

# The T cell antigen receptor expressed by $V\alpha 14i$ NKT cells has a unique mode of glycosphingolipid antigen recognition

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Natural killer (NK) T cells with an invariant  $V\alpha 14$  rearrangement ( $V\alpha 14i$ ) are the largest population of lipid antigen-specific T lymphocytes identified in animals. They react to the glycolipid  $\alpha$ -galactosyl ceramide ( $\alpha$ -GalCer) presented by CD1d, and they may have important regulatory functions. It was previously shown that the  $V\alpha 14i$  T cell antigen receptor (TCR) has a high affinity for the  $\alpha$ -GalCer/CD1d complex, driven by a long half-life ( $t_{1/2}$ ). Although this result could have reflected the unique attributes of  $\alpha$ -GalCer, using several related glycolipid compounds, we show here that the threshold for full activation of  $V\alpha 14i$  NKT cells by these glycosphingolipids requires a relatively high-affinity TCR interaction with a long  $t_{1/2}$ . Furthermore, our data are consistent with the view that the mechanism of recognition of these compounds presented by CD1d to the  $V\alpha 14i$  NKT cell TCR is likely to fit a lock-and-key model. Overall, these findings emphasize the distinct properties of glycosphingolipid antigen recognition by  $V\alpha 14i$  NKT cells.

Most T lymphocytes recognize antigenic peptides presented by MHC-encoded class I and class II molecules, although T cells responding to glycolipid antigens also have been characterized (1). Invariant  $V\alpha 14$  rearrangement ( $V\alpha 14i$ ) natural killer (NK) T cells constitute the most numerous glycolipid-reactive population identified in mice, and a homologous population of  $V\alpha 24i$  NKT cells is present in humans (2, 3).  $V\alpha 14i$  NKT cells produce large amounts of cytokines rapidly after stimulation (4), and this cytokine burst may have a widespread influence on immune responses, including protection against autoimmune diseases, the host response to parasites and bacteria, and antitumor responses (2, 3, 5–8).

$V\alpha 14i$  NKT cells bear a T cell antigen receptor (TCR) containing an invariant  $V\alpha 14$ – $J\alpha 18$  rearrangement paired preferentially with either  $V\beta 8.2$ ,  $V\beta 7$ , or  $V\beta 2$  (9).  $V\alpha 14i$  NKT cells are reactive to CD1d, an antigen-presenting molecule distantly related to the peptide-presenting MHC class I and class II molecules (10). The lack of diversity of their TCR  $\alpha$  chains, as well as the absence of CD1d polymorphism, suggests that  $V\alpha 14i$  NKT cells may have been selected to respond to a small set of related compounds.

The biochemical basis for the interaction of peptide-reactive TCRs with antigen–MHC complexes has been well studied (for review see refs. 11–13). Less is known, however, about the biochemical mechanisms that trigger the responses of  $V\alpha 14i$  NKT cells. Although the natural antigen(s) that stimulate the majority of  $V\alpha 14i$  NKT cells have not been unequivocally identified,  $V\alpha 14i$  NKT cells are strongly reactive to a synthetic version of a marine sponge-derived glycolipid:  $\alpha$ -galactosyl ceramide ( $\alpha$ -GalCer) (14). We and others have shown previously that the TCR of  $V\alpha 14i$  NKT cells exhibits a high-affinity binding to the  $\alpha$ -GalCer/CD1d complex and a long half-life ( $t_{1/2}$ ) (15–18).  $\alpha$ -GalCer is a very potent antigen, however, and it was not certain if those properties are linked to the peculiar nature of this

antigen, or if they reflect the intrinsic requirements for the activation of  $V\alpha 14i$  NKT cells. Here, using  $\alpha$ -GalCer-related compounds, we demonstrated that the full activation of  $V\alpha 14i$  NKT cells by glycolipids requires a stable and distinct type of TCR interaction.

## Materials and Methods

**Mice and Immunizations.** C57BL/6J mice (The Jackson Laboratory) were used between 6 and 10 weeks of age. Glycosyl (Gal, Glc, and Man) ceramides were prepared from commercially available D-lyxose, and the complete synthesis will be reported elsewhere (S.D.M. and G.S.B., unpublished results). Structural analogues and intermediates were characterized by <sup>1</sup>H and <sup>13</sup>C-NMR and electrospray MS. The syntheses of  $\beta$ -GalCer and 3,4-dideoxy  $\alpha$ -GalCer have been described (19). The synthesis of 4-deoxy  $\alpha$ -GalCer will be reported elsewhere (S.K.R., R.M.N., and A.R.H., unpublished results). Glycolipids were dissolved in a vehicle comprised of 0.5% polysorbate 20 (Nikko Chemicals, Tokyo) in a 0.9% NaCl solution, and mice were immunized i.p. with either vehicle alone or 2  $\mu$ g of compound dissolved in vehicle.

