

REVIEW

Epigenetic therapy approaches in non-small cell lung cancer: Update and perspectives

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ABSTRACT

Non-small cell lung cancer (NSCLC) still constitutes the most common cancer-related cause of death worldwide. All efforts to introduce suitable treatment options using chemotherapeutics or targeted therapies have, up to this point, failed to exhibit a substantial effect on the 5-year-survival rate. The involvement of epigenetic alterations in the evolution of different cancers has led to the development of epigenetics-based therapies, mainly targeting DNA methyltransferases (DNMTs) and histone-modifying enzymes. So far, their greatest success stories have been registered in hematologic neoplasias. As the effects of epigenetic single agent treatment of solid tumors have been limited, the investigative focus now lies on combination therapies of epigenetically active agents with conventional chemotherapy, immunotherapy, or kinase inhibitors. This review includes a short overview of the most important preclinical approaches as well as an extensive discussion of clinical trials using epigenetic combination therapies in NSCLC, including ongoing trials. Thus, we are providing an overview of what lies ahead in the field of epigenetic combinatory therapies of NSCLC in the coming years.

Abbreviations: AML, Acute myeloid leukemia; ATRA, All-*trans* retinoic acid; AZA, Azacitidine; CR, Complete remission; DLTs, Dose limiting toxicities; DNMTi, DNA methyltransferase inhibitors; DNMTs, DNA methyltransferases; EGFR, Epidermal growth factor receptor; EMA, European Medicine Agency; EZH2, Enhancer of zeste homolog 2; FDA, Food and Drug Administration; HATs, Histone acetyltransferases; HDAC, Histone deacetylases; HDACi, HDAC inhibitors; KDMs, Histone lysine demethylases; KMTs, Histone lysine methyltransferases; MDS, Myelodysplastic syndrome; MTD, Maximum tolerated dose; NSCLC, Non-small cell lung cancer; OS, Overall survival; P2RD, Phase 2 recommended dose; PD-1, Programmed cell death receptor 1; PD-L1, Programmed cell death receptor ligand 1; PFS, Progression-free survival; PR, Partial remission; RFS, Relapse-free survival; SAM, S-adenosyl methionine; SCLC, Small cell lung cancer; SD, Stable disease; TC, Treatment choice; TKIs, Tyrosine kinase inhibitors

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Introduction

Lung cancer is the leading cause of cancer-related deaths worldwide. Despite continuous research and development of new therapeutic regimens, the 5-year overall survival (OS) rate of non-small cell lung cancer (NSCLC) remains at a mere 15%.¹ Epigenetic therapy approaches offer novel, innovative treatment options that may improve this troubling statistic, namely with DNA methyltransferase inhibitors (DNMTi) and histone-modifying agents. These classes of compounds have been clinically tested as single agents and in combination with chemotherapeutics, small-molecule inhibitor drugs, and differentiating agents. Combination strategies often are used with the rationale to epigenetically “prime” the cancer cells by treatment with epigenetically active agents to the activity of the subsequently administered second agent.²

DNA methylation usually occurs by transfer of a methyl group to the cytosine of a cytosine-guanine dinucleotide (CpG), e.g., of gene promoters. This allows the binding of different proteins that ultimately prohibit the RNA polymerase to access this area and can therefore silence the respective gene.³

Histones are nuclear proteins around which the DNA is wrapped. Posttranslational modifications, such as addition or removal of methyl or acetyl groups to amino acids within the histones, can lead to a change of conformation and therefore facilitate or hinder access of the transcription factor machinery to the DNA.^{4,5}

Reversing the aberrant epigenetic patterns of cancer cells can re-sensitize them to established treatment, e.g., chemotherapeutics or radiation therapy. In this review, we provide an overview of published and ongoing clinical combination trials using epigenetic drugs in NSCLC.

DNA methylation

DNA methyltransferases (DNMTs) transfer methyl groups to cytosines by employing S-adenosyl methionine (SAM) as their methyl donor. Both DNA hypo- and hyper-methylation are found in cancer cells, the latter can lead to silencing of tumor suppressor genes⁶ or of genes that are involved in, e.g., metastasis, angiogenesis, invasion, or immune response by T-cell rec-

Table 1. Currently available DNA methyltransferase inhibitors. This table lists the most important DNMTi used in research. Nucleoside analogs resemble nucleosides, but lead to a chain termination when they are incorporated in the DNA. Antisense oligonucleotide inhibitors hybridize with their complementary mRNAs, prevent their translation and thereby the biosynthesis of certain proteins.⁹⁹

DNA Methyltransferase Inhibitors Substance Group	Substance Name
Nucleoside analogs	Decitabine (5-aza-2'-deoxycytidine, Dacogen [®] , DAC) ⁺⁺
	Azacitidine (5-azacytidine, Vidaza [®]) ⁺⁺
	5-aza-fluoro-2'-deoxycytidine (FCdR)
	CC486 (oral azacitidine)
	Guadecitabine (SGI-110)
	Sinefungin
	Zebularine
Antisense oligonucleotide (ASO) inhibitors	DNMT1 ASO
Others	MG98
	1-Hydrazinophthalazine
	CBC12
	Epigallocatechin gallate (EGCG)
	Procainamide
	Psammoplanin A
	RG 108
	SGI-1027
	Thioguanine

⁺Food and Drug Administration (FDA)-approved drugs +European Medicine Agency (EMA)–approved drugs.

ognition.⁷ Table 1 provides a list of currently investigated DNMTi.

