

Involvement of the Lectin Pathway of Complement Activation in Antimicrobial Immune Defense during Experimental Septic Peritonitis

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A critical first line of defense against infection is constituted by the binding of natural antibodies to microbial surfaces, activating the complement system via the classical complement activation pathway. In this function, the classical activation pathway is supported and amplified by two antibody-independent complement activation routes, i.e., the lectin pathway and the alternative pathway. We studied the contribution of the different complement activation pathways in the host defense against experimental polymicrobial peritonitis induced by cecal ligation and puncture by using mice deficient in either C1q or factors B and C2. The C1q-deficient mice lack the classical complement activation pathway. While infection-induced mortality of wild-type mice was 27%, mortality of C1q-deficient mice was increased to 60%. Mice with a deficiency of both factors B and C2 lack complement activation via the classical, the alternative, and the lectin pathways and exhibit a mortality of 92%, indicating a significant contribution of the lectin and alternative pathways of complement activation to survival. For 14 days after infection, mannan-binding lectin (MBL)-dependent activation of C4 was compromised. Serum MBL-A and MBL-C levels were significantly reduced for 1 week, possibly due to consumption. mRNA expression profiles did not lend support for either of the two MBL genes to respond as typical acute-phase genes. Our results demonstrate a long-lasting depletion of MBL-A and MBL-C from serum during microbial infection and underline the importance of both the lectin and the alternative pathways for antimicrobial immune defense.

The discovery of specific pattern recognition components which direct and coordinate responses to microbial invasion has reformed the perception of how the innate immune defense system works. Pattern recognition molecules direct specific antimicrobial responses to a wide range of microbial structures. A large number of cell surface-associated pattern recognition receptors has recently been identified (52). Many of these specifically bind to the carbohydrate moieties present on the surfaces of pathogens and induce cellular responses such as the enhancement of phagocytosis and the release of immune mediators, anaphylatoxins, and bactericidal compounds from intracellular stores. Likewise, the humoral part of the innate immune system is capable of sensing and immediately reacting to pathogenic stimuli on microorganisms. The plasma proteins mannan-binding lectin (MBL) (25), L-ficolin (p35) (30), and H-ficolin (Hakata antigen) (31) have recently been described as serum defense molecules with different carbohydrate binding specificities (20, 23, 26) that serve as recognition molecules of the lectin pathway of complement activation. Each of the three different carbohydrate recognition molecules may form multimeric lectin pathway activation complexes with the three MBL-associated serine proteases (MASPs), which, upon binding to specific microbial carbohy-

drates, will initiate complement activation by cleaving C4 and C4b-bound C2 (29, 50).

MBL, a member of the collectin family, has been described in many species (19). While only one form of MBL is present in humans, due to conversion of a gene into a pseudogene between monkey and ape (19), two forms of MBL are found in mouse serum, MBL-A and MBL-C, which differ in their carbohydrate binding specificity (17, 18). The concentration in serum of mouse MBL-C is about sixfold higher than that of MBL-A, and a mild increase in the levels of MBL-A was observed after injection of the acute-phase inducers (24, 37). The liver is the main source of MBL biosynthesis, but expression was also shown in other tissues (46, 51). Opsonization (22) and activation of the complement system via the lectin pathway (18, 21) seem to be the main biological MBL functions. In humans, low MBL levels in serum are linked to a common opsonic defect that predisposes individuals to recurrent infections (27, 40). A recent study of patients admitted to hospital with systemic inflammatory response syndrome underlines the importance of MBL during sepsis, showing that patients carrying MBL variant alleles had a higher risk of developing severe sepsis and septic shock and were more likely to have positive cultures for microbial species (14).

Although the classical and the lectin pathways of complement activation show a high degree of similarity and lead to the generation of identical C3 and C5 convertases, they differ significantly in their composition and specificity (1, 45, 50).

The classical complement activation pathway is initiated by the binding of its recognition subcomponent C1q to Fc regions

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of antigen-bound immunoglobulins. This leads to the conversion of the C1q-associated serine protease C1r into its enzymatically active form. C1r then cleaves its substrate C1s, which leads to the cleavage of C4 and C4b-bound C2 to generate the classical C3 convertase, C4b2b, which converts native C3 to C3b. The deposition of multiple C3b molecules in close proximity of the C3 convertase complex changes its substrate specificity, forming the C5 convertase complex, C4b2b-(C3b)_n, which converts native C5 to C5b and C5a. These enzymatic reactions are accompanied by the production of potent anaphylatoxins (C5a, C3a, and C4a). The alternative activation pathway is now considered a powerful amplification loop of complement activation, which is required for stabilization of (C3b)_n to form the alternative pathway C5 convertase (38).

