Role of folic acid in nitric oxide bioavailability and vascular endothelial function

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Folic acid is a member of the B-vitamin family and is essential for amino acid metabolism. Adequate intake of folic acid is vital for metabolism, cellular homeostasis, and DNA synthesis. Since the initial discovery of folic acid in the 1940s, folate deficiency has been implicated in numerous disease states, primarily those associated with neural tube defects in utero and neurological degeneration later in life. However, in the past decade, epidemiological studies have identified an inverse relation between both folic acid intake and blood folate concentration and cardiovascular health. This association inspired a number of clinical studies that suggested that folic acid supplementation could reverse endothelial dysfunction in patients with cardiovascular disease (CVD). Recently, in vitro and in vivo studies have begun to elucidate the mechanism(s) through which folic acid improves vascular endothelial function. These studies, which are the focus of this review, suggest that folic acid and its active metabolite 5-methyl tetrahydrofolate improve nitric oxide (NO) bioavailability by increasing endothelial NO synthase coupling and NO production as well as by directly scavenging superoxide radicals. By improving NO bioavailability, folic acid may protect or improve endothelial function, thereby preventing or reversing the progression of CVD in those with overt disease or elevated CVD risk.

INTRODUCTION

Folic acid has long been known to be essential for the prevention of both macrocytic anemia in pregnant women and neural tube defects. $¹$ $¹$ $¹$ Initially identified in</sup> the 1940s, folic acid is critical for neural development in utero, and mandated folic acid fortification of foods has led to population-wide increases in blood folate concentrations in developed nations. $2-4$ Over the past decade, however, novel investigations of folate deficiency or disturbances in folate metabolism have revealed that additional supplementation of folic acid, ie, above the current average daily intake, may be an important strategy for maintaining or improving cardiovascular health.⁵⁻⁷ Specifically, high doses of folic acid are a welltolerated intervention to improve nitric oxide (NO) bioavailability in individuals with compromised endothelial function. $8-11$ The purpose of this review is to examine emerging evidence that high-dose folic acid supplementation improves NO synthase (NOS) coupling and subsequent NO bioavailability and thus may be efficacious in the prevention and treatment of cardiovascular disease (CVD).

Folate, a B vitamin, is a generic term used for compounds that have structure and functions similar to those of folic acid. Folic acid, however, is synthetically produced and is used in fortified foods and supplements on the basis that it is converted to biologically active, fully reduced forms by dihydrofolate reductase (DHFR). Mammals lack the necessary enzymes to synthesize folate de novo and therefore depend entirely on the ingestion of preformed folates and/or folic acid supplementation

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to meet their biological needs. Dietary sources of natural folates include green leafy vegetables, mushrooms, legumes, and liver. The bioavailability of folates in natural foods, however, is approximately 50% of that of the synthetic form of folic acid and varies greatly, depending on the food consumed.[12](#page-7-0) Consequently, supplementation with synthetic folic acid, such as that found in dietary supplements and used in food fortification, may be required to obtain the benefits of improved endothelial function observed in clinical randomized controlled trials of folic acid.^{8,13,14}

FOLATE METABOLISM

Folate metabolism is closely linked to homocysteine metabolism, and, as a result, increased plasma folate is associated with decreased plasma homocysteine (Figure 1). This homocysteine-lowering effect of folate has been suggested to contribute to the cardiovascular benefit conferred by folic acid supplementation. The role of hyperhomocysteinemia in CVD risk and the potential therapeutic role of folic acid supplementation for hyperhomocysteinemia are outside the scope of this review but are examined in detail elsewhere.^{[15–17](#page-7-0)} Recent studies, however, suggest that folate's role in improving vascular health via increased NOS coupling and NO bioavailability is independent of its homocysteinelowering effect. $7,10,18,19$