Azacitidine (AZA) and 5-aza-2'-deoxycytidine (decitabine), the 2 clinically approved DNMT inhibitors (DNMTi), are generally considered the “flagships” of epigenetic therapy. After a lengthy development period, they have finally become accepted as new, non-intensive frontline treatment standards for (mostly elderly) patients with myelodysplastic syndrome (MDS) and acute myeloid leukemia (AML). In these 2 related myeloid

neoplasias, remission rates, improvements in survival, and quality of life are very encouraging. Their role in the treatment of solid tumors is much less defined, necessitating active investigations, including their mechanisms of action.

Histone modifications: Enzymes and their inhibitors

Histone modifications are dynamic processes that are regulated by so-called “writer” and “eraser” enzymes. These writers and erasers either deposit or remove specific posttranslational modifications from histones, which in turn are recognized by “readers.” The 2 most broadly studied chemical modifications of histones, on which we focus in this review, are histone acetylation and histone methylation. Whether a histone modification acts as a repressive or active mark depends on the chemical group itself as well as its position within the histone. Fig. 1 depicts the most relevant modifications at histone H3, including their function, the respective modifying enzymes, as well as prototypical pharmacological inhibitors of these enzymes.

Histone acetyltransferases (HATs) add acetyl groups to lysines and lead to an open conformation of the chromatin by altering its charge, which supports active transcription.

The four different classes of histone deacetylases (HDAC I-IV), on the other hand, are global mediators of transcriptional repression. Like HATs, they also target non-histone proteins. HDACs are overexpressed, or their recruitment is altered, in a large variety of cancers, including NSCLC.⁸ *In vitro*, HDAC inhibitors (HDACi) exhibit antineoplastic activity in cancer cells by inhibiting proliferation and angiogenesis. Additionally, they induce apoptosis by regulating both pro- and anti-apoptotic genes. Six different structural categories of HDACi have been described, and drugs representing 3 of them have been approved for cancer treatment: Vorinostat, belinostat, chidamide, and romidepsin for cutaneous and peripheral T-cell

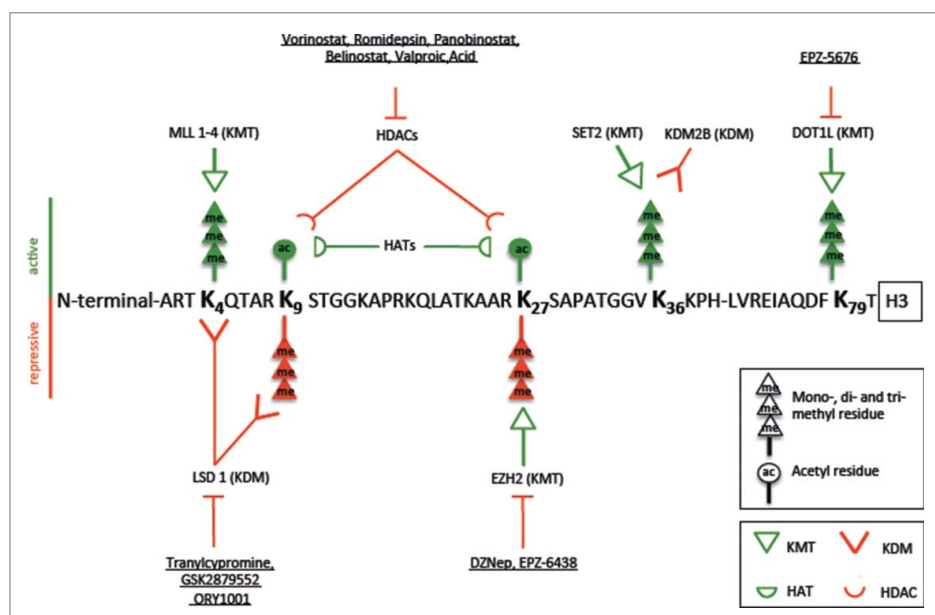


Figure 1. Amino acid sequence of histone H3 with active (green) and repressive (red) marks attached to lysine residues (K). Histone marks, such as acetyl and methyl groups, are regulated by writers (e.g., EZH2) and erasers (e.g., LSD1, HDACs). Depending on their position, they can either lead to an active or an inactive chromatin conformation. The finely tuned regulation is often altered in human cancers. Epigenetic drugs, such as tranlycypromine or DZNep, inhibit the chromatin modifying enzymes and may thereby influence gene expression and revert aberrant gene silencing. (KMT: histone lysine methyltransferase, KDM: histone demethylase, HDAC: histone deacetylase, HAT: histone acetyltransferase).

Table 2. Currently investigated histone deacetylase inhibitors. This table depicts the most important HDACi that are being used in research. They can be categorized into 6 groups: hydroxamic acid based compounds, cyclic tetrapeptides, short-chain and aromatic fatty acids, benzamides, electrophilic ketone, and others.

