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CNS Neurol Disord Drug Targets. Author manuscript; available in PMC 2016 December 28.

Published in final edited form as: *CNS Neurol Disord Drug Targets*. 2014 ; 13(7): 1280–1293.

Author manuscript

# Protein misfolding and aggregation in Alzheimer's disease and Type 2 Diabetes Mellitus

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## Abstract

In general, proteins can only execute their various biological functions when they are appropriately folded. Their amino acid sequence encodes the relevant information required for correct threedimensional folding, with or without the assistance of chaperones. The challenge associated with understanding protein folding is currently one of the most important aspects of the biological sciences. Misfolded protein intermediates form large polymers of unwanted aggregates and are involved in the pathogenesis of many human diseases, including Alzheimer's disease (AD) and Type 2 diabetes mellitus (T2DM). AD is one of the most prevalent neurological disorders and has

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#### CONFLICT OF INTEREST

The authors confirm that this article content has no conflict of interest.

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worldwide impact; whereas T2DM is considered a metabolic disease that detrementally influences numerous organs, afflicts some 8% of the adult population, and shares many risk factors with AD. Research data indicates that there is a widespread conformational change in the proteins involved in AD and T2DM that form  $\beta$ -sheets like motifs. Although conformation of these  $\beta$ -sheets is common to many functional proteins, the transition from  $\alpha$ -helix to  $\beta$ -sheet is a typical characteristic of amyloid deposits. Any abnormality in this transition results in protein aggregation and generation of insoluble fibrils. The abnormal and toxic proteins can interact with other native proteins and consequently catalyze their transition into the toxic state. Both AD and T2DM are prevalent in the aged population. AD is characterized by the accumulation of amyloid- $\beta$  (A $\beta$ ) in brain, while T2DM is characterized by the deposition of islet amyloid polypeptide (IAPP, also known as amylin) within beta-cells of the pancreas. T2DM increases pathological angiogenesis and immature vascularisation. This also leads to chronic cerebral hypoperfusion, which results in dysfunction and degeneration of neuroglial cells. With an abundance of common mechanisms underpinning both disorders, a significant question that can be posed is whether T2DM leads to AD in aged individuals and the associations between other protein misfolding diseases.

#### Keywords

Protein folding; Misfolding; Proteostasis; Alzheimer's disease; Type 2 Diabetes Mellitus; Amyloid Precursor Protein; Neurofibrillary tangles; Tau; α-synuclein; tauopathy; Parkinson's disease

#### INTRODUCTION

The problem of protein folding is one of the many unsolved paradigms in biochemistry. Protein folding has been recognized as one of the most critical puzzles to understand in the current century, especially because the complex protein structure makes it difficult to predict the correct folding patterns [1]. With the identification of several diseases as protein folding disorders, the explosion of genome information and the need for efficient ways to predict protein structure, protein folding has been projected as a fundamental issue in molecular sciences research [2]. The simplest way of initiating protein folding is to unfold the protein in high concentration of a chemical denaturant and then to dilute the solution so rapidly such that the denaturant concentration falls below the level at which the native state is thermodynamically unstable [3]. Another strategy involves the use of a battery of complementary stopped-flow and quenched-flow techniques, each of which is capable of monitoring a specific aspect of the formation of native like protein structure [4]. In addition, other novel methods to initiate refolding reactions are being introduced, which include the use of temperature jumps under conditions where cold denaturation takes place and an increase in temperature leads to refolding [5]. In some cases, the rapid change of oxidation state of a metalloprotein can also trigger the onset of the folding reaction [6]. With such approaches, folding events on the micro- and submicrosecond time scale are becoming accessible to investigate [7].

Protein folding disorders have been broadly categorized in two groups. The first involves disorders known as amyloidosis, characterized by accumulation of wrongly folded proteins as unfolded proteins; Alzheimer's disease (AD) is the best known example of this group [8].

