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Prebiotics in Chronic Intestinal Inflammation

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Abstract

Prebiotics are nondigestible fermentable fibers that are reported to have health benefits for the host. Older as well as more recent studies show beneficial effects in experimental colitis and lately also in human inflammatory bowel diseases (IBD), such as Crohn's disease, ulcerative colitis, and chronic pouchitis. In this review we give an overview of the benefits of prebiotics in rodent IBD models and in IBD patients and discuss their possible protective mechanisms. Commensal intestinal bacteria induce and perpetuate chronic intestinal inflammation, whereas others are protective. However, most of the current medications are directed against the exaggerated proinflammatory immune response of the host, some of them toxic and costly. Feeding prebiotics changes the composition of the intestinal microflora toward more protective intestinal bacteria and alters systemic and mucosal immune responses of the host. Therapy for IBD targeting intestinal bacteria and their function is just emerging. Prebiotics have the promise to be relatively safe, inexpensive, and easy to administer. Unraveling their protective mechanisms will help to develop rational applications of prebiotics. However, the initial promising results with dietary prebiotics in preclinical trials as well as small studies in human IBD will need to be confirmed in large randomized controlled clinical trials.

Keywords

ulcerative colitis; Crohn's disease; prebiotics; bifidobacteria; lactobacilli

The incidence and prevalence of chronic inflammatory bowel diseases (IBD), such as Crohn's disease (CD) and ulcerative colitis (UC), are increasing in the developed northern hemisphere. Bernstein et al¹ recently published data that showed that Canada has the highest incidence and prevalence of CD thus far reported. The incidence rate for CD ranged from 8.8–20.2 per 100,000 and the prevalence ranged from 161–319 per 100,000. The incidence and prevalence of UC was lower, ranging from 9.9–19.5 and 162–249 per 100,000, respectively. Approximately 0.5% of the Canadian population has IBD. Several studies concerning the pathogenesis of IBD indicate that a combination of factors such as genetic susceptibility, intestinal microflora, dietary factors, intestinal barrier dysfunction, and an abnormal immune response to intestinal bacteria lead to chronic intestinal inflammation.^{2–4}

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Current IBD Therapeutics

The current medical armamentarium to treat UC and CD consists of 5-aminosalicylic acid (ASA) compounds, corticosteroids, azathioprine/6-mercaptopurine, methotrexate, and cyclosporine. Recently several biologics have been added to this list.⁵ Most patients respond well to these therapies, but for some patients it is still inadequate and/or induces intolerable and serious side effects. In addition, the current therapies are mostly directed against the overly aggressive adaptive immune response of the host, but fail to correct potential environmental triggers such as the intestinal microflora that induces and perpetuates these disorders (Table 1). In addition, there is a dysbiosis between disease-inducing and protective intestinal bacteria in patients with IBD (Table 2).⁶

This concept has led to research into alternative therapies for IBD such as probiotics and, lately, prebiotics, or their combination, also called "synbiotics."

Probiotics

Probiotics have been the topic for research in IBD for quite some time. A probiotic has been defined as "a preparation of a product containing viable, defined microorganisms in sufficient numbers, which, when administered in adequate amounts, alters the microflora in a compartment of the host and by that exert beneficial effects in the host."⁷

In order for microorganisms to be called probiotics they must 1) be able to withstand and survive the effect of gastric acid, biliary, and pancreatic secretions in order to reach the small and large intestines; 2) be nonpathogenic and nontoxic; 3) remain viable during transport and storage; 4) exert beneficial effects on the host; 5) stabilize the intestinal microflora; 6) adhere to the intestinal epithelial cell lining; and 7) produce antimicrobial substances toward pathogens.^{8,9} Probiotics exert several protective effects by altering the mucosal immune response resulting in less inflammation, prevention of colonization by intestinal pathogens, improving the intestinal epithelial barrier, stimulation of antiinflammatory cytokine production (such as IL-10 and TGF- β), and secretion of antibacterial substances.¹⁰

Probiotics may be useful in the treatment of several conditions, including diarrhea, atopic dermatitis, necrotizing enterocolitis, food intolerance, constipation, UC, and chronic pouchitis. So far, the current literature has not been conclusive on the effect of probiotics in the treatment of CD .^{11–13}

