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# MicroRNA-101 Regulates Multiple Developmental Programs to Constrain Excitation in Adult Neural Networks

Giordano Lippi<sup>1,\*</sup>, Catarina C. Fernandes<sup>1</sup>, Laura A. Ewell<sup>1</sup>, Danielle John<sup>1</sup>, Benedetto Romoli<sup>2</sup>, Giulia Curia<sup>2</sup>, Seth R. Taylor<sup>1</sup>, E. Paxon Frady<sup>1</sup>, Anne B. Jensen<sup>1</sup>, Jerry C. Liu<sup>1</sup>, Melanie M. Chaabane<sup>1</sup>, Cherine Belal<sup>1</sup>, Jason L. Nathanson<sup>3</sup>, Michele Zoli<sup>2</sup>, Jill K. Leutgeb<sup>1,4</sup>, Giuseppe Biagini<sup>2</sup>, Gene W. Yeo<sup>3</sup>, and Darwin K. Berg<sup>1,\*</sup>

<sup>1</sup>Division of Biological Sciences, University of California, San Diego, La Jolla, CA 92093, USA

<sup>2</sup>Department of Biomedical, Metabolic and Neural Sciences, Center for Neuroscience and Neurotechnology, University of Modena and Reggio Emilia, Modena, Italy

<sup>3</sup>Institute for Genomic Medicine, University of California at San Diego, La Jolla, CA 92093, USA

<sup>4</sup>Center for Neural Circuits and Behavior, University of California, San Diego, La Jolla, CA 92093, USA

#### SUMMARY

A critical feature of neural networks is that they balance excitation and inhibition to prevent pathological dysfunction. How this is achieved is largely unknown, though deficits in the balance contribute to many neurological disorders. We show here that a microRNA (miR-101) is a key orchestrator of this essential feature, shaping the developing network to constrain excitation in the adult. Transient early blockade of miR-101 induces long-lasting hyper-excitability and persistent memory deficits. Using target-site blockers *in vivo*, we identify multiple developmental programs regulated in parallel by miR-101 to achieve balanced networks. Repression of one target, NKCC1, initiates the switch in GABA signaling, limits early spontaneous activity, and constrains dendritic growth. Kif1a and Ank2 are targeted to prevent excessive synapse formation. Simultaneous derepression of these three targets completely phenocopies major dysfunctions produced by miR-101 blockade. Our results provide new mechanistic insight into brain development and suggest novel candidates for therapeutic intervention.

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#### SUPPLEMENTAL INFORMATION

 $Supplemental\ Information\ includes\ Supplemental\ Experimental\ Procedures,\ Supplemental\ References,\ nine\ figures\ and\ one\ table.$ 

#### AUTHOR CONTRIBUTIONS

G.L., A.B.J., E.P.F., S.R.T, J.C.L., and M.M.C. performed calcium imaging experiments; C.C.F., D.J. performed electrophysiological experiments; J.L.N. and G.W.Y. performed small RNA sequencing; L.A.E., J.K.L., and G.L. performed *in vivo* recordings. B.R., G.C., G.B., and M.Z. performed behavioral tests and MES tests; E.P.F. wrote the code for calcium imaging analysis; C.B. performed western blot analysis. G.L. performed all remaining experiments. G.L. and D.K.B. wrote the paper. All authors performed data analysis and reviewed and commented on versions of the manuscript.

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<sup>\*</sup>Correspondence to: glippi@ucsd.edu (Lead Contact) or dberg@ucsd.edu.

#### INTRODUCTION

Balanced excitation is a critical feature of properly functioning neural circuits. Aberrant activity is characteristic of numerous neurological disorders, including autism spectrum disorder (ASD), Rett syndrome, schizophrenia, and epilepsy (Belforte et al., 2010; Chao et al., 2010; Dzhala et al., 2005; Rubenstein et al., 2003; Yizhar et al., 2011; Zoghbi 2012). In rodents, formative events in the first few weeks of postnatal life specify the ratio of excitatory and inhibitory input (E/I) and determine the excitability of neural circuits for the adult (Ben-Ari, 2002; Blankenship et al., 2010). During this time the developing nervous system transitions from an initial period of rapid growth and exuberant synapse formation to a period during which circuits undergo refinement and consolidation (Blankenship et al., 2010; Kirkby et al., 2013). Disruptions in the timing or sequence of events comprising the growth phase and transition to consolidation can produce aberrant levels of excitation in the adult. How this complex process of network construction is guided to ensure proper excitation is largely unknown.

MicroRNAs (miRs) are strong candidates for coordinating complex developmental processes (Bian et al., 2011; Yoo et al., 2009). They are short non-coding RNAs that act as post-transcriptional regulators of gene expression (Bartel, 2004; Guo et al., 2010) by binding messenger RNAs (mRNAs) containing a miR recognition element (MRE). A single miR can target hundreds of different mRNAs, orchestrating epigenetic regulation of large combinations of gene products and facilitating developmental switches (Makeyev et al., 2007; McNeill et al., 2012). Little is known, however, about possible miR involvement in postnatal brain development and long-term impact.

Here we show that miR-101 regulates multiple postnatal developmental programs in parallel to constrain excitatory activity in the adult. Using target-site blockers *in vivo*, we identify the molecular mechanisms used by miR-101 to produce balanced networks. It represses NKCC1 to initiate maturation of GABAergic signaling, to limit spontaneous synchronized activity, and to prevent excessive dendritic growth. A second developmental program involves repression of Kif1a and Ank2 to constrain excessive assembly of pre-synaptic components and reduce the density of glutamatergic synapses. Remarkably, simultaneous protection of all 3 targets recapitulates the core of the phenotype induced by miR-101 blockade.

#### **RESULTS**

#### MiR-101 is a potential master regulator of network formation

To identify miRs orchestrating the complex series of events occurring during network formation, we performed small RNA-sequencing of the mouse hippocampus at postnatal day 12 (P12). The hippocampus was chosen because of its known function and well-defined circuitry; P12 was chosen because it is within a critical developmental window (Liu et al., 2006). The miRs obtained were then ranked according to the following criteria. First: abundance, expressed as percentage of total counts. Highly abundant miRs are more likely to have prominent roles. Second: up-regulation during postnatal development. MiR levels rise when their function becomes necessary. Accordingly, we used qPCR to quantify the levels of top miR candidates in the hippocampus from embryonic day 16 (E16) to adult. Third:

enrichment of miRs and mRNAs in Argonaute (Ago) complexes (the effector of miR function). We explored existing databases of Ago-miR-mRNA interactions that list the abundance of miRs and their predicted mRNA targets (Boudreau et al., 2014; Chi et al., 2009). MiRs were prioritized if they were enriched in Ago complexes, had predicted target transcripts (based on MRE) with known roles in neuronal development, and those targets were also abundant in the complexes. Of those, miR-101a and b stood out as the most promising. MiR-101a and b are highly expressed at P12 (Figure S1A), increase by 2-and 3-fold, respectively, during the relevant developmental window of E16-P12 (Figures S1B and S1C), represent some of the most abundant miRs in Ago-miR-mRNA complexes in the cortex at P13, and have validated targets that are crucial for neuronal differentiation (Figure S1D). Notably, miR-101 is expressed not only in pyramidal neurons but also in interneurons (Figure S2A) and in non-neuronal cell-types (Figures S2B and S2C).