Histone Deacetylase Inhibitors ^{8,100–106}		
Substance Group	Substance Name	Targeted HDACs
Hydroxamic acid based compounds	Givinostat (ITF2357)	Class I and II
	Panobinostat (LBH 589) ⁺⁺	Class I and II
	Dacinostat (NVP-LAQ 824)	Class I and II
	Oxamflatin	Class I and II
	Abexinostat (PCI-24781)	Class I and II
	Pracinostat (SB939)	Class I, II and IV
	Belinostat (PXD101) ⁺⁺	Class I and II
	Quisinostat	Class I and II
	Resminostat	Class I and II
	Rocilinostat	Class IIb
	Suberoylanilide hydroxamic acid (SAHA, Vorinostat) [*]	Class I and II
Cyclic peptides	Trichostatin A (TSA)	Class I and II
	Apicidin	Class I and III
	Trapoxin A and B	Class I and IIa
	Romidepsin (FK228, Depsipeptide) [*]	Class I
Short-chain and aromatic fatty acids and their salts	(Sodium) 4-phenylbutyrate	Class I and II
	Sodium butyrate	Class I and IIa
Benzamides	Valproic acid/Valproate (VPA)	Class I and IIa
	Chidamide [']	Class I
	Tacedinaline (CI-994)	Class I
	Mocetinostat (MGCD0103)	Class I
	Entinostat (MS-275)	Class I
Electrophilic ketone	Trifluoromethylketone	Class II
Others	Psammaplin A	Class I

*FDA-approved drugs ⁺EMA-approved drugs [']Chinese FDA-approved drugs.

lymphoma,^{9,10,11} and panobinostat for multiple myeloma.¹² Table 2 provides a list of currently investigated HDAC inhibitors.

Histone methylation marks are deposited by histone lysine methyltransferases (KMTs), which can be divided into non-SET and SET-domain-containing KMTs (e.g., MLL1-5, SET1A/B, SET 7/9). They can be removed by histone lysine demethylases (KDMs), such as LSD-1 and -2, or JmJD-domain-containing histone demethylases.^{13,14}

LSD1 demethylates mono- and di-methylated lysines 4 and 9 of histone H3. It is frequently overexpressed in NSCLC and promotes proliferation and invasion.¹⁵ Again, the efficacy of LSD1 inhibition is deduced from its success in hematology: LSD1 inhibition was shown to remove the differentiation block in AML cells¹⁶ and re-sensitize AML cells to all-*trans* retinoic acid (ATRA).¹⁷ The first compound clinically used as an LSD1 inhibitor is tranylcypromine, a monoamine oxidase inhibitor approved more than 50 y ago for treatment-refractory depression. More potent and specific LSD1 inhibitors are presently under preclinical and early clinical development.¹⁸

The methylation of lysine 27 of histone H3, H3K27, is regulated by the enhancer of zeste homolog 2 (EZH2), the catalytic domain of the polycomb repressive complex 2 (PRC2). Trimethylation of H3K27 by EZH2 leads to silencing of PRC2 target genes that are involved in stem cell differentiation and embryonic development. EZH2 is overexpressed in a variety of cancers, including NSCLC. 3-Deazaneplanocin A (DZNep) is an

Table 3. Currently available histone methylation modifiers. This table depicts the most important histone methyltransferase and demethylase inhibitors that are being used in research. EZH2 catalyzes the addition of methyl groups to histone H3 at lysine 27. LSD1 demethylates di- and tri-methylated H3K4.

Histone Methyltransferase and Demethylase Inhibitors	
Group	Substance name
EZH2 inhibition	3-deazaneplanocin A (DZNep)
	Tazemetostat (EPZ-6438)
	GSK126
	GSK2816126
	GSK343
LSD1 inhibition	UNC-1999
	Tranylcypromine (2-PCPA)
	GSK LSD1
	GSK2879552
	ORY-1001
Dual HDAC-LSD1 Inhibition	Pargyline
	RN 1 dihydrochloride
	SP2509
	45C-202
	EPZ-5676
DOT1L inhibition	

EZH2 inhibitor that leads to reduced trimethylated H3K27 levels in breast cancer cells and the de-repression of aberrantly silenced genes.¹⁹ Table 3 provides a list of currently investigated histone methylation modifiers.

Epigenetic therapy in myeloid neoplasias and multiple myeloma

Until now, epigenetic therapy has been most effective in hematologic neoplasias. In a randomized phase 3 study in older patients with mostly higher-risk MDS comparing azacitidine (administered over 7 d every 4 weeks) to standard of care, the 2-year survival was 51% in the azacitidine arm compared to 26% in the standard of care arm.²⁰ In another randomized phase 3 trial for newly diagnosed AML patients of 65 y and older and more than 30% bone marrow blasts, azacitidine (given at the same dose and schedule as for MDS) increased median overall survival from 6.5 months in the conventional treatment arm to 10.4 months in the azacitidine arm.²¹

In a phase 3 trial with 170 MDS patients, decitabine led to a higher response rate (17% vs. 0%) and a trend to longer progression-free survival (PFS) (12.1 vs. 7.8 months) when compared to best supportive care.²² The EORTC Intergroup trial 06011 with 233 higher-risk MDS-patients of 60 y and older comparing low-dose decitabine with sole best supportive care resulted in improvement of PFS, AML transformation, and quality of life.²³ Decitabine is also active in older AML patients. Particularly, when administered over 10 d decitabine induced response rates almost comparable to standard induction chemotherapy.^{24,25} In a phase III trial comparing decitabine with treatment choice (TC) in 485 newly diagnosed AML patients of 65 y and older with poor to intermediate risk cytogenetics, decitabine led to an increase in complete remission rate (17.8% with decitabine vs. 7.8% with TC) and longer OS.²⁶

Last but not least, the HDAC inhibitor panobinostat significantly increased PFS and complete remission rate in multiple myeloma when combined with bortezomib and dexamethasone.²⁷

Table 4. Ongoing and published trials using epigenetic combination therapies in NSCLC. In this table, ongoing and finished trials using epigenetic combination therapies in NSCLC are displayed, including their phase, number of recruited patients, dose limiting toxicity, response rate respectively median survival, study population, and their status (ongoing or published).