**Protein Expression and Purification.** Baculovirus-mediated mouse CD1d expression and purification (4, 20) and expression of a single chain (sc)  $V\alpha 14i$  TCR in bacteria (15) have been described. The sc $V\alpha 14i$  TCR construct also was expressed by using baculovirus. The scTCR construct, which has the  $V\beta 8.2$  sequence from the 2C12 NKT cell hybridoma, was liberated from the pET28a(+) plasmid and subcloned into the *Nco*I and *Eco*RI sites of the pAcGP67-B plasmid (PharMingen), downstream of the GP67 secretion signal sequence. Recombinant baculoviruses were produced as described (4), and High Five cells were infected at a multiplicity of infection of 5–10. After 4–5 days, supernatants were harvested, and the scTCR was eluted from Ni-NTA agarose beads. The eluted material was immediately injected onto a Superdex 200 gel filtration column (Amersham Pharmacia Biotech) for size exclusion chromatography. Each preparation was checked by SDS/PAGE for purity and quantified by using the BCA protein assay kit (Pierce). Similar results were obtained with bacteria-derived or baculovirus-derived TCRs. Tetramers of mouse CD1d molecules were produced as described (4).

**Cell Preparation.** Single-cell suspensions were prepared from the liver of 6- to 10-week-old C57BL/6J mice as described (4, 21).

Abbreviations:  $\alpha$ -GalCer,  $\alpha$ -galactosyl ceramide;  $\alpha$ -ManCer,  $\alpha$ -mannosyl ceramide;  $\beta$ -GalCer,  $\beta$ -galactosyl ceramide; NK, natural killer; RU, resonance units; sc, single chain; TCR, T cell antigen receptor;  $V\alpha 14i$ , invariant  $V\alpha 14$  rearrangement.

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**Table 1. Biophysical parameters of the interaction of the V $\alpha$ 14 V $\beta$ 8.2 sTCR with immobilized CD1d/glycolipid complexes**

Interaction	$K_D^\dagger$ , $\mu$ M	$k_d^\ddagger \times 10^3$ , $s^{-1}$	$k_a \times 10^{-4}$ , $M^{-1}s^{-1}$	$t_{1/2}$ , s	$Ea^\circ$ , kcal/mol	$\Delta G^\circ$ , kcal/mol	$\phi$
$\alpha$ -GalCer/wtCD1d	0.35	3.87	1.10	179	-5.51	-8.81	
4-Deoxy/wtCD1d	1.12	5.38	0.48	128	-5.02	-8.11	0.71
$\alpha$ -GlcCer/wtCD1d	3.8	12.11	0.32	57	-4.78	-7.39	0.52
$\alpha$ -ManCer/wtCD1d	13.23	40.21	0.30	17	-4.74	-6.65	0.35
$\beta$ -GalCer/wtCD1d	nd	nd	nd	nd	nd	nd	nd
3,4-Dideoxy/wtCD1d	nd	nd	nd	nd	nd	nd	nd
$\alpha$ -GalCer/wtCD1d*	0.21	3.96	1.36	175	-5.64	-9.11	
$\alpha$ -GalCer/R79E*	1.1	14.3	1.32	48	-5.62	-8.13	0.02

Data presented from one representative experiments of three, in which five different concentrations of sTCR have been used. nd, not detectable.

\*Values from ref. 15.

$^\dagger K_D$  values obtained by steady-state equilibrium fitting. (Fig. 6E).

$^\ddagger k_d$  values obtained by fitting the dissociation phase of the sensorgrams (Fig. 5 A-D).

Tetramer staining at equilibrium was performed according to a published protocol (15). Analysis was performed with a FACScalibur instrument (Becton Dickinson). FLOWJO (Tree Star, Ashland, OR) software was used for analysis.

**Antigenic Stimulation by Glycolipid Antigens.** The V $\alpha$ 14V $\beta$ 8.2 NKT cell hybridomas N38-2C12 (2C12), N38-3C3 (3C3), and DN3A4-1.2 (1.2) and the V $\alpha$ 14V $\beta$ 10 NKT cell hybridoma DN3A4-1.4 (1.4) have been described (22, 23). Stimulation of NKT cell hybridomas in an antigen-presenting cell-free assay and measurement of IL-2 by ELISA were performed according to published protocols (15).

**Surface Plasmon Resonance.** All real-time binding experiments were performed, at 25°C, on a BIAcore X biosensor system (BIAcore, Piscataway, NJ), as described for analysis of TCR binding to  $\alpha$ -GalCer/CD1d complexes (15). Kinetic parameters and/or apparent equilibrium dissociation constants were obtained by fitting the specific sensorgrams with BIAEVAL 3.1 software (BIAcore). The association rate is expressed by the relation  $k_a \times$  [immobilized glycolipid/CD1d complexes], but if the level of immobilization is high, the association rate becomes faster than the mass transport of the sTCR from the bulk of the solution to the interface. As a consequence, the interaction is partially under the control of mass transport, and it is then difficult to obtain an accurate value for  $k_a$ . By contrast, the dissociation proceeds according to a zero-order reaction and therefore is independent of the quantity of glycolipid/CD1d complexes immobilized. An accurate value for  $k_d$  therefore could be obtained by fitting the dissociation phase of the sensorgrams (Table 1). The equilibrium dissociation constants ( $K_D$ ) were obtained by fitting the plot of the response at equilibrium against the concentration of sTCR (see Fig. 5E).  $k_a$  values were calculated by using the relation  $k_a = k_d/K_D$ .

