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## ATXN2 is a modifier of phenotype in ALS patients of Sardinian ancestry

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### Disclosure statement

All other authors report no conflicts of interest.

Study concept and design was contributed by Borghero, Pugliatti, Traynor, Restagno, Chiò. Acquisition of data was done by Murru, Floris, Cannas, Parish, Occhineri, Cau, Loi, Ticca, Manera, Canosa, Miglia, Calvo, Barberis, Brunetti, Renton, Nalls. Analysis and interpretation of data was done by Borghero, Pugliatti, F. Marrosu, M.G: Marrosu, Murru, Renton, Nalls, Traynor, Restagno, Chiò. Drafting of the manuscript was done by Borghero, Pugliatti, Traynor, Chiò. Critical revision of the manuscript for important intellectual content was done by Borghero, Pugliatti, F. Marrosu, M.G Marrosu, Murru, Floris, Cannas, Parish, Occhineri, Cau, Loi, Ticca, Manera, Canosa, Moglia, Calvo, Barberis, Brunetti, Renton, Nalls, Traynor, Restagno, Chiò. Funding was obtained from Borghero, Pugliatti, Restagno, Chiò. Administrative, technical, and material support was from Murru, Floris, Cannas, Parish, Occhineri, Cau, Loi, Ticca, Manera, Canosa, Moglia, Calvo, Barberis, Brunetti, Renton, Nalls. Study supervision was done by Borghero, Pugliatti, Traynor, Restagno, Chiò. Adriano Chiò has full access to data. The corresponding author confirm that all authors have read and approved the final draft of the manuscript and given written permission to include their names in the manuscript.

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## Abstract

Intermediate-length CAG expansions (encoding 27–33 glutamines, polyQ) of the *Ataxin2* (*ATXN2*) gene represent a risk factor for amyotrophic lateral sclerosis (ALS). Recently, it has been proposed that ≥31 CAG expansions may influence ALS phenotype. We assessed whether *ATXN2* intermediate-length polyQ expansions influence ALS phenotype in a series of 375 patients of Sardinian ancestry. Controls were 247 neurologically healthy subjects, resident in the study area, age- and gender-matched to cases. The frequency of ≥31 polyQ *ATXN2* repeats was significantly more common in ALS cases (4 patients vs. no control,  $p = 0.0001$ ). All patients with ≥31 polyQ repeats had a spinal onset versus 73.3% of patients with <31 polyQ repeats. Patients with an increased number of polyQ repeats have a shorter survival than those with <31 repeats (1.2 vs. 4.2 years,  $p = 0.035$ ). In this large series of ALS patients of Sardinian ancestry, we have found that ≥31 polyQ repeats of the *ATXN2* gene influenced patients' phenotype, being associated to a spinal onset and a significantly shorter survival.

## Keywords

ALS; Ataxin 2 gene; Genetic modifier

## 1. Introduction

Amyotrophic lateral sclerosis (ALS) is a neurodegenerative disorder characterized by a progressive loss of cortical, bulbar, and spinal motor neurons, leading to the loss motor function up to death due to respiratory failure. About half of patients have also various degrees of cognitive impairment, going from an overt frontotemporal dementia (FTD) to milder forms of executive or nonexecutive impairment (Montuschi et al., 2015 and Phukan et al., 2012). In most populations, about 10% of ALS patients have a family history for ALS, FTD, or both (familial ALS), whereas in the remaining no family history is detectable (sporadic ALS). However, in ALS patients of Sardinian ancestry, the frequency of family history for ALS or FTD is higher than in most Caucasian populations (Borghero et al., 2014, Chiò et al., 2011 and Orrù et al., 2012), with the only exception of Finland and Northern Sweden (Andersen et al., 1995 and Majounie et al., 2012). Moreover, ~40% of Sardinian ALS cases carry a pathogenic mutation, with several cases carrying 2 different mutations (Borghero et al., 2014).

Among disease-modifying genes in ALS, Ataxin 2 (*ATXN2*) is one of the most validated. Intermediate-length (CAG) expansions (encoding 27–33 glutamines, polyQ) represent a risk factor for ALS, increasing the risk of about 10 fold, and are a modifier of ALS clinical

presentation, being associated to a spinal phenotype and a more aggressive clinical course (Chiò et al., 2015).

