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## Full Antagonism of the Estrogen Receptor without a Prototypical Ligand Side Chain

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### Abstract

Resistance to endocrine therapies remains a significant clinical problem for estrogen receptor- $\alpha$  (ER $\alpha$ )-positive breast cancer. On-target side effects limit therapeutic compliance and use for chemoprevention, highlighting an unmet need for new therapies. Here we present a full-antagonist

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#### Author contributions

S.S. and J.C.N. contributed equally to this work. S.S. and J.C.N. designed and performed (experiments, and wrote the manuscript; N.E.B., V.C., and J.N. performed in vitro experiments; P.R.G., S.N., V.D. and D.G. designed, performed and interpreted HDX based experiments; J.F. and I.K. contributed mammosphere assays; B.S.K., N.B. and Y.Z. designed and contributed experiments including in vivo experiments in mice; H.B.Z., J.A.K., and J.M. designed, synthesized and performed chemical analysis of compounds; B.S.K. and J.A.K. contributed in writing and revising of the manuscript; K.W.N. designed experiments and wrote the manuscript.

ligand series lacking the prototypical ligand side chain that has been universally used to engender antagonism of ER $\alpha$  through poorly understood structural mechanisms. A series of crystal structures and phenotypic assays reveal a structure-based design strategy with separate design elements for antagonism and degradation of the receptor and access to a structurally distinct space for further improvements in ligand design. Understanding structural rules that guide ligands to produce diverse ER $\alpha$ -mediated phenotypes has broad implications for the treatment of breast cancer and other estrogen-sensitive aspects of human health including bone homeostasis, energy metabolism, and autoimmunity.

## Introduction

Estrogen receptor- $\alpha$  (ER $\alpha$ )-positive breast cancer is initially associated with better prognosis than ER $\alpha$ -negative disease, with improved overall survival at 5 years across age groups<sup>1</sup>. The majority of breast cancer metastases and fatalities still result from ER $\alpha$ -positive disease since 70–80% of all breast cancer cases are ER $\alpha$ -positive<sup>2,3</sup>. ER $\alpha$  has been the most successful biomarker in cancer, directing patients to one of several types of endocrine therapy, but *de novo* and acquired resistance remain significant problems in up to half of patients. Efforts to improve endocrine therapies have been limited by a lack of structural information explaining the agonist activity profiles of selective estrogen receptor modulators (SERMs), distinguishing full antagonists such as fulvestrant from SERMs, or clarifying the contribution of ER $\alpha$  degradation to clinical efficacy of selective estrogen receptor degraders (SERDs) such as GW5638 and new SERDs in clinical trials (NCT02248090, NCT01823835)<sup>4,5</sup>.

ER $\alpha$  regulates transcription by orchestrating recruitment, dismissal and recycling of coregulator complexes that in turn control the basal transcriptional machinery and catalyze posttranslational modification of histones and other DNA-associated proteins to remodel chromatin and regulate gene expression<sup>6–8</sup>. Binding of an agonist stabilizes a coactivator-binding surface of the ligand-binding domain (LBD) called activation function-2 (AF2), and also stimulates coactivator recruitment to another site called activation function-1 (AF1) in the AB domains (Supplementary Results, Supplementary Fig. 1a). In the active LBD conformation helix-12 (h12) in the LBD docks across helix-11 and helix-3 (h11 and h3) to form one side of the AF2 surface (see Supplementary Fig. 1b)<sup>9,10</sup>. Anti-estrogens including SERMs and SERDs have a bulky side chain that protrudes between h3 and h11 to directly relocate h12 (Supplementary Fig. 1c–h), and thereby disrupt the active LBD conformation, block coactivator recruitment, and inhibit expression of mitogenic ER $\alpha$ -target genes<sup>9–12</sup>. We describe these ER ligands as “direct antagonists” because their side chains directly displace h12 from its agonist-induced position. Minor changes in the orientation and composition of the side chain have been used to fine-tune SERM and SERD activity, including efficacy and on-target side effects<sup>13,14</sup>.

Here we present the discovery of a series of SERDs lacking a prototypical side chain. These compounds are based on a 7-oxabicyclo[2.2.1]hept-5-ene-sulfonamide (OBHS-N) scaffold, and operate through a structural mechanism that we call “indirect antagonism”. We previously showed that partial agonist activity could be generated by *indirectly* influencing

the docking of h12 against h11, through ligand-induced shifts in h11<sup>15–17</sup>. We now demonstrate that a similar mechanism can be used to generate a full antagonist profile and induce robust ER $\alpha$  degradation.

## Results

### OBHS-N ligands are full antagonists

We generated several series of 7-oxabicyclo [2.2.1] hept-5-ene (OBH) compounds containing two phenols, one of which mimics the A-ring of E2 in binding the conserved hydrogen bonding amino acids Glu351 and Arg394, while the other mimics what we call the *E-ring* in tamoxifen, representing the traditional site for SERM/SERD side chain addition (Fig. 1a). Addition of a phenyl sulfonate led to OBHS compounds that generated a range of partial agonist activities. The parental OBHS compound demonstrated a dissociated phenotype with the strong anti-inflammatory properties of E2, but lacking proliferative or anti-proliferative activity<sup>16,17</sup>. Here we used a sulfonamide linker to attach the h11-directed phenyl group (R<sub>1</sub>), which allowed us to add a second functional group (R<sub>2</sub>) to the nitrogen atom (Fig. 1a), and thereby generate a series of OBHS-N compounds with a variety of h11-directed substitutions (compounds **1–13**, Fig. 1b). The Diels-Alder cycloaddition with furan produced *exo* diastereomers as racemates. We previously published the synthesis, binding affinities and EC<sub>50</sub>/IC<sub>50</sub> reporter activity for these compounds<sup>18</sup>, and the dose curves are shown in Supplementary Figure 2.

We first evaluated effects of the ligands in cell culture models. The anti-proliferative effect of the compounds was determined by the size of the alkyl R<sub>2</sub> group on the sulfonamide linker, with very little effect coming from the type of R<sub>1</sub> substitution on the phenyl. Ligands with smaller R<sub>2</sub> substituents stimulated proliferation, whereas those with bulkier R<sub>2</sub> groups inhibited proliferation of MCF-7 cells as effectively as 4-hydroxytamoxifen (4-OHT) and fulvestrant (Fig. 2a). None of the OBHS-N compounds inhibited proliferation of ER-negative breast cancer (MBA-MB231) cells, or a panel of hormone-independent ovarian cancer cell lines (Fig. 2a, Supplementary Fig. 3a). Dose response curves were measured for **11–13**, which were the only OBHS-N analogs that showed both inhibition of proliferation and efficient ER $\alpha$  degradation (shown below). These compounds showed lower potency than 4-OHT or fulvestrant, but similar efficacy in ER $\alpha$ -positive MCF-7 and ZR-75 breast cancer cells (Fig. 2b, Supplementary Fig. 3b). Next, the effects of **12** were examined under non-adherent conditions using a mammosphere formation assay, as non-attachment growth provides a distinct measure of oncogenesis compared to growth on plastic<sup>19</sup>. Compound **12** inhibited the number of mammospheres formed as effectively as fulvestrant (Supplementary Fig. 3c–d).