To assess the role of miR-101a and b in mediating early events in brain development, we performed a localized transient inhibition by injecting a fluorescein-tagged locked nucleic acid (LNA) antagonist for miR-101b (a-101.F) bilaterally into the dorsal hippocampus of P2 pups (Figure 1A). Sensor assays and qPCR experiments showed that the antagonist efficiently and selectively inhibited both miR-101a and b for up to 9 days, compared to a control LNA (a-Ctrl), and subsequently subsided (Figures S2D–S2F, and S3). Additional controls were performed to validate the antagonist and exclude that base composition or LNA length were responsible for the phenotype observed (Figures S2G–S2I). The timing and transience of the inhibition was significant. It meant that any network defects persisting into adulthood must result from having interrupted early actions of miR-101 that normally have long-lasting impact. Though miR-101 is also highly expressed in the adult (Figures S1B and S1C), acute actions during adulthood would not have been compromised by application of a-101.F at P2. Similarly, injection at P2 would not perturb miR-101 actions during embryogenesis, e.g. effects on early cell proliferation and migration.

#### Transient miR-101 inhibition in early life produces hyper-excitable networks in the adult

To determine if transitory inhibition of miR-101 during postnatal development creates long-lasting changes in circuit function, we tested the levels of excitability in multiple areas of the hippocampus with an array of techniques. First, we measured hippocampal single unit activity in freely behaving adult mice in an intact network long after miR-101 inhibition ended. During periods when animals were resting, pyramidal neurons had significantly elevated firing rates in a-101.F-treated animals compared to a-Ctrl-treated controls (Figures 1B-1D and S4A–S4C). This increase in baseline activity is consistent with hyper-excitable networks.

Further evidence for increased excitation came from recordings of spontaneous excitatory postsynaptic currents (sEPSCs) in CA3 pyramidal neurons in acute hippocampal slices from young adults (P40). Both the frequency and amplitude of excitatory events were significantly increased by a-101.F, compared to a-Ctrl (Figures 1E-1G). Even more striking was the appearance of spontaneous high-frequency burst discharges that resembled spontaneous seizurelike events (SLE) seen in half of the slices from a-101.F-treated animals (Figure 1E, bottom).

To measure activity more broadly, we injected P2 pups with an adeno-associated virus encoding the calcium indicator GCaMP6f along with a-101.F, and examined the dentate gyrus (DG), the main source of excitatory fibers to CA3 pyramidal neurons. Spontaneous calcium transients in acute P37-40 slices were imaged using confocal microscopy (Figures 1H, 1I, S4D and S4E). DG neurons from a-101.F-treated animals exhibited increased activity compared to a-Ctrl (Figure 1J). Both the percentage of spontaneously active DG neurons and the frequency of calcium transients per active cell were increased (Figures 1K and 1L, bars labeled P2). In addition, neurons from a-101.F-treated animals occasionally showed prolonged bursts of excitatory activity (Figure 1J, bottom). Importantly, acute injections of a-101.F at P30, 8–10 days before calcium imaging, did not alter DG activity (Figures 1K and 1L, bars labeled P30). This is consistent with the earlier demonstration that P2 injection of a-101.F achieves only a transient blockade. Accordingly, miR-101 must act during postnatal development to determine excitability subsequently in the adult. Acute actions of miR-101 in the adult have other consequences (Lee et al., 2008; Vilardo et al., 2010).

Because anomalous bursts of excitatory activity were detected in a-101.F-treated animals (Figures 1E and 1J), we asked whether the network presented major pathological features. Both the pentylentetrazol (PTZ) infusion test and Timm staining in the DG showed no difference between a-101.F-treated animals and littermate controls (Figures S4F–S4H). LFP video monitoring revealed that one a-101.F-treated animal out of seven showed inter-ictal spikes and stage III seizures (data not shown) while all other animals did not. The maximal electroshock seizure (MES) test suggested that evoked seizures, although of similar severity, lasted significantly longer in a-101.F-treated animals than in a-Ctrl controls (Figures S4I–S4K). Together the data indicate that in a-101.F animals the network is clearly prone to hyper-excitability but does not exhibit a full epileptic phenotype.

#### Transient blockade of miR-101 early in development causes memory deficits in the adult

In many neurodevelopmental disorders, hyper-excitability is often accompanied by cognitive impairment. To determine whether the dysfunctions imposed by transitory miR-101 blockade correlate with long-lasting behavioral change, we tested young adult mice in a battery of tasks that probe hippocampal function. In the fear-conditioning test, young adult mice that received a-101.F at P2 froze less in the context in which they received a foot shock (Figures 2A and 2B). This is consistent with the classical notion that formation of contextual memories is hippocampus-dependent (Curzon et al., 2009). Importantly, memory of the cue tone, that is instead amygdala-dependent, was not altered by miR-101 blockade. The spontaneous alternation assay requires a functionally intact dorsal hippocampus and is used to test spatial working memory in young rodents. Compared to controls, animals that received a-101.F completed fewer correct alternations, suggesting that they were less likely to remember the arm of the symmetrical Y maze that was last visited (Figures 2C-2E). Animals that received a-101.F also showed impairments in the object/place test that measures the ability of the animals to remember the location of one of two identical objects (Figures 2F-2I). These data suggest that hippocampus-dependent contextual, working, and spatial memory were impaired in a-101.F-treated mice, compared to controls. No significant differences were observed in the open-field and the elevated plus-maze tests, confirming that

the observed memory effects were not a result of changes in anxiety levels or in general exploratory behavior (Figures 2J and 2K).

Taken together, the results show that miR-101 function in the first two weeks of postnatal development is critical for subsequent neural circuit function. Transient loss of miR-101 regulation in the dorsal hippocampus has long-lasting consequences, leading to a hyper-excitable network and cognitive deficits. The profound effects of miR-101 blockade on network excitability in the adult emerge from disinhibiting mRNA targets during early postnatal life. Identifying such targets will help to understand the developmental programs that converge to establish a balanced network.

#### MiR-101 targets NKCC1 to facilitate the GABA switch, a critical event in development

To identify the mechanisms by which miR-101 affects the assembly of balanced circuits, we tested individual candidate mRNA targets. We compiled a list of potential miR-101 targets, combining TARGETSCAN predicted interactions with existing HITS-CLIP databases from both the mouse cortex at P13 (Chi et al., 2009) and the human cortex (Boudreau et al., 2014). We selected 17 putative miR-101 targets most frequently associated *in vivo* with the Ago-miR-mRNA complexes. We then used qPCR to determine which candidates decreased in the hippocampus between P7 and P11 (low P11-to-P7 ratio) as expected in response to the miR-101 increase, and, conversely, which increased in response to miR-101 blockade by a-101.F as expected for a de-repressed target. A final selection criterion was based on the encoded protein being relevant for neural development. Seven top candidate mRNAs emerged (Table S1).

Most promising was the chloride importer NKCC1 because it declines during the second week of postnatal life in rodents and, along with an increase in the chloride exporter KCC2, is responsible for maturation of GABAergic signaling, rendering it inhibitory (Ben-Ari, 2002; Blankenship et al., 2010; Rivera et al., 1999). Disruption of the GABA switch during development has profound consequences for network excitability and E/I (Cancedda et al., 2007; Chen et al., 2015; Deidda et al. 2015; Dzhala et al., 2005; He et al., 2014; Liu et al., 2006). What causes NKCC1 to decline, however, has long been the focus of investigation but remains unknown. Because the NKCC1 mRNA contains a predicted miR-101 MRE, we tested the hypothesis that miR-101 drives maturation of GABAergic signaling by repressing NKCC1 and that disrupting the timing of the GABA switch causes the hyper-excitability observed in a-101.F-treated animals.