The aim of this study was to assess the frequency of intermediate polyQ repeats in a series of patients of Sardinian ancestry and to analyze the clinical characteristics of these patients.

## 2. Methods

### 2.1. Patients

All ALS patients of Sardinian ancestry, defined as subjects with both parents of Sardinian origin, were eligible to be included in the study. Patients were identified between 2008 and 2013 through the SARDINIALS and ITALSGEN consortia (Borghero et al., 2014, Chiò et al., 2011 and Chiò et al., 2012). Clinical information, including cognitive status, was collected on all patients. ALS patients met the EL Escorial revised criteria for definite, probable, probable laboratory-supported, or possible ALS (Brooks et al., 2000).

### 2.2. Controls

The 247 control individuals, all of Sardinian origin, included 115 women and 132 men and had a mean age of 62.1 years (standard deviation [SD] 14.5) at the time of blood collection. They were recruited through the Department of Public Health, Clinical and Molecular Medicine, University of Cagliari and the staff of Multiple Sclerosis Center—Azienda Sanitaria Locale 8 di Cagliari, as subjects without known history of a neurological disorder, such as nonblood-related companions or spouses of patients.

### 2.3. Mutational screening

Genomic DNA was isolated from peripheral blood lymphocytes using a standard protocol. The ATXN2 CAG repeat in exon 1 (Ref Seq NM\_002973.3) was amplified using a fluorescent primer and sized by capillary electrophoresis on an ABI 3500×L genetic analyzer (Applied Biosystem, Foster City, CA, USA) (Cancel et al., 1997). The size standard used was the GeneScan 500 ROX dye and for analysis the GenMapper v.4.0 software. Receiver operating characteristics analysis showed that a cutoff  $\geq 31$  polyQ repeats in ATXN2 had the greatest sensitivity and specificity for discriminating ALS patients versus controls.

The following exons and 50 base-pair flanking intron-exon boundaries were also screened for mutations by polymerase chain reaction amplification, sequencing using the Big-Dye Terminator v3.1 kit (Applied Biosystems Inc), and analysis on an ABI Prism 3130 genetic analyzer: (1) all 5 coding exons of SOD1, (2) exon 6 of TARDBP, and (3) exons 14 and 15 of FUS. These exons were selected as the vast majority of known pathogenic variants that lie within these mutational hotspots. A repeat-primed polymerase chain reaction assay was used to screen for the presence of the GGGGCC hexanucleotide expansion in the first intron of C9ORF72 (Dejesus-Hernandez et al., 2011 and Renton et al., 2011); a cutoff of  $\geq 30$  repeats combined with a typical sawtooth pattern was considered pathological.

## 2.4. Standard protocol approvals, registrations, and patient consents

The study design was approved by the ethical committees of all the involved centers. Patients and controls signed written informed consent. The study was conducted in-line with the Italian ethical rules for data collection for statistical or scientific purposes and for data protection.

## 3. Results

The clinical and genetic characteristics of the ALS patients included in this study have been previously described elsewhere (Borghero et al., 2014). The size of the ATXN2 repeats in ALS patients compared to the control group is reported in Fig. 1. The most common alleles (22 and 23) were identified in 98.9% of controls' chromosomes and 95.9% of cases' chromosomes. ATXN2 repeats 30 were similarly distributed between ALS cases and controls, whereas those 31 were significantly more common in cases (4 cases and no controls,  $p = 0.0001$ ) (Fig. 1). The second allele in patients with 27 repeats was 22 in all cases. The overall frequency of cases with 31 polyQ repeats in this Sardinian series of patients was 1.1%.