Although ER $\alpha$  mediates proliferative signals required for estrogen-dependent breast cancer progression, ER $\alpha$  activity is also associated with a better prognosis, which may relate to inhibition of inflammatory and oncogenic pathways activated by NF- $\kappa$ B. ER $\alpha$  is recruited to cytokine promoters by tethering to NF- $\kappa$ B or AP-1 proteins<sup>20–23</sup>. We examined inhibition of TNF $\alpha$ -induced secretion of IL-6, a cytokine associated with oncogenesis and worse prognosis in breast cancer. Importantly fulvestrant, which fully antagonizes E2-mediated suppression of IL-6<sup>23</sup>, did not inhibit IL-6 secretion, unlike tamoxifen, which acts as a

partial agonist to inhibit IL-6 secretion (Fig. 2c). There was a structure-activity relationship with the OBHS-N compounds where once again, R<sub>2</sub> determined the anti-inflammatory response (Fig. 2c). Importantly, the trifluoroethyl OBHS-N compounds profiled as full antagonists with respect to proliferation but show greater suppression of TNF $\alpha$ -induced IL-6 secretion compared to fulvestrant.

### Inhibition of the canonical ER $\alpha$ signaling pathway

We developed a chemical biology platform equipped with a battery of assays to probe various mechanistic aspects of ER signaling<sup>24</sup>. Consistent with the observed effects on cell proliferation, the R<sub>2</sub> group determined induction of the *MYC* and *GREB1* genes, with the bulkier substitutions engendering inverse agonism comparable to fulvestrant (Fig. 3a). To probe for SERM agonist activity in other cell types, we used well-known model systems where ligands activate a 3xERE-driven luciferase reporter. Full antagonism of ER $\alpha$  was observed with the trifluoroethyl R<sub>2</sub> compounds in HepG2 cells (Fig. 3b). Activity of OBHS-N analogs in the proliferation assays and native gene assays closely matched their ER $\alpha$  agonist activities in Ishikawa and HepG2 cells where tamoxifen produces approximately 10% and 40–50% reporter activity respectively, compared to E2 (Supplementary Fig. 3e–f). All OBHS-N analogs behaved as full antagonists or inverse agonists of ER $\beta$ <sup>18</sup> (Supplementary Fig. 2). We also repeated the agonist assay in HepG2 cells with the truncated receptor, ER $\alpha$ -AB, which lacks AF1 (Supplementary Fig. 1a). Only the H substituted R<sub>2</sub> compounds showed activity in this assay, demonstrating that most of the agonist activity was AF1-dependent (Fig. 3c). Thus, the R<sub>2</sub> group of OBHS-N analogs controls AF1-dependent agonist activity, with bulkier substitutions driving full antagonism of ER $\alpha$ -mediated transcription and cell proliferation.

To further understand their effects on ER $\alpha$ -mediated transcription, we tested whether OBHS-N analogs modulate events preceding RNA polymerization, such as receptor dimerization, DNA binding and coactivator recruitment. While there was no structure-activity relationship with dimerization or DNA binding (not shown), OBHS-N ligands induced ER $\alpha$  interactions with the coactivators, SRC2 (NCOA2/GRIP1) and SRC3 (NCOA3/AIB1), that were proportional to the size of the R<sub>2</sub> group (Fig. 3d–e). OBHS-N ligands with bulkier R<sub>2</sub> groups showed less coactivator recruitment than fulvestrant, especially with SRC3 (Fig. 3e). Overall, our panel of biochemical assays indicates that OBHS-N ligands show a graded signaling profile, where antagonism of ER $\alpha$ -mediated coactivator recruitment, transcription and cell proliferation are directly proportional to the size of the R<sub>2</sub> group.

To probe for SERM agonist and antagonist activity *in vivo*, we compared the effects of E2, fulvestrant and OBHS-N compounds **12** and **13** alone and together with E2 on uterine growth in ovariectomized mice. Compounds **12** and **13** were devoid of any agonist activity in the mouse uterus, but each significantly reduced the E2-stimulated uterine growth, as was also observed with fulvestrant (Fig. 3f). Further, compounds **12**, **13**, and fulvestrant failed to increase the expression of E2-induced genes, complement component C3, lactoferrin, and aquaporin 5, but markedly reduced the E2-stimulated expression levels of these genes (Fig.

3g, Supplementary Fig. 3g). Thus these compounds behave like fulvestrant in both blocking growth of breast cancer cells, and being completely devoid of uterotrophic activity in vivo.

## Modulation of ER $\alpha$ degradation

Compounds **10–13** strongly induced receptor degradation, including those with the trifluoroethyl R<sub>2</sub> group or the  $\alpha$ -naphthyl R<sub>1</sub> group (Fig. 4a). Compounds with a trifluoroethyl R<sub>2</sub> group led to the lowest ER $\alpha$  protein levels, but R<sub>1</sub> also had an independent effect. Among OBHS-N ligands with either the ethyl or trifluoroethyl R<sub>2</sub> group, compounds containing a *para*-bond at R<sub>1</sub>, including 4-chloro-, 4-methoxy- and  $\alpha$ -naphthyl- R<sub>1</sub> groups, lowered ER $\alpha$  protein levels more than those with an *ortho*- or *meta*-substituted aryl group (Fig. 4a–b). Full images of gels are shown in Supplementary Figure 4. A similar efficacy was seen in T47D cells (Supplementary Fig. 5a). ER $\alpha$  degradation was attributable to proteasomal activity, as shown by its blockade with the proteasome inhibitor, MG132 (Supplementary Fig. 5b). Consistent with the affinity of **12** in the 30 nM range<sup>18</sup>, dose curves in MCF-7 cells showed a requirement for a similar dose of **12** to maximize receptor loss (Fig. 4c). To verify that these compounds cause ER $\alpha$  degradation in vivo, we performed immunohistochemistry on mouse uterine tissue after the uterotrophic assay and counted numbers of ER $\alpha$ + cells. We found that **12** robustly inhibited ER $\alpha$  expression, as did fulvestrant, while **13** showed a statistically significant but more moderate inhibition (Fig. 4d). Taken together, these results suggest that full antagonism and proteasomal degradation of ER $\alpha$  are partially separable consequences of ligand binding, and are controlled by distinct structural features of ligand-receptor interaction.

## On-target mechanism of action

To show that the OBHS-N compounds are acting through ER $\alpha$  we used competition with control ER $\alpha$  ligands. We first demonstrated that **12** and **13** reversed E2-mediated proliferation (Supplementary Fig. 6a). In both the ERE-luciferase assay and the M2H assay of ER $\alpha$  interaction with SRC3, cells were treated with 1  $\mu$ M **12** or **13**, and inhibition was completely reversed by increasing doses of E2 (Supplementary Fig. 7–8). Similarly, the inverse agonist effect of **12** and **13** on the *GREB1* mRNA was fully reversed by increasing doses of E2 (Supplementary Fig. 9a), while the non-ER $\alpha$  target gene, *AKT1*, was unaffected by ER $\alpha$  ligands including **12** and **13** (Supplementary Fig. 9b). The anti-proliferative effects of **12** and **13** were fully reversed by increasing doses of E2 in the MCF-7 cells (Supplementary Fig. 10). Importantly, the effects on ER $\alpha$  degradation were also reversible. Since E2 also induces degradation, we co-treated MCF-7 cells with 4-OHT, which does not induce degradation and fully reversed the effects of **12** and **13** (Fig. 4e). Thus we did not detect any off-target effects that led to toxicity, and degradation was ER $\alpha$  mediated and through binding to the pocket.