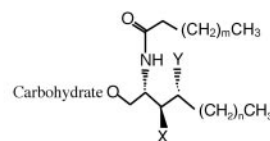
The variation of the free energy standard of the system upon binding is  $\Delta G^\circ = RT \ln K_D^{25^\circ C}$ , where  $T$  is the absolute temperature in Kelvin, and  $R$  is the gas constant. The kinetic association constant,  $k_a$ , at 25°C can be converted to an activation energy standard by the relation  $Ea^\circ = -RT \ln k_a^{25^\circ C}$  (24). Comparison of the activation energies for  $\alpha$ -GalCer/CD1d binding to the TCR versus the binding of the TCR to complexes of  $\alpha$ -GalCer-related glycolipids plus CD1d are given as  $\phi$  values, with  $\phi$  being calculated as  $\Delta Ea^\circ$  normalized by  $\Delta \Delta G^\circ$  (25), and expressed by the relation:  $\phi = -RT \ln(k_a^{gal}/k_a^X) / -RT \ln(K_D^{Gly}/K_D^X)$ , where X refers to TCR binding to  $\alpha$ -GalCer-related glycolipid/CD1d complexes and Gal to the same for  $\alpha$ -GalCer-loaded complexes.

## Results

**$\alpha$ -GalCer Analogs Stimulate Cytokine Secretion by V $\alpha$ 14i NKT Cells.**  $\alpha$ -GalCer-related glycolipids can stimulate V $\alpha$ 14i NKT cells *in vitro* when they are incubated with antigen-presenting cells (14,

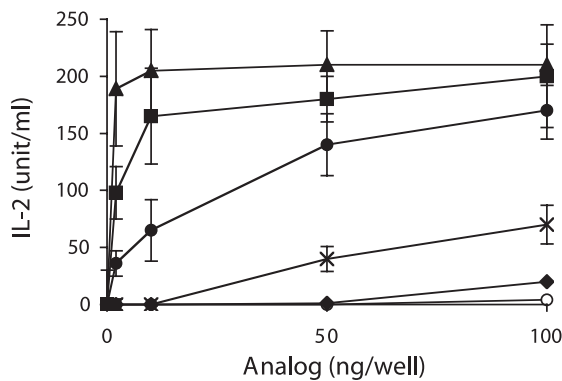
21, 26, 27). Here, we analyzed IL-2 production of V $\alpha$ 14i NKT cell hybridomas in response to soluble plate-bound CD1d molecules loaded with glycolipids (15, 28). This process allowed us to assess directly the effect of structural modifications of the antigen on the TCR-triggered response, in the absence of a contribution of accessory molecules. The five  $\alpha$ -GalCer-related glycolipids are shown in Fig. 1. The compounds have subtle modifications of the hydrophilic head group, but with an almost identical ceramide moiety, and which therefore were likely to be equivalently loaded into CD1d.  $\alpha$ -GalCer was the most potent antigen tested in this way, followed closely by 4-deoxy and then by  $\alpha$ -glucosyl ceramide ( $\alpha$ -GlcCer).  $\alpha$ -Mannosyl ceramide ( $\alpha$ -ManCer) triggered only a low level of cytokine release, whereas  $\beta$ -galactosyl ceramide ( $\beta$ -GalCer) and 3,4-dideoxy did not stimulate the T cell hybridomas (Fig. 2 and data not shown).

**$\alpha$ -GalCer Analogs Stimulate Cytokine Secretion *in Vivo*.** To investigate the ability of the  $\alpha$ -GalCer analogs to stimulate V $\alpha$ 14i NKT cells *in vivo*, we measured cytokines in the blood 2 and 14 h after i.p. injection (Fig. 3). IL-4 was detected in the serum of mice injected 2 h previously with  $\alpha$ -GlcCer, but was present at higher levels in mice injected with 4-deoxy and  $\alpha$ -GalCer (Fig. 3A). IL-4 remained detectable in the 4-deoxy- and  $\alpha$ -GalCer-injected mice at 14 h. However, no IL-4 was detected in mice injected with  $\alpha$ -ManCer (Fig. 3A). IFN- $\gamma$  was also detected in the serum 2 h after mice were injected with 4-deoxy and  $\alpha$ -GalCer (Fig. 3B). Fourteen hours after V $\alpha$ 14i NKT cell activation, a peak of IFN- $\gamma$



