic pain include school attendance<sup>15,48,79</sup>, health-related behaviors including diet, sleep and exercise habits, and adherence to treatment<sup>78,80–83</sup>. School refusal/avoidance is a frequent consequence of pain conditions, and when this is prolonged, return to school can become a major source of stress in and of itself, creating a barrier to full recovery in function<sup>84</sup>. Poor health-related behaviors may also play a significant role in the maintenance of pain conditions, as many of these behaviors have been known to directly relate to increased impairment and disability (e.g., poor diet, inadequate exercise and/or sleep, etc.)<sup>78,81,83</sup>. Regardless of pain-status, children with a history of ACEs also exhibit many of the same negative outcomes<sup>59,85–87</sup>. However, little is known about how these constructs (i.e., chronic pain, ACEs) jointly influence outcomes of children and adolescents in various domains.

Finally, poor outcomes of chronic pain may directly influence the biopsychosocial risk factors for chronic pain identified in the model (thus, indicating a bidirectional relationship). For example, poor health-related behaviors and increased pain-related disability may exacerbate psychopathology (e.g., anxiety, depression)<sup>88</sup>, thus, potentially compounding risk for chronic pain, etc.

## **Future Directions**

#### Research

There are several additional areas of research that require investigation in the effort to understand the nature of the relationship (e.g., temporal) between ACEs and chronic pain conditions in youth. Prospective, longitudinal examinations of the relationships outlined in this framework using the appropriate methodology would serve to significantly advance the understanding of pain outcomes in youth with a history of ACEs. These studies may also serve to increase our understanding of the actual incidence and nature of ACEs in childhood as recall bias would likely be limited. Moreover, evidence remains lacking on specific neurobiological processes (e.g., allostatic load, cortisol secretion, sympathetic/ parasympathetic nervous system activation) related to the presence and severity of chronic pain in those with a history of ACEs. For example, examining these processes in an experimental or laboratory paradigm (e.g., stress-induction task with measurement of cortisol) may serve to significantly inform our understanding of the neurobiological mechanisms behind pain and ACEs. More research on this topic would also allow healthcare providers to better predict and treat those who may be at greater risk for psychological and/or pain-related impairment. Additionally, tailored psychological treatment approaches for youth with both chronic pain and a history of ACEs do not yet exist even though there

are evidence-based interventions such as pain-focused cognitive-behavioral therapy<sup>89</sup> and trauma-focused cognitive-behavioral therapy<sup>59</sup> which deal with each of these separately. More research, specifically with systematic randomized clinical trials, on evidence based treatments to address the potential outcomes of both chronic pain and ACEs is needed.

More generally, it would also be of interest to assess for adaptive coping or resilience factors in pediatric pain patients with a history of stressful experiences. With a better idea of how to capitalize on adaptive coping following ACEs in pain populations, mental health and medical providers can enhance their provision of services to improve long-term outcomes for this subset of youth.

### Practice

Many children and families struggle with the idea of pursuing psychological services<sup>90</sup> and the medical professional's role in the appropriate identification and referral to psychotherapy services is becoming increasingly important. Inclusion of assessment tools [such as the Traumatic Event Screening Inventory for Children (TESI-C), a simple, free, and publicly available measure (http://www.ptsd.va.gov/professional/assessment/child/tesi.asp)] to assess for the presence of stressful or traumatic events may be considered. Additionally, early education for medical providers (e.g., during medical school) on when and how to assess trauma/stress exposure in youth presenting with pain would be beneficial. This could include a discussion on appropriate techniques for how medical providers may handle any embarrassment and/or apprehension (from parent and/or child) during the assessment process and what to do if trauma/ACEs are identified. Additional resources on identification/ referral, including empirically-trained psychological providers across the country, can be found at the National Child Traumatic Stress Network (NCTSN) website (www.nctsn.org).

## Summary

A significant number of youth with chronic pain have a history of adverse experiences (ACEs), yet little is known about the effects that these experiences may have on pain conditions in children and adolescents. To date, much of the research examining the relationship between ACEs and chronic pain has been carried out on adults. Drawing from the current literature, authors propose a conceptual framework, adapted from the biopsychosocial model of pain, designed to outline the potential interactional processes of ACEs and chronic pain in pediatric populations. Main elements of the model include the biological, psychological, and social risk factors for pain and the subsequent development of various outcomes, discussed in the context of youth with a history of ACEs. Recommendations for medical treatment providers include greater education on the appropriate assessment of ACEs in pediatric pain populations. A number of areas of future research have been identified that are necessary for the field to gain a more comprehensive understanding of the impact of ACEs on biopsychosocial risk for chronic pain and short- and long-term pain related outcomes.

## Acknowledgments

Authors would like to gratefully acknowledge Christopher King, Ph.D., for providing feedback and comments this paper.

Sources of Funding: Preparation of this paper was supported in part by NIH grant # K24 AR056687, a midcareer mentorship award to the last author (Kashikar-Zuck).

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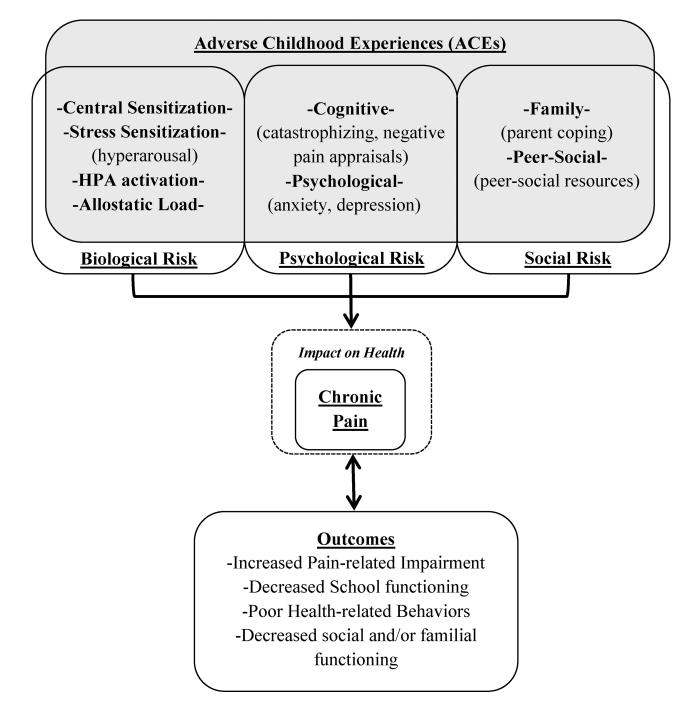
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**Figure 1.** Conceptual framework for ACEs and chronic pain in youth