Prebiotics

Prebiotics are nondigestible (oligo)saccharides, defined as "selectively fermented ingredients that allow specific changes, both in the composition and/or activity of the gastrointestinal microflora that confers benefits upon host well-being and health."14 Substances are considered prebiotics when they meet the following criteria: 1) be neither hydrolyzed nor absorbed in the upper part of the gastrointestinal tract; 2) be selectively fermented by 1 or a limited number of potentially beneficial bacteria in the intestine; and 3) be able to alter the colonic microflora toward a healthier composition.^{14,15}

The most commonly used prebiotics are beta-fructans oligosaccharides. Inulin and oligofructose are natural food ingredients or dietary fibers present in certain plants as storage carbohydrates. Wheat, chicory, bananas, onions, leek, artichoke, asparagus, and garlic contain prebiotics. Most commercially used prebiotics are synthesized from sucrose or are extracted from chicory roots. They are used in candies, confectioneries, bakery products, fermented products, fruit juices, desserts, spreads, fat replacers, etc.¹⁶

Inulins are composed of multiple fructose units with a terminal glucose (Fig. 1). The fructose units are joined by β -glycosidic links. Natural inulins typically consist of 2–140 beta-fructans units. Oligofructose is a degradation product of inulin and consists of fructose polymers with a lower degree of polymerization (DP) of 10. Higher DP inulin is less soluble and is suitable as a fat replacer. Lower DP oligofructose is more soluble and is used to replace sugar.

The daily intake of prebiotics is about 3–13 g/day, depending on the diet. Europeans consume on average 3–10 g/day, whereas Americans consume only $1-4$ g/day.^{9,17} It is interesting that IBD develops in parts of the world with a relatively low daily intake of these prebiotics.

Prebiotics are not digested in the upper gastrointestinal tract and reach the colon intact, where they are selectively fermented by residential microbiota into short chain fatty acids (SCFAs) and lactate. Similar to oral administration of probiotics, only continuous intake of prebiotics will maintain their beneficial effects.18,19

Several studies show that factors such as lowering luminal pH, prebiotic dosage and concentration, duration of intake, fermentation site, and the initial composition of the intestinal microflora are important for the prebiotic effects. $9,20-24$

Several substances are claimed to be prebiotics, but so far only fructo-oligosaccharides (FOS), galacto-oligosaccharides (GOS), lactulose, and inulin have met all 3 criteria listed above, as published by Roberfroid and Cherbut^{14,25} (Table 3). Other prebiotic candidates are promising, but only preliminary data exist for their health-inducing effects, whereas they do not meet all prebiotic criteria as stated above and therefore cannot be classified as prebiotics. 14,25–27

(POTENTIAL) PROTECTIVE MECHANISMS OF PREBIOTICS (TABLE 4)

Gastrointestinal protective mechanisms of prebiotics are still relatively unknown. Current hypotheses on protective mechanisms of prebiotics include changes in the intestinal microflora, improving intestinal barrier, regulating the mucosal and systemic immune response, and increasing the production of intestinal SCFAs.

Prebiotics and the Intestinal Microflora

Intestinal bacteria are thought to play an import role in the pathogenesis of IBD, especially in CD. This concept is supported by multiple publications (Table 1). Prebiotics alter the intestinal microbial composition by stimulating the growth of commensal protective bacteria

and enhance resistance to colonization with disease-inducing bacteria, therefore contributing to colitis reduction.^{28,29} (Table 4)

Several studies showed that prebiotics shift the intestinal microflora toward a beneficial one in both animal models and human studies, as they increase the number of protective bacteria, such as bifidobacteria and lactobacilli, to the detriment of disease-inducing bacteria.^{19,30–34} Breast milk contains prebiotic milk-oligosaccharides. Several studies have shown that the intestinal microbiota of breast-fed infants is dominated by bifidobacteria and lactic acid bacteria, whereas the microflora of formula-fed infants contains lower numbers of these protective bacteria and more bacteroides, clostridia, and enterobacteriaceae.³⁵⁻³⁷