We also recently reported a meta-analysis of 241 compounds from 19 different scaffolds that included the OBHS-N compounds<sup>25</sup>, and used linear regression to identify which ER $\alpha$ -mediated signal transduction features predicted the proliferative response to the ligands. For some scaffolds, the induction of *GREB1* mRNA and recruitment of SRC3 in the mammalian 2-hybrid assay predicted the proliferative response. OBHS-N compounds showed the

strongest predictions, and over 90% of their anti-proliferative effect was explained by SRC3 recruitment ( $r^2 = 0.92$ ) and induction of *GREB1* ( $r^2 = 0.92$ )<sup>25</sup>. Here we found that *MYC* expression also strongly predicted their proliferative effects on MCF-7 cells ( $r^2 = 0.73$ ,  $p = 0.0002$ , F test for non-zero slope)(Supplementary Fig. 6b), supporting an ER $\alpha$ -mediated mechanism of action.

### Helix-11 positioning determines ER $\alpha$ antagonism

We obtained crystal structures of the ER $\alpha$  LBD in complex with seven distinct OBHS-N ligands using a surface mutation (Y537S) (Supplementary Table 1, Supplementary Fig. 11a–g) that greatly facilitates crystallization by stabilizing the agonist conformer and allowing soaking of apo crystals<sup>16,26–28</sup>. This procedure also allows us to crystallize ligands with a range of activity profiles, including partial agonists that induce conformational heterogeneity in h12 and are difficult to crystallize, and to visualize how the range of ligands interact with, and disrupt the active conformation of the receptor<sup>23,24,29</sup>. The Y537S mutation occurs naturally in hormone-resistant metastatic breast cancer<sup>30–33</sup>, provide the additional benefit of understanding how ER ligands behave in a clinically relevant context. A caveat of this approach is that the A chains in the dimer display a crystal packing contact on the C-terminus of h11 that limits ligand-induced perturbation of this helix and can alter ligand binding<sup>23,24,29</sup>. As with our recent meta-analysis<sup>34</sup>, the following analyses are for the B chains of the structures unless indicated.

In all 7 structures, the B chain bound unambiguously to the (1S,2R,4S) OBHS-N enantiomer (Supplementary Fig. 11), as found in structures bound to the OBHS and S-OBHS series<sup>34</sup>. Compound **1**, where R<sub>2</sub> is a hydrogen atom, binds ER $\alpha$  in an orientation with the two phenols mimicking the A-ring and the “E-ring” of tamoxifen to form hydrogen bonds with the receptor (Fig. 5a, Supplementary Fig. 1d). The parental R<sub>1</sub> aryl group in **1** bound against h11, strongly clashing with the agonist positioning of His524, and inducing a 1.1 Å shift in the main chain end of h11 (Fig. 5b), altering the packing between h11 and h12 (Supplementary Fig. 12a). With compound **4**, the methyl R<sub>2</sub> shifted Leu525 even further, inducing a rotation in the h11 C-terminus and further altering the interface between h11 and h12 (Supplementary Fig. 12b).

In the compound **11**-bound structure, the trifluoroethyl R<sub>2</sub> group caused the ligand to bind in a mixture of conformations (Supplementary Fig. 11f), with rotation around the sulfonamide bond, so that the R<sub>1</sub> and R<sub>2</sub> groups switched positions for a mixture with 57% of the molecules having the R<sub>1</sub> group flipped towards h12, as determined by refining the occupancy of both conformers. The positioning of larger R<sub>2</sub> groups towards Leu525 clashed even more strongly, inducing a 2.5Å shift in h11, and rendering the C-terminus of h11 completely disordered (Fig. 5c, Supplementary Fig. 12c).

When bound to a full agonist such as E2, h12 Leu544 docks against a hydrophobic groove on h11, formed by Leu525 and the aliphatic regions of Tyr526 and Lys529. In contrast, compound **11** induced a shift in h11 that forced h12 Leu544 out of the hydrophobic groove (Fig. 5d). Thus, our conformation-trapping approach revealed a conformer of h11 that lacks the extensive VDW contacts with h12 required to stabilize the active LBD conformation in solution. Here crystal packing and the Y537S mutation stabilize this active h12 conformer,



but in solution, we expect this h12 conformer to be rare, explaining how the ligand-induced positioning of h11 drives full antagonism.

To verify the binding mode of the ligands in solution, we obtained a binding footprint using amide hydrogen/deuterium exchange mass spectroscopy (HDX-MS). This measures perturbations in hydrogen bonding network due to ligand binding by measuring the differences in uptake of solvent deuterium and is reflective of the conformational changes induced by ligand interactions. Using purified ER $\alpha$ -Y537S LBD, E2 induced protection from exchange (decreased D<sub>2</sub>O uptake) in the h3, h11, and h12 regions (Fig. 5e). Whereas **13** induced moderate protection from exchange in the h11 region while h12 was unperturbed with either **12** or **13**. This is consistent with our interpretation of the crystal structures that support lack of stabilization at the end of h11 and the h11–12 loop in the presence of **12** and **13**.

### Degradation is partially separable from antagonism

We identified a structural feature of the ligand-receptor interaction that drives increased degradation from the *para* R<sub>1</sub> substitutions. With compounds **7**, **9** and **13**, the *para* R<sub>1</sub> substitutions that contributed to degradation flipped the R<sub>2</sub> and aryl group around the sulfonamide linker (Supplementary Fig. 11d–g). This occurred because the *para* substitution would clash with the backbone carbonyl of Glu419 in the loop between h7 and h8, which prevented the R<sub>1</sub> group from binding there (Fig. 5f–g and Supplementary Fig. 12d–e). In the context of an ethyl R<sub>2</sub> group, the *para*-substituted R<sub>1</sub> in **7** or **9** induced rotation of the amide moiety, and thereby redirected the R<sub>1</sub> group towards Leu525 and helix-12 (Supplementary Fig. 12f–g). Thus rotation of the amide moiety explains why the trifluoroethyl R<sub>2</sub> group drives degradation of ER $\alpha$  in the absence of a *para*-substituted R<sub>1</sub> group, and why the *para*-substituted R<sub>1</sub> group further enhances degradation of ER $\alpha$ .

The dramatic shifts in h11 were prevented in the A chains of the structures due to a 3.7 Å contact between the backbone of h11 with the backbone of h1 in the symmetry-related molecule (Supplementary Fig. 13a–b). This artificial restraint of h11 in the A chain prevented accommodation of the *para*-substituted R groups of compounds **7** and **9** near Leu525 as seen in the B chain (Supplementary Fig. 11d–e, Supplementary Fig. 13c). Instead, the (1R,2S,4R) enantiomer of compounds **7** and **9** bound the A chain with R groups positioned further away from h11 Leu525 than the (1S,2R,4S) enantiomers in the B chain. This also induced a shift in the ligand core that prevented H-bonding with Thr347 (Supplementary Fig. 13d), suggesting a lower receptor-binding affinity for the (1R,2S,4R) enantiomers. The (1S,2R,4S) enantiomer of compound **13** bound both the A and B chains, but the R groups were disordered in the A chain (Supplementary Fig. 13e), as seen with the (1R,2S,4R) enantiomer of **7** (Supplementary Fig. 11d). Importantly, His524 of the A chain rotated back into the pocket, while Phe425 rotated away from the ligand, suggesting that the R groups were oriented towards h7 and h8 (Supplementary Fig. 13e), as we previously visualized with a 17 $\alpha$ -substituted E2 ligand<sup>27</sup>.