Drugs	Phase	Patient number	Dose-Limiting Toxicities	Response rate, PFS, OS (months)	Study population	Reference
DNMTi combination therapy Azacitidine + erlotinib	1	30	Conjunctivitis, infusion reaction in 2 of 5 cohorts	PR in 2, SD in 11 patients; Median PFS 2 months	Advanced solid tumors, among them 2 NSCLC patients, who had already received standard therapy	68
Oral azacitidine + pembrolizumab vs. placebo + pembrolizumab*	1	90	—	—	Patients with squamous or non-squamous stage IIIB or IV NSCLC pretreated with only 1 prior systemic platinum-based chemotherapy	95*
5-Fluoro-2-deoxyuridine + tetrahydrouridine*	2	Ca. 185	—	—	Advanced NSCLC, breast cancer, bladder cancer, or head or neck cancer that had progressed after standard treatment or for whom no effective therapy exists	78*
Decitabine + cisplatin	1/2	21/14	—	No objective response; OS 3,7 months	Phase 1: Patients with histopathologically confirmed diagnosis of malignancy and progressive disease; Phase 2: stage IIIB and IV NSCLC	69
Decitabine + genistein*	2	Ca. 48	—	—	Phase 1: Non-estrogen dependent advanced solid malignancy that has failed standard therapies and/or for which no curative therapeutic option exists, Phase 2a: NSCLC of stage IIIB or IV that has failed or is ineligible to standard therapies	77*
HDAC Combination therapy Belinostat, carboplatin, paclitaxel* and bevacizumab*	1/2	7	—	—	Advanced NSCLC (stage IV), not previously treated with any chemotherapy regimen (prior adjuvant chemotherapy and/or chemotherapy/radiation for stage III allowed)	81*
Belinostat + Erlotinib*	1/2	5	—	—	Dose escalation phase: NSCLC patients suitable for treatment with Erlotinib; MTD expansion phase: patients with NSCLC rated suitable for treatment with Erlotinib and with measurable disease	82*
Entinostat + Pembrolizumab*	1b/2	158	—	—	Patients with recurrent/metastatic NSCLC tested for anaplastic lymphoma kinase (ALK) rearrangements and epidermal growth factor receptor (EGFR) mutations and, if positive, have been treated with prior EGFR or ALK therapy; at least 1 chemotherapeutic regimen; progressive disease; no prior treatment with a PD-1/PD-L1-blocking antibody	96*
Erlotinib +/- entinostat	2	132	—	Only patients with high E-cadherin levels at time of diagnosis benefit from combination	Stage III or stage IV NSCLC patents that had received one or 2 previous chemotherapy or chemoradiotherapy regimens for advanced NSCLC and their disease had progressed based on radiologic evidence	74
Paclitaxel + carboplatin +/- vorinostat*	2/3	253	—	—	NSCLC patients with no prior systemic treatment for lung cancer except patients at least 12 months from prior adjuvant therapy	80*
Panobinostat + erlotinib	1	33	2 grade 3 DLTs of nausea and grade 3 prolonged QTc	7 EGFR mutant patients: 3 PR, 3 SD, 1 progressed; PFS was 4.7 in EGFR-mutant vs. One.9 months in EGFR-wild-type patients, OS est. 41 vs. Five.2 months	Patients with advanced/metastatic NSCLC or head and neck cancer, who had failed at least one line of systemic therapy	72

(continued on next page)

Table 4. (Continued).

Drugs	Phase	Patient number	Dose-Limiting Toxicities	Response rate, PFS, OS (months)	Study population	Reference
Vorinostat + bortezomib + Surgery	1	21	2 grade III DLTs of fatigue and hypophosphatemia	>60% histologic necrosis of tumor in 6 of 20 patients	NSCLC patient that had no clinical or pathologic evidence of N2, N3, or M1 disease	70
Vorinostat or placebo + Carboplatin + paclitaxel	2	94	—	PFS 6.0 vs. Four.1 months	Stage IIIB (with malignant pleural effusion) or IV NSCLC patients with no prior therapy for advanced-stage disease	75
Vorinostat + erlotinib	1/2	—	1 DLT of grade 3 diarrhea	No objective response; PFS 8 weeks, OS 10.3 months	NSCLC patients with advanced disease and EGFR mutations in exons 19 or 21, who had been treated with full doses of erlotinib for a minimum of 3 months	73
Vorinostat + gemcitabine + platinum*	1	61	—	—	Metastatic or locally advanced NSCLC patients that have not been previously treated with systemic chemotherapy or have received non-platinum and non- gemcitabine based neoadjuvant or adjuvant chemotherapy if the last dose was at least 6 months prior to study enrollment	79*
Vorinostat + sorafenib	1	35	—	1 PR, 8 SD; PFS 2.2 months	Locally advanced or metastatic solid tumors refractory to established forms of therapy or for which sorafenib alone would be considered as appropriate therapy, among them 15 NSCLC patients	71
DNMT1+HDACi combination therapy						
Azacitidine (s.c.) + oral entinostat followed by chemotherapy*	2	Ca. 165	—	—	NSCLC patients that have received exactly one prior therapy; patients with epidermal growth factor receptor (EGFR) mutations in exon 19 or 21 and patients with detected anaplastic lymphoma kinase (ALK) translocation may have had 2 prior therapies if one was a tyrosine kinase inhibitor specific to their mutation	83*
Azacitidine (s.c.) + oral entinostat or oral azacitidine alone prior to nivolumab*	2	Ca. 120	—	—	Stage IIIB, IV or recurrent NSCLC patients with at least one platinum based chemotherapy, and not more than 3 prior therapies for stage IIIB/IV disease	93*
Decitabine + valproic acid	1	8	2 grade 3 DLTs of neurotoxicity	No objective response;	NSCLC patients	76
Azacitidine + entinostat	1/2	10/42	0	1 CR, 1 PR for 24 months	Metastatic NSCLC patients with disease progression after at least one prior anti-cancer regimen for metastatic disease; any number of prior therapies was allowed; patients with treated brain metastases were included	42
Azacitidine + entinostat*	1/2	162	—	—	Metastatic or unresectable NSCLC patients that have failed at least one previous chemotherapy regimen	84*
LSD1-inhibition (single treatment in SCLC) GSK2879552*	1	100	—	—	SCLC patients with recurrent or refractory disease after platinum-containing chemotherapy regimen, or where standard therapy is refused	86*