The current literature provides some explanations for the selective growth stimulation of protective bacteria by prebiotics. Some protective organisms have specific enzymes that can hydrolyze prebiotic oligosaccharides, which results in the proliferation of these protective bacteria. This mechanism has been reported in, e.g., *Bifidobacterium infantis.*³⁸

In addition, fermentation of nondigestible carbohydrates in the proximal colon results in a lower luminal pH, resulting in growth inhibition of *Bacteroides spp*, which fails to grow at pH lower than 5.5.³⁹

Prebiotic stimulation of intestinal microflora is not selective. Proliferation of intestinal bacteria other than the common "probiotics" is also stimulated by prebiotics, such as Eubacteria rectale, Clostridium coccoides, and Roseburia inulinivorans. 19,40 This nonselective stimulation by prebiotics can also occur through cross-feeding mechanisms; Falony et al⁴¹ found that *Bifidobacterium longum* releases free fructose into the extracellular environment during prebiotic (oligofructose) degradation, which can then induce the proliferation of other bacteria such as Anaerostipus caccae, a bacterium that by itself is not capable of fermenting oligofructose. Despite the fact that "nonprobiotic" bacteria are able to ferment prebiotics, lactobacilli and bifidobacteria remain one of the most capable fermenters of prebiotics in a competitive intestinal environment.⁴²

Prebiotics can also inhibit adherence of pathogenic bacteria to the gut epithelium and inhibit their colonization. The terminal sugars of prebiotic oligosaccharides can interfere with receptors on disease-inducing bacteria, thereby preventing their attachment to the intestinal epithelium. Hopkins and others showed that FOS, GOS, and inulin inhibit intestinal colonization of *Clostridium difficile* in vitro.^{43,44}

Prebiotic oligosaccharides induce antimicrobial effects by selectively stimulating the growth of intestinal protective bacteria. Such intestinal organisms can then secrete antimicrobial compounds and compete with disease-inducing bacteria for intestinal epithelial receptors, reducing their ability to colonize and affect the host, as mentioned above.^{37,44}

Effects on Intestinal Barrier Function

The intestinal barrier consists of a biofilm, a mucus layer, and the intestinal epithelium. Together with mucosal dendritic cells, Paneth cells, macrophages, and neutrophils, the intestinal barrier forms part of the innate immune response. The intestinal epithelium protects the host from invasion of disease-inducing intestinal bacteria. One factor for IBD

pathogenesis involves a defective intestinal barrier.⁴⁵ This intestinal epithelial barrier function is further impaired by inflammation; TNF- α and IFN- γ are proinflammatory cytokines released during inflammation that further increase epithelial permeability at tight junctions. This defect leads to translocation of endotoxins and bacterial antigens, resulting in a persistent activation of the adaptive immune system.46,47

Prebiotics are believed to improve the intestinal barrier by stimulating the growth of protective bacteria that upregulate epithelial defense mechanisms that protect against intestinal inflammation in animal models of colitis. Probiotics restore the intestinal epithelial integrity by enhancing tight junctions between intestinal epithelial cells and by increasing mucus production.^{35,48} Madsen⁴⁹ showed that the probiotic cocktail VSL#3 improved intestinal barrier function in vivo in IL-10 KO mice.

The intestinal mucus layer prevents the attachment and translocation of bacteria across the epithelial barrier.⁵⁰ Dietary fibers, such as guar gum and citrus fibers, increase mucin production, resulting in reduced bacterial translocation.51 An increase in sulphomucin production in inulin-fed rats has also been reported.⁵²

Increased mucin production may result from fermentation and increased SCFA production by prebiotics. Barcelo et al⁵³ showed that acetate and butyrate, resulting from fermentation of cellulose, pectin, and arabic gum, stimulated mucin production in an isolated perfused rat colon.