The lectin pathway of complement activation can be initiated by the recognition of oligosaccharide moieties on the surface of pathogens by the serum lectins and MASP complexes (30, 45). The MASP-2 complex has been shown to sequentially cleave C4 and C2 to initiate further downstream activation of complement in a comparable way to the classical pathway activation complex (29, 50). Experiments indicate that, as expected, the lectin pathway is also amplified by the alternative pathway (39). Thus, both the classical and the lectin pathways, supported by the alternative pathway, lead to the final, nonenzymatic assembly of the bactericidal membrane attack complex.

To study the pathophysiological consequences of selective complement deficiency, mouse strains made deficient in the expression of the complement components C1q (2) and factors B and C2 (42) by gene-specific targeting were used. *C1qa*^{-/-} mice are selectively deficient in the antibody-mediated classical pathway of complement activation (2), while the lectin and alternative pathways are functionally active. *H2-Bf/C2*^{-/-} mice are deficient in both the classical and alternative routes of complement activation (42). Recently, it has been demonstrated that these mice also lack the lectin pathway of complement activation (4). Therefore, they exhibit complete deficiency of all three complement activation pathways, which makes them exquisitely sensitive to bacterial infection.

The cecal ligation and puncture (CLP) model is considered a clinically relevant model of septic peritonitis with bacteremia (47). Mice can be saved by antibiotics from CLP-induced mortality, demonstrating that the animals die as a consequence of the bacterial infection (12). For survival after CLP, tumor necrosis factor (TNF) stored in mast cells has been shown to be important (10) to initiate the cellular innate immune response by recruiting neutrophils and for containment of the septic focus by fibrous adhesions (12). From an exploration of the role of complement activation in this more clinically related model of septic peritonitis, the importance of the lectin pathway of complement activation for survival again became obvious. In addition, depletion of the serum lectins MBL-A and MBL-C was found to last for more than 1 week after CLP. MBL-A and MBL-C expression was not downregulated during the course of CLP; the proteins, rather, seemed to be consumed beyond the time expected for bacterial clearance in this model.

MATERIALS AND METHODS

Animals. The study was performed in accordance with German federal regulations. *C1qa*^{-/-} (2) and *H2-Bf/C2*^{-/-} (42) breeding pairs were obtained from

Marina Botto (Imperial College School of Medicine, London, United Kingdom). Both strains were on a 129/SV genetic background. These mice as well as control 129/SV mice were reared, bred, and kept under conventional conditions in the animal facilities of the University of Regensburg. All genetically modified mice used in the experiments were genotyped. Female NMRI mice were purchased from Charles River (Sulzfeld, Germany). All groups were age matched.

CLP. CLP was performed as described earlier (8). Briefly, mice were anaesthetized and the cecum was exteriorized. The distal 30% of the cecum was ligated and punctured once with a needle with a 0.4-mm-diameter opening. The cecum was then replaced, and the skin was closed with clamps.

Bacterial counts. Mice were sacrificed 24 h after CLP, and livers and spleens were removed. The organs were homogenized in an Ultra-Turrax (IKA-Labortechnik, Staufen, Germany) at 8,000 rpm in 2 ml of Standard I nutrient broth (VWR, Darmstadt, Germany) for 5 s. Homogenates were diluted serially in 0.85% NaCl and incubated on Mueller-Hinton plates at 36°C for 24 h in aerobic atmosphere. The resulting bacterial colonies were counted and expressed as bacteria per organ.