To determine whether miR-101 is critical for the decline in NKCC1 during development, we first employed cell culture. Cells expressing a luciferase construct containing the NKCC1 3'-UTR showed increased luciferase activity when treated with a-101 (a-101.F without fluorescent tag), compared to a-Ctrl-treated cells (Figure 3A). Further, a-101.F increased both NKCC1 messenger and protein levels *in vivo*, indicating that endogenous miR-101 directly targets the NKCC1 3'-UTR to degrade the mRNA (Figures 3B and 3C). In contrast, KCC2 levels were not changed by miR-101 blockade (Figure 3B). Sensor assays using wild-type and mutated sequences helped confirm the MRE in the NKCC1 3'-UTR that miR-101 binds to repress its translation (Figure 3D-3F). Experiments in cell culture confirmed the impact of preventing miR-101 regulation of NKCC1. Patch-clamp recording from primary

neuron cultures transfected with a-101 indicated that many more neurons displayed a depolarized reversal potential for GABA ( $E_{\rm GABA}$ ) as seen in responses to pico-spritzed application of GABA, at a time when the gradient should have matured to make GABA inhibitory (Figure S5A). Consistent with this, neurons transfected with a-101 showed a much greater likelihood of displaying a depolarizing response to GABA, as monitored with a calcium-dependent fluor, than did those receiving control a-Ctrl (Figures 3G, 3H, and S5B).

To assess the effects of miR-101 on  $E_{GABA}$  under conditions where the neuronal connections were more similar to those in vivo, we compared the GABA responses of neurons in acute slices from a-101.F-treated vs. control mice at P8. Consistent with cell culture results (Figure S5A), a-101.F-treated neurons retained a more depolarized value for E<sub>GABA</sub> (Figures 3I-3K). To determine if miR-101 regulation of NKCC1 mRNA alone was responsible for the delayed maturation of  $E_{GABA}$ , we employed an LNA target-site blocker (TSB, Figures S5C and S5D). This is a novel and powerful strategy that allows the manipulation in vivo of a single miR-mRNA interaction without affecting the basal levels of mRNA targets. With this tool we established a mechanistic link between the regulation of an individual mRNA and specific aspects of the phenotype induced by miR blockade. The NKCC1-TSB prevents binding of miR-101 to the miR-101 MRE in the 3'-UTR of NKCC1, thereby freeing the transcript from miR-101 inhibitory regulation. All other miR-101 targets would still be subject to miR-101 repression; any phenotype observed would be due exclusively to NKCC1 de-repression. Injection of the NKCC1-TSB did indeed result in a higher level of the NKCC1 transcript (Figure S5D) and a more depolarized  $E_{GABA}$ , phenocopying the effect of a-101.F on the chloride gradient (Figures 3I-3K). The results clearly demonstrate that miR-101 directly suppresses NKCC1 levels and thereby promotes timely maturation of the chloride gradient to render GABA inhibitory. Maturation of the chloride gradient is an essential feature of early postnatal development whose initiation was not previously understood. A delay in this maturation, as in the case of miR-101 blockade, would be expected to have major consequences for the subsequent development of neural networks (Liu et al., 2006).

## Protection of NKCC1 alone is not sufficient to recapitulate a-101.F-induced network dysfunction

When the hippocampal circuit is being established, large, spontaneous, synchronized events (SEs) are the major form of network activity (Ben-Ari, 2002; Blankenship et al., 2010; Bonifazi et al., 2009). SEs are generated by the concomitant action of depolarizing GABA and glutamate, and are thought to drive synapse formation and help establish properly balanced local circuits (Cancedda et al., 2007, Deidda et al. 2015; Kirkby et al., 2013). Using calcium imaging, we tested whether the extended period of depolarized  $E_{\rm GABA}$  induced by miR-101 blockade affected network dynamics. Acute hippocampal slices from a-101.F-treated P8 mice showed increased calcium transients relative to controls (Figures 4A-4C). SEs occurred more frequently and included larger cell ensembles (Figures 4D and 4E). Asynchronous events also occurred more frequently (Figure 4F). In addition, we observed other signs of hyper-excitability, as in the case of double SEs, i.e., two events

occurring at a very short inter-event interval (Figure 4G), and saw large synchronous bursts (Figure S5E).

Consistent with a role for GABAergic excitation in promoting SEs (Blankenship et al., 2010; Bonifazi et al., 2009), disinhibition of NKCC1 alone (NKCC1-TSB), which prolongs the period of depolarizing GABA, was sufficient to replicate the effect of a-101.F on the frequency of SEs (Figure 4D). Importantly, NKCC1-TSB failed to replicate other aspects of the a-101.F phenotype, such as increases in the total number of events (Figure 4C), the proportion of cells synchronized (Figure 4E), and the numbers of asynchronous events and double events (Figures 4F and 4G). Partial knockdown of NKCC1 with an siRNA in the presence of a-101.F (Figure S5D), however, rescued the entire phenotype induced by a-101.F (Figures 4C-4G); this indicates that over-expression of NKCC1 is essential, though not sufficient, to generate all aspects of the a-101.F phenotype observed here. Taken together, the data indicate that NKCC1 repression by miR-101 is necessary to facilitate the GABA switch and to limit the frequency of SEs. Other miR-101 targets, however, must also be repressed to prevent excessive network activity.

#### MiR-101 represses multiple programs in parallel to constrain spontaneous network activity

To further elucidate the regulatory mechanisms by which miR-101 limits spontaneous activity, we examined six more candidates from the prioritized list (Table S1). We reasoned that multiple mRNA targets would have to be released simultaneously if we were to phenocopy all aspects of miR-101 blockade. Accordingly, we designed TSBs for each mRNA target and distributed them into 3 groups (G1, G2, G3, Figure 5A), configured to maximize synergistic effects of the protected mRNAs while avoiding TSB combinations vulnerable to heteroduplex formation (Figure S6). All groups also contained NKCC1-TSB to ensure that the NKCC1 component of the phenotype was included as a baseline.

To assess their unique contributions, the groups were individually injected at P2, and their effects on spontaneous activity monitored at P8 via calcium imaging. Remarkably, each group recapitulated unique aspects of the a-101.F phenotype not achieved by NKCC1-TSB alone (Figures 5B-5E and S7). The "presynaptic" G1 mimicked the increase in total activity, primarily by increasing asynchronous events (Figures 5B and 5C). This is consistent with reports that Kif1a overexpression induces the formation of presynaptic boutons (Kondo et al., 2011) and that Ank2 stabilizes synapses (Bulat et al., 2014; Pielage et al., 2008). The "glia" G2 instead recapitulated the increase in the size of cell ensembles recruited in each synchronous event (Figure 5D). The finding is consistent with the role of Abca1 in meeting the high lipid demand of rapidly expanding membranes during dendritic growth and synaptogenesis (Table S1). The "neuronal excitability" G3 uniquely accounted for the large number of double events (Figure 5E). This may reflect the regulation of extrasynaptic glutamate by system xCT thought to promote neuronal excitability in early circuits (Table S1). The selective effects of the groups on mRNA levels were confirmed by qPCR (Figure S7).

Because G1 phenocopied most aspects of a-101.F-induced changes in network activity, we further validated both Ank2 and Kif1a regulation by miR-101 (Figures S7 and S8). First, miR-101 inhibition was shown to increase protein levels (Figures S7G and S7H). Second,

sensor assay were used to identify the miR-101 MREs for each and demonstrate specificity of the TSBs (Figure S8). Lastly, simultaneous knockdown of Ank2 and Kif1a (Figures 5F and 5G) was shown to rescue the increases in asynchronous and total calcium events (Figures 5I and 5J) induced by a-101.F but not the increase in SEs (Figure 5H). The results indicate a specific role for the two pre-synaptic proteins in determining network activity.