Folate is one of the so-called B-complex vitamins, a group of small water-soluble molecules that act as cofactors for specific enzymes, thereby enabling them to carry out their metabolic functions. In this context, the chief function of folate (in its chemically reduced bioactive form tetrahydrofolate) is to enable enzymes to

transfer one-carbon groups.^{[20](#page-7-0)} 5-Methyl tetrahydrofolate (5-MTHF) is the primary metabolite of folate that enters the circulation from the intestinal cells when tetrahydrofolate is converted to 5-MTHF. The conversion of folate to 5-MTHF is limited, however, and if enough folate is consumed orally, unmetabolized folate enters the circulation, 21 is taken up by cells, and is then reduced by DHFR to tetrahydrofolate^{[20](#page-7-0)} (Figure 1). 5-Methyl tetrahydrofolate is associated with improvements in NOS coupling and NO synthesis in $vivo$,^{[10,11,19,22](#page-7-0)} and, as such, both DHFR^{[23](#page-7-0)} and 5,10-methylene tetrahydrofolate reductase (MTHFR) are essential for the beneficial effects of high-dose folic acid supplementation on NO bioavailability.^{[19](#page-7-0)}

FOLIC ACID AND NITRIC OXIDE SYNTHASE COUPLING

The vascular endothelium is a cellular monolayer that plays a critical role in cardiovascular physiology in both health and disease. In the healthy endothelium, NO is synthesized by the constitutively expressed monoxygenase enzyme endothelial nitric oxide synthase (eNOS). Nitric oxide is a potent vasodilator that improves vascular health and function through its antithrombotic, antiangiogenic, and anti-inflammatory properties. The NOS enzyme is a dimer that relies on the presence of the essential cofactor tetrahydrobiopterin $(BH₄)$ and available substrate to couple the oxidation of L-arginine to the reduction of molecular oxygen to produce NO[.24,25](#page-7-0) When either substrate or cofactor bioavailability is limited, or when oxidant stress is elevated, the NOS dimer can destabilize and uncouple,^{[26](#page-7-0)} resulting in the production of superoxide radicals rather than $NO^{27,28}$ $NO^{27,28}$ $NO^{27,28}$ $NO^{27,28}$ $NO^{27,28}$ ([Figure 2](#page-2-0)).

Figure 1 Metabolism of folate. Abbreviations: 5-MTHF, 5-methyl tetrahydrofolate; MTHFR, 5,10-methylene tetrahydrofolate reductase; DHFR, dihydrofolate reductase; THF, tetrahydrofolate.

Figure 2 Schematic representation of nitric oxide synthesis. Nitric oxide synthase (NOS) requires adequate substrate (L-arginine) and cofactor (BH₄) availability to remain in its coupled conformation and produce nitric oxide (NO). In conditions of limited substrate or cofactor bioavailability and/or high oxidative stress, NOS uncouples and produces superoxide (O_2^-) rather than NO. Abbreviations: BH₄, tetrahydrobiopterin; NO, nitric oxide; NOS, nitric oxide synthase; O_2^- , superoxide; ONOO⁻, peroxynitrite; ROS, reactive oxygen species.

The critical role of NO bioavailability in vascular biology is well documented, and endothelial dysfunction – the reduced ability of the endothelium to produce NO – is a hallmark of all CVD.^{[28,29](#page-7-0)} As such, intervention strategies that preserve or restore endothelial production of NO and aid in the prevention and treatment of CVD are highly relevant.^{[30](#page-7-0)}

A number of case–control and prospective studies have identified an association between low folate intake, low blood folate concentration, and increased CVD risk.[31–39](#page-7-0) Conversely, in vivo human studies have shown that high-dose folic acid supplementation can ameliorate endothelial dysfunction as assessed by flowmediated dilation, an endothelium-dependent stimulus, in populations with overt cardiovascular and metabolic disease^{[22,40](#page-7-0)-43} and known endothelial dysfunction.^{[9](#page-7-0),[10](#page-7-0)} Similarly, high doses of folic acid can prevent NOS dysfunction induced by nitroglycerin and nitrate tolerance in the arterial circulation of healthy subjects, a phenomenon attributed to the protection of NOS coupling and NO synthesis.[44](#page-8-0) Putative mechanisms by which folic acid supplementation may mitigate vascular endothelial dysfunction and promote NO synthesis are likely mediated by 5-MTHF, the primary circulating metabolite of folic acid. $9,11$ These include increasing the bioavailability of the essential NOS cofactor BH₄ through stabilization of BH4 and/or recycling from dihydrobiopterin $(BH₂)$, direct interaction with NOS, and direct scavenging of reactive oxygen species, specifically superoxide radicals (Figure 3).