Effects on the Host Immune System

The balance between antiinflammatory and proinflammatory cytokines is disturbed in IBD. This is shown as a relative dominance of proinflammatory over antiinflammatory or regulatory cytokines. Analysis of the inflamed mucosa from patients with UC and CD revealed an increased expression of proinflammatory cytokines, such as IL-1, IL-6, IL-8, IL-12, IL-17, IL-23, IL-13, IFN- γ , and TNF- α and relatively less abundant immunoregulatory cytokines, such as IL-10 and TGF- $\beta^{4,5,46,54,55}$

Prebiotics can influence the production of pro- and antiinflammatory cytokines, resulting in less inflammation. Roller et al⁵⁶ investigated the effect of pre- and probiotics on mesenteric lymph nodes and Peyer's patches in rats. Feeding inulin-enriched oligofructose enhanced the production of IL-10 in Peyer's patches and increased the secretion of IgA in the ileum. Secretory IgA prevents the attachment of intestinal pathogens and increases the phagocytic function of intraperitoneal macrophages. Feeding a combination of Lactobacillus rhamnosus GG and Bifidobacterium lactis Bb12 and prebiotics (inulin enriched with oligofructose) also enhanced IgA secretion in the ileum. In contrast, probiotics alone had only a slight immunomodulatory effect. Lactulose has also been associated with increased IgA secretion in gut-associated lymphoid tissue (GALT) in rats.57 In addition, feeding inulin-enriched oligofructose in colitis-susceptible HLA-B27 transgenic rats reduced colitis and increased intestinal TGF-β.⁵⁸

Increase of Short Chain Fatty Acids

Prebiotics are fermented by anaerobic colonic microbiota into SCFAs such as acetate, butyrate, and propionate. The amount of SCFA produced in the colon depends on the composition of the intestinal microflora, their substrate, and the gut transit time. Most butyrate-producing microorganisms are related to Eubacterium rectale, Clostridium *coccoidus*, and *Roseburia* relatives.^{19,59} Acetate is metabolized in peripheral tissues; propionate is taken up by the liver, whereas butyrate is the major energy source for colonocytes. Butyrate has an essential role in the maturation of colonic epithelium, regeneration of mucosa in the case of atrophy, induction of cell differentiation and stimulation of apoptosis.⁶⁰

Butyrate significantly inhibits proinflammatory cytokines IFN- γ and IL-2 production in rat mesenteric lymph nodes (MLNs). The ratio of the concentrations of IFN- γ to IL-10 measured in MLN was markedly decreased by butyrate.⁶¹

In addition, butyrate can reduce inflammation by inhibiting nuclear factor kappa B (NF-κB) activation and by increasing cytoplasmic inhibitor of nuclear factor kappa B levels, therefore inhibiting the production of proinflammatory cytokines.^{9,62,63}

Administering short-chain fructo-oligosaccharides, which induce high amounts of butyrate in the colon, showed an increase in the mucosal crypt height and epithelial cell density in neonatal pigs.64 Prolonged administration of trans-galacto-oligosaccharides also changes the fermentative activity of colonic flora in humans, resulting in higher levels of SCFA.³³

SCFAs can also alter the colonic physiology by decreasing the colonic pH. Lower luminal pH can inhibit growth and activity of pathogenic bacteria and can reduce several bacterial enzymatic activities detrimental for the intestinal epithelium.19,32

Reduced colonic SCFA, especially butyrate, could lead to chronic colonic injury. A decrease in SCFA concentration in the colonic lumen of UC patients with active disease compared to normal subjects has been reported.^{65,66} This suggested an association between decreased SCFA and UC. However, butyrate enemas have shown mixed results in the treatment of active UC.60,67

ADVERSE EFFECTS OF PREBIOTICS

Prebiotics have an excellent safety profile. However, they have been associated with symptoms of dose-dependent abdominal pain, flatulence, bloating, and diarrhea.¹⁶

Inulin and FOS increased colonization of Salmonella in cecal contents and enhanced translocation of Salmonella enterica serovar Enteritidis in rats despite proliferation of intestinal lactobacilli and bifidobacteria. This effect was counteracted by normalizing the calcium intake of the rats.^{68,69} In contrast, Osman et al⁷⁰ showed a reduction of bacterial translocation to the liver in a dextran sulfate sodium (DSS)-induced colitis in rats fed oligofructose, whereas bacterial translocation was increased using a combination of oligofructose, inulin, and B. infantis DSM 15158. Mangell et al⁷¹ showed that Lactobacillus plantarum 299v reduced bacterial translocation to liver and mesenteric lymph nodes in rats,

whereas prebiotics (oatmeal) did not prevent this. However, increased bacterial translocation was not found in synbiotic-treated patients $(n = 72)$ compared to placebo-treated patients in elective surgery patients.72 Taken together, the effects of prebiotics on bacterial translocation

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are still unclear and further research is necessary.