An important feature of miR-101 revealed by a-101.F blockade is that actions during early postnatal life have lasting effects into adulthood. We hypothesized that the additional effects induced by G1, over and above that of NKCC1-TSB alone, could be sufficient to recapitulate the long-lasting effects on excitability seen with a-101.F. Indeed, calcium imaging in acute hippocampal slices prepared at P40 showed that G1, but not NKCC1-TSB, fully mimicked a-101.F in producing increased levels of activity and increased numbers of active cells in the DG (Figures 5K-5M), hallmarks of a hyper-excitable network.

The results indicate that miR-101 prevents excessive activity and that its actions early in development have lasting effects in the adult. It achieves this by repressing multiple developmental programs in parallel that control separate aspects of circuit formation, acting in different neuronal compartments and even in different cell-types. Remarkably, protecting three core mRNA targets (NKCC1, Kif1a, and Ank2) from miR-101 regulation recapitulates the long-term hyper-excitability induced by a-101.F.

#### The miR-101 targets Ank2 and Kif1a complement NKCC1 in constraining synaptic input

Having identified three core mRNA targets that miR-101 regulates to produce balanced networks, we then probed how they affect connectivity at the single-cell level. SEs and early spontaneous activity are known to guide synapse formation and network construction (Kirkby et al., 2013). Given that miR-101 blockade early in development increases spontaneous activity levels, we asked whether it affected synaptic input in a way likely to alter E/I. Recording from CA3 pyramidal neurons in P11 hippocampal acute slices revealed a clear increase in sEPSC frequency for animals receiving a-101.F at P2 compared to controls receiving a-Ctrl (Figures 6A and 6B). No change was seen in the spontaneous inhibitory postsynaptic current (sIPSC) frequency (Figure 6C) or in mean amplitude (Figures S9A and S9B). Importantly, the ratio of sEPSCs/sIPSCs was greatly increased, indicating an imbalance in E/I (Figure 6D). This suggests that the a-101.F-induced increase in early spontaneous network activity may have caused excessive synaptogenesis favoring excitatory input.

To test this hypothesis, we recorded miniature excitatory and inhibitory postsynaptic currents (mEPSCs, mIPSCs) from CA3 pyramidal neurons in acute P11 hippocampal slices. Because mEPSCs and mIPSCs represent the spontaneous release of individual synaptic vesicles in the absence of action potentials, their frequencies are often used to assess relative numbers of synaptic contacts (though other factors can sometimes also affect spontaneous release). Indeed a-101.F increased the frequency of both mEPSCs and mIPSCs, consistent with increases in the numbers of both glutamatergic and GABAergic synapses (Figures 6E-6G, S9C, and S9D). No changes in mean amplitude were recorded (Figures S9E–S9H). Importantly, the increase in mEPSC frequency was proportionately greater, thereby

increasing the ratio of mEPSC/mIPSC frequencies in a-101.F-treated animals (Figure 6H) and affecting E/I.

To identify the mechanisms by which specific miR-101 targets regulate synaptogenesis and determine E/I, we employed TSBs. We compared NKCC1-TSB, which recapitulates completely the increase in SEs induced by a-101.F, with G1, which phenocopies more closely the overall phenotype of a-101.F, including long-lasting effects. G1 was more effective than NKCC1-TSB alone in elevating mEPSC frequency at P11 (Figures 6E and 6F), while both yielded mIPSC frequencies equivalent to that of a-101.F (Figure 6G). As a result, G1 elevated the ratio of mEPSC/mIPSC frequencies, while NKCC1-TSB appeared less effective (Figure 6H).

The additional contributions of G1 were also apparent in the kinds of synaptic events seen. CA3 pyramidal neurons receive three major excitatory inputs that can be distinguished by analyzing the shape of individual synaptic events. mEPSCs with an amplitude greater than 30 pA almost exclusively originate from mossy fiber (MF) input (Henze et al., 1997). Compared to controls, a-101.F-treated animals showed an increase in the frequency of MF events (Figure S9I). G1-treated animals fully recapitulated this increase, while NKCC1-TSB-treated animals were not different from controls (Figure S9I). This suggests that both a-101.F and G1 induce an increase in the density of MF synapses (number per unit dendrite length), while NKCC1-TSB does not.

Other synaptic input to CA3 pyramidal neurons comes from commissural association fibers (A/C) and the perforant path where the mEPSC time-to-peak (TTP) is delayed due to dendritic filtering (Perez-Rosello et al., 2011). Examining mEPSCs smaller than 30 pA (to exclude MF synaptic events) yielded a slower TTP for NKCC1-TSB on average than for G1 (Figure S9J). This is consistent with proportionately more of the synaptic contacts being located farther away in the dendritic tree as a result of NKCC1-TSB treatment, as compared to G1.

#### NKCC1 and Kif1a/Ank2 play complementary roles in promoting connectivity

Premature maturation of the GABA switch or selective local removal of depolarizing GABAergic input during development greatly reduces dendritic complexity (Cancedda et al., 2007; Chen et al., 2015). This, together with the observation above that NKCC1-TSB increased the relative proportion of distal mEPSCs seen in CA3 pyramidal neurons without increasing MF events, suggested the hypothesis that release of NKCC1 from miR-101 regulation may preferentially enhance dendritic arbor complexity, while release of Ank2 and Kif1a may have a complementary effect in more directly promoting synaptic density.

To visualize changes in dendritic morphology, we co-injected the inhibitors at P2, along with a viral construct encoding eGFP, and then imaged CA1 and CA3 pyramidal proximal dendrites at P8 (Figure 7A). NKCC1-TSB alone fully matched the increases in length of primary dendritic branches produced by a-101.F in CA1 (Figures 7B and 7C) and both primary and secondary branches in CA3, while branch number was unchanged (Figures S9K–S9M). G1 also recapitulated the a-101.F effect (data not shown), as expected because it contains NKCC1-TSB. Previous work has shown that over-expression of Kif1a increases the

number of pre-synaptic boutons (Kondo et al., 2012) while Ank2 controls synapse stability (Bulat et al., 2014). To test if these actions could explain mechanistically the difference between NKCC1-TSB and G1, we first immunostained for vesicular glutamate transporter (VGluT1) as a pre-synaptic marker of glutamatergic synapses. G1, but not NKCC1-TSB, was able to phenocopy at P15 the increased numbers of puncta for VGluT1, seen in the stratum radiatum where A/C are prevalent (Figures 7D-7F). The increase in VGluT1 presynaptic puncta induced by a-101.F injected at P2 was maintained at P30, a time when synapse formation and refinement are mostly completed (a-101.F had 1.6-fold more puncta than a-Ctrl, Student's t-test, p<0.01). Further, quantification at P11 of dendritic protrusions also revealed clear differences on the post-synaptic side. G1, but not NKCC1-TSB, mimicked the increased density of dendritic protrusions, both in the CA1 and CA3 seen with a-101.F (Figures 7G-7I). Notably, G1 also reproduced most closely the increase in "mushroom" spines, the type thought likely to represent mature glutamatergic synapses (Chen and Sabatini, 2012).

These results at the single-cell level elucidate the mechanistic details of how miR-101 curtails the development of excitatory input to generate a balanced network in the adult. Suppression of NKCC1 by miR-101 limits spontaneous activity and the activity-dependent genetic programs that promote dendritic growth. Repression of additional components (Kif1a/Ank2) is needed to constrain the formation of presynaptic boutons and the stabilization of synapses. Inhibition of these two developmental programs in parallel allows pyramidal neurons to receive appropriate excitatory input and avoid pathological levels of activity. Further, the fact that both CA1 and CA3 pyramidal cells are affected similarly by manipulation of miR-101 and its targets suggests that these are core mechanisms.