Figure 3 Proposed mechanisms by which 5-methyl tetrahydrofolate (5-MTHF) may increase nitric oxide (NO) synthesis and bioavailability. Abbreviations: 5-MTHF, 5-methyl tetrahydrofolate; BH₄, tetrahydrobiopterin; BH₂, dihydrobiopterin; NO, nitric oxide; NOS, nitric oxide synthase; O_2^- , superoxide; ONOO $^-$, peroxynitrite; ROS, reactive oxygen species.

FOLIC ACID AND TETRAHYDROBIOPTERIN BIOAVAILABILITY

Tetrahydrobiopterin $(BH₄)$ serves as an essential cofactor for pteridine-requiring monoxygenase enzymes and therefore plays a critical role in NOS dimerization and NO production.^{[45](#page-8-0)} Reduced bioavailability of $BH₄$ contributes to the endothelial dysfunction associated with many cardiovascular pathologies, $46-49$ and in vivo human studies demonstrate that administration of exogenous BH4 improves endothelium-dependent measures of vessel function in populations that exhibit diminished endothelial function.[50–55](#page-8-0) Elevated oxidative stress may deplete bioavailable BH4 by direct oxidation of the existing $BH₄$ to $BH₂$ and/or by decreasing de novo $BH₄$ synthesis in vivo.^{[56](#page-8-0)}

Adequate folate bioavailability can contribute to the restoration of BH₄ bioavailability by several mechanisms. 5-Methyl tetrahydrofolate can increase the effectiveness of $BH₄$ in eNOS coupling, a phenomenon attributable to improved redox state and/or enhanced binding affinity of $BH₄$ to eNOS.^{[11](#page-7-0)[,57](#page-8-0)} 5-Methyl tetrahydrofolate can also increase the in vivo production of $BH₄$ from its inactive form $BH₂$ by upregulating activity of DHFR in the biopterin recycling pathway.^{[7](#page-7-0)[,58](#page-8-0)} This upregulated recycling serves to both increase $BH₄$ bioavailability and decrease the presence of $BH₂$, which may act as a competitive inhibitor of $BH₄$ binding to NOS.[58](#page-8-0),[59](#page-8-0) The chemical structure of 5-MTHF is very similar to that of $BH₄$. Using a computer modeling system, Hyndman et al.^{[60](#page-8-0)} demonstrated that 5-MTHF is capable of directly binding to NOS to promote NO production. However, this is in direct contrast to the findings of Stroes et al., 61 who found that 5-MTHF has no effect when eNOS is depleted of $BH₄$ in vitro.

While further studies are necessary to identify the precise mechanisms by which 5-MTHF may increase BH4 bioavailability, in vivo human studies have mechanistically delineated the efficacy of direct 5-MTHF administration and high-dose folic acid supplementation in increasing $BH₄$ bioavailability and improving NOdependent vasodilation. Intravenous infusion of 5- MTHF prior to vessel harvest in patients undergoing coronary artery bypass graft surgery improves NOdependent vasodilation, an effect mediated by increased vascular $BH₄$ and an improved ratio of $BH₄$ to total bio-pterin.^{[11](#page-7-0)} Similarly, direct administration of 5-MTHF acutely improves NO-mediated vasodilation in the mi-crovasculature of healthy older adults.^{[10](#page-7-0)} In studies of folic acid supplementation, 7 weeks of oral folic acid treatment in patients with coronary artery disease increased NO-mediated vasomotor responses, decreased vascular superoxide, and improved eNOS coupling, all by means of increased availability of BH₄.^{[62](#page-8-0)} Recently, a series of studies using acute and chronic oral sapropterin (the pharmaceutical analog to $BH₄$) treatment^{[63](#page-8-0)} and chronic folic acid treatment^{[10](#page-7-0)} found that 5 mg of folic acid taken once daily for 6 weeks improves endothelium-dependent vasodilation measured in the cutaneous circulation of older adults to the same extent as that observed following pharmaceutical $BH₄$ administration (Figure 4). Further, this increase in vasodilator

function was mediated entirely by improvements in NOS synthesis of NO.^{[10,](#page-7-0)[63](#page-8-0)}

Collectively, the biochemical and in vitro data suggesting that increasing bioavailable folate increases NOS coupling and NO synthesis through increased BH4 availability are well supported by the few in vivo human studies that have mechanistically examined this hypothesis. However, few human studies have directly measured plasma 5-MTHF and $BH₄$ bioavailability simultaneously, and further in vivo studies elucidating the mechanistic role of folic acid supplementation in improved $BH₄$ (and subsequent NO) bioavailability in CVD are warranted.