Overall, 155 patients (41.3%) carried a genetic mutation of one of the major ALS genes (Borghero et al., 2014). Three of 4 cases with 31 polyQ repeats were apparently sporadic and did not show any mutation of the examined ALS-related genes. The remaining case with 31 polyQ repeats was a familial ALS case who also carried a p.T622A missense mutation of the MATR3 gene (pedigree ITALS#10) (Johnson et al., 2014); her first degree cousin, who also carried the MATR3 mutation, was homozygous for 22-22 repeats of the ATXN2 gene; interestingly, the patient carrying the intermediate-length polyQ repeats died 33 months after ALS onset, whereas her cousin, who does not carry the repeat expansion, is still alive 50 months after onset, although on nocturnal noninvasive ventilation.

Of the 59 patients with a GGGGCC hexanucleotide repeat expansion of the C9ORF72 gene, 42 were homozygous for 22-22 repeats and 2 for 23-23 repeats, while the others were heterozygous (1 had 17–22 repeats, 1 had 21–22, and 13 had 22–23). None of them had >23 repeats.

### 3.1. Clinical characteristics of patients with 31 polyQ repeats

The mean age at onset of patients with 31 polyQ repeats was 59.5 years (SD 7.0), only slightly younger than that of patients with <31 polyQ repeats (61.2 years [SD 12.1]) ( $p = 0.78$ ). All patients with 31 polyQ repeats had a spinal onset versus 73.3% of patients with <31 polyQ repeats ( $p = 0.58$ ). The patients with 31 polyQ repeats had a significantly shorter median survival than that of patients with <30 polyQ repeats (1.2 years, interquartile range 0.9–2.7 vs. 4.2, interquartile range 2.2–10) ( $p = 0.035$ ) (Fig. 2). The presence of polyQ repeats 31 remained independently significant as negative prognostic factor also at multivariable Cox analysis (data not shown).

## 4. Discussion

In this large series of ALS patients of Sardinian ancestry, we have found that 31 polyQ repeats of the ATXN2 gene represent a significant risk for ALS. Moreover, 31 polyQ repeats influenced patients' phenotype, being associated to a spinal onset and a significantly shorter survival.

The frequency of intermediate-length polyQ repeats in Sardinian patients is lower than observed in continental Italy and southern Europe and similar to that of subjects of north European ancestry (Chiò et al., 2015). This finding is in keeping with the other genetic characteristics that differentiate Sardinians from continental Italians, such as the higher frequency of C9ORF72 mutations in ALS (Borghero et al., 2014) and the higher incidence of multiple sclerosis (Cocco et al., 2011), both comparable to the figures reported for northern Europe. Moreover, the frequency of SCA2 expansion patients in Sardinians is lower than in other Italian populations (unpublished data observed from the results of SCA2 genetic tests performed since 2006 in the laboratory of the Regional Multiple Sclerosis Center of Cagliari).

In this series, we did not find any patient carrying the C9ORF72 expansion to have ATXN2 intermediate-length polyQ repeats < 27. This finding is in keeping with 2 cohorts of ALS patients from northern and central Italy (Chiò et al., 2015) but differs from another study (van Blitterswijk et al., 2014). It is possible that this difference is because of ethnic-based and highlights the importance of comparing large cohorts of patients of different origin.

The influence of ATXN2 intermediate-length polyQ repeats on ALS phenotype is intriguing. ALS cases with 31 polyQ repeats have more frequently a spinal onset and a have a significantly shorter survival than those without the expansion (Chiò et al., 2015). The mechanism of reduced survival in patients carrying ATXN2 intermediate-length polyQ repeats remains elusive. ATXN2 may act through several, nonmutually exclusive mechanisms, such as cytoplasmic aggregations of ATXN2 protein, aggregations of other proteins induced by abnormal polyQ expansions in ATXN2, defects in the endoplasmic reticulum-Golgi pathway, abnormal neuronal calcium signaling, and formation of nuclear RNA foci sequestering essential RNA-binding proteins (van den Heuvel et al., 2014).

We have shown that, in this cohort of Sardinian patients ATXN2 intermediate-length polyQ repeats represent a risk factor for ALS and negatively influence its prognosis. These findings support the idea that ATXN2 may represent a promising therapeutic target in ALS.