CR = Complete response, DLT = Dose limiting toxicity, OS = overall survival, PR = partial response, PFS = progression free survival, SD = stable disease, — = Information was not provided

* = Ongoing

Potential for epigenetic therapy in lung cancer

Epigenetic changes are an important feature in NSCLC development, making them viable targets in lung cancer therapy. Aberrant promoter methylation of genes like *CDKN2A*²⁸, *MLH1* and *MSH2*²⁹, *APC*³⁰, *RARB*³¹, *MGMT*,³² and many others³³ has been described in lung cancer. Furthermore, different chromatin modifications can be used as prognostic markers. For example, overexpression of class I HDACs³⁴ and globally elevated H3 and H4 methylation are associated with a poor prognosis³⁵, whereas high dimethyl H3K4 levels and low acetylated H3K9ac³⁶ appeared to confer a better prognosis.

Two groups of lung cancer patients might obtain particular benefit from epigenetically active drugs: patients that are not fit enough for aggressive chemotherapy and high-risk NSCLC patients. The first group, i.e., patients not eligible for chemotherapy, might still be fit enough for the—usually less energy draining—epigenetic therapy. Rather than being cytotoxic like conventional chemotherapeutics, epigenetic therapies are thought to induce apoptosis and/or differentiation by reversing aberrant silencing or activation of genes. Elegantly enough, this should in principle eliminate only cancer cells, leaving normal cells untouched, resulting in fewer and less intense side effects. The second group comprises high-risk NSCLC patients, i.e., those with shorter relapse-free survival (RFS), who seem to be prone to relevant epigenetic alterations, e.g., harboring aberrant DNA methylation of *HIST1H4F*, *PCDHGB6*, *NPBWR1*, *ALX1*, and *HOXA9*.³⁷

Combination treatment including epigenetically active agents: Preclinical studies

DNMTi³⁸, HDACi³⁹, inhibitors of EZH2,⁴⁰ and LSD1¹⁵ have all been demonstrated to have anticancer effects in *in vitro* NSCLC studies. However, single-agent clinical trials of these groups of inhibitors in lung cancer patients showed mostly limited or transient effects, or high toxicity^{41,42}, which is why combination therapies seem favorable. Epigenetic agents have been shown to be able to “prime” cancer cells to standard chemotherapy, possibly by reactivation of tumor suppressor genes or DNA repair pathways.⁴³ They can also be used to re-sensitize cancer cells after the development of resistance, e.g., to tyrosine kinase inhibitors (TKIs).⁴⁴ The following paragraphs provide an overview of some interesting preclinical studies using epigenetic drugs in NSCLC.

Preclinical combination therapy with DNMTi

Azacitidine was shown to act synergistically with cytarabine and etoposide in NSCLC cell lines.⁴⁵ This combination led to a further hypomethylation of CpG sites located within 2 tumor suppressor genes (*MGMT* and *THRB*).

Li et al. observed a correlation between DNA promoter methylation of the EGFR gene and TKI resistance in NSCLC cell lines. DNMT inhibition using decitabine enhanced or even restored sensitivity to gefitinib, resulting in growth inhibition and apoptosis, as well as reduced EGFR protein expression.⁴⁶

Several proven or potential DNMTi (azacitidine, decitabine, zebularine, hydralazine, epigallocatechin gallate, and

psammalin A) caused radiosensitization in the NSCLC cell line A549.⁴⁷

Preclinical combination therapy with HDACi

Two novel HDAC inhibitors (ST2782 and ST3595) showed a synergistic effect with taxanes, which act by stabilizing the microtubuli in the spindle apparatus and disrupting mitosis. Combination therapy was followed by an increase in growth inhibition, apoptosis, and cell cycle delay at the G2/M-transition in different cancer cell lines, among them, the NSCLC cells H460 and A549. This might be caused by a supportive effect of acetylation on microtubular stabilization.^{48,49}

HDACi also led to downregulation of thymidylate synthase, an enzyme involved in the folate cycle and a target of cytostatic agents such as pemetrexed. High thymidylate synthase levels correlate with pemetrexed resistance. When sequentially treated with pemetrexed followed by ITF2357, a pan-HDACi, several NSCLC cell lines showed a synergistic effect on growth inhibition and apoptosis. Results were confirmed in xenograft models derived from the adenocarcinoma cell line H1650.⁵⁰

The HDACi romidepsin was able to enhance the anti-tumor effect of erlotinib in 9 NSCLC lines of different histology and mutation status, including EGFR-, KRAS-mutant, and wild type cell lines, as well as reduce tumor burden in NCI-H1299 xenograft models.⁵¹ HDAC inhibition using entinostat (MS-275) re-sensitized different TKI-resistant NSCLC cell lines to gefitinib, probably by restoring E-Cadherin expression.⁵²