The second group of protein folding disorders is characterized by incomplete protein folding due to minor error in the genetic blueprint, affecting its physiological function [8]. AD is a progressive neurological disease of the brain characterized by presence of protein aggregates and irreversible loss of intellectual abilities, like reasoning and memory; thereby detrementally affecting the normal social and occupational lifestyle of the individual [9]. The aggregates, known as neuritic plaques, chiefly comprise of amyloid-ß peptide (Aß), which is formed by the proteolytic cleavage of amyloid precursor protein (APP) [10], a large type I integral membrane protein of 695-770 aa that is expressed in many tissues but particularly concentrated within the synapse of neurons. Cleavage of APP by two different enzymatic activities, sequentially by BACE-1 (rather than normal processing by  $\alpha$ -secretase) followed by  $\gamma$ -secretase, releases 40 or 42 aa long A $\beta$  peptide fragments [11]. These fragments can then combine into oligomers, which can further aggregate to ultimately form insoluble A $\beta$  clumps surrounding neurons, and these oligomers and insoluble A $\beta$  forms have been reported to possess detrimental toxic effects (Fig. 1) [11]. The AB containing aggregates having a  $\beta$ -sheet structure that is a characteristic of amyloid [12]. AD is therefore distinguishing by the accumulation of insoluble  $A\beta$  plaques within key regions of the brain. The now famous amyloid cascade hypothesis, which has now dominated this field for many years [13], proposes that brain A $\beta$  generation is the primary influence driving AD pathogenesis. The rest of the disease process, including formation of neurofibrillary tangles containing tau protein, synaptic and neuronal loss, and neuroinflammation is projected to result from an imbalance between AB production and its clearance. However, an uncertainty over A $\beta$  plaques being a cause or a consequence of AD remains, although current evidence favours the former being the case [8]. This uncertainty nevertheless remains, particularly in the light of the numerous AD drug failures, the majority of which were aimed to target key pathways critical within the amyloid cascade hypothesis [14]. Like AD, Type 2 Diabetes Mellitus (T2DM) is also considered as a degenerative metabolic disease that occurs in later age, and is associated with the gradual loss of insulin secreting pancreatic beta-cells [15]. It is becoming increasingly believed that islet amyloidosis is the progeny of many diseases, including T2DM. The production of islet amyloid polypeptide (IAPP) oligomers that results in amyloid deposition is considered as a major contributor in pathogenesis and progression of T2DM [16]. This has been found present in 96% of T2DM patients and is recognised as a hallmark for diagnosis of this disease [17].

During the past decade, research and elucidation of protein folding and aggregation in AD and T2DM have become focal points in pharmaceutical research; thereby enhancing the probability of developing both new therapeutic approaches and pharmacological tools to understand mechanisms.

### MISFOLDED PROTEINS AND NEURODEGENERATIVE DISEASES

In a diseased condition, most of the time, target proteins attain toxicity following their transition from a  $\alpha$ -helix to a  $\beta$ -sheet form [18]. Although numerous functional native proteins possess  $\beta$ -sheet conformations within them, the transition from an  $\alpha$ -helix to a  $\beta$ -sheet is characteristic of amyloid deposits [19], and often associated with the change of a physiological function to a pathological one. Such abnormal conformational transition exposes hydrophobic amino acid residues and promotes protein aggregation [18, 20]. The

toxic proteins often interact with other native proteins and may catalyze their transition into a toxic sate, and hence they are called infective conformations [18]. The newly formed toxic proteins can repeat this cycle to initiate a self-sustaining loop; thereby amplifying the toxicity to generate a catastrophic effect, beyond homeostatic reparative mechanisms, to eventually impair cellular function or induce cellular demise [21].

Proteins function properly when their constituent amino acids fold correctly [22]. On the other hand, misfolded proteins assemble into insoluble aggregates with other proteins and can be toxic for the cells [18, 20]. Ataxin-1 is highly prone to misfolding due to inherited gene defects that cause neurodegenerative diseases (NDDs), which is mainly due the repetition of glutamine within its amino acid chain; the toxicity of this protein