

# p16 Protein is Upregulated in a Stepwise Fashion in Colorectal Adenoma and Colorectal Carcinoma

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## ABSTRACT

**Background/Aims:** p16 is tumor suppressor gene acting as a cell cycle regulator. The present study was conducted to compare p16 expression in normal, dysplastic, and malignant colonic mucosae, and to explore its relation to clinicopathological variables and follow-up data in colorectal carcinoma (CRC). **Patients and Methods:** Tissue microarrays were performed from 25 normal colonic mucosae, 41 colonic adenomas, and 191 CRC, with corresponding 50 nodal metastases. Immunohistochemistry was performed using anti-p16 antibody, sections were scored, and statistical analysis was performed. *K-ras* mutation detection was also performed. **Results:** Immunoeexpression of p16 was significantly higher in CRC than in adenomas ( $P = 0.033$ ) and normal colonic mucosa ( $P = 0.005$ ). There was no statistically significant difference between p16 expression in CRC and nodal metastasis. There was no significant association between p16 immunoeexpression in CRC and all clinicopathological data and survival probability. *K-ras* mutations were detected in 34% of CRC. However, there was no correlation between *K-ras* status and p16 expression ( $P = 0.325$ ). **Conclusion:** Absence of p16 expression is correlated to a benign course of CRC adenomas. p16 has a key role in CRC progression and can be used as a marker for colorectal adenoma. On the other hand, it has no role as a predictive and/or prognostic factor in CRC. Further extended studies are required to explore the role of p16 as indicator of premalignant lesions in the colon and to test its relation with CRC histological grade, as well as to test its value as a new therapeutic target.

**Key Words:** Adenomas, clinicopathological characteristics, colorectal carcinoma, p16, prognosis

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It is well established that colorectal carcinoma (CRC) is a genetic disease with complex and diverse pathways. CRC develops from the normal colonic mucosa through variable molecular mechanisms; firstly, is the chromosomal instability pathway associated with cumulative genetic mutations in many oncogenes and tumor suppressor genes as *K-ras*, *p53*, *c-Myc*, and *cyclin-D*, accounting for approximately 80% of sporadic cases. Second, is the microsatellite instability pathway characterized by genetic alterations in DNA mismatch repair genes (responsible for repairing insertions, deletions, and misincorporation of bases during DNA

replication and recombination avoiding frameshift mutation and base substitution) occurring in 10–15% of sporadic cases of CRC. Third, is the lynch pathway accounting for 3%, and lastly, is the familial adenomatous polyposis syndrome accounting for 1% of all CRC cases.<sup>[1-5]</sup>

p16 is a tumor-suppressor gene now recognized to be the second most common molecular defect in human cancer.<sup>[6]</sup> The role of p16 tumor suppressor gene is to bind to CD4/6 preventing its interaction with cyclin D; ultimately, this reaction inhibits cell cycle progression from G1 to S phase. Hence, the p16 pathway of action connects the process of oncogenesis and cell aging; downregulation of p16 by hypermethylation, point mutation, or deletion of

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the gene leads to the progression of cell cycle whereas activation of the gene will stimulate cellular aging or senescence.<sup>[7-9]</sup> Inactivation of p16 is well-documented in several malignancies as squamous cell carcinoma of cervix,<sup>[10]</sup> oropharynx,<sup>[11]</sup> and esophagus,<sup>[12]</sup> non-small cell carcinoma of lung,<sup>[13]</sup> mesothelioma,<sup>[14]</sup> and pancreatic carcinoma.<sup>[15]</sup>

The participation of p16 in carcinogenesis and its role as a diagnostic, prognostic, or predictive factor in CRC is still controversial. The present study was conducted to compare p16 expression in normal, dysplastic, and malignant colonic mucosa, and to explore its relation with clinicopathological variables and follow-up data in CRC.