C4 cleavage assay. A C4 cleavage assay was performed as described earlier (35). Microtiter wells (Maxisorp; Nunc, Kamstrup, Denmark) were coated overnight at room temperature with 1 µg of mannan (Sigma, Schnellendorf, Germany) in 100 µl of 15 mM Na₂CO₃, 35 mM NaHCO₃ (pH 9.6) (coating buffer). Residual protein binding sites were blocked with 0.1% (wt/vol) human serum albumin (Statens Serum Institute, Copenhagen, Denmark) in 10 mM Tris-HCl, 140 mM NaCl, pH 7.4 (TBS), for 1 h. After washing with TBS plus 0.05% Tween 20 (Fluka, Buchs, Switzerland) and 5 mM CaCl₂ (TBS/Tw/Ca²⁺), wells were filled with 100 µl of serum dilutions in 20 mM Tris-HCl, 10 mM CaCl₂, 1 M NaCl, 0.05% (vol/vol) Triton X-100 (Serva Feinbiochemica, Heidelberg, Germany), 0.1% (wt/vol) human serum albumin (pH 7.4) (MBL binding buffer). Plates were incubated overnight at 4°C and washed three times with TBS/Tw/Ca²⁺. Wells then received 1 µg of human C4 (prepared as described previously) (7) in 100 µl of 8.9 mM KH₂PO₄, 27.8 mM NaHPO₄, 111 mM NaCl, 0.15 mM CaCl₂, 0.5 mM MgCl (pH 7.4). After incubation for 90 min at 37°C and another wash in TBS/Tw/Ca²⁺, deposited C4b was detected by adding alkaline phosphatase-conjugated chicken anti-human C4 antibody (100 µl of a 1:1,000 dilution in TBS/Tw/Ca²⁺) (Immunosystem AB, Uppsala, Sweden). Alkaline phosphatase activity was determined by adding *p*-nitrophenyl substrate solution (100 µl; Sigma). Plates were read at 405 nm. The optical density induced by mouse serum samples was determined in duplicate and compared to an internal standard (human serum) assigned the arbitrary activity value of 1 U/ml.

MBL time-resolved immunofluorometric assay. Plates were coated and blocked as described for the C4 cleavage assay. Samples and standard serum were diluted in MBL-binding buffer. After incubation overnight at 4°C, plates were washed, and biotinylated anti-mouse MBL-A or MBL-C antibody (24) diluted in TBS/Tw/Ca²⁺ was added. Anti-mouse MBL-A was used at a dilution of 1:3,000, and anti-mouse MBL-C was used at a dilution of 1:5,000 (from stock solutions at 1 mg/ml). Plates were incubated for 2 h at room temperature and washed, followed by 1 h of incubation with Eu³⁺-conjugated streptavidin (Wallac, Turku, Finland) at a dilution of 1:1,000 in TBS plus 0.05% Tween and 25 µM EDTA. After another wash, enhancement solution (Wallac) was added. The amount of fluorescent chelate was estimated by time-resolved immunofluorometry with a DELFIA fluorometer (Wallac).

Northern blotting. Total RNA was extracted from mouse liver according to standard protocols (5). Approximately 15 mg of total RNA per lane was separated on a denaturing 0.8% (wt/vol) agarose gel and transferred to Hybond N membrane (Amersham Pharmacia, Little Chalfont, United Kingdom). The blots were hybridized according to standard protocols (36) with random-primed [³²P]dCTP-labeled (Random Primed Labeling Kit; Boehringer Mannheim, Mannheim, Germany) cDNA probes specific for the 3' untranslated regions of MBL-A and MBL-C, as previously described (51). RNA loading and quality were normalized by using a probe specific for human β-actin (16). Blots were scanned and analyzed by Image Quant software (Amersham Pharmacia).

Statistical evaluation. All experiments were performed at least three times with similar results, and the results of one representative experiment are shown. Descriptive statistics were expressed as the means ± standard deviations. Statistical significance was determined by using Student's *t* test for lectin pathway activity and the Mann-Whitney *U* test for bacterial counts. Time courses of survival were analyzed by Kaplan-Meier survival curve calculations and the log-rank test. *P* values of <0.05 were defined as significant for discrimination. Data were analyzed by using SPSS software.