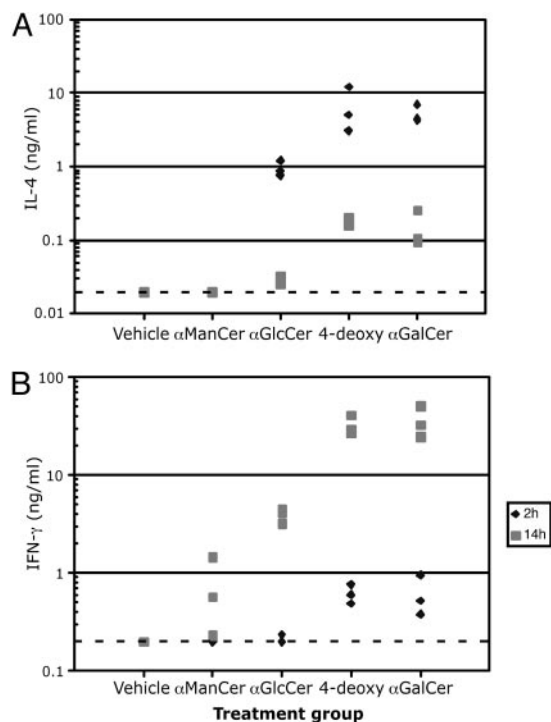
Glycolipid	Carbohydrate	M	n	x	y
$\alpha$ -GalCer	$\alpha$ -D-Galactopyranoside	23	13	OH	OH
4-Deoxy	$\alpha$ -D-Galactopyranoside	23	13	OH	H
$\alpha$ -GlcCer	$\alpha$ -D-Glucopyranoside	21	13	OH	OH
$\alpha$ -ManCer	$\alpha$ -D-Mannopyranoside	21	13	OH	OH
$\beta$ -GalCer	$\beta$ -D-Galactopyranoside	23	13	OH	OH
3,4-Dideoxy	$\alpha$ -D-Galactopyranoside	23	13	H	H

Fig. 1. Structure of  $\alpha$ -GalCer analogs.  $\alpha$ -GlcCer is an  $\alpha$ -D-glucopyranoside, in which the hydroxyl in position 4' is in an equatorial position rather than the axial position for galactose.  $\beta$ -GalCer has a  $\beta$  glycosidic bound between the D-galactopyranoside unit and the ceramide.  $\alpha$ -ManCer has an  $\alpha$ -D-mannopyranoside unit, in which the hydroxyls in positions 2' and 4' of the carbohydrate are oriented differently when compared to D-galactopyranoside. The compound we named 4-deoxy, lacking the hydroxyl on the 4 carbon of the sphingosine, was referred to previously as AGL 514 (19). Similarly, 3,4-dideoxy was referred to as AGL 535 (19).

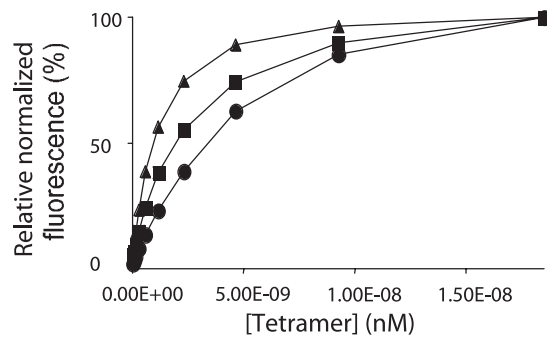


**Fig. 2.** Stimulation of  $V\alpha 14i$  NKT cell-derived hybridomas with glycolipid antigens bound to plate-bound CD1d. One microgram per well of CD1d protein was immobilized on 96-well plates, incubated with the indicated amounts of  $\alpha$ -GalCer (▲), 4-deoxy (■),  $\alpha$ -GlcCer (●),  $\alpha$ -ManCer (X), 3,4-dideoxy (◆), and  $\beta$ -GalCer (○). 3C3 hybridoma cells were then cultured in the wells for 16 h. IL-2 release was measured by ELISA. Shown is a representative experiment of five, carried out in triplicate. The specific response was obtained by subtracting the responses to wells coated with CD1d protein alone and to the  $\alpha$ -GalCer analogs alone. Similar results were obtained with the 1.2 and 1.4 hybridomas.

is observed in the blood, which is mostly produced by NK cells that are activated by the stimulated  $V\alpha 14i$  NKT cells (reviewed in ref. 8). IFN- $\gamma$  was present in similar high levels in the serum of mice injected with 4-deoxy and  $\alpha$ -GalCer, lower amounts were found with  $\alpha$ -GlcCer, and it was notable that serum IFN- $\gamma$  was also detected in some mice given  $\alpha$ -ManCer 14 h earlier (Fig. 3B). Consistent with the differential ability of these compounds



**Fig. 3.** Cytokine secretion after stimulation of  $V\alpha 14i$  NKT cells with glycolipid antigens *in vivo*. Two (◆) and 14 h (■) after i.p. injection with 2  $\mu$ g of antigen, serum was harvested and analyzed for IL-4 (A) and IFN- $\gamma$  (B) by ELISA. Each point represents the cytokine measured in an individual mouse. The dashed line indicates the limit of detection of the ELISA. There were three mice in each group, and data are representative of two separate experiments.