The efficacies of prebiotics are most extensively studied in experimental colitis models (Table 5). In addition, these models have provided insight into possible mechanisms involved in the antiinflammatory effects of prebiotics, supporting its potential role for the treatment of human IBD. We highlight the following results.

DSS-induced Colitis

In a DSS-induced colonic injury model oral inulin reduced colonic injury,73 whereas rectal inulin or butyrate enemas had no effect. Resistant starch also reduced DSS-induced colitis, whereas fructo-oligosaccharides did not show improvement.⁷⁴ A reduction of inflammation in the DSS model was also shown with goat milk oligosaccharides (GMO) as reported by Lara-Villoslada et al.⁷⁵

Oligofructose and inulin (OFI) alone or 2 B. infantis strains (DSM 15158 and DSM 15159), isolated from infant feces, with and without OFI were fed to rats for 14 days in DSS-induced colitis. OFI alone or the B. infantis strains with and without OFI improved the DSS-induced acute colitis.70 Two studies using oral administration of lactulose in a DSS rat model (300– 1000 mg/kg twice daily) and TNBS rat model showed reduction of colonic inflammation after 6 or 14 days, respectively. This effect was dose-dependent.^{76,77}

Winkler et al⁷⁸ reported a decrease in disease activity and colonic damage in a mouse model. Colitis in C57BL/6 mice was induced by DSS. FOS treatment was administered twice daily for 19 days. The administration of FOS reduced disease activity index, colonic crypt loss, and histological damage in all mice.

TNBS-induced Colitis

Oral administration of fructo-oligosaccharide was effective in a short-term study in hapteninduced colitis induced by trinitrobenzene sulfonic acid (TNBS).79 In the same model GMO showed reduction in inflammation after 4 days of oral administration. Active hexosecorrelated compound, a product prepared from the mycelium of edible Basidiomycete fungi and which contains oligosaccharides, also showed disease reduction in TNBS-induced colitis.80,81 In contrast, treatment with galacto-oligosaccharides (GOS) had no effect, despite an increase of intestinal bifidobacteria, 82 indicating that an increase of these bacteria is not always associated with protection.

Colitis in HLA-B27 Transgenic Rats

Prebiotics have also been administered in genetically induced chronic colitis. In HLA-B27 transgenic rats colonic inflammation was reduced after oral administration of the combination of inulin and oligofructose for 7 weeks.⁵⁸ Synbiotic therapy with inulin plus a

probiotic cocktail, containing lactobacilli and bifidobacteria, also reduced colitis in these rats.⁸³

Colitis in IL-10 KO Mice

Treatment of IL-10 knockout (KO) mice with oral lactulose treatment (0.06%, wt/vol in drinking water) for 4 and 8 weeks significantly attenuated the development of colonic injury. Treatment was started before colitis develops.⁸⁴

In summary, similar to probiotics, results from prebiotics in experimental colitis show that not all prebiotics are beneficial. Also, the protective effects depend on the colitis model used.

PREBIOTICS IN HUMAN IBD

Prebiotics

Results of prebiotics as therapeutics in human IBD are just emerging (Table 6). Lindsay et a^{148} performed a small open-label study in 10 patients with active ileocolonic CD using a combination of 15 g /day oligofructose and inulin (ratio 70:30%) for 3 weeks. This study showed a significant reduction in disease activity, concomitant with a significant increase in mucosal bifidobacteria, Interestingly, prebiotic treatment increased colonic dendritic cells expressing IL-10, Toll-like receptor (TLR)-2, and TLR-4, indicating that these prebiotics affected the innate mucosal immune response.