RESULTS

Survival of complement-deficient mice after CLP. The mortality of wild-type and complement-deficient mice was assessed

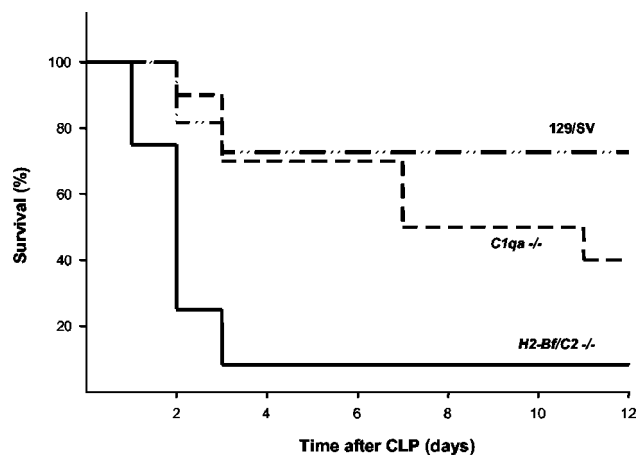


FIG. 1. Groups of wild-type mice (129/SV, $n = 11$), C1q-deficient mice ($C1qa^{-/-}$, $n = 10$), and factors B- and C2-deficient mice ($H2-Bf/C2^{-/-}$, $n = 12$) were subjected to CLP, and cumulative survival was monitored over 12 days. Compared to wild-type mice, the increase in mortality of $C1qa^{-/-}$ mice was statistically insignificant ($P = 0.2189$), whereas the mortality of $H2-Bf/C2^{-/-}$ mice was significantly higher ($P = 0.001$).

in the experimental model of polymicrobial septic infection induced by CLP. Survival of wild-type mice, C1q-deficient mice, and factor B- and C2-deficient mice was monitored for 12 days after CLP (Fig. 1). While 3 of 11 (27%) wild-type mice died, twice as many (6 of 10, or 60%) mice lacking the classical activation pathway of complement succumbed to the infection. The survival of mice lacking all three pathways of complement activation was severely impaired. Only one of 12 factors B- and C2-deficient mice survived the infection (92% mortality).

In accordance with their increased susceptibility to infection, mice lacking the classical, the alternative, and the lectin pathways of complement activation were also impaired in their ability to clear bacteria from liver and spleen. Bacteria were detected in livers ($3.1 \times 10^4 \pm 3.3 \times 10^4$ CFU/organ) and spleens ($8.3 \times 10^3 \pm 6.8 \times 10^3$ CFU/organ) from all tested mice deficient for factors B and C2 1 day after CLP (Fig. 2), while bacteria were found only in very few control or C1q-deficient mice.

Lectin pathway activity. To further investigate whether the lectin pathway is involved in host defense in the CLP model of peritonitis, a C4 cleavage assay was performed. Plasma samples of a complement-sufficient strain (NMRI) were assayed in a mannan-dependent C4 cleavage test by trapping plasma MBL-MASP complexes on mannan-coated plates and measuring MASP-2-mediated C4 cleavage. This test specifically measures lectin pathway activation via MBL without interference from the classical pathway. By 10 h after CLP, the MBL-dependent C4 cleavage capacity of the mouse sera was significantly diminished compared to the capacity of untreated control serum (Fig. 3A). Whereas the serum of untreated mice showed an activity of 4.37 ± 1.38 U/ml, sera taken 10 h after CLP displayed an activity of 1.85 ± 1.28 U/ml ($P = 0.017$). This decrease was even more pronounced after 24 h (0.46 ± 0.14 U/ml, $P = 0.003$) and 36 h (0.40 ± 0.14 U/ml, $P = 0.003$) (Fig. 3A). Mice stayed compromised for more than 10 days, with a C4 activation capacity below 0.5 U/ml. ($P < 0.005$). A recovery could only be observed 14 days later (Fig. 3B). Experiments

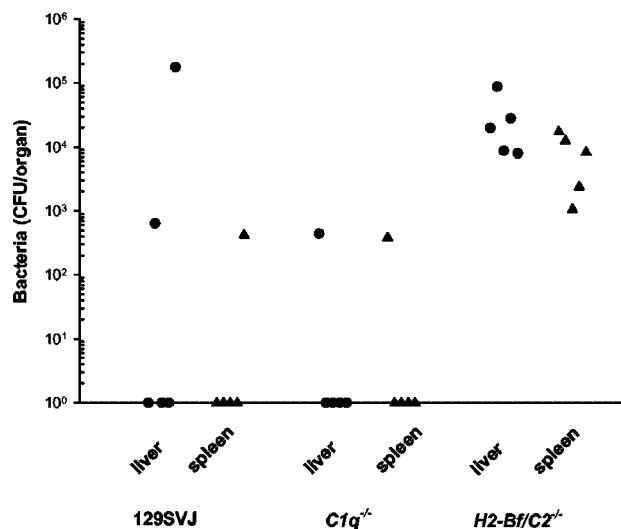


FIG. 2. Bacterial counts were determined in spleen or liver cultures of groups ($n = 5$) of control 129/SV mice, $C1qa^{-/-}$ mice, and $H2-Bf/C2^{-/-}$ mice 24 h after CLP. Bacterial counts are given as CFU per organ. A statistically significant enhancement in bacterial counts from liver ($P = 0.007$) and spleen ($P = 0.007$) of $H2-Bf/C2^{-/-}$ mice compared to $C1qa^{-/-}$ was determined.