## PATIENTS AND METHODS

### Patients

Archival tissues from 25 normal colonic mucosa, 41 colonic adenomas, 191 CRCs, and 50 local nodal metastases were used in the present study. Patients were admitted, diagnosed, and managed in King Abdulaziz University Hospital (KAUH), Jeddah in the period between 2000 and 2012. Clinical history and follow-up data were collected from the hospital medical records after obtaining appropriate approval from the ethics committee. Paraffin blocks of all cases were collected from the archives of Pathology Department, KAUH. Serial sections were cut from paraffin blocks, stained with Hematoxylin and Eosin and revised for routine histological classification, and grading according to World Health Organization (WHO) subtyping criteria.<sup>[16]</sup> Carcinomas were staged following the American Joint Committee on Cancer (AJCC) staging system.<sup>[17]</sup> Details of clinicopathological findings are listed in Table 1. The study was approved by the Research Committee of the Biomedical Ethics Unit, Faculty of Medicine, King Abdulaziz University, Jeddah, Saudi Arabia. All patients included in this study gave an informed written consent for utilization of their material in research.

### Tissue microarray

A tissue microarray was constructed, as previously described.<sup>[18-20]</sup> Two cylindrical cores of 1.5 mm in diameter were selected from donor paraffin blocks and arrayed in recipient paraffin blocks using the automated tissue arrayer (Master 3D Histech). Normal placenta tissue was used as control tissue to help orientation of samples in each tissue microarray block. Tissue microarray blocks were sectioned into 4- $\mu$ m thick sections and mounted on positive charged slides to be stained by immunohistochemical staining. Normal colorectal mucosae were taken from unremarkable mucosa in patients with diverticular disease, ulcerative colitis, ischemic colitis, or Hirschsprung disease.

### Immunohistochemistry

Immunohistochemistry procedure was carried out using an automatic immunostainer (Ventana Bench Mark XT, Ventana Inc., Tucson, AZ). The ready-to-use anti-p16 antibody (Ventana Medical Systems) was optimally diluted according to the manufacturer's recommendations. In each analysis, positive controls were used consisting of CRC samples previously shown to stain with this antibody. Tris-buffered saline in place of the primary antibody was used as a negative control.

**Table 1: Clinicopathological parameters of CRC cases**

Parameter	Number (%)
Age	
<60 years	97 (50.8%)
≥60 years	94 (49.2%)
Sex	
Male	108 (56.5%)
Female	83 (43.5%)
Grade	
Well-differentiated	39 (20.4%)
Moderately-differentiated	128 (67%)
Poorly-differentiated	24 (12.6%)
Tumor location	
Right colon	51 (26.7%)
Left colon	116 (60.7%)
Rectum	24 (12.4%)
Tumor size	
<5 cm	82 (42.9%)
≥5 cm	109 (57.1%)
Primary tumor	
T1	4 (20.1%)
T2	32 (16.8%)
T3	136 (71.2%)
T4	19 (9.9%)
Nodal metastasis	
Negative	106 (55.5%)
Positive	78 (40.8%)
Cannot be assessed	7 (3.7%)
Lymphovascular invasion	
Positive	164 (85.9%)
Negative	27 (14.1%)
Margin status	
Free	179 (93.7%)
Involved	12 (6.3%)
Distant metastasis	
Negative	133 (69.6%)
Positive	58 (30.4%)
Relapse	
No relapse	117 (61.3%)
Relapse	74 (38.7%)

T1: Tumor invades submucosa, T2: Tumor invades muscularis propria, T3: Tumor invades through the muscularis propria into the subserosa or into nonperitonealised pericolic or perirectal tissues, and T4: Tumor directly invades other organs or structures, and/or perforates visceral peritoneum

### Interpretation of immunohistochemical staining

Positive staining for p16 was interpreted as presence of brown nucleocytoplasmic stain of cells. The following semiquantitative scoring system was recorded for percentage of stained cells on a scale from 0 to 3. Immunostaining in >40% of cells (score 3), immunostaining in 10–40% of cells (score 2), immunostaining in <10% of cells (score 1), and score 0 implied negative staining. When dichotomized for statistical assessment, score 0 and 1 were defined as low immunoeexpression whereas score 2 and 3 were included in high immunoeexpression.