#### DISCUSSION

Creating robust neural networks with balanced E/I is essential for proper brain function as evidenced by the many crippling neurological disorders reflecting deficiencies in this process. MiRs are attractive candidates for coordinating the many pathways that must act in parallel during network formation to achieve a proper final balance. We show here that miR-101 performs such a role, inhibiting NKCC1, together with Ank2, Kif1a, and other mRNA targets at a critical time during postnatal development to achieve optimal network excitability in the adult. Other miRs have previously been shown to regulate various aspects of neuronal development and morphology (Bian et al., 2011; Lippi et al., 2011; McNeill et al., 2012; Schratt et al., 2006), but the present work represents a first in terms of identifying a single player orchestrating a comprehensive effect on neural circuit properties to maintain network stability long after the actual instruction.

#### MiR-101 regulation of a critical phase in network development to achieve a balanced state

It has long been known that early postnatal neural development in rodents includes a period of exuberant growth that subsequently transitions into a phase of pruning and consolidation. This transition is when the fundamental properties of neural networks are established, including the general number and strength of synapses. Errors in the growth phase or in the timing of the transition have long-term consequences for network function (Cancedda et al.,

2007; Chen et al., 2015; Deidda et al. 2015; Dzhala et al., 2005; He et al., 2014; Liu et al., 2006). The elevation of miR-101 levels that occurs at this time suggested it played a role; specific blockade of miR-101 by injection of a-101.F at P2 confirmed it. The blockade produced profound changes in network excitability and behavior that persisted well into adulthood. Importantly, these effects were driven exclusively by miR-101 events in early postnatal life because the blockade achieved by a-101.F expired within 9 days of injection as evidenced by qPCR of both the miR and its targets. Further, blockade of miR-101 in the adult did not replicate any of these effects, indicating that its expression in the adult must serve other purposes (Lee et al., 2008; Vilardo et al., 2010). The results permit the unambiguous conclusion that early miR-101 action has long-lasting effects. This outcome is quite different from those reported previously for miR actions in the nervous system, such as those of miR-128, which appears to act in the adult to regulate ion channels and ERK2 signaling pathways (Tan et al., 2013). The fact that high levels of miR-101 have also been found in the cortex at the end of the second week of postnatal life (Chi et al., 2009) suggests a global role in the developing brain that merits further investigation.

#### Coordination of distinct programs by miR-101 for a common purpose

The ability of miR-101 to coordinate the development of a major brain feature, i.e. balanced networks, by regulating key independent programs in parallel is well illustrated by its actions on pyramidal neurons in the hippocampus. During development the neurons elaborate extensive dendritic branches and receive large numbers of synaptic contacts. This development depends in part on local GABAergic signaling initially being depolarizing. Selective silencing of that GABAergic input (Chen et al., 2015) or accelerated maturation of the chloride gradient to render GABA inhibitory impairs dendritic arborization (Cancedda et al., 2007). Conversely, TSB protection of NKCC1 against miR-101 regulation prolongs the period of depolarizing GABA and increases the frequency of SEs, triggering activity-dependent gene programs that induce the neurons to extend longer dendritic branches. The additional protection of Ank2 and Kif1a obtained by G1, however, is required to increase the density of synapses formed on the branches as seen immunohistochemically.

Previous studies have suggested roles for Ank2 and Kif1a in synapse formation or stabilization (Bulat et al., 2014; Koch et al., 2008; Kondo et al., 2011; Pielage et al., 2008; Stephan et al., 2015; Yonekawa et al., 1998). Consistent with this, G1, which protects Ank2 and Kif1a along with NKCC1, increased both the density of VGluT1-containing presynaptic puncta and the density of dendritic protrusions, whereas NKCC1-TSB affected only dendritic arborization. The overall outcome is that G1, but not NKCC1-TSB alone, causes an imbalance in E/I, and produces long-lasting hyper-excitability. Notably, G2 and G3 contain TSBs protecting yet other miR-101 targets responsible for unique aspects of the changes in spontaneous network activity induced by a-101.F. An interesting question for the future is whether G2 and G3 may recapitulate pathological aspects of a-101.F that were not detected in G1-treated adults, such as the SLE and excitatory bursts.

Perhaps surprisingly, miR-101 does not appear to regulate KCC2, though a developmental increase in KCC2 during the second week of postnatal life normally complements the decrease in NKCC1 to execute maturation of the chloride gradient (Ben-Ari, 2002;

Blankenship et al., 2010; Rivera et al., 1999). MiR-101 does not, for example, target any components of the REST complex, a repressor that inhibits KCC2 early in development (Karadesh et al., 2001), before transcription factors potently induce its expression (Medina et al., 2014). Upstream events are most likely responsible, coordinating the repression of NKCC1 via miR-101 while releasing expression of KCC2 through other mechanisms.

The results clearly demonstrate that miR-101 regulates multiple developmental programs at a critical early stage to achieve the goal of a stable, balanced network in the adult. It does this by constraining the growth phase and facilitating the transition to consolidation, thereby limiting the development of excitatory input. Importantly, blockade of these miR-101 actions does not simply delay development; instead it causes persistent deficits that apparently cannot be fully compensated even in adulthood. In addition to the targets identified here, miR-101 almost certainly regulates many other mRNAs simultaneously to produce the global effect of controlling E/I and stabilizing network function. The candidate approach utilized in this work, in particular the use of TSBs, should also be effective in parsing out additional contributions of other targets repressed by miR-101.

#### Pathological consequences and behavioral relevance

Previous studies have linked miR-101 to various neurological disorders because of its regulation of specific targets, as in the case of Atxn1 in spinocerebellar ataxia type I (Lee et al., 2008) and the amyloid precursor protein in Alzheimer's disease (Vilardo et al., 2010). In contrast, our findings define a much broader role for miR-101 in neural development, coordinating multiple pathways in early postnatal life to achieve long-lasting network stability. Disruption of miR-101 action causes hyper-excitability and cognitive impairments. These pathological outcomes reflect features common to many neurodevelopmental disorders (Belforte et al., 2010; Chao et al., 2010; Rubenstein et al., 2003; Yizhar et al., 2011; Zoghbi, 2012) in which miR dysfunctions have often been reported (Im et al., 2012; Wu et al., 2016).

Interestingly, several of the miR-101 targets identified here have been linked to specific features of neurodevelopmental disorders. NKCC1 and the maturation of the chloride gradient have been linked to seizure susceptibility (Dzhala et al., 2005). Kif1a mutations in humans cause cognitive impairment, atrophy, neuropathy, and epilepsy (Lee et al, 2015). Both Slc7a11 and Ank2 belong to modules of ASD risk genes that have a key role in neural development and in establishing connectivity of glutamatergic neurons; they are themselves regulated by the Fragile X mental retardation protein (FMRP; Parikshak et al., 2013, Voineagu et al., 2011). Notably, miR-101 levels are altered in patients with ASD (Mundalil Vasu et al., 2014) and schizophrenia (Beveridge et al., 2009). Mir-101 has also been linked to fragile X syndrome (Zongaro et al., 2013) because of its ability to regulate FMRP, and it has been connected to Rett syndrome because it is responsive to the transcriptional regulator Mecp2, which, in mutated form, can cause Rett syndrome (Wu et al., 2010). These reports indicate the biomedical relevance of the regulatory cascades controlled by miR-101.

Taken together these findings suggest that miR-101 regulates a highly interconnected gene network that controls the phase of initial growth in neural nets, the strength of excitatory input within them, and its balance with inhibition. Our work provides new insight into brain

development and reveals potential targets for therapeutic intervention to compensate or reverse multiple disease states.