performed in C1q-deficient and factors B- and C2-deficient mice provided similar results (data not shown).

Serum MBL-A and MBL-C levels were determined to directly test whether the observed decrease in lectin pathway-dependent C4 activation was due to a lack of MBL or consumption of MASP-2. By 10 h after CLP, the serum MBL-A levels dropped in mice that had undergone CLP (4.76 ± 2.49 $\mu\text{g/ml}$) compared to serum MBL-A levels of untreated mice (8.26 ± 1.15 $\mu\text{g/ml}$) ($P = 0.021$) (Fig. 4A). Depletion of MBL-A from the sera was even more pronounced after 24 h (3.29 ± 2.87 $\mu\text{g/ml}$, $P = 0.015$) and 36 h (2.62 ± 1.96 $\mu\text{g/ml}$, $P = 0.001$), with two mice below the detection range of 2.5 $\mu\text{g/ml}$ after 24 and 36 h, respectively. Serum MBL-A levels rose gradually over the next week, reaching normal levels again after 14 days (Fig. 4B). Due to the high variation of MBL titers in individual mice, the difference of serum MBL levels after CLP compared to serum levels before CLP did not reach statistical significance.

Depletion of MBL-C in serum after CLP was also clearly shown by the drop in the concentrations of MBL-C in serum of untreated mice (43.52 ± 12.92 $\mu\text{g/ml}$) to 28.96 ± 19.36 $\mu\text{g/ml}$ ($P = 0.208$) after 10 h, 13.12 ± 1.63 $\mu\text{g/ml}$ ($P = 0.006$) after 24 h, and 12.46 ± 1 $\mu\text{g/ml}$ ($P = 0.001$) after 36 h (Fig. 4C). A slow recovery in MBL-C levels could also be observed over the next 14 days (Fig. 4D).

To establish whether the decreased serum MBL levels were due to consumption or decreased protein expression, MBL-A and MBL-C mRNA levels in livers of mice that had undergone CLP were determined by Northern blot analysis. Groups of three mice each were sacrificed at 5 and 10 h as well as 5 and 10 days after CLP. Bands were normalized to β -actin. The results demonstrated that transcription of both MBL-A and MBL-C was not changed by CLP over the whole period of 10 days after CLP (data not shown).