### K-ras mutation detection

DNA was extracted from 10-mm thin formalin-fixed paraffin-embedded slices using the Qiagen QIAMP Formalin-fixed Paraffin-embedded Tissue DNA extraction kit, following the manufacturer's guidelines. K-ras mutational status was determined according to the previously published report.<sup>[21]</sup> However, K-ras mutations were investigated in 50 samples according to the availability of DNA material.

### Statistical analysis

Differences between the two groups of patients on one variable were tested by using Mann–Whitney test whereas Kruskal–Wallis test was used for differences between the three groups of patients. Nonparametric Chi-square was used to test the difference along one variable. Binary logistic regression analysis was used to predict lymph node metastasis, distant metastasis, surgical resection margins involvement, lymphovascular invasion, and local disease recurrence in relation to immunoeexpression of p16. Estimated odds ratio {exponential (B)}, 95% confidence interval (CI) for exp (B). The Kaplan–Meier procedure was used to calculate the disease-free survival probabilities and the Log rank test was used to compare the difference between survivals. Time was calculated from the date of diagnosis to the appearance of disease relapse (or date of last seen disease-free). Statistical procedures were performed using Statistical Package for the Social Sciences (SPSS® version 16.0, Chicago, IL, USA). Statistical significance was determined at *P* value of ≤0.05

## RESULTS

### p16 immunohistochemistry

p16 immunostaining was observed as combined nucleocytoplasmic. p16 nuclear localization was generally associated with strong cytoplasmic staining. It showed a very minimal expression (score + 0) in most normal colonic mucosa [Figure 1a]. In colorectal adenoma, high p16 immunoeexpression was observed in 14.6% [Figure 1b]. p16 expression in colorectal adenoma was significantly higher than in normal mucosa (*P* = 0.046) [Table 2]. In regards to CRC, positivity was observed in 142/193 of patients (73.6%). Positive p16 immunostaining in CRC was distributed as follows;

84/142 (59.1%) showed score + 1 positivity, 40/142 (28.2%) score + 2, and finally, 18/142 (12.7%) score + 3. Negative p16 immunostaining was observed in 51/193 of patients (26.4%). Representative figures are shown in Figure 1c. p16 was overexpressed in 28% of nodal metastases [Figure 1d]. p16 immunostaining was significantly higher in CRC than in adenoma (*P* = 0.033) and normal colonic mucosa (*P* = 0.005). There was no statistically significant difference between p16 expression in CRC and nodal metastasis [Table 3].

**Table 2: Categories of p16 immunoeexpression in different tissues (One sample Chi-square test)**

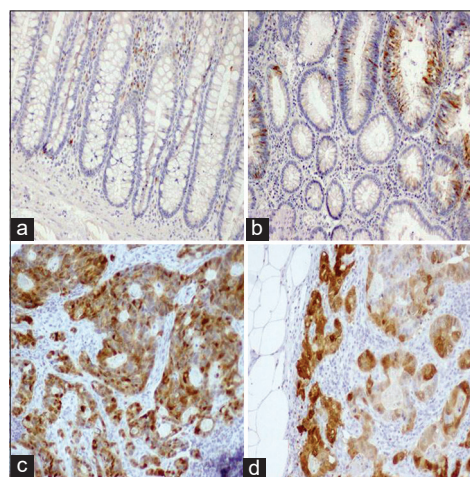
Tissue	p16 immunoeexpression		<i>P</i> value
	Low expression	High expression	
Normal colonic mucosa (n=25)	24 (96%)	1 (4%)	<0.001*
Colorectal Adenoma (n=41)	35 (85.4%)	6 (14.6%)	<0.001*
Colorectal Carcinoma (n=191)	133 (69.6%)	58 (30.4%)	<0.001*
Lymph node Metastasis (n=50)	36 (72%)	14 (28%)	0.002*

One sample non-parametric Chi-square test; \* Low expression is higher than high expression; \* High expression is higher than low expression

**Table 3: Comparison of p16 immunoeexpression tissues examined (Mann–Whitney test)**

Tissue	<i>P</i> value
Normal vs Adenoma	0.046 <sup>⊠</sup>
Normal vs Carcinoma	0.005*
Adenoma vs Carcinoma	0.033*
Carcinoma vs Nodal metastasis	0.655