A small placebo-controlled study reported on the adjunct effect of 2 weeks oligofructoseenriched inulin (1:1) in 19 patients with mild to moderately active UC who also received 3 g/day of mesalamine. This study showed a significant reduction of the fecal inflammatory marker calprotectin in prebiotic-treated patients after 1 week compared to the placebo group, suggesting that these prebiotics were able to reduce chronic intestinal inflammation.⁸⁵

Welters et al 86 performed a crossover study in 24 patients with chronic pouchitis after colectomy for UC. The patients were given 24 g daily of inulin or placebo for 3 weeks. Inulin treatment resulted in decreased endoscopic and histological inflammation. This effect was associated with increased intestinal butyrate, lowered pH, and decreased numbers of Bacteroides fragilis.

Synbiotics

A special role is granted to synbiotics, the combination of pro- and prebiotics. Synbiotics immediately increase the amount of protective bacteria in the gut and have an indirect effect of stimulating endogenous protective intestinal bacteria by prebiotics. 87 Furrie et al 88 performed a double-blind randomized controlled study in 18 UC patients. Patients were fed a combination of Bifidobacterium longum, combined with oligofructose-enriched inulin (1:1) for 1 month. Rectal biopsies were collected before and at the end of treatment and mucosal immune markers were measured. After treatment there was a reduced endoscopic and microscopic colonic inflammation in synbiotic-treated patients versus controls, concomitant with a decrease of β -defensins mRNA, TNF- α , and IL-1 α .

In contrast to these positive results, a randomized-controlled trial in 30 CD patients using a mixture of 4 lactic acid bacterial strains (1010 Pediococcus pentosaceus, 1010 L. raffinolactis, 1010 L. paracasei susp paracasei 19, 1010 L. plantarum 2362) and 4 different fermentable fibers (2.5 g β -glucans, 2.5 g inulin, 2.5 g pectin, and 2.5 g resistant starch) did not prevent endoscopic disease recurrence 24 months after ileocecal resection, compared to placebo,89 although this study was likely underpowered.

Beneficial Effects of Prebiotics in Other Medical Conditions

Prebiotics are also reported to have beneficial effects in other GI disorders, such as improvement of infant diarrhea, infectious colitis, reduced risk of experimentally induced colon cancer, improvement of lipid metabolism, increased calcium absorption, alleviation of constipation, and improvement of food allergy.16,90

CONCLUSION

Prebiotics and synbiotics are emerging as promising nutraceuticals in various medical conditions, including IBD. Since prebiotics are easy to administer, inexpensive, and lack significant toxic side effects they may become an attractive alternative or adjunct to standard therapeutics in IBD.

Further understanding of protective mechanisms of prebiotics and interactions between the gastrointestinal tract, host immune system, and intestinal microflora will help to identify which prebiotics or synbiotics may be most effective in IBD. Future randomized, placebocontrolled large clinical trials are needed before we can implement prebiotics in our standard medical armamentarium for IBD.

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FIGURE 1. Molecular structure of inulin.

Research Findings that Suggest a Role for Intestinal Bacteria in the Pathogenesis of IBD

Animals kept under specific germ free conditions do not develop inflammation until bacteria are introduced^{91,92}

The number of adherent mucosal bacteria is increased in Crohn's disease patients⁹³

Inflammation and lesions generally occur in intestinal regions with the highest number of bacteria³

Antibiotic treatment is a viable treatment option for some IBD patients 94

Luminal and mucosa-associated microflora of IBD patients differs from healthy controls $95,96$

Diversion of the fecal stream induces clinical improvement in Crohn's patients⁹⁷

Dysbiosis Between Disease-inducing and Protective Intestinal Bacteria in Patients with IBD

Potential Candidate Prebiotic Substrates

* Proven prebiotics.

Proposed Benefits of Prebiotics to the Well-being and Health of the Animal Host

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TABLE 5

Efficacy of Prebiotics or Synbiotics in the Prevention or Treatment in Rodent IBD Models Efficacy of Prebiotics or Synbiotics in the Prevention or Treatment in Rodent IBD Models

Clinical Studies Testing Prebiotics or Synbiotics in the Treatment of Human IBD Clinical Studies Testing Prebiotics or Synbiotics in the Treatment of Human IBD