ANTIOXIDANT PROPERTIES OF FOLIC ACID

Elevated oxidative stress due to increased production of reactive oxygen species contributes to NOS uncoupling, quenches available NO, and depletes substrate and cofactor availability, all of which have been implicated in the pathogenesis of endothelial dysfunction in CVD. Antioxidants such as vitamin C can ameliorate endothe-lial dysfunction observed in patients with overt CVD, 65-[69](#page-8-0) but the efficacy of chronic antioxidant therapy in the form of vitamin C supplementation for the prevention of CVD is controversial. There is evidence that folic acid exerts both direct and indirect antioxidant effects, such as free radical scavenging, 70 protection against oxidative modification of human low-density lipoproteins, 71 and improvement of cellular antioxidant defense. $72,73$ $72,73$ 5-Methyl tetrahydrofolate reduces superoxide radicals produced by recombinant eNOS and xanthene oxidase

Figure 4 Increased cutaneous vasodilation following oral sapropterin or folic acid administration in healthy older adults. Cutaneous vasodilation response to whole-body heat stress (increase in mean body temperature $= 1.0^{\circ}$ C) in older adults following placebo and acute sapropterin (10 mg/kg body weight; $n = 9$), chronic sapropterin (400 mg twice daily for 4 weeks; $n = 4$), or chronic folic acid (5 mg once daily for 6 weeks; $n = 11$). Adapted from Stanhewicz et al.⁶⁴ and Stanhewicz et al.¹⁰

in vitro 22 22 22 and abolishes homocysteine-induced production of superoxide radicals in cultured porcine endothelial cells.[74](#page-8-0)

In vivo human studies examining the antioxidant role of folic acid supplementation in preserved or restored endothelial dysfunction are less conclusive. Endothelial dysfunction observed in healthy humans following an acute oral fat load was abolished by 2 weeks of folic acid pretreatment, an effect associated with a decreased production of malondialdehyde, an end product of lipid peroxidation.[75](#page-8-0) However, those findings are in contrast to the results of other studies in which folic acid treatment alone had no effect on plasma malondialdehyde concentrations yet still improved vascular endothelial function in patients with coronary artery disease.^{[74,76](#page-8-0)} In the study by Title et al.,^{[76](#page-8-0)} plasma malondialdehyde concentrations were lowered in patients with coronary artery disease when folic acid was administered along with the antioxidant vitamins C and E but were unchanged following folic acid treatment alone. There was no added increase in vascular endothelial function in the group receiving folic acid plus vitamins C and E. However, Cagnacci et al.^{[9](#page-7-0)} recently found that oral supplementation with 5-MTHF at 15 mg/d for 3 weeks decreased oxidative stress in postmenopausal women. This decrease was strongly correlated with a decline in nocturnal blood pressure.

The relevance of folic acid supplementation and increased plasma 5-MTHF as an effective antioxidant strategy for improving endothelial function in vivo remains controversial and unresolved. The scavenging potency of 5-MTHF is approximately 20-fold lower than that of vitamin $C⁶¹$ $C⁶¹$ $C⁶¹$ Therefore, the high concentrations of 5-MTHF utilized in vitro are not attainable in vivo following oral administration of folic acid. In addition, by increasing the bioavailability of $BH₄$, which is a potent antioxidant, and increasing NOS coupling, thereby reducing superoxide production by the uncoupled dimer, increases in folate bioavailability may reduce oxidative stress secondary to its role in NOS function. This possibility makes it difficult to tease out direct antioxidant effects that folate and/or 5-MTHF may have in vivo.