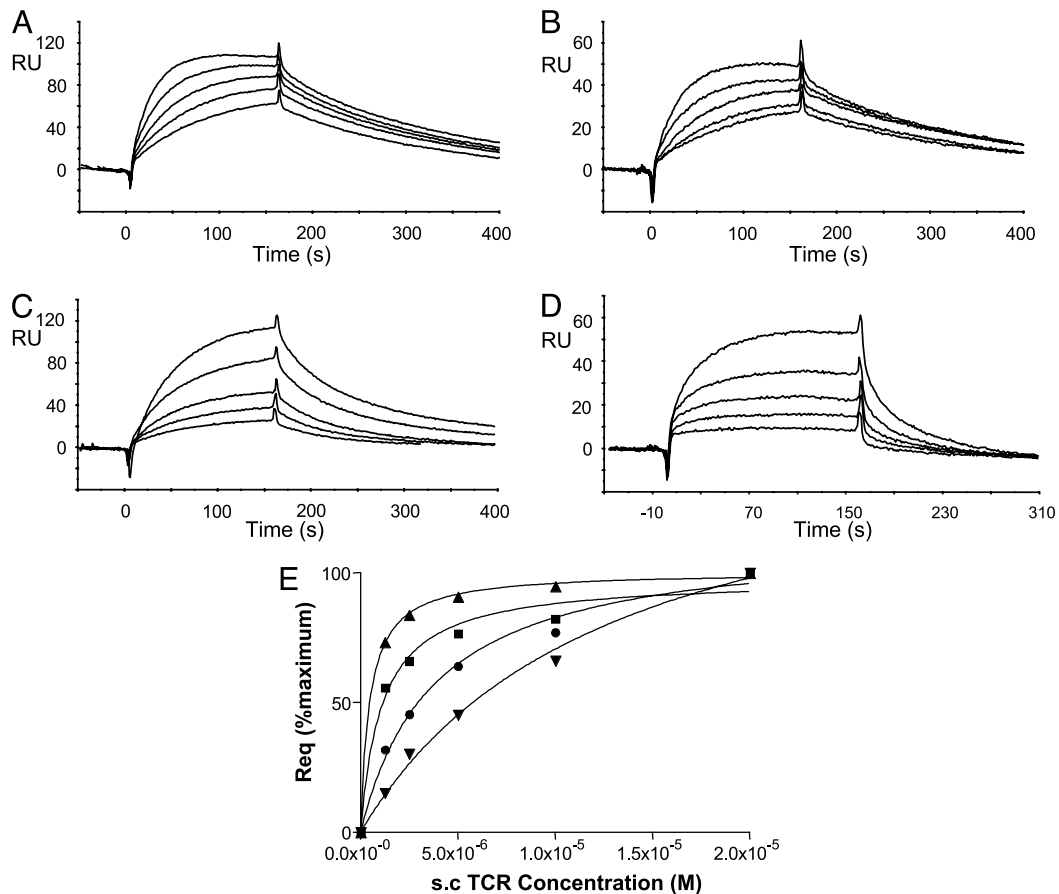


**Fig. 4.** Specific CD1d tetramer staining. Binding isotherm of  $\alpha$ -GalCer-loaded CD1d tetramer (▲),  $\alpha$ -GlcCer-loaded CD1d tetramer (●), and 4-deoxy-loaded CD1d tetramer (■) to the 2C12 hybridoma. Tetramer fluorescence, normalized to the surface level of TCR $\beta$  staining, is plotted against tetramer concentration. 2C12 hybridoma cells were stained for 3 h at room temperature with an anti-TCR $\beta$  mAb and the indicated concentrations of WT CD1d tetramers. Cells were then washed two times and analyzed by flow cytometry. One representative experiment of five is shown.

to activate  $V\alpha 14i$  NKT cells *in vivo*, we found that  $\alpha$ -GalCer and 4-deoxy induced the complete down-regulation of  $V\alpha 14i$  TCR expression by tetramer-positive cells in the liver 14 h after antigen injection,  $\alpha$ -GlcCer induced somewhat less down-regulation, and  $\alpha$ -ManCer caused the least down-regulation (Fig. 7, which is published as supporting information on the PNAS web site).