⊠ Expression is higher in adenoma; \* Expression is higher in colorectal carcinoma, \* Expression is higher in colorectal carcinoma



**Figure 1: Immunostaining of p16.** (a) A normal colonic mucosa showing no p16 immunostaining. (b) Low combined nucleocytoplasmic immunoeexpression is shown in an adenoma. (c) A moderately differentiated colorectal carcinoma (CRC) showing high p16 immunostaining. (d) A metastatic CRC in lymph node shows a high p16 immunostaining. Original magnification used is 200x. Immunohistochemistry was done using anti-p16 antibody, diaminobenzidine as the chromogen, and hematoxylin as a counterstain

### Relationship between p16 expression and clinicopathological features of colorectal cancer

There was no statistically significant association between p16 immunopositivity in CRC and clinicopathological data, except for a borderline significant relation to tumor grade [Table 4]. In addition, p16 immunopositivity in CRC failed to predict nodal metastasis, distant metastasis, margin status, lymphovascular invasion, or tumor relapse [Table 5]. There was no relation between p16 immunostaining and survival probabilities (disease free survival; log-rank = 0.149,  $P = 0.700$ , and overall survival; log-rank = 0.158,  $P = 0.209$ ) [Figures 2 and 3].

### p16 immunopositivity and *k-ras* mutation

Mutations were detected in 18 out of 53 cases (34%). However, the correlation between *k-ras* status and p16 immunostaining profile was investigated only in 50 cases. There were no statistically significant differences in p16 immunopositivity in *k-ras* mutant and nonmutant CRC patients ( $P = 0.325$ ).

## DISCUSSION

Various genetic alterations are involved in the carcinogenesis of CRC and the adenoma-carcinoma sequence theory was established to reveal these.<sup>[22]</sup> Gene silencing by promoter CpG islands methylation as an epigenetic alteration has attracted attention as one of the aberrant gene expressions and the aberrant methylation involved in the carcinogenesis of CRC.<sup>[23,24]</sup> Several studies have been conducted to investigate molecular alterations underlying the carcinogenesis process in CRC to predict prognosis and develop new targeted therapeutic agents.<sup>[2,4,5,9]</sup>

Abnormal function of p16 is reported to be one of the earliest events occurring in cancer progression.<sup>[1-3]</sup> Recent clinical practice reported consistent association between p16 and premalignant lesions and used p16 successfully as predictor of progression to high grade dysplasia or cancer in cervical biopsies and in Barrett’s oesophagus.<sup>[10,12]</sup>

**Table 4: Correlation of p16 immunopositivity in primary colorectal carcinoma with clinicopathological parameters**

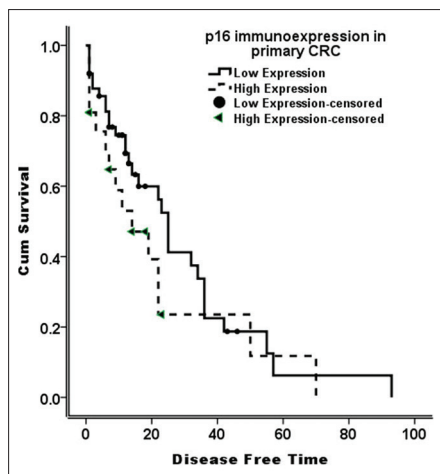
	P value
Age	0.266*
Sex	0.485*
Grade	0.109□
Tumour location	0.257□
Tumour size	0.547*
Depth of invasion (pT)	0.931□
Nodal metastasis	0.440*
Lymphovascular invasion	0.929*
Margin status	0.818*
Distant metastasis	0.636*
Relapse	0.793*
<i>k-ras</i> mutation	0.938*