The pan-HDAC-inhibitor Panobinostat did not only make TKI-resistant A549-cells available to the antineoplastic activity of Erlotinib, it also led to an increase in mono-, di- and trimethylation of histone H3 lysine 4 (H3K4), an indicator for a crosstalk between HDAC inhibitor and LSD1.⁵³ The same pan-HDACi, panobinostat, was also able to prime NSCLC cell lines to the differentiating effect of ATRA⁵⁴ and, furthermore, combining ATRA with the novel HDACi SL142 or SL325 suppressed colony formation, induced apoptosis via Bax expression, and increased caspase-3 activity in NSCLC cell lines.⁵⁵

The HDACi sodium valproate also enhanced the anti-tumoral effect of cisplatinum-vinorelbine-based chemoradiation in NSCLC cell lines.⁵⁶ Trichostatin A (TSA) was also able to radiosensitize NSCLC cell lines, promoting apoptosis and G2/M-cell-cycle arrest.⁵⁷

Preclinical combination treatment approaches targeting aberrant histone methylation

Aberrant histone methylation is a relatively recently discovered feature in NSCLC, which is reflected in the scarceness of studies using agents affecting histone methylation.

Fillmore et al. could show that EZH2 knockdown as well as indirect EZH2 inhibition using 3-deazaneplanocin A (DZNep) were both able to prime NSCLC cell lines to the effect of the topoisomerase inhibitor etoposide.⁵⁸

Even less research has until now been conducted concerning aberrant histone demethylation in NSCLC. LSD1 knockdown as well as LSD1 inhibition using pargyline suppressed invasion, migration, and proliferation in lung cancer specimens;¹⁵

crosstalk between LSD1 and HDACi is known to play a role in breast cancer.⁵⁹ To our knowledge, there are as yet no studies investigating combination therapies for lung cancer using LSD1 inhibitors.

Preclinical epigenetic combination therapy

There is substantial crosstalk between the different epigenetic regulator enzymes, e.g., between LSD1 and class I, II and IV HDACs in breast cancer,⁵⁹ as well as DNA methylation and histone methylation.⁶⁰ Different histone modifications even influence each other in yeast cells.⁶¹ These findings have led to a number of epigenetic combination studies, but only few targeting lung cancer.

The combination of the HDACi SAHA and the non-specific EZH2 inhibitor DZNep decreased phosphorylation of proteins essential for the EGFR pathway, increased apoptosis in NSCLC cell lines and reduced tumor burden in H1975 xenograft mice.⁶²

Combining the DNMTi azacitidine with the HDACi entinostat led to the reduction of tumor burden, increased expression of pro-apoptotic genes and genes involved in cell cycle regulation in an orthotopic lung cancer model.⁶³

These encouraging results stand in contrast to a series of experiments on NSCLC cell lines and xenografts using azacitidine and entinostat as priming agents to chemotherapeutics published by Vendetti et al. in 2014. The group found no difference in the response to cisplatin, docetaxel, 17-AAG (an antitumor antibiotic), or gemcitabine after epigenetic priming in A549, H358, H838, or H1229 cells. And, although epigenetic priming enhanced the response of A549 xenografts to irinotecan, no effect on A549 or H460 xenografts was seen when using cisplatin or docetaxel. Priming even diminished the effect of irinotecan on H460 cells. Application of azacitidine and entinostat did however enhance the response to repeat treatment of irinotecan in a patient derived adenocarcinoma xenograft. All in all, the results of Vendetti et al. did not generally strengthen the hypothesis of epigenetic chemosensitization. The authors themselves note that efficacy might be context- and host-dependent and encourage further investigation.⁶⁴

Clinical trials

Single-agent clinical trials using chromatin-modifying drugs

The Canadian scientist Richard Momparker is one of the pioneers in the epigenetic treatment of NSCLC patients. In a trial in the 1990s using decitabine in 15 stage IV NSCLC patients with no prior treatment, one patient survived more than 81 months.⁶⁵ After this astonishing observation, Momparker et al. suggested a delayed mode of action of decitabine, which had almost been dismissed as a treatment choice in NSCLC, and thus sparked a new interest in the field. Since then, numerous clinical trials have studied the single-agent effects of DNMTi^{38,66} or HDACi^{67,68} in NSCLC. As mentioned earlier, however, clinical activity was often limited due to transient effects or toxicity. Combining

epigenetic substances with established therapies might increase efficacy and reduce side effects. Table 4 depicts ongoing and published trials using epigenetic combination therapies in NSCLC.

Combining DNMTi with erlotinib or cisplatin

In a phase I trial with 30 patients with different tumor entities including 2 patients with NSCLC, Bauman et al. showed that azacitidine plus erlotinib was well tolerated. The phase 2 recommended dose (P2RD) was 150 mg erlotinib daily and 75 mg/m² azacitidine daily on days 1–4 and 15–18 of a 28-day cycle.⁶⁹

Schwartzmann et al. conducted a phase I trial with 21 patients with different tumor entities including 8 NSCLC patients and a consecutive phase II trial with 14 inoperable, non-pretreated stage III and IV NSCLC patients using decitabine and cisplatin. Apart from dose finding, they measured adverse effects, response rate, and median survival. The dose-limiting toxicity was myelosuppression, median survival was 15 weeks—a result comparable or slightly inferior to cisplatin alone. The disappointing result might be partially explained by a predominance of stage IV cancer patients.⁷⁰

Combination therapy of NSCLC using HDACi

After conducting a phase I study with 21 NSCLC patients, Jones et al. concluded that HDAC inhibition using vorinostat combined with proteasome inhibition using bortezomib followed by surgery was a feasible treatment option.⁷¹