We were able to separate the enantiomers of **13** into two well-resolved peaks (designated **13R** for the 1R,2S,4R, and **13S** for the 1S,2R,4S enantiomers, Fig. 1) through HPLC (see

online methods). The two compounds had a ~20-fold difference in relative binding affinity towards ER $\alpha$  (with relative binding affinities (RBAs) being 0.961 and 0.054, with respect to E<sub>2</sub>, with an RBA of 100). The difference between the enantiomers was more profound in reporter assays where the higher affinity compound (2<sup>nd</sup> peak, presumed to be **13S**) displayed an IC<sub>50</sub> of 30 nM, while the lower affinity compound (1<sup>st</sup> peak, presumed to be **13R**) only inhibited at 10  $\mu$ M (Supplementary Fig. 13f–g). Surprisingly, the lower affinity compound partially activated ER $\alpha$  in the agonist mode assay (Supplementary Fig. 13g), which was not apparent with the racemate (Fig. 3b) in which the potent full antagonist activity of the higher affinity enantiomer dominates the weak partial agonism of the low affinity enantiomer.

### Antagonism of constitutively active ER $\alpha$ -Y537S

Sequencing of tumors from metastatic hormone-resistant patient samples revealed mutations in the *ESR1* gene, with Y537S being the most predominant<sup>30–33</sup>. We used 3xERE-driven luciferase reporter assays to compare ligand activities mediated by ER $\alpha$ -WT, ER $\alpha$ -Y537S and a mixture of both receptors (ER $\alpha$ -WT+Y537S), which mimics the clinically relevant setting of mixed alleles. The compounds showed very similar profiles in single-dose screen in HeLa cells (Fig. 6a) and dose-response screen in HEK293T cells (Supplementary Fig. 14a) transfected with the wild type receptor, which were also very similar to results with the other cell types described above. Fulvestrant reduced Y537S and WT+Y537S transcriptional activities to almost background levels, while tamoxifen had a partial inhibitory effect. The OBHS-N compounds also displayed partial inhibitory effects that were once again driven by bulkier substitutions at R<sub>2</sub> (Fig. 6b–c and Supplementary Fig. 14b–c). Furthermore, the compounds were inactive or inverse agonists on the truncated wild type receptor, ER $\alpha$ -AB, but showed considerable activity through ER $\alpha$ -Y537S-AB (Fig. 6d–e and Supplementary Fig. 14d–e). This indicates that in solution, the ER $\alpha$ -Y537S mutation stabilizes the active LBD conformation a substantial fraction of the time when bound to OBHS-N analogs or tamoxifen, while the much bulkier side chain of fulvestrant is able to dislocate h12 from the active position, even in the presence of the mutation. Examination of the structure of **13**-bound ER $\alpha$ -Y537S demonstrates that the E-ring phenol and the chlorobenzyl R<sub>1</sub> ring make close contacts with L536 in the h11–h12 loop and L540 in h12 (Supplementary Fig. 15). This suggests that further extension of these moieties will be required to block the active LBD conformer in the presence of the ER $\alpha$ -Y537S mutation.

### Discussion

Antagonism of ER $\alpha$  for treatment of breast cancer is complicated by the tissue- and pathway-selective signaling activities of the ligands, and by multiple mechanisms for the development of resistance<sup>35,36</sup>. Our findings demonstrate that the current SERM/SERD approach<sup>9–11</sup> is not the only structural mechanism to antagonize the receptor<sup>16–18</sup>. We identified a structure-based design strategy where the degree of h11 dislocation is controlled in a graded fashion largely by the R<sub>2</sub> substituents of the sulfonamides to determine the level of inhibition of the classical ER $\alpha$  signaling pathway, including recruitment of SRC2 and SRC3, inhibition of *GREB1* and *MYC* expression, and ER $\alpha$ -mediated antiproliferative effects. Importantly, full antagonism was also reflected in the uterotrophic assay, where



compounds **12** and **13** did not induce proliferation or uterine expression of canonical ER $\alpha$  target genes but efficiently antagonized E2, showing that they are biologically active *in vivo*. While the most efficacious compounds were comparable to fulvestrant in most assays, several showed improved profiles with respect to low ER $\alpha$ -SRC3 interaction, and inhibition of IL-6 secretion. Most notably, these levels of activity were achieved through ligand-LBD interactions that are distinct from those of SERMs and fulvestrant-like SERDs.

For the most efficacious compound, **13**, we separated the enantiomers and showed that one of them (presumed to be the 1S,2R,4S enantiomer **13S**) accounts for its full antagonist activity, as the other (presumed to be the 1R,2S,4R enantiomer **13R**) had much higher IC<sub>50</sub> value and elicits a partial agonist activity that was not apparent in the racemate. This is due to the positioning of the R groups further away from h11, which also lowers its binding affinity towards ER $\alpha$  by shifting the core and preventing H-bonding with h3 Thr347. For pre-clinical development, separation of enantiomers will be necessary as the enantiomers may have differences in 1) on-target effects, 2) receptor-binding affinity, and 3) *in vivo* off-target effects, any of which could be different from those of the corresponding racemic mixtures.

Deletion analysis identifies which domains are responsible for signaling. Deletion of ER $\alpha$  domains in mice revealed, for example, that AF1 is necessary for E2-induced uterine proliferation but not vascular protection<sup>37,38</sup>. Ligand-dependent control of AF1 is crucial for antagonism of the wild type receptor. We demonstrate that indirect antagonism via h11 dislocation is sufficient to inhibit AF1 and generate a full antagonist/SERD profile. Our data suggests that the agonist conformer is partially populated by the ER $\alpha$ -Y537S mutation in the presence of either the sulfonamides or tamoxifen, as shown by the enhanced activity compared to fulvestrant in the ER $\alpha$ -Y537S- AB assay where activity is driven by AF2. This supports the idea that antagonism of the mutant involves two structurally distinct steps *i.e.* displacement of the active h12 conformer and control of AF1 activity. Tamoxifen did not fully antagonize suggesting that the side chain can be accommodated in the mutant active conformer.

It is not clear whether ER $\alpha$  degradation contributes to efficacy of full antagonists. E2 also leads to efficient degradation of ER $\alpha$ , which is required for activation and proliferative signals<sup>39</sup>. Antagonist-induced loss of ER $\alpha$  occurs through different mechanisms and involves ER $\alpha$  translocation to an insoluble fraction of the nuclear matrix followed by proteasomal degradation<sup>40,41</sup>, or by binding outside the pocket to induce the unfolded protein response<sup>42</sup>. We showed that degradation with OBHS-N compounds is mediated by the proteasome and blocked by 4-OHT. It is also noteworthy that degradation is tissue-selective, as shown by the discovery of SERM/SERD hybrids such as GW5638<sup>43</sup> that degrade ER $\alpha$  in breast cancer but are bone sparing. In a survey of FDA-approved ER $\alpha$  antagonists, degradation was found to correlate with inhibition of MCF-7 breast cancer viability<sup>4</sup>. However, overexpression of ER $\alpha$  can overwhelm the degradation machinery, but in this context fulvestrant still fully antagonizes proliferation and reporter activity<sup>44,45</sup>.

While different SERDs may use different structural mechanisms to induce degradation<sup>46</sup>, our data further suggests that some of these mechanisms are separable from antagonism, at

least within the sulfonamide series. This structurally distinct mechanism, through indirect antagonism rather than involvement of the prototypical SERM/SERD side chain, provides new opportunities for producing biologically distinct molecules for breast cancer prevention and treatment.

## ONLINE METHODS

### Chemical Synthesis

The synthesis of compounds **1–13** has been described previously<sup>18</sup>.

### Enantiomer separation

Enantiomers of analog **13**, designated **13R** and **13S**, were separated by a semi-preparative Regis (S,S) WHELK-O1 25cm × 10.0mm column at a temperature of 20°C, eluted with 70:30 hexane: IPA at a flow rate of 1 ml/min. The HPLC was monitored using a Waters 2487 Dual wavelength detector. After separation on the semipreparative column, purity was tested on an analytical (R,R) WHELK-O2 column using the same solvents. All solvents were HPLC grade. HPLC peaks are shown in Supplementary Figure 16.