□ Kruskal–Wallis Test; \* Mann–Whitney test

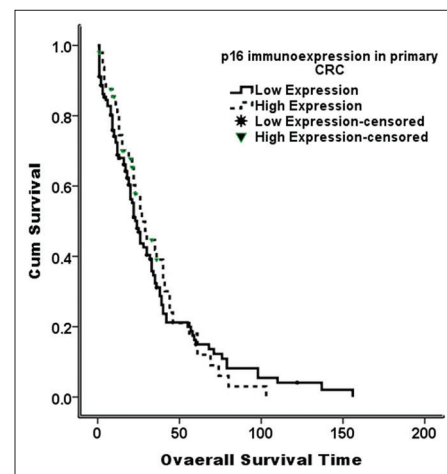
**Table 5: Regression analysis for p16 immunopositivity**

Variable	Exp (B)	95% CI for exp (B)	P value
Nodal Metastasis	1.299	0.684–2.467	0.424
Lymphovascular invasion	0.872	0.341–2.227	0.774
Margin status	0.887	0.229–3.442	0.863
Distant metastasis	1.358	0.372–4.960	0.644
Relapse	0.995	0.221–4.477	0.995

CI: Confidence interval



**Figure 2:** Disease-free survival curve (Kaplan–Meier) according to p16 immunostaining. There is no difference of survival probability between low and high p16; immunopositivity (log-rank = 0.149,  $P = 0.700$ )



**Figure 3:** Overall survival curve (Kaplan–Meier) according to p16 immunostaining. There is no difference of survival probability between low and high p16; immunopositivity (log-rank = 0.158,  $P = 0.209$ )



The aim of the current study was to compare p16 expression in normal colonic epithelium, colorectal adenoma, and CRC, and to explore its relation to clinicopathological variables and follow-up data in CRC. p16 was found to have a combined nuclear and cytoplasmic localization in all cases, as previously reported.<sup>[25,26]</sup> In another study, it was expressed in the cytoplasm with absent nuclear expression.<sup>[27]</sup> The importance of the cytoplasmic translocation of p16 needs to be stressed regarding its prognostic significance.

In our study, the differential expression of p16 showed statistically significant overexpression in CRC, supporting its contributory role in CRC carcinogenesis and progression. Several studies have been conducted to explore the relation between p16 overexpression and clinicopathological characteristics of CRC.<sup>[25,28-30]</sup> However, none of these studies found any relation between p16 and tumor location, size, grade, and stage. In the present study, we did not find any relation between p16 and clinicopathological variables, except for borderline relation to tumor grade. p16 overexpression was reduced as tumor grade was increased, however, it was not statistically significant.

Previous reports documented a positive correlation between p16 expression and tumor progression and poor prognosis in CRC;<sup>[6,31-33]</sup> however, other studies failed to find any significant role of p16 as a useful marker of prognosis or a predictor of CRC.<sup>[34,35]</sup> The inconsistency of results may be related to several factors including number of patients tested for p16 immunostaining, p16 antibody used, scoring cutoff point,<sup>[33,36,37,38]</sup> and immunohistochemistry technique.

*Ras* genes encode for membrane-attached small guanine triphosphate bound proteins that play a key role in signal transduction of extracellular mitogenic signals (such as growth factors) to the nucleus. *Ras* mutations are frequent in human tumors. *K-ras* mutations (mainly at codons 12 and 13) that constitutively activate their function are present in up to 40% of colorectal adenomas and carcinomas.<sup>[39,40]</sup> The impact of *ras* mutations in colorectal tumorigenesis is high, and several studies have suggested that *k-ras* mutations might accumulate during tumor progression and associate with poorer survival.<sup>[41]</sup> In the present study, *k-ras* mutations were detected in 34% of CRC, which is similar to previous reports.<sup>[6,42]</sup> The presence of *k-ras* mutation did not correlate with p16 immunostaining, which is contrary to a previous report, which observed a strong correlation between *k-ras* and p16 with poor outcome.<sup>[6]</sup>

## CONCLUSION

Absence of p16 expression is correlated to the course of colorectal adenoma. p16 may play a key role in CRC

progression and can be used as a marker for dysplasia and colonic adenomatous polyps. On the other hand, it has no role as a predictive or a prognostic factor in CRC. Further studies are required to explore the role of p16 as an indicator of premalignant lesions in the colon and to test its relation to CRC histological grade, as well as to test its value as a new targeted therapeutic target.

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Nil.

## Conflicts of interest

There are no conflicts of interest.

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