Another phase I study with 17 patients with different cancers, including 3 NSCLC patients, determined the phase 2 recommended dose (P2RD) of vorinostat and the receptor tyrosine kinase inhibitor (TKI) sorafenib to be vorinostat 300 mg on days 1 to 14 and sorafenib 400 mg daily during a 21-day cycle. Using this dosing scheme, 12 additional NSCLC patients were further evaluated. While the entire patient group tolerated the drugs well, the NSCLC group showed one case of grade V hemoptysis and one coronary event, the majority was not able to finish 2 cycles.⁷²

In a phase I trial, panobinostat plus erlotinib in 33 patients with NSCLC and head and neck cancer was overall well tolerated, dose-limiting toxicities (DLTs) occurred in 2 patients (one grade 3 nausea and grade 3 prolonged QTc), but resolved without interference. The combination also resulted in an OS of 41 (estimated) vs. 5.2 months in patients harboring an epidermal growth factor receptor (*EGFR*) mutation vs. wild type *EGFR* patients. The trial therefore identified *EGFR* mutated patients as especially susceptible to this combination treatment.⁷³

Although it could be safely administered, vorinostat combined with erlotinib only prevented progression in 28 percent of the patients at 12 weeks after treatment in a phase I/II study with 33 NSCLC *EGFR*-mutant patients, who had progressed after erlotinib treatment.⁷⁴

In a phase II trial with 132 stage III and IV NSCLC patients who had advanced after prior treatment (one or 2 previous chemotherapy or chemoradiotherapy regimens), entinostat with the TKI erlotinib did not exhibit a beneficial effect on the study

population. However, for those patients showing high E-cadherin levels at enrolment, the OS was longer compared to erlotinib alone.⁷⁵

Ninety-four patients with advanced NSCLC were enrolled in the randomized, double blind, placebo-controlled phase II trial by Ramalingam et al. comparing cisplatin/paclitaxel combined either with vorinostat or placebo. The combination therapy was superior regarding response rate (34% vs. 12.5%, $P = 0.02$), but not median PFS (6.0 vs. 4.1 months, $P = 0.48$) or OS (13.0 vs. 9.7 months, $P = 0.17$).⁷⁶

Therapy approaches combining different epigenetically effective drugs

The combination of decitabine with valproic acid in a phase I trial with 8 NSCLC patients led to an increase of fetal hemoglobin, which indicated reactivation of initially silenced β -globin genes by hypomethylation. However, a phase II study was not recommended because of grade 3 neurological toxicities in 2 patients including disorientation, lethargy, memory loss, and ataxia at dose level 1.⁷⁷

In 10 extensively pretreated, refractory advanced NSCLC patients in a phase I/II trial, the combination of azacitidine and entinostat was well tolerated. Following epigenetic treatment, a complete remission was observed in one patient and a partial remission in another, who remained with stable disease for about 2 y after ending the study.⁴² Median survival was 6.4 months. However, a subset of 10 “methylation signature”-positive patients was identified, whose median survival amounted to 10.4 months. These patients showed demethylation of at least 2 of 4 initially silenced NSCLC signature genes (*APC*, *RASSF1A*, *CDH13*, *CDKN2A*) following treatment. Eight of these “methylation signature”-positive patients had stable disease or an objective response. Of the 16 identified “methylation signature”-negative patients, only 4 showed stable disease and no objective responses were observed. Additionally, the epigenetic treatment improved the response rate to subsequent anti-cancer treatments, with 4 of 19 patients enjoying major objective responses.⁴²

Ongoing combination clinical trials

A number of researchers are currently investigating epigenetic combination therapies in NSCLC. The following section provides a summary of ongoing trials, including those that had to be terminated early.

In a phase I study researchers will determine the phase 2 recommended dose (P2RD) of the DNMTi decitabine administered together with the phytoestrogen genistein in patients with solid tumors. The phase II part of this study aims to monitor safety and preliminary efficacy in 48 stage III and IV NSCLC patients.⁷⁸

Progression-free survival (PFS) and response rate will be evaluated in a currently recruiting phase II study using the DNMTi 5-fluoro-2-deoxycytidine and the competitive cytidine deaminase inhibitor tetrahydrouridine, with a target of 185 NSCLC patients.⁷⁹

There are several ongoing trials using HDAC inhibitors. To assess DLTs and MTD of vorinostat combined with

gemcitabine plus either cis- or carbo-platin is the goal of a phase I study monitoring 61 chemo-naive patients with advanced NSCLC.⁸⁰

Several studies had to be terminated early: OS and PFS were planned to be measured in a phase II trial using vorinostat in combination with paclitaxel and carboplatin in 253 stage III and IV NSCLC patients. The study had to be terminated as the endpoint had not been achieved.⁸¹ Another phase I/II study was terminated for logistic reasons. It had aimed to determine maximum tolerated dose (MTD) of belinostat combined with standard chemotherapy (carboplatin, paclitaxel, and bevacizumab induction) as well as OS and long-term safety in 7 stage IV NSCLC patients.⁸² Another phase I study investigated belinostat in combination with erlotinib in NSCLC patients; it was terminated when the MTD was exceeded.⁸³

As expected, the current interest in HDAC inhibitors combined with DNMT inhibitors is reflected in a number of ongoing trials using both agent types. A phase II study will test the priming ability of azacitidine combined with entinostat followed by a variety of chemotherapeutic agents (docetaxel, gemcitabine, irinotecan, pemetrexed) and is planning to include 165 advanced NSCLC patients.⁸⁴