#### **EXPERIMENTAL PROCEDURES**

#### RNA Sequencing and qPCR

MiR analysis was performed as described (Zisoulis et al., 2010), and sequencing was based on Illumina's Small RNA Digital Gene Expression v1.5 protocol. Semi-quantitative qPCR was used to measure miR levels and their mRNA targets in RNA from dorsal hippocampus or hippocampal slices as described (Lippi et al., 2011), using miRNeasy mini kit (Qiagen). Both here and below, see Supplemental Experimental Procedures for details.

#### **Behavior**

Animals received LNAs or viral constructs on P2 and, after reaching 8 weeks, were subjected to a battery of behavioral tests using standard procedures as described.

#### **Electrical Recordings**

*In vivo* single-unit recording was performed with implanted Microdrive recording devices using electrode assemblies with 4 tetrodes. Single units were isolated and firing rates were determined for periods when animals were quietly resting (characterized by the absence of hippocampal theta rhythm). Histological analysis of serial sections was used to confirm electrode positions in the CA cell layers.

Patch-clamp recording from neurons in acute slices was performed using standard techniques. To determine  $E_{\rm GABA}$ , perforated patch-clamp recordings were obtained with gramicidin in the patch electrode.

#### **Calcium Imaging**

Calcium imaging was performed on neurons in acute slices at P8 after loading with calcium fluor. Imaging at P40 was performed using virally encoded GCaMP6 co-injected at P2 with LNA antagonists. A custom made Matlab pipeline was used for analysis.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### Highlights

- MiR-101 orchestrates early network development to limit excitation in the adult
- Transient miR-101 blockade leads to hyper-excitability and memory deficits
- MiR-101 targets NKCC1 to facilitate the GABA switch and constrains dendrites
- Ank2 and Kif1a are targeted by miR-101 to limit formation of excitatory synapses

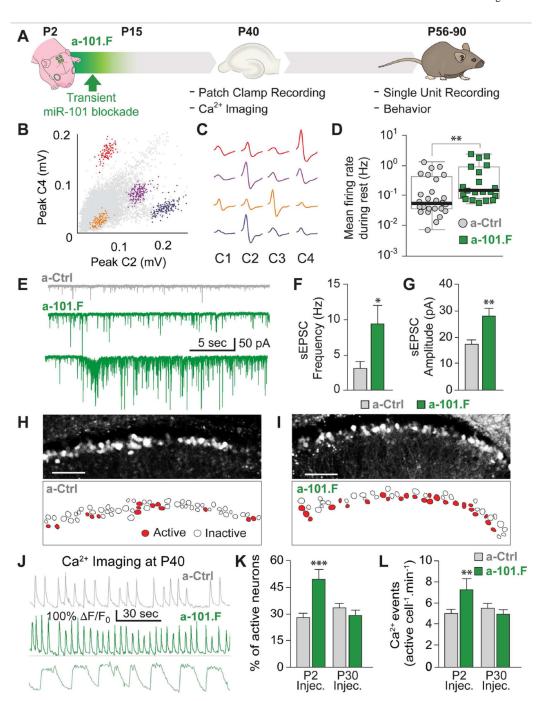


Figure 1. Transitory miR-101 inhibition early in development induces hyper-excitable networks in the young adult

(A) Schematic representation of time-course for miR-101 inhibition *in vivo* (green) and follow-up tests to assess consequences. (B and C) Single unit recordings from hippocampus in freely behaving mice (channels C1-C4); scatter plots of relative waveform peak amplitudes (B) with mean waveforms plotted (C). (D) Mean spike rates for single units in a-101.F- vs. a-Ctrl-treated mice. Median values, bold black line; inter-quartile range, box edges. (E) sEPSC recordings in acute slices showing increased spontaneous excitatory activity in a-101.F-treated neurons (versus a-Ctrl controls) at P40 (top two traces).

Spontaneous high-frequency burst discharges were seen in half of the slices from a-101.F-treated animals (bottom trace). Quantification of sEPSCs in CA3 reveals an increase in frequency (F) and amplitude (G) in a-101.ft-treated neurons. (H to L) Confocal imaging of spontaneous calcium transients at P40. Images of DG granule cells virally expressing GCaMP6f (top) and scheme of the cells in the same field of view (bottom), color-coded for active (red) and inactive (white) cells for a-Ctrl- (H) and a-101.F-treated animals (I) after injection at P2. Scale bars:  $100~\mu m$ . (J) Representative calcium traces showing increases in event frequency (middle) and prolonged transients (bottom) in a-101.F-treated animals compared to controls (top). F: variation in fluorescence;  $F_0$ : baseline fluorescence. Quantification of calcium activity showed an increase in the number of active cells (K) and in the frequency of events (L) in slices from P40 animals injected at P2 (P2 Injec.) but not when injected at P30 (P30 Injec.). Bar graphs (except D): mean  $\pm$  s.e.m; Student's t-test. Mann-Whitney U test (D). \*p<0.05; \*\*p<0.01; \*\*\*p<0.001.

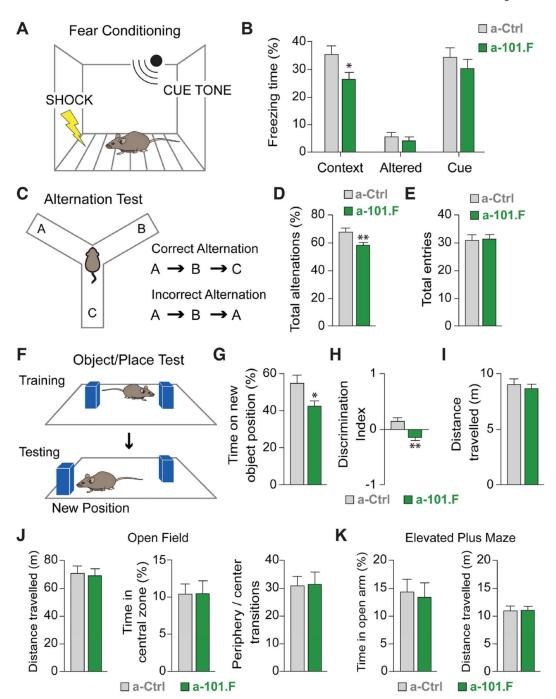


Figure 2. Transient miR-101 blockade early in development produces memory impairment in the adult

(A) Fear-conditioning test pairing shock with context and tone cue. (B) Animals receiving a-101.F spend less time freezing when re-exposed to the context where they received the shock, indicating impaired association between context and shock. Exposure to a different cage (Altered) induced little freezing in either group. (C) Alternation test involving a symmetrical Y-maze with examples of correct and incorrect alternations. (D) Animals treated with a-101.F performed fewer correct alternations than controls while traveling the same distance (E), suggesting impaired spatial working memory. (F) Object/Place test

comparing time spent at new vs. old object position. Animals with a-101.F spent less time at the new object position (G and H) while traveling the same distance (I), suggesting impaired spatial memory. The discrimination index (H) suggests that the animals have a modest bias towards the location of the object that was not moved. (J) Open-field tests showed that a-101.F treatment does not alter the distance traveled by the mice (left), time spent in the central zone of the arena (center), or number of transitions from the periphery to the center of the arena (right). The miR-101 antagonist does not, therefore, alter exploratory behavior or novelty-induced anxiety levels. (K) Elevated Plus-Maze test. No significant difference was detected between the groups in time spent in the open arms (left, index of anxiety) or total distance travelled (right). Mean  $\pm$  s.e.m. Student's t-test. Welch's test (H). \*p<0.05; \*\*p<0.01.