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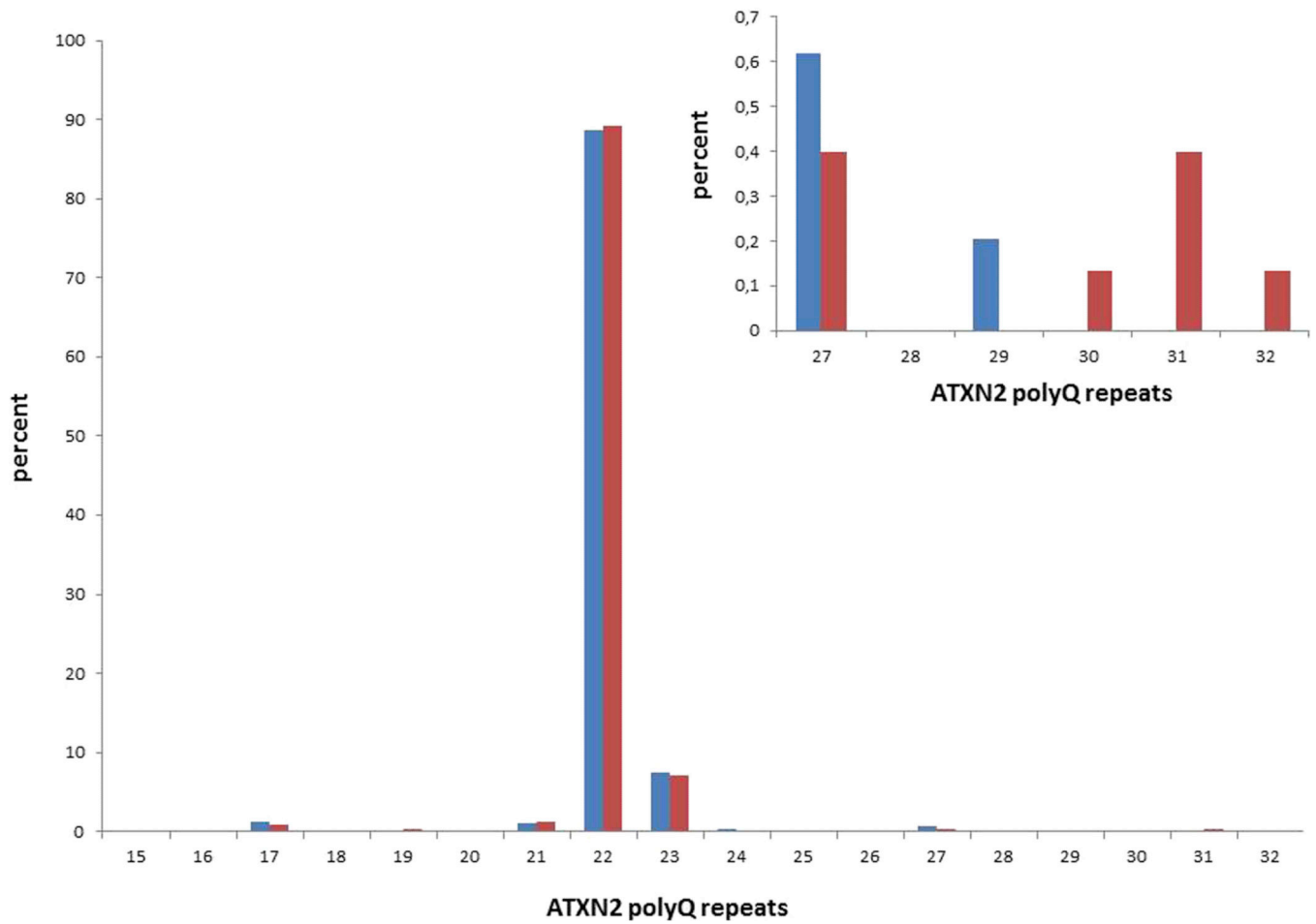
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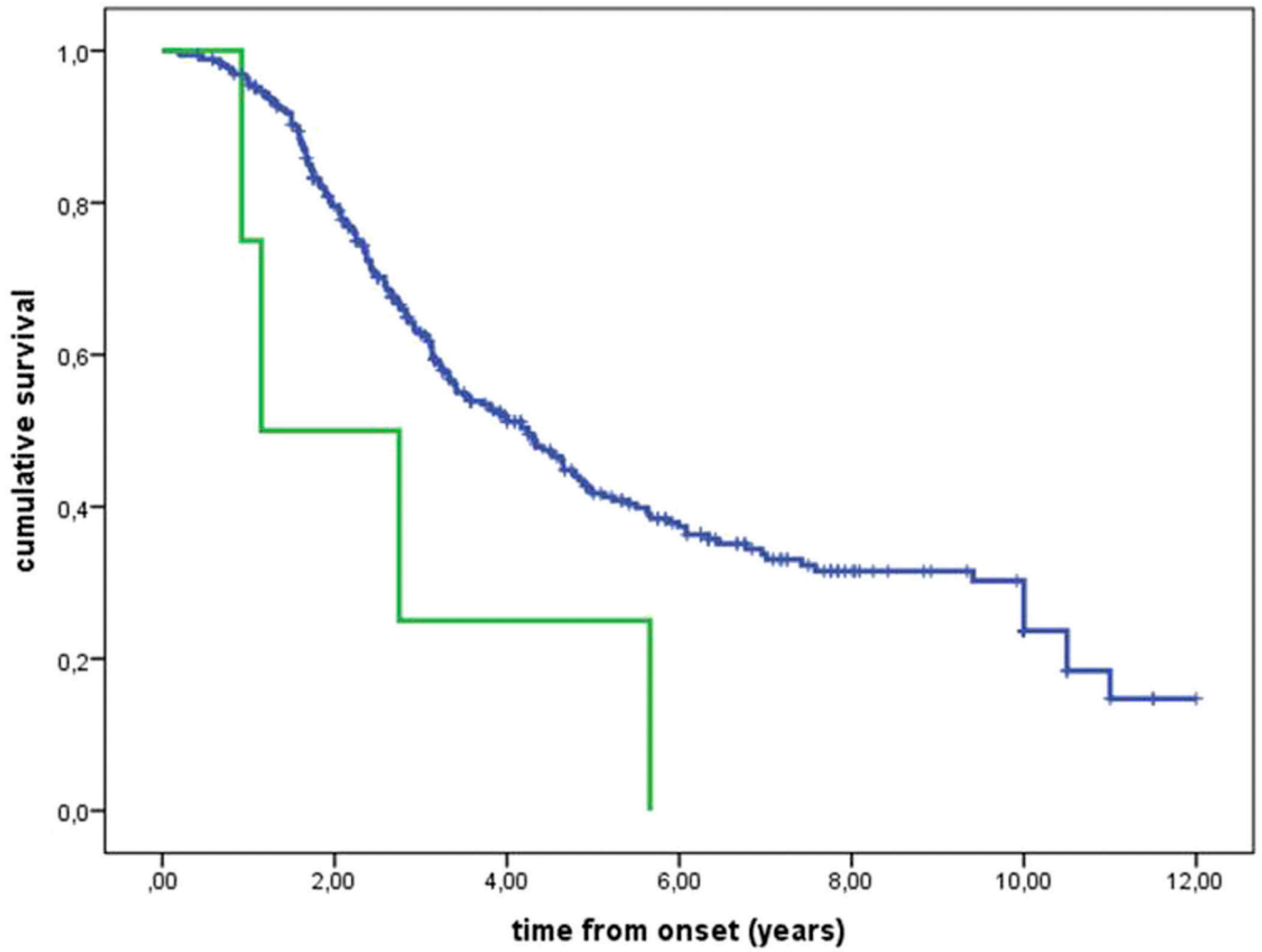


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**Fig. 1.** Distribution of ATXN2 polyQ repeat lengths in amyotrophic lateral sclerosis (ALS) and control cases. In the insert, data about cases and controls with 27 repeats are magnified. PolyQ lengths 31 are significantly more frequent in ALS cases ( $p = 0.0001$ ) (red, ALS patients; blue, controls).





**Fig. 2.** Kaplan-Meier survival estimation from onset to death and/or tracheostomy. Blue line, <31 polyQ repeats; green line, ≥31 polyQ repeats.  $p = 0.035$ .