**Differential Staining of CD1d Tetramers Loaded with  $\alpha$ -GalCer Analogs.** Recently, we used site-directed mutants of CD1d to show that the intensity of tetramer staining at equilibrium is correlated with the affinity of the  $V\alpha 14i$  TCR for the glycolipid/CD1d complex (15), and similar results have been reported elsewhere (18). To verify that structural modifications of  $\alpha$ -GalCer affect TCR engagement on living cells, we produced CD1d tetramers loaded with the  $\alpha$ -GalCer-related glycolipids.  $\alpha$ -GalCer-loaded CD1d tetramers bound to the  $V\alpha 14i$  NKT cell-derived hybridomas, with little reactivity of the unloaded CD1d tetramers (data not shown). To verify that the differences observed in the potency of the  $\alpha$ -GalCer-related compounds are related to TCR affinity, 2C12  $V\alpha 14i$  NKT cell hybridoma cells were stained with a concentration range of CD1d tetramers loaded with different glycolipids (Fig. 4). The binding isotherms were then subjected to a Scatchard transformation to access the apparent equilibrium dissociation constant characteristic of the avidity (15). We found that the avidity is correlated with the ranking of the antigenic potencies of the  $\alpha$ -GalCer analogs ( $\alpha$ -GalCer > 4-deoxy >  $\alpha$ -GlcCer). The  $\alpha$ -GalCer-loaded CD1d tetramer had a  $K_D$  of  $0.55 \pm 0.08$  nM, followed by the 4-deoxy-loaded CD1d tetramer ( $K_D = 1.45 \pm 0.16$  nM), and the  $\alpha$ -GlcCer-loaded CD1d tetramer ( $K_D = 3.78 \pm 0.28$  nM). We also detected a specific staining of intrahepatic lymphocytes from C57BL6/J mice by using 4-deoxy- and  $\alpha$ -GlcCer-loaded CD1d tetramers. The intensity of staining was, however, too low to allow an accurate measurement of the  $K_D$ , when dilutions of the tetramers were used. By contrast, no staining above the background level was observed when 3,4-dideoxy-,  $\alpha$ -ManCer-, or  $\beta$ -GalCer-loaded tetramers were used (Fig. 8, which is published as supporting information on the PNAS web site).

**Binding of the Soluble  $V\alpha 14i$  TCR to Glycolipid/CD1d Complexes.** We used surface plasmon resonance to examine directly the binding of the  $V\alpha 14i$  TCR to antigen plus CD1d complexes. To detect a specific binding to the less potent agonist  $\alpha$ -ManCer at each concentration of scTCR used, it was necessary to immobilize



**Fig. 5.** Binding of the V $\alpha$ 14 V $\beta$ 8.2 sCTCR to glycolipid/CD1d complexes. (A–D) The sCTCR was injected at 25°C at increasing concentrations (1.25, 2.5, 5, 10, or 20  $\mu$ M) at a flow rate of 10  $\mu$ l/min over Fc1 (control flow cell) and Fc2, where  $\alpha$ -GalCer-loaded (A), 4-deoxy-loaded (B),  $\alpha$ -GlcCer-loaded (C), or  $\alpha$ -ManCer-loaded (D) CD1d molecules were immobilized. The sensorgrams plot the specific binding obtained from the subtracted RU values (Fc2 – Fc1). (E) Steady-state equilibrium fitting. Normalized RU at equilibrium (Req) values obtained from fitting of the sensorgrams (A–D) with the 1:1 Langmuir association, expressed as percentage of the maximum for each compound, were plotted against the sCTCR concentration.  $\alpha$ -GalCer,  $\blacktriangle$ ;  $\alpha$ -GlcCer,  $\bullet$ ; 4-deoxy,  $\blacksquare$ ; and  $\alpha$ -ManCer,  $\blacktriangledown$ . One representative experiment of three is shown.

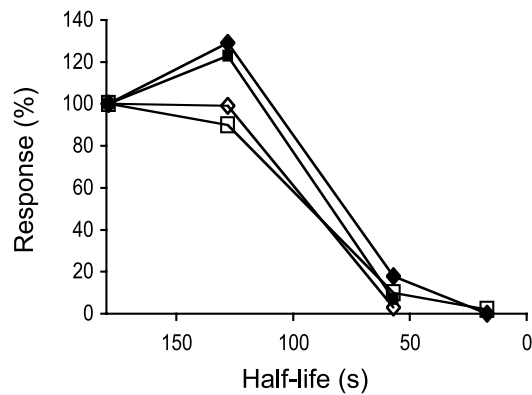
glycolipid/CD1d complexes at a density up to 4,000 resonance units (RU). In those conditions, a specific, dose-dependent binding of the sCTCR to immobilized  $\alpha$ -ManCer/CD1d complexes was observed (Fig. 5D), as well as binding to  $\alpha$ -GalCer-, 4-deoxy-, and  $\alpha$ -GlcCer-loaded CD1d molecules (Fig. 5A–C). No binding was detected when  $\beta$ -GalCer/CD1d complexes or 3,4-deoxy/CD1d complexes were used (data not shown).