### Cell culture

HepG2, MCF-7, MDA-MB231 and ZR-75 cells were maintained at 37 °C and 5% CO<sub>2</sub> in media consisting of Dulbecco's minimum essential media (DMEM) (Cellgro by Mediatech, Inc., Manassas, VA) supplemented with 10% fetal bovine serum (FBS) (Hyclone by Thermo Scientific, South Logan, UT), and 1% penicillin/streptomycin/neomycin antibiotic mixture (PSN), 1% Glutamax (Gibco by Invitrogen) and 1% non essential amino acids (NEA) (Cellgro). Steroid deprivation was achieved by culturing cells for 24–48 hours in media composed of phenol red-free DMEM supplemented with 10% charcoal/dextran-stripped FBS, 1% Glutamax, 1% NEA and 1% PSN. Cell lines were obtained from ATCC and tested monthly for mycoplasma.

### Luciferase assay

Luciferase assay to determine ER activity were performed as previously described<sup>24</sup>. To this end, HepG2 cells were transfected with a 3xERE-driven luciferase reporter and ER $\alpha$  constructs using FugeneHD reagent (Roche Applied Sciences, Indianapolis IN). The cells were then transferred to 384-well plates in steroid free media and stimulated with ER ligands 24 hours later. Luciferase activity as measured after 18–24 hours using Britelite™ reagent (PerkinElmer Inc., Waltham, MA).

### Mammalian 2-hybrid assay

Human embryonic kidney 293T cells were transfected with an upstream activating sequence (UAS)-driven luciferase reporter, a wild type human ER $\alpha$  construct fused to the activation domain of the herpesvirus protein VP16, and a bait consisting of wild type SRC2 or SRC3 fused to the DNA-binding domain of GAL4. Luciferase activity was measured after 24 hours of stimulation with various ER ligands.

### IL-6 assay

MCF-7 cells were steroid deprived and stimulated with 10 ng/ml TNF $\alpha$  alone or in combination with the ER ligands overnight in 384-well format. Media conditioned by these cells was analyzed using the AlphaLISA human IL-6 kit (PerkinElmer), according to manufacturer's directions.

### Cell proliferation assay

MCF-7 cells were grown on 384-well plates in steroid-free media at a density of 1000 cells/well and stimulated with ER ligands. MDA-MB231, and ZR-75 cells were growing on 384-well plates in phenol red-free normal growth media at a density of 1000 cells/well and stimulated with ER ligands. After 7 days, cell proliferation was measured using CellTiter-Glo Luminescent Cell Viability Assay kit (Promega, Madison, WI) according to manufacturer's instructions. Data was normalized using a standard curve for respective cell lines.

### Mammosphere formation assay

Breast cancer cells were seeded at single cell density in low attachment plates in media described by Dontu et al.<sup>47</sup>. Media was supplemented with 1% methyl cellulose to prevent cellular aggregation<sup>48</sup>. After 7 days, the number of mammospheres  $\geq 75\mu\text{m}$  in diameter was determined.

### Mouse uterotrophic and gene expression assays

All animals were maintained in accordance with the National Institutes of Health Guide for Care and Use of Laboratory Animals, and all procedures were approved by the University of Illinois Institutional Animal Care and Use Committee. Female C57BL/6 mice (Harlan /NCI Laboratories, Frederick, MD) were ovariectomized at 8 weeks of age, and after 18 days, mice (4 per group) were injected sc with different ligands in ethanol-corn oil mix at a ratio of 1:9 for four days. At 24 hours after the last injection, mice were euthanized, and the uterus was removed and the wet weight measured. Uterine RNA was also obtained and used for determination of the expression of E2-regulated genes by qPCR, as done previously<sup>49</sup>.

### Western blot

Cells were grown in 6 well plates in steroid free media and treated with compounds for either 6 or 48 hr. Then the cells were washed once with PBS and lysed in ice-cold RIPA buffer (20 mM Tris pH 7.5, 150 mM NaCl, 1% NP40, 0.5% Sodium deoxycholate, 1 mM EDTA and 0.1% SDS). Equal amounts of protein were loaded on Any kD™ Mini-PROTEAN® TGX™ Precast Protein Gels (Bio-rad, Hercules, CA) and transferred onto PVDF membranes (Thermo Scientific, Rockford, IL). Membranes were blocked with 5% nonfat dry milk in PBS-T, then probed with ER $\alpha$ -H222 (1:1000 dilution; Santa Cruz Biotechnology, Inc., Santa Cruz, CA) and anti- $\beta$ -actin or tubulin (1:10,000 dilution; Sigma-Aldrich Corp). After washing in TBS-T, membranes were incubated with their appropriate HRP conjugated secondary antibodies (Santa Cruz Biotechnology) and developed using an ECL detection system (GE Healthcare Bio-Sciences, Pittsburg, PA)

## Quantitative RT-PCR

MCF-7 cells were plated in 384-well plates in 25  $\mu$ l in steroid free medium at a density of 2,500 cells/well. Three days later, cells were stimulated with compounds for 1 h (*c-MYC*) and 24 h *GREB1*. Total RNA was extracted with RNAgem Tissue Plus RNA extraction kit (Zygem). Cells were washed once with PBS and lysed with 7.5  $\mu$ l RNAgem extraction reagent at 75 °C for 5 min. Genomic DNA was removed with DNASEI treatment for 5 min at 37 °C and stopped by incubating at 75 °C. Five microliters of this mixture were used for cDNA synthesis in a total volume of 10  $\mu$ l using a High-Capacity cDNA Reverse Transcription Kit (Life Technologies).

The cDNA was diluted three times, and 4  $\mu$ l of diluted cDNA was used in a qPCR reaction using TaqMan Gene Expression Master Mix (Life Technologies) in a total reaction volume of 10  $\mu$ l. The qPCR reaction was carried out in a duplex format using predesigned real-time qPCR assays (Life Technologies) with GAPDH primers with a VIC probe as an endogenous control and target gene (*c-MYC* and *GREB1*) primers with FAM probe. The reactions were run on an ABI 7900 HT real-time PCR system, and the fold change relative to vehicle-treated samples was calculated using the  $2^{-Ct}$  method.

## X-ray crystallography

The ER $\alpha$  Y537S LBD was produced in *E. coli*, crystallized, and soaked in various ligands as previously described<sup>16,27</sup>. Note that IPTG induction at 25 °C for 6 hr produced protein that was readily crystallizable, while overnight induction at 18C produced a very small amount of truncated protein that interfered with crystallization. Data was collected at the Stanford Synchrotron Radiation Lightsource (Beamline 11-1; Temp. = 100 K; wavelength = 1.0 Å), and scaled using HKL3000 software<sup>50</sup>. Structures were solved via molecular replacement using the PHENIX software suite<sup>51</sup>. The protein components of the genistein-bound ER structure (PDB ID: 2QA8)<sup>16</sup> were used as the starting model. The models were subject to extensive combinatorial refinement as previously described<sup>52</sup> rebuilding using COOT<sup>53</sup>. Graphical images were made with CCP4MG<sup>54</sup>. Data collection and refinement statistics are shown in supplementary table 1. Additional refinement statistics and Molprobit scores<sup>55,56</sup> are presented below.