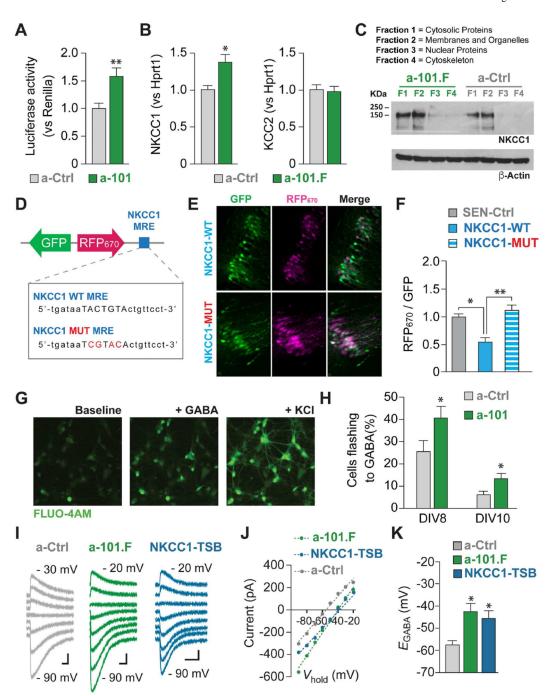


Figure 3. MiR-101 shapes network development in part by targeting NKCC1 (A) HEK cells expressing a luciferase construct encoding the 3'UTR of NKCC1 showed increased luciferase activity (normalized for renilla activity) if co-transfected with an a-101 construct. (B) Injection of a-101.F at P2 increased NKCC1 mRNA levels *in vivo* (left, normalized for Hprt1) but did not change KCC2 levels (right), as quantified by qPCR at P8. (C) Western blot analysis confirmed increased NKCC1 levels both in cytosolic protein and membrane/organelle fractions from P8 acute hippocampal slices of animals treated with a-101.F on P2 (4–5 slices from the dorsal hippocampus from 12–14 animals). Quantification

of band intensity on Western blots (normalized first for beta actin and then for a-Ctrl Fraction 1) for a-101.F yielded F1=1.65, F2=1.95, F3=0.15, and F4=0.03, while for a-Ctrl it yielded F1=1.00, F2=1.35, F3=0.02, F4=0.02. (D to F) Sensor technology was used to demonstrate in vivo that the NKCC1 miR-101 MRE affects protein expression levels. (D) Top, scheme of the lentiviral construct showing a portion of the NKCC1 3'-UTR cloned downstream RFP<sub>670</sub>. Bottom, sequence of the NKCC1 wild-type and the mutated miR-101 MREs. (E) Images of CA1 area from animals that received the wild-type (top) or the mutated NKCC1 sensor (bottom). (F) Quantification of RFP<sub>670</sub> labeling, normalized to GFP, shows that the NKCC1 wild-type 3'-UTR reduces  $RFP_{670}$  fluorescence compared to a control sensor. The reduction is rescued when the miR-101 MRE is mutated. (G and H) Loading hippocampal neurons in culture at days in vitro (DIV) 8 or 10 with Fluo-4AM and challenging 1 h later with GABA (in the presence of glutamate receptor blockers) revealed more responding cells when the cultures had been transfected with a-101. (Responding cells were defined as those having  $F/F_0$  50% in response to GABA, and are expressed as percentage of all viable cells as defined by those responding to 1 M KCl at the end of the recording). (I to K) Antagonizing miR-101 (a-101.F) or protecting NKCC1 from miR-101 inhibition (NKCC1-TSB) delays E<sub>GABA</sub> maturation in vivo. (I) Gramicidin perforated patchclamp recordings of GABA-mediated currents from CA3 pyramidal neurons in P7-P8 hippocampal slices obtained at the indicated clamp potentials. (J) Linear fit of I-V plots used to estimate  $E_{GABA}$  (K) in CA3 neurons receiving a-Ctrl, a-101.F, or NKCC1-TSB. Scale bars: 100 pA, 200 ms. Bar graphs: mean ± s.e.m. Student's t-test. One-way ANOVA with Tukey's multiple comparison test (F and K). \*p<0.05; \*\*p<0.01.

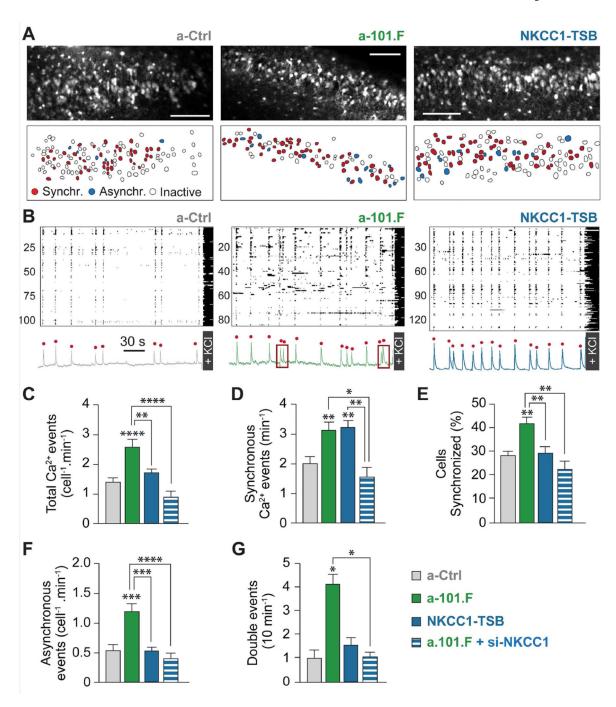


Figure 4. NKCC1-TSB recapitulates some, but not all, aspects of the a-101.F phenotype Confocal imaging of spontaneous calcium transients in the CA3 region of acute P8 hippocampal slices. (A) Images of CA3 from a-Ctrl- (left, upper), a-101.F-treated (middle, upper), and NKCC1-TSB (right, upper) mice showing cells participating in synchronous events (lower, coded red), firing asynchronously (blue), or being inactive (showing only KCl-induced depolarization, white). Scale bars:  $100 \, \mu m$ . (B) Raster plots of activity in movies of a-Ctrl (left), a-101.F (middle), and NKCC1-TSB (right) with principal component analyses of population activity shown below to identify synchronous events (red dots).

MiR-101 inhibition increased the total number of events (C), including synchronous events (D), % cells participating in synchronous events (E), incidence of asynchronous events (F), and the frequency of double events (G as shown in B, middle, red boxes). Of these, derepression of NKCC1 alone via NKCC1-TSB recapitulated only the effects on synchronous events (D). Partial knock-down of NKCC1 through an siRNA (si-NKCC1) co-injected with a-101.F completely rescued the entire phenotype induced by the absence of miR-101, indicating that NKCC1 expression is necessary, though not sufficient, for all aspects of the phenotype. Bar graphs: mean  $\pm$  s.e.m. One-way ANOVA with Tukey's multiple comparison test, \*\*p<0.01; \*\*\*\*p<0.001; \*\*\*\*p<0.0001.