A hundred and sixty-two advanced NSCLC patients have enrolled in an all-epigenetic phase II study, in which MTD and response rate of azacitidine combined with entinostat will be determined.⁸⁵

Importantly, another study (neither using a combination therapy nor including NSCLC patients) has recently been initiated: the first clinical trial in lung cancer (in this case small cell lung cancer, SCLC) studying a novel LSD1 inhibitor, GSK2879552. This irreversible selective inhibitor of LSD1 led to growth inhibition in a number of SCLC cell lines. The authors could show that certain cell lines harboring a specific hypomethylation signature were more susceptible to LSD1 inhibition than those lacking this signature. The anti-tumor effect was confirmed in SCLC xenograft mice.⁸⁶ One hundred SCLC patients with recurrent or refractory disease after platinum-based chemotherapy regimen will be enrolled in a phase-I study examining the safety, including adverse events and DLTs, and disease control rate of GSK2879552.⁸⁷

New immunotherapeutic approaches using epigenetic therapies

One of the most interesting recent discoveries in hematology is the induction of immune responses in cancer cells by hypomethylating agents. In a follow-up observation of patients with refractory NSCLC that the group of Wrangle had treated with low-dose azacitidine and the HDAC inhibitor entinostat in 2013, they noticed that a number of these patients had above-average responses to subsequent therapies. Among those, impressively robust remissions were induced by anti-PD1-antibodies. It seemed that azacitidine exhibited a priming effect to other treatment regimen in these patients.⁴² Encouraged by this observation and to examine the underlying molecular changes, they treated NSCLC cells with 500 nM of azacitidine for 72 h. Azacitidine induced the upregulation of different immune-related pathways as well as the expression of cancer/testis antigens and transcripts of HLA Class I antigens,

which are important for tumor recognition and their destruction by cytotoxic T-cells. It also led to the upregulation of programmed cell death receptor ligand 1 (PD-L1), a key ligand-mediator of immune tolerance. Usually the interaction of PD-L1 with its receptor programmed cell death receptor 1 (PD-1) acts as a checkpoint for immunological responses to inflammation. When cancer cells express PD-L1, it can enable them to evade recognition and degradation by cytotoxic T-cells.⁸⁸ Anti-PD1 antibodies have already been demonstrated to induce complete or partial remissions in NSCLC, e.g., in 5 of 49 NSCLC patients in a phase I study including different tumor types.⁸⁹ However, a subset of NSCLC patients could be identified who did not respond to the treatment due to low PD-L1 expression.⁹⁰ The authors then suggested a priming effect of DNA hypomethylating agents to anti-PD-L1-antibodies, especially in those patients with low PD-L1 expression. Two research groups have investigated the effect of azacitidine or decitabine on colorectal and ovarian cancer cells. Very impressive evidence suggests that the anti-tumor activity of these DNMTi is at least partially based on the activation of endogenous retroviral sequences, which express double-stranded RNAs and thus trigger interferon responses that result in elimination of the tumor cells.^{91,92}

The new angle on DNMTi has already led to the set-up of a large phase II study, in which up to 120 patients with recurrent, metastatic NSCLC will be recruited using azacitidine and entinostat or orally administered azacitidine to prime the tumors to the monoclonal anti-PD1 antibody nivolumab.⁹³ Nivolumab has been approved for NSCLC treatment in the EU in 2015 following the CheckMate-017-study.⁹⁴ Two similar studies exist using the PD-1 inhibitor pembrolizumab, approved for NSCLC and melanoma treatment in the USA. A phase I study including up to 90 patients with stage IIIB and IV NSCLC will test the safety and efficacy of oral azacitidine administration in combination with pembrolizumab vs. pembrolizumab and placebo.⁹⁵ Up to 158 patients will be enrolled in a phase 1b/2 dose escalation study using the HDAC inhibitor entinostat combined with pembrolizumab in patients with NSCLC and an expansion cohort with NSCLC and melanoma patients.⁹⁶

Summary and conclusions

In this review we describe present developments in the field of epigenetic combination therapies. Combining epigenetic agents with standard treatment may increase their efficacy. Epigenetic drugs as single agents or combined with biologicals might result in treatment options that are available to patients too unfit for aggressive chemotherapy, due to age, reduced performance status, and comorbidities. Efficacy of combining epigenetic drugs with standard chemotherapy has been demonstrated in a plethora of preclinical studies. Only in recent years researchers started to translate these findings into clinical testing, which is why the majority of studies are still phase I and II trials. Different approaches have been taken, mainly combining DNMTi or HDACi with, e.g., chemotherapy, monoclonal antibodies, or TKIs. Especially interesting is the involvement of hypomethylating agents in immunological pathways and their priming effect to anti-PD1-antibodies.

So far, epigenetic combination therapies have not brought the desired breakthrough in NSCLC treatment. A couple of studies had to be terminated due to intolerable toxicities or limited results. However, some trials were able to identify special subgroups (e.g., patients with high E-cadherin levels) that would benefit from a certain combination treatment. Others showed promising results altogether. For instance, the synergistic effect that has been observed when combining HDACi and DNMTi^{97,98} has sparked a special interest and is reflected in a number of trials using both epigenetic drugs together in combination with standard therapy.

Also, new epigenetic drugs are constantly emerging, as demonstrated by the recent discovery of histone methylation and demethylation and agents modifying these marks, such as EZH2 and LSD1 inhibitors. Combination therapies using these inhibitors are already being tested in a preclinical setting and it seems it is only a matter of time until clinical trials will be designed. In conclusion, epigenetic therapies may yield great opportunities in the treatment of NSCLC.

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No potential conflicts of interest were disclosed.

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