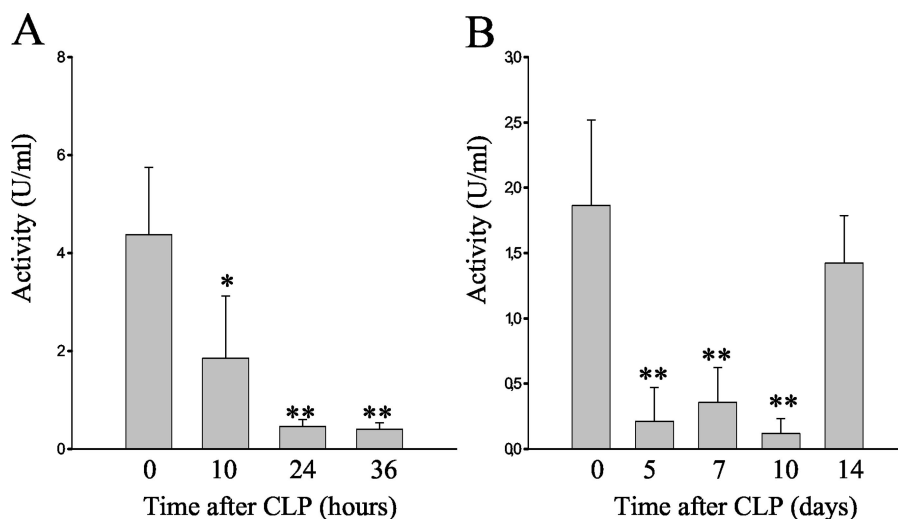


FIG. 3. MBL-dependent C4 cleavage activity of mouse serum samples (NMRI; $n = 5$) at different time points after CLP and from untreated control mice was compared to an internal standard with the arbitrary activity of 1 U/ml. (A) Statistically significant reduced C4 activation in sera was determined at 10, 24, and 36 h after CLP. *, $P < 0.05$; **, $P < 0.005$. (B) MBL-dependent C4 cleavage activity 5 ($n = 4$), 7 ($n = 4$), 10 ($n = 3$), and 14 ($n = 3$) days after CLP. **, $P < 0.005$.

DISCUSSION

Peritoneal bacterial infection and sepsis induced by CLP can be considered a relevant animal model to study the importance of different mechanisms of host innate and adaptive immune responses to combat bacterial infections. CLP serves as a clinically relevant model for severe abdominal infection because an early hyperinflammatory phase is followed by a subsequent hypoinflammatory phase during which the animals are extremely sensitive to bacterial superinfections (11, 53). This parallels the clinical observation of immunoparalysis that develops in patients during the course of sepsis (49).

The results from this study clearly demonstrate the importance of an intact complement system for survival after CLP. As already shown recently in a different model of experimentally induced polymicrobial peritonitis, both the classical pathway and the lectin pathway of complement activation are important in combating bacterial infection (4). A dominant role of the classical pathway in the innate immune response to bacterial pathogens by binding of natural antibodies to microbial antigens has previously been indicated (3). The recognition of microorganism-specific carbohydrate patterns by serum defense molecules such as MBL may constitute another important mechanism by which the innate immune system is activated, thus providing critical residual protection for C1q-deficient mice, as also supported by the results of the present study. Mouse MBL-A, under physiological conditions associated with MASP-2, after binding to specific oligosaccharides, initiates the lectin pathway of complement activation (28, 44, 50). Mouse MBL-C has been detected in sera of different mouse strains in about a sixfold higher concentration than MBL-A and was also shown to activate the lectin pathway via MASP-2 (24). MBL-A and MBL-C have different avidities for carbohydrate structures, which may broaden the spectrum of microorganisms they recognize (17). The two molecules might also have different effector functions besides complement activation. Both MBL and C1q have been shown to bind to CR1/CD35 (15) and calreticulin and CD91 (34, 48), thereby facilitating phagocytosis.

However, this mechanism alone does not seem to be able to protect mice from bacterial infection, as *H2-Bf/C2^{-/-}* mice, which still possess both molecules but lack all complement activation downstream of C4, were significantly more susceptible to CLP than wild-type or C1q-deficient mice. Also, these mice showed an impaired bacterial clearance from organs, indicating a clear need for further complement activation for the successful defense against infections. Interestingly, MBL-C is expressed in epithelial cells of the mouse small intestine, possibly indicating a role in mucosal immunity (46). However, MBL-A and MBL-C expression was also found in other tissues (51).

A comparison of the human system to the mouse model has to take into account that humans express only one form of MBL, which is more closely related to the rodent MBL-C form (13, 19). However, there is good evidence that MBL also plays an important role in the human system in protection from bacterial infection (14, 27, 40).

In this study we show for the first time that the lectin pathway is actively engaged in combating bacterial infection to such an extent that a rapid depletion of MBL in serum occurs. Most interestingly, this depletion lasted for more than 14 days, even though transcription of both MBL-A and MBL-C was still going on. A possible reason for this might be that MBL not only helps fend off acute bacterial invasions but also may be necessary for removal of bacteria in later stages after CLP. Although mice seem to have overcome the infection and give a healthy impression after about 1 week, the resolution of peritoneal adhesions and abscesses that are formed after CLP and which are crucial for survival (12) takes up to 4 weeks (unpublished observation). Continuous consumption of MBL during this process could explain the long-lasting depletion. Complement activation via the lectin pathway after tissue injury was also suggested by a report demonstrating the binding of MBL to endothelial cells after oxidative stress (6). The identification of the structures and molecules involved in the process leading to long-lasting MBL consumption after CLP is ongoing.