A		Function	Targets (number of MREs)
	G1	Presynaptic	NKCC1 (1), Ank2 (2), Kif1a (2)
	G2	Glial	NKCC1 (1), Abca1 (3), Ndrg2 (1)
	G3	Neuronal Excitability	NKCC1 (1), xCT (2), PMCA2 (3)

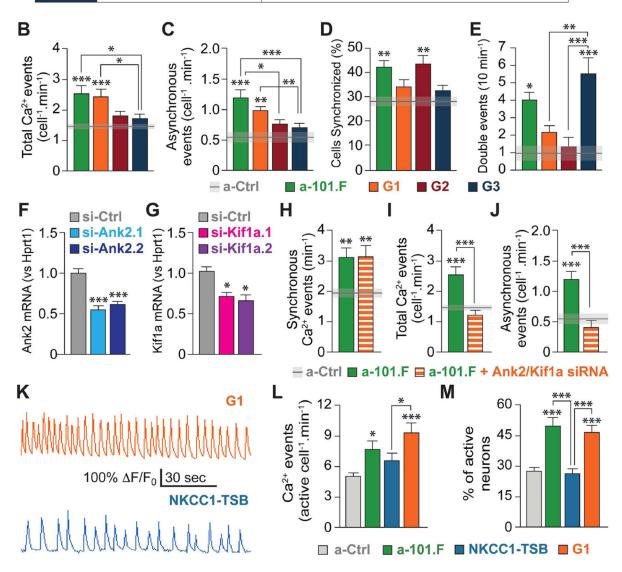
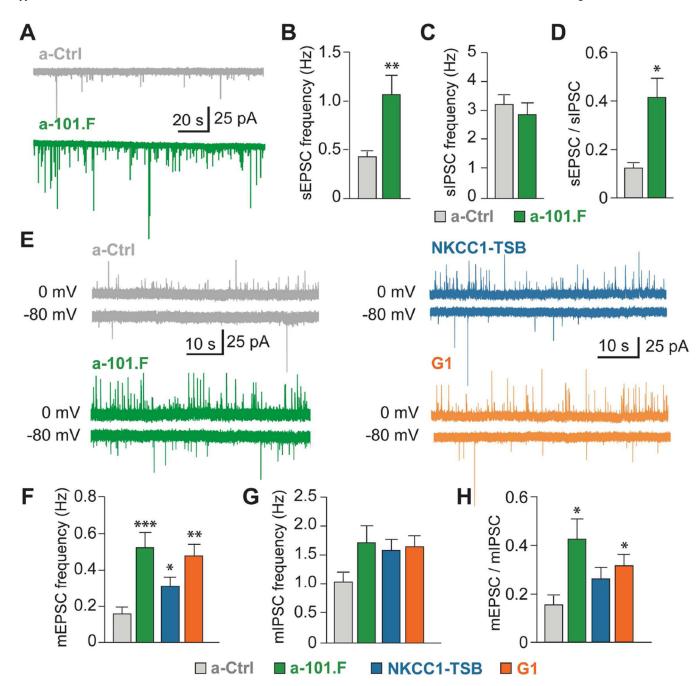


Figure 5. Additional mRNA targets must be protected to reproduce the a.101.F phenotype (A) Grouping (left) of TSBs (right; numbers in brackets indicate the MREs for each mRNA) according to general theme of target (middle). Calcium imaging at P8 indicated that G1 uniquely recapitulates the increase in total activity (B) primarily because of more asynchronous events (C), G2 the increase in cells participating in SEs (D), and G3 the increase in double events (E). (F to J) Simultaneous knock-down of Ank2 and Kif1a rescues only certain aspects of the a-101.F phenotype. qPCR showing the effect of two siRNAs injected at P2 against Ank2 (si-Ank2, F) and Kif1a (si-Kif1a, G) levels at P8. Co-injection

of siRNAs for Ank2 and Kif1a rescues the increase in frequency of total (I) and (J) asynchronous events but not of synchronous events (H) induced by a-101.F. (K to M) Calcium imaging at P40 revealed that network abnormalities persist in young adults receiving G1, but not NKCC1-TSB, at P2. Bar graphs: mean  $\pm$  s.e.m. One-way ANOVA with Tukey's multiple comparison test (B to J), Kruskal Wallis with Dunn's multiple comparison test (L and M). \*p<0.05; \*\*p<0.01; \*\*\*p<0.001.



**Figure 6. MiR-101 targets NKCC1, Kif1a, and Ank2 to restrain synaptic events and balance E/I** (A) Recording sEPSCs in P11 acute hippocampal slices from control (a-Ctrl) and a-101.F-treated mice. The a-101.F treatment increased the frequency of sEPSCs (B) but not sIPSCs (C), and therefore increased the ratio of sEPSCs/sIPSCs (D). (E) Traces of mEPSCs (at –80 mV) and mIPSCs (at 0 mV) from a-Ctrl- (top, left), a-101.F-treated (bottom, left), NKCC1-TSB-treated (top, right), and G1-treated (bottom, right) animals. Both mEPSC (F) and mIPSC (G) frequencies were increased (see also Figure S9D), though mEPSCs proportionately more so by a-101.F, causing an increased mEPSC/mIPSC (H). G1 treatment better replicated a-101.F than did NKCC1-TSB (F, H), indicating the additive effects of

multiple pathways. Bar graphs: mean  $\pm$  s.e.m. One-way ANOVA with Tukey's multiple comparison test (B–D), Kruskal Wallis with Dunn's multiple comparison test (F–H). \*p<0.05; \*\*p<0.01; \*\*\*p<0.001.

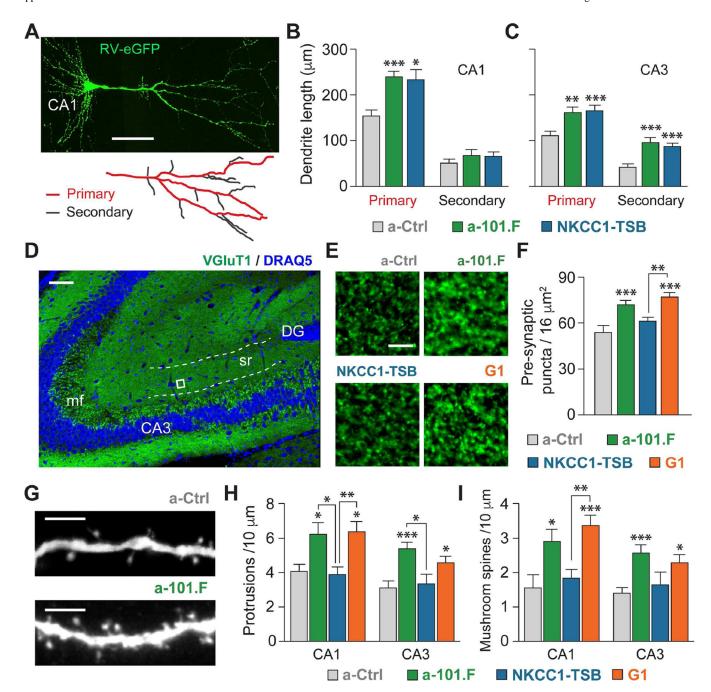


Figure 7. Mir-101 repression of NKCC1 restrains dendritic development, but additional targeting of Ank2 and Kif1a is necessary to limit formation of pre-synaptic puncta and spinogenesis

(A) Sparse labeling of hippocampal pyramidal neurons with rabies virus expressing eGFP (top). Scale bar: 50  $\mu$ m. Characterization of dendritic morphology at P8 with NeuronJ (bottom). NKCC1-TSB recapitulates the increase in average dendritic length of CA1 primary (B) and CA3 primary and secondary dendrites (C) induced by miR-101. (D) Presynaptic VGlut1 immunostaining in the stratum radiatum at P15 where CA3 A/C are prevalent. Scale bar: 50  $\mu$ m. (E and F) G1, but not NKCC1-TSB, recapitulates the increase induced by a-101.F. Scale bar: 5  $\mu$ m. (G) Confocal imaging was used to analyze dendritic

protrusions on primary proximal dendrites at P11. Scale bar: 5  $\mu$ m. The increases in total protrusion density (H) and mushroom spine density (I) induced by a-101.F are phenocopied by G1, but not by NKCC1-TSB. Bar graphs: mean  $\pm$  s.e.m. One-way ANOVA with Tukey's multiple comparison test. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001.