The equilibrium dissociation constants ( $K_D$ ), based on TCR binding at equilibrium (Fig. 5E), ranged from 13  $\mu$ M for  $\alpha$ -ManCer to 0.35  $\mu$ M for  $\alpha$ -GalCer. The interaction between  $\alpha$ -GalCer/CD1d complexes and the V $\alpha$ 14<sup>+</sup> TCR displayed a  $k_d$  of  $3.87 \times 10^{-3} \text{ s}^{-1}$  and a  $k_a$  value was calculated by using the relation  $k_a = k_d/K_D$  (Table 1). The calculated value for  $k_a$  was  $1.10 \times 10^4 \text{ M}^{-1}\cdot\text{s}^{-1}$ , consistent with the reported values for this interaction (15–17). Compared to  $\alpha$ -GalCer, there was a reduction of the association rate constant ( $k_a$ ) by 2.3-, 3.4-, or 3.7-fold for 4-deoxy,  $\alpha$ -GlcCer, or  $\alpha$ -ManCer, respectively. The  $k_d$  was only slightly modified when 4-deoxy/CD1d complexes were immobilized (Table 1). Indeed, it was increased from  $3.87 \times 10^{-3} \text{ s}^{-1}$  to  $5.38 \times 10^{-3} \text{ s}^{-1}$ , which leads to a decrease of the half-life ( $t_{1/2}$ ) from 179 to 128 s. When  $\alpha$ -GlcCer/CD1d complexes were immobilized, the  $k_d$  was further increased to  $12.11 \times 10^{-3} \text{ s}^{-1}$ , which corresponds to  $t_{1/2}$  of 57 s (Table 1). Finally, when  $\alpha$ -ManCer/CD1d complexes were immobilized, the  $k_d$  was  $40.21 \times 10^{-3} \text{ s}^{-1}$ , leading to  $t_{1/2}$  of 17 s.

With the series of compounds tested here, we found a good

correlation between the  $t_{1/2}$  of the TCR interaction and all of the immune assays, with no exceptional compounds (Fig. 6). The ability of  $\alpha$ -GalCer-related glycolipids to stimulate V $\alpha$ 14i NKT cell hybridomas and the cytokines produced *in vivo* after i.p. injection were related to the  $t_{1/2}$  of the TCR interaction.

**A Distinct Binding Mechanism for V $\alpha$ 14i TCR Recognition.** We and others have shown that binding of the V $\alpha$ 14i TCR to glycolipid/CD1d complexes displays almost no temperature dependence (15, 17), which also distinguishes binding by the V $\alpha$ 14i TCR from recognition of peptide–MHC complexes by  $\alpha\beta$  TCRs (24). In a similar approach to the one undertaken by Wu *et al.* (24), we calculated the variation of the free energy standard ( $\Delta G^\circ$ ) from the  $K_D$  and converted  $k_a$  to an activation energy standard ( $E_a^\circ$ ) (see *Materials and Methods*). The comparison, expressed as phi ( $\phi$ ) values, allowed us to probe the effect of structural modifications of the antigen or CD1d on the initial TCR association. When comparing an  $\alpha$ -GalCer-related glycolipid to  $\alpha$ -GalCer itself, the  $\phi$  value is the representation of the contribution of the  $\alpha$ -GalCer-related glycolipid to the activation energy of association, normalized to its contribution to the free energy of binding. A  $\phi$  value of 0 indicates that the contact is not formed in the transition state nor participates in the initial association, whereas contacts for which  $\phi$  values are higher (up to a maximum of 1, which represents full formation in the transition state) are contacts driving the initial interaction.



**Fig. 6.** Correlation between  $t_{1/2}$  of the interaction and the quantity of cytokine produced by  $V\alpha 14i$  NKT cells. The relative quantities of IL-4 (full symbols) and IFN- $\gamma$  (open symbols) measured by ELISA 2 ( $\blacklozenge$ ) and 14 h ( $\blacksquare$ ) after i.p. injection with 2  $\mu$ g of antigen are plotted against the half-life of the interaction between the respective antigen/CD1d complexes and the NKT cell TCR.

We found that the interaction between  $\alpha$ -GlcCer/CD1d and  $\alpha$ -ManCer/CD1d complexes with the  $V\alpha 14i$  TCR exhibited  $\phi$  values of 0.52 and 0.35, respectively (Table 1). This finding suggests that the polar head group of the antigen is contacting the TCR in the initial phase of the interaction. We also compared the interaction between  $\alpha$ -GalCer/CD1d complexes and  $\alpha$ -GalCer/CD1dR79E complexes. CD1dR79E has a mutation at position 79 that alters the charge of an amino acid near the end of the  $\alpha 1$  helix of mouse CD1d pointing upward toward the TCR (29, 30). We calculated the  $\phi$  value and found it to be very close to 0 (Table 1), suggesting that this contact, between the CD1d  $\alpha 1$  helix and the  $V\alpha 14i$  TCR, is not implicated in the formation of the transition state.

## Discussion

In this article we examined the biochemical basis for glycosphingolipid antigen recognition by the  $V\alpha 4i$  TCR expressed by NKT cells. Mice contain several million  $V\alpha 14i$  NKT cells with essentially identical specificity for  $\alpha$ -GalCer presented by CD1d (4, 31).  $V\alpha 14i$  NKT cells can activate the immune system through the immediate secretion of diverse cytokines, and they have been reported to be crucial for the outcome of a number of immune responses (2, 3). In addition to their numerical prevalence and immunologic importance, the availability of a set of reagents for investigating the antigen specificity of these cells makes glycosphingolipid antigen recognition by  $V\alpha 14i$  NKT cells an attractive model system for the study of glycolipid antigen recognition.