PHARMACOLOGICAL CONSIDERATIONS OF FOLIC ACID IN THE TREATMENT OR PREVENTION OF ENDOTHELIAL **DYSFUNCTION**

Supplemental folic acid is readily absorbed in healthy young adults, with \geq 90% appearing in the circulation.⁷⁷⁻⁷⁹ Folic acid is absorbed mainly in the small intestine and, at low doses, is converted by the liver and excreted into the systemic circulation mainly as 5- MTHF.^{[79,80](#page-8-0)} However, at oral doses of 200 µg or greater, the relatively low capacity of the human liver to reduce folic acid is exceeded, and folic acid reaches the sys-temic circulation unmetabolized.^{[21,](#page-7-0)[80](#page-8-0)} Human studies of folate supplementation demonstrate that oral folic acid increases plasma and red blood cell folate concentrations in a time- and dose-dependent manner.^{77,81-83} Furthermore, Hao et al.^{[83](#page-8-0)} demonstrated that, upon cessation of a chronic dosing regimen, blood folate concentrations declined rapidly but remained elevated above baseline 3 months after cessation of the supplementation in subjects who had taken the highest doses $(>400 \mu g/d)$. Studies of age-related changes in the pharmacokinetics of folic acid supplements suggest that age may reduce the absorption of folic acid.^{[84](#page-8-0)} Interestingly, this same study found that, contrary to young subjects, older adults did not show increased excretion of folic acid following supplementation.⁸⁴ Overall, human studies of folic acid supplementation report that oral dosing regimens increase plasma and red blood cell folate concentrations in a dose-dependent manner, and that the depletion of these folate stores occurs rapidly after cessation of a treatment regimen. Further study of age- and pathology-specific effects on the absorption, distribution, and elimination of folic acid is warranted.

In general, folic acid supplementation is considered safe, and there is little evidence that high natural folate intake poses a risk of acute toxicity.^{[85](#page-8-0)} However, whether there is long-term risk associated with chronic highdose folic acid intake is debatable. $86-90$ $86-90$ $86-90$ The current Recommended Dietary Allowance (RDA) for folate is 400 mg/d for adults in the United States, and since the initiation of mandatory fortification in 1998, the prevalence of low serum or red blood cell folate in the US population is \leq 1%.^{[91,92](#page-8-0)} The main safety concern associated with folate supplementation is the potential for folate to mask the diagnosis of pernicious anemia, since high folic acid concentrations treat the anemia but al-low the neuropathy to progress undiagnosed.^{[93,94](#page-8-0)} In fact, in one study of folic acid fortification and vitamin B_{12} deficiency, high serum folate exacerbated cognitive symptoms and anemia in older adults.^{[95](#page-8-0)} Thus, vitamin B_{12} levels should be monitored before and during treatment with supplemental folic acid. Similarly, 5-MTHF, although readily available in the plasma, relies on the vitamin B_{12} -dependent enzyme methionine synthase for conversion to tetrahydrofolate ([Figure 1](#page-1-0)). In cases of B12 deficiency, 5-MTHF may not be incorporated into the cellular folate pool, although whether this step is required for improved endothelial function remains unclear. Despite this, studies from the Framingham Heart Study revealed that the benefits of folate fortification through projected decreases in plasma homocysteine and reduced CVD risk greatly outweigh the risk of masked conditions of anemia.^{[96](#page-8-0)} Also of note, some

evidence suggests that high folic acid supplementation, mainly from fortified grain products, may enhance the development and progression of already existing, yet undiagnosed, premalignant and malignant colorectal cancer lesions.^{[97](#page-8-0)} Conversely, epidemiological data support the concept that higher folate status offers protection against several cancers $98,99$ $98,99$ $98,99$ and that folate supplementation may reduce the risk of cancer in popu-lations with low folate status.^{[100](#page-9-0)} Overall, no confirmed adverse outcomes of folic acid use for homocysteine lowering have been reported for randomized controlled trials in which daily folic acid doses were 800 to $2500 \mu g$. $^{101-103}$