	1 (5KCC)	4 (5KCD)	5 (5KCE)	7 (5KCF)	9 (5KCT)	10 (5KCU)	11 (5KCW)	13 (5KD9)
Clashscore, all atoms	2.7	3.9	3.8	4.2	4.6	4.8	4.2	4.1
Ramachandran outliers (%)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Ramachandran favored (%)	97.4	99.2	98.3	98.5	99.2	98.9	98.9	98.3
Side chain outliers (%)	0.3	0.7	0.5	0.0	0.2	0.0	0.0	0.5
Molprobit score	1.16	1.17	1.17	1.20	1.23	1.25	1.21	1.20

## Hydrogen/Deuterium exchange mass spectrometry (HDX-MS)

Solution-phase amide HDX was carried out on our automated system as described previously<sup>57</sup>. Briefly, for the on-exchange reaction, 5  $\mu$ l of 10  $\mu$ M apo-ER $\alpha$  or the ligand bound ER $\alpha$  was diluted to 25  $\mu$ l with D<sub>2</sub>O-containing HDX buffer and incubated at 4 °C for

10, 30, 60, 900 or 3,600 sec. Following on-exchange, the reaction was quenched with 25  $\mu$ l 1% (v/v) TFA in 5 M urea and 50 mM TCEP. Samples were passed over an immobilized pepsin column (prepared in house) at 50  $\mu$ l min<sup>-1</sup> (0.1% v/v TFA, 15 °C<sup>58</sup>). Peptic peptides were first trapped onto a C<sub>8</sub> trap cartridge for desalting (Hypersil Gold, Thermo Fisher) and then eluted with a linear gradient [4% (w/v) CH<sub>3</sub>CN to 40% (w/v) CH<sub>3</sub>CN, 0.3% (w/v) formic acid over 5 min, at 4 °C] from a 1 mm  $\times$  50 mm C<sub>18</sub> HPLC column (Hypersil Gold, Thermo Fisher) and subjected to electrospray ionization directly coupled to a high resolution Orbitrap mass spectrometer (Q Exactive, Thermo Fisher). MS/MS peptide identification was carried out using Mascot (Matrix Science) and manually verified to filter the false positives (using a decoy database) and to include only the highest scoring peptides for each charge state. For on-exchange experiments, the percent deuterium uptake for each peptide at various time points was calculated using HDX workbench software<sup>59</sup>. To measure the difference in exchange rates between the Apo and ligand bound Y537S receptor, we calculated the average percentage deuterium uptake for the apo ER $\alpha$  following 10, 30, 60, 900 and 3600 sec of on-exchange. From this value, we subtracted the average percent deuterium uptake measured for ligand bound ER $\alpha$ . The percent difference is color coded according to the heat map legend indicated in the figure. Each HDX experiment is carried out in triplicates.

### Statistics

The uterotrophic weight data was analyzed with ANOVA and Bonferonni's Multiple Comparison test. For uterotrophic gene expression statistical significance was determined by ANOVA and Dunnett's Multiple Comparison test. Significance for linear regression was determined with an F test for non-zero slope.

### PDB

Structures were deposited in the Protein Data Bank with the following codes: 5KCC, 5KCD, 5KDE, 5KCF, 5KCT, 5KCU, 5KCW, 5KD9.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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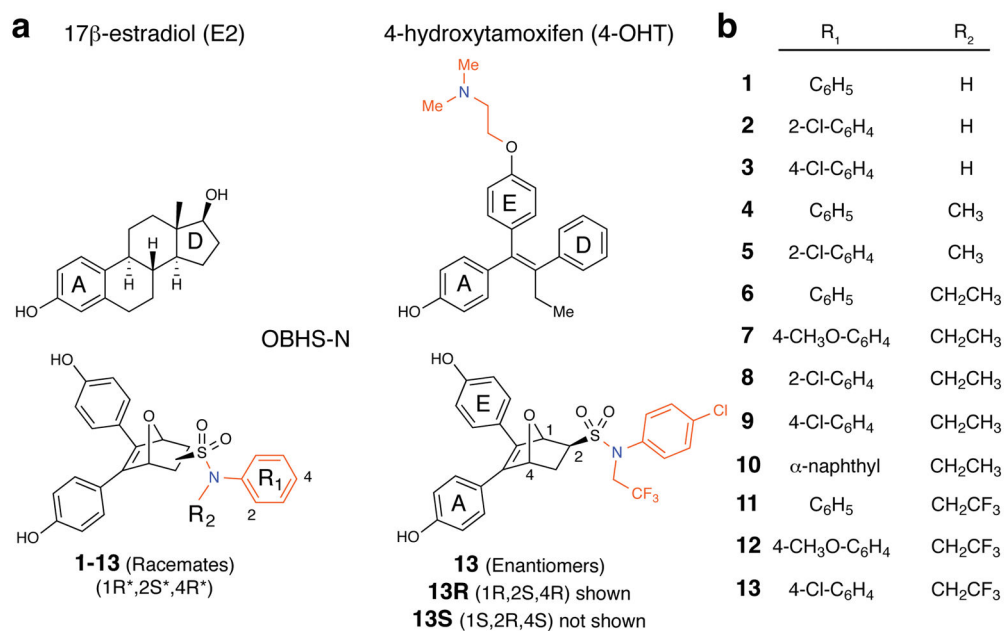
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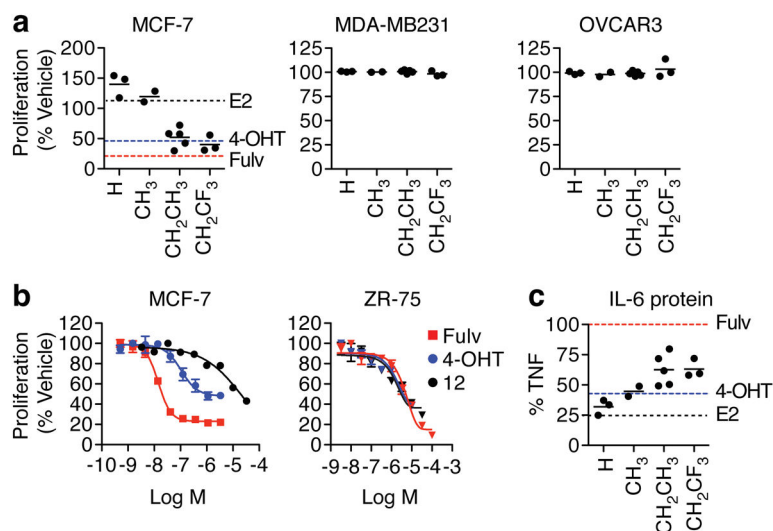
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**Figure 1. Overview of the ligand-dependent modulation of ER $\alpha$  activity**

**(a)** Structures of 17 $\beta$ -estradiol (E<sub>2</sub>), 4-hydroxytamoxifen (4-OHT), and the OBHS-sulfonamides (OBHS-N). The ring designations A, D and E are given to facilitate comparisons among these structures. In the OBHS-N structures, a helix-11 (h11)-directed aryl group, R<sub>1</sub>, was attached to the ligand core via a sulfonamide linker, which provided a site to affix a second h11-directed functional group, R<sub>2</sub>. Locant numbers 1, 2, and 4 for stereochemical designations are on the 7-oxabicyclo[2.2.1]hept-5-ene core (see lower right structure), and locant numbers 2 and 4 on the R<sub>1</sub> ring (lower left structure) are the locations of the substituents listed in panel b.