Our findings highlight differences between glycolipid and peptide antigen recognition, with respect to the  $t_{1/2}$  of the TCR interaction and the relative importance of the bound antigen in formation of the initial antigen contact. Several previous results suggested that TCR recognition of glycolipids could be different, without providing direct evidence for this point. First, the diversity of antigens naturally bound to CD1d is limited (32), and CD1 molecules are not polymorphic. Second, CD1-bound antigens are not exposed along the surface of the antigen-binding groove, and the hydrophilic head group is highly exposed only near the center of the groove (33, 34). Third, many  $V\alpha 14i$  NKT cells do not express CD4 or CD8, suggesting they may not require coreceptors (10). Fourth, previous work indicated that the  $V\alpha 14i$  TCR has a high affinity and long  $t_{1/2}$  of interaction with  $\alpha$ -GalCer plus CD1d, although this could be attributed to the extraordinary potency of this antigen (15–18). Fifth, the results from fluores-

cence resonance energy transfer analysis suggest the  $V\alpha 14i$  TCR might be distributed differently on the cell surface (18).

To investigate how  $V\alpha 14i$  NKT cell glycolipid recognition might be distinct, we used compounds related to  $\alpha$ -GalCer. Differences in the potency of the compounds we used had already been reported (14, 22). Here, using an antigen-presenting cell free antigen presentation assay, we demonstrated a ranking of the five  $\alpha$ -GalCer-related antigens tested similarly to the one previously observed with presentation by antigen-presenting cells. The results demonstrate that the differences in antigenic potency are caused by differences in the strength and kinetics of  $V\alpha 14i$  TCR engagement. Thus, similar to conventional T cells (11–13), this finding indicated that  $t_{1/2}$  may be a good indicator of the ability to stimulate a response.

$\alpha$ -GlcCer was the weakest antigen to display agonist activity under most conditions.  $\alpha$ -ManCer had been reported to have no agonist activity (14, 35, 36). Consistent with this result, we found that tetramers of  $\alpha$ -ManCer/CD1d did not stain  $V\alpha 14i$  NKT cells, and  $\alpha$ -ManCer only poorly stimulated  $V\alpha 14i$  NKT cell hybridomas and did not cause IL-4 release *in vivo*. It did, however, stimulate some release of IFN- $\gamma$  in the blood and TCR down-regulation. IFN- $\gamma$  in the serum primarily reflects the activity of NK cells activated by the stimulated  $V\alpha 14i$  NKT cells (8), and therefore it could reflect amplification of small  $V\alpha 14i$  NKT cell responses.

The interaction between  $\alpha$ -ManCer/CD1d complexes and the  $V\alpha 14i$  TCR had a  $t_{1/2}$  of 17 s, with 57 s for  $\alpha$ -Glc/CD1d complexes. Thus, we conclude that among this series of compounds there is a cutoff for agonist activity, with a  $t_{1/2}$  of the TCR interaction somewhere between 17 and 57 s. This is significantly longer than what is required for a moderate degree of agonist activity for peptide reactive T cells, suggesting a different kinetic window for  $V\alpha 14i$  NKT cell activation. Although the natural antigen(s) that stimulate  $V\alpha 14i$  NKT cells could behave in a similar way, it also is possible that cytokine or other signals may help to sensitize  $V\alpha 14i$  NKT cells, and that the integration of TCR and non-TCR signals may allow simulation by relatively weak antigens (37). Therefore, we cannot exclude the possibility that natural antigens for  $V\alpha 14i$  NKT cells might interact differently with the  $V\alpha 14i$  TCR.

The recognition of glycolipid antigens in the context of CD1d was reported to display almost no temperature dependence (15, 17), suggesting that the  $V\alpha 14i$  TCR makes little accommodation when recognizing glycolipid/CD1d complexes, compared to the accommodation made by  $\alpha\beta$  TCRs recognizing peptide–MHC complexes. By analyzing the energetic parameters of the interaction, we showed that glycolipid antigen contacts with the  $V\alpha 14i$  TCR dominated the association phase of the interaction, whereas CD1d contacts with the TCR were found to contribute to the stability of the complex. This finding supports a critical role for the antigen's most rigid moiety (the carbohydrate unit), and it also suggests that recognition of glycolipid/CD1d complexes is more likely to fit a lock-and-key model. This is further supported by the crystal structures of CD1b bound to glycolipids, where the polar head group of the antigens were found protruding toward the TCR (33, 34), although similar observations were not made in the crystal structure of the CD1a/sulfatide complex (38). Altogether, these data suggest that  $V\alpha 14i$  NKT cells may have evolved a different way of sensing their environment. It remains to be determined whether a similar mechanism applies to the more diverse TCRs expressed by T cells reactive with mycobacterial lipid antigens.

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