Although the general population of North America – where fortification of foods is mandated – on average achieves the RDA of $400 \mu g/d$ for folate, clinical studies of folate and 5-MTHF in the restoration of endothelial function suggest that higher doses of oral folic acid are required to confer benefits on cardiovascular endpoints. Although no human trials have specifically conducted dose–response studies of supplemental folic acid and endothelial function, it appears that daily doses \geq 5 mg are efficacious, while daily doses \leq 2.5 mg lower plasma homocysteine concentrations but do not reduce CVD risk ([Table 1](#page-6-0)[10](#page-7-0),[22,40,](#page-7-0)[44,57,75,76,](#page-8-0)[102](#page-9-0),[104–115](#page-9-0)). The closest example of this is a series of placebo-controlled studies conducted in healthy male volunteers, which found that pretreatment with folic acid at 10 mg/d for 1 week prevented nitroglycerin intolerance and nitroglycerin-induced endothelial dysfunction,^{[44](#page-8-0)} while folic acid at 1 mg/d for 1 week in the same population using the same protocol did not.¹⁰⁴ Similarly, a meta-analysis of randomized controlled clinical trials of folate supplementation for improved blood pressure in hypertensive patients found that daily doses \geq 5 mg were required to improve flow-mediated dilation.^{[8](#page-7-0)} This potential doseresponse relation may explain the disparity in the literature between the finding that high doses of folic acid improve cardiovascular endpoints through restoration of endothelial NO bioavailability and the finding that lower doses that simply decrease homocysteine concentrations do not prevent the incidence of cardiovascular events (Table 1).^{[116](#page-9-0)} Interestingly, these improvements in endothelium-dependent dilation may occur even in the absence of changes in clinical findings (ie, measures of blood pressure) and likely improve overall CVD outcomes through the many atheroprotective properties of NO[.8,14](#page-7-0) Importantly, there is no additional benefit of higher daily doses of folic acid on plasma homocysteine lowering, further suggesting that the apparent dose dependency of the endothelial response is independent of plasma homocysteine interactions. Interestingly, even very high daily doses of folic acid (up to 40 mg) have not been effective in improving endothelial function,

NO bioavailability, or incidence of CVD mortality in patients with chronic kidney disease,^{[103,105,117](#page-9-0)} although the rationale for the lack of benefit in this population is currently unknown.

Overall, the available clinical data suggest that supplemental folic acid treatment at \geq 5 mg/d is effective in improving NO-dependent vasodilation in patients with compromised endothelial function, with the exception of patients with chronic kidney disease. Coupled with the in vitro data, it is assumed that this improvement occurs through increased NOS coupling and subsequent increases in NO bioavailability, independent of decreases in plasma homocysteine. In support of this theory, evidence that lower daily doses of folic acid (\leq 2.5 mg) effectively lower plasma homocysteine but do not confer cardiovascular benefit suggests that folate, in higher doses, has a primary effect on vascular endothelial function. Further studies of this potential dose–response relation are essential for determining if a threshold exists beyond which folic acid supplementation is effective in reducing or preventing CVD in at-risk populations. Notably, long-term trials (>1 year follow-up) with higher doses of folic acid are lacking, raising the question of whether folic acid is a valid long-term prevention strategy. Prolonged clinical trials of high-dose folic acid are clearly warranted to determine if high-dose treatment regimens are effective in decreasing the incidence of recurrent CVD and CVD-related mortality in patients with attenuated endothelial function.

CONCLUSION

Bioavailable folates, primarily the circulating metabolite 5-MTHF, contribute to enhanced endothelial function by increasing NO bioavailability within the vascular endothelium. In patient populations in whom endothelial function is compromised, folic acid supplementation at \geq 5 mg/d can effectively restore endothelium-dependent vasodilation, even in those who previously met the RDA of $400 \mu g/d$ for folate. There are two putative mechanism(s) by which bioavailable folate restores NO bioavailability: (1) increased NOS coupling, via direct interaction with the NOS dimer and/or increased availability of the essential NOS cofactor BH₄; and (2) direct scavenging of deleterious reactive oxygen species, which preserves bioavailable NO. It remains unclear if, as previously thought, the homocysteine-lowering effect of folic acid supplementation directly benefits the endothelium; however, careful consideration of the literature suggests that simply lowering plasma homocysteine does not improve CVD outcomes and that higher daily doses $(\geq)5$ mg) of folic acid are required to confer endothelial benefits, even if they do not lower plasma homocysteine further. Further in vivo mechanistic research in humans

will shed light on the specific role of folate in endothelial NO production and NO bioavailability, while clinical trials of daily folic acid doses \geq 5 mg are essential for the assessment of folic acid supplementation as a viable longterm strategy for the prevention and treatment of endothelial dysfunction in CVD. Collectively, folic acid represents a well-tolerated and readily available potential treatment for endothelial dysfunction, which may translate to improved outcomes in patients with overt CVD and/or elevated CVD risk. Further clinical trials should help clarify the long-term efficacy of high-dose folate in the prevention and treatment of CVD.

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