**(b)** List of OBHS-N analogs (**1-13**). All 13 analogs have the 1R\*,2S\*,4R\* relative configuration in the 7-oxabicyclo[2.2.1]hept-5-ene core and were prepared and tested as racemates (i.e., a 1:1 mixture of 1R,2S,4R and 1S,2R,4S enantiomers). In addition, both enantiomers of analog **13**, designated **13R** and **13S**, were isolated by chiral HPLC and were also tested individually.

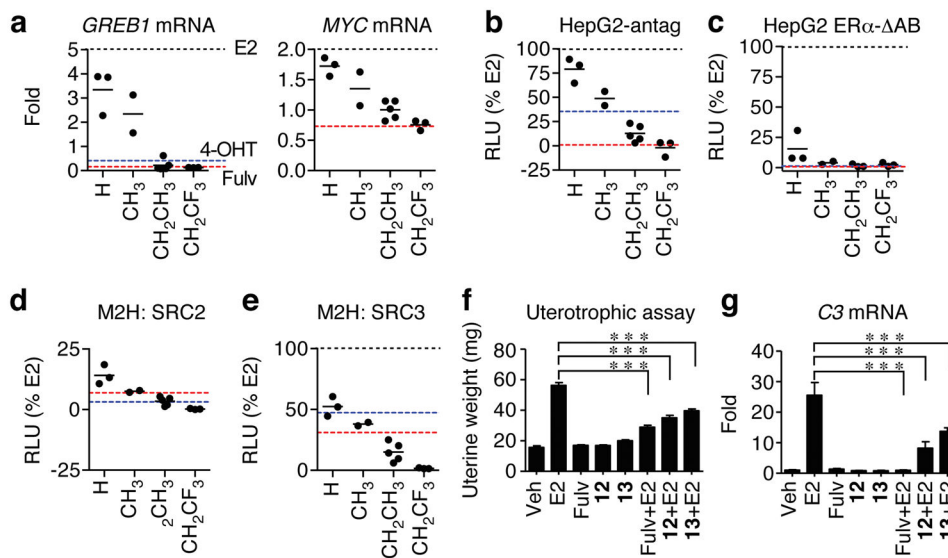


**Figure 2. OBHS-N analogs exhibit growth-inhibitory and anti-inflammatory effects**

(a) MCF-7, MDA-MB231 and OVCAR3 cells were cultured in steroid free media, treated on days 1 and 4 with 10  $\mu$ M OBHS-N compounds, and analyzed on day 7. Ligands were grouped by R<sub>2</sub> group. Each data point represents the average (mean) number of cells observed in triplicate experiments with a distinct compound, and is shown as a percentage of vehicle-treated cells. Dotted lines represent values for 10 nM estradiol (E2, black), 1  $\mu$ M 4-OHT (cyan) and 1  $\mu$ M fulvestrant (Fulv, red).

(b) Dose-dependent suppression of ER $\alpha$ + breast cancer cell proliferation by tamoxifen, fulvestrant or compound **12**. MCF-7 or ZR-75 cells were treated as in panel a, with increasing doses of ligands. Data represents mean  $\pm$  s.e.m of triplicate values.

(c) Steroid-deprived MCF-7 cells were treated with vehicle, 10 ng/ml TNF $\alpha$ , or TNF $\alpha$  + OBHS-N compounds, E2, 4-OHT or fulvestrant (Fulv) at 10  $\mu$ M for 24 hr. Media was collected and IL-6 protein levels were measured by AlphaLISA. Each point represents average of  $n = 3$ .



**Figure 3. Modulation of ER  $\alpha$  signaling by OBHS-N analogs in cells *in vitro* and in the mouse uterus *in vivo***

(a–e) Dotted lines represent values for 10 nM E2 (black), 1  $\mu$ M 4-OHT (cyan) and 1  $\mu$ M fulvestrant (Fulv, red).

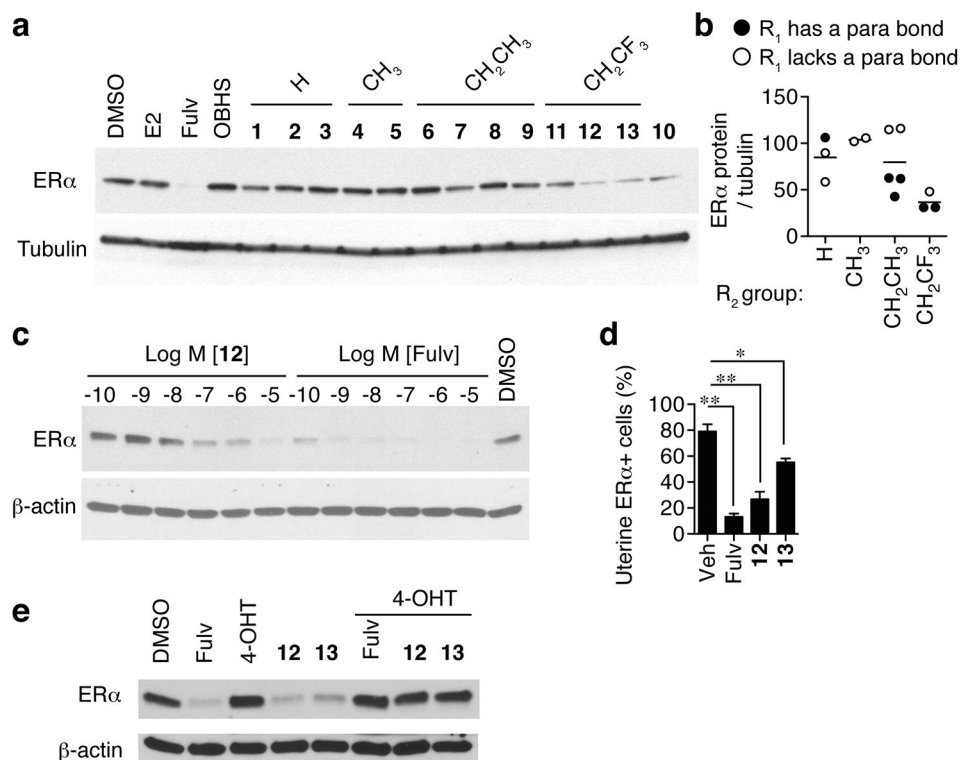
(a) Steroid-deprived MCF-7 cells were treated with 10  $\mu$ M OBHS-N analogs for 24 hr. Total mRNA was reverse-transcribed and analyzed by qPCR for *GREB1* and *MYC* expression relative to *GAPDH*. Values are mean,  $n = 2$ .

(b–c) Luciferase assays. HepG2 cells were co-transfected with 3xERE-luc reporter and ER $\alpha$ -WT or ER $\alpha$ -AB expression plasmids were steroid-deprived and treated with 10  $\mu$ M OBHS-N ligands. For the HepG2 antagonist mode assay, cells were cotreated with 10 nM E2. Values are mean,  $n = 3$ .

(d–e) HEK293T cells were co-transfected with a 5xUAS-luc reporter and expression plasmids for full-length ER $\alpha$ -VP16 and Gal4-SRC2/3 and were treated with 10  $\mu$ M OBHS-N ligands. Values are mean,  $n = 3$ .

(f) Uterine weights of ovariectomized mice ( $n = 4$  per group) treated for 4 days with vehicle (Veh), E2 (10 ng), fulvestrant (240  $\mu$ g), or OBHS-N compounds **12** or **13** alone (240  $\mu$ g), and in combination with E2. Values are mean  $\pm$  s.e.m. Statistical significance was determined by ANOVA and Bonferroni's Multiple Comparison test. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

(g) Uteri ( $n = 4$  per group) from animals in **f** were analyzed for expression the C3 gene. Values are mean  $\pm$  s.e.m. Statistical significance was determined by ANOVA and Dunnett's Multiple Comparison test. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .



**Figure 4. Ligand-dependent proteasomal degradation of ERα**

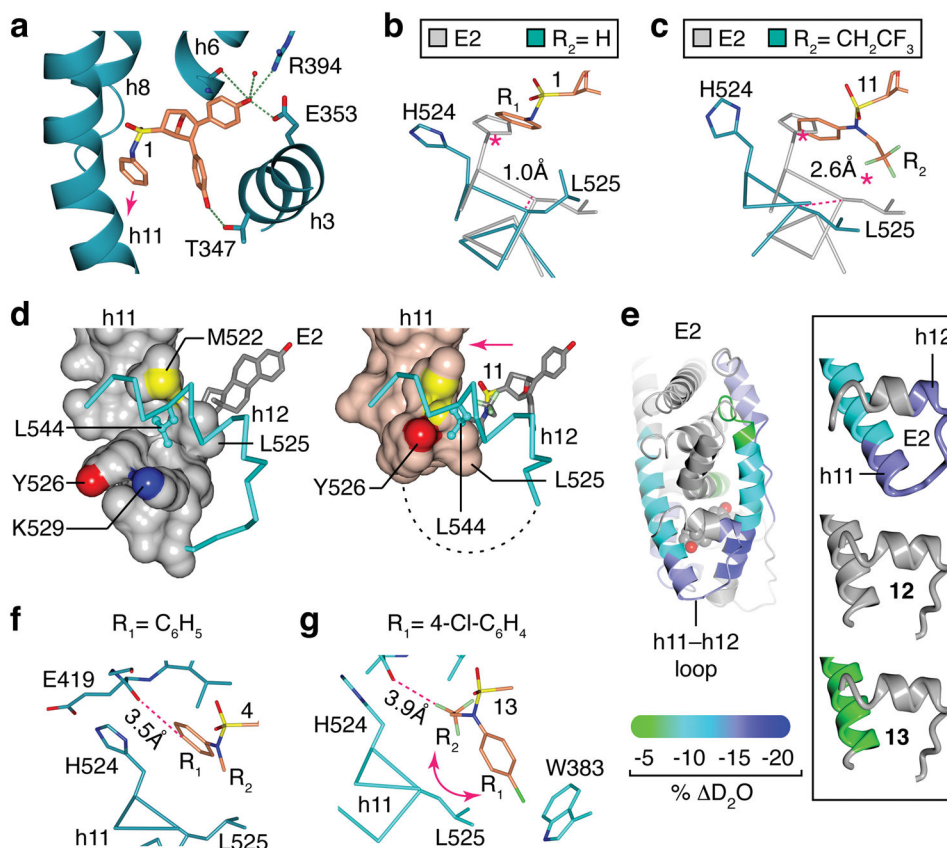
(a) Steroid-deprived MCF-7 cells were treated with 10 μM OBHS-N ligands, OBHS or E2 and 10 nM fulvestrant (Fulv) for 6 hr. Western blot was performed to detect ERα protein levels.

(b) Contributions of the OBHS-N R<sub>1</sub> and R<sub>2</sub> groups to ERα degradation. Each data point represents the normalized average value for an OBHS-N compound relative to tubulin ( $n = 2$  experiments).

(c) Dose-dependent degradation of ERα protein in MCF-7 cells treated with fulvestrant or OBHS-N compound **12**. Cells were treated with indicated doses of compounds for 48 hr and western blot performed as in panel a.

(d) ERα protein expression in the uterus of ovariectomized female mice treated with vehicle, fulvestrant, OBHS-N compounds **12** and **13** as described in Figure 3f. ERα+ cells were quantified by immunohistochemistry (1-way ANOVA, Tukey's Multiple Comparison Test; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ) (e) Steroid-deprived MCF-7 cells were treated with 100 nM fulvestrant, 10 μM 4-OHT, 10 μM **12**, 10 μM **13**, 10 μM 4-OHT + 10 nM fulvestrant, 10 μM 4-OHT + 10 μM **12** or 10 μM 4-OHT + 10 μM **13** for 6 hr. Western blot was performed to detect ERα protein levels.





**Figure 5. Structural basis for full antagonism of ER $\alpha$  by OBHS-N analogs**

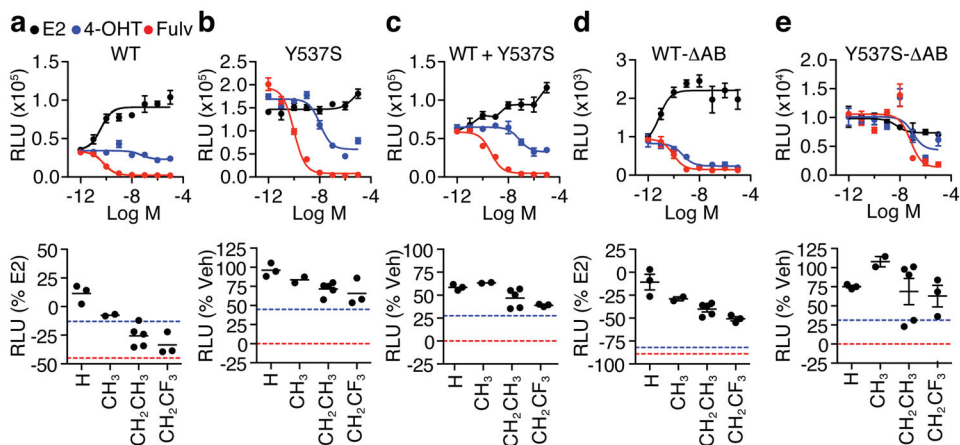
(a) Detail of the crystal structure of the ER $\alpha$  LBD in complex with **1** is shown as blue ribbons. (PDB 5KCC)

(b–c) Crystal structures of ER $\alpha$  bound to **1** or **11** were superposed with the E2-bound ER $\alpha$  structure. H11 is shown as  $\alpha$ -carbon trace. (PDB 3UUD, 5KCC, 5KCW)

(d) Detail of E2- or **11**-bound ER $\alpha$ , with h11 drawn as a surface and h12 as blue cylinders. The missing h11–h12 loop in the **11**-bound ER $\alpha$  is drawn as dashed line. (PDB 3UUD, 5KCW)

(e) ER $\alpha$ -Y537S LBD was incubated with 3- to 5-fold molar excess of E2, **12** or **13**. Exchange of amide hydrogens for deuterium was assessed by HDX mass spectrometry and mapped on the E2- or **13**-bound LBD structures. The highlighted regions show ligand-dependent decrease in HDX, which indicates enhanced stability.

(f–g) Crystal structure of the **4**-bound ER $\alpha$  LBD shows that the OBHS-N R<sub>1</sub> group was accommodated near His524, but *para*-substituted R<sub>1</sub> group of **13** induced a rotation of the amide moiety. This rotated orientation of the amide is further stabilized by an electrostatic interaction between the chlorine atom and Trp383 (PDB 5KCD, 5KD9).



**Figure 6. Antagonism of ER $\alpha$ -Y537S**

(a–e) HeLa cells were co-transfected with the 3xERE-luc reporter and the indicated ER $\alpha$  expression plasmids. The next day, cells were treated for 24 hr with increasing doses of E2, 4-OHT or fulvestrant (Fulv), top panels, or 10  $\mu$ M OBHS-N compounds, bottom panels. Dose curve data is shown as mean  $\pm$  s.e.m. Each single-dose data represents the mean ( $n = 3$ ). Also see Supplementary Figure 14 for compound dose curves in 293T cells.