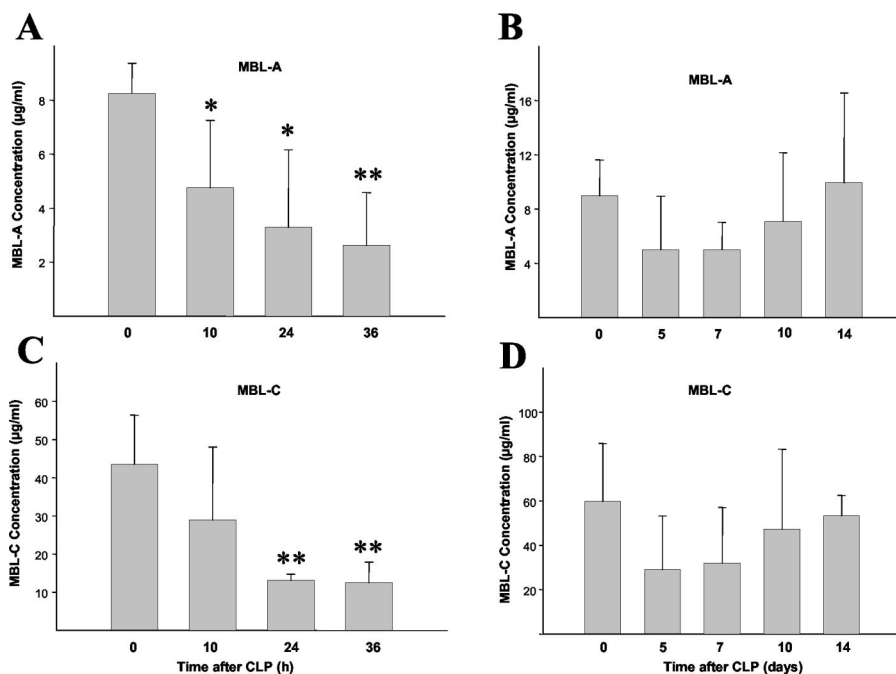


FIG. 4. Serum levels of MBL-A and MBL-C were determined in mouse serum samples (NMRI) at different time points after CLP and compared to the serum levels of untreated control mice ($n = 5$). (A) MBL-A levels in untreated mice and 10 and 36 h after CLP. *, $P < 0.05$; **, $P < 0.005$. (B) MBL-A levels 5, 7, 10, and 14 days after CLP. (C) MBL-C levels in untreated mice and 10, 24, and 36 h after CLP. **, $P < 0.005$. (D) MBL-C levels 5, 7, 10, and 14 days after CLP.

We could not detect a rise in MBL-A levels after CLP, even though MBL-A has been reported to behave like an acute-phase protein as elevated levels were induced by the injection of azocasein, thioglycolate medium, or lipopolysaccharide (24, 37). A modest increase in MBL levels was also reported in patients undergoing major surgery (43). However, promoter studies have cast doubt on the notion that MBL is an acute-phase protein, as transcriptional activity was not increased upon stimulation with proinflammatory cytokines. Dexamethasone even downregulated MBL expression (33). Our observation that MBL-A and MBL-C mRNA levels were not increased at any measured time point after CLP also casts doubt on the idea of MBL as an acute-phase protein.

A recent report shows that MBL-A deficiency enhanced survival in the same mouse peritonitis model of CLP; however, mice with the C57BL/6 genetic background were used for this study (41). As a possible explanation for the unexpected finding, a significant decrease in the TNF response in MBL-A-deficient mice 48 h after CLP was noted. However, other reports showed that TNF neutralization up to 8 h after CLP increased lethality (8). Also, TNF-deficient mice as well as mice deficient in the TNF receptor type 1 were reported to be significantly more susceptible to CLP than wild-type mice (9, 32). Thus, the relative importance of either MBL-A or MBL-C for survival of CLP-induced septic peritonitis is far from being clear at the moment. It will be interesting to see how mouse strains deficient in both MBL-A and MBL-C will perform in infection models and especially in the CLP model. However, a recent study of patients admitted to hospital with systemic inflammatory response syndrome underlines the importance of MBL during sepsis, showing that patients carrying MBL variant alleles had a higher risk of developing severe sepsis and

septic shock and were more likely to have positive cultures for microbial species (14).

In conclusion, our results show that the lectin pathway of complement activation plays an important role in combating bacterial peritonitis. CLP leads to a long-lasting depletion of MBL from serum but not to an upregulation of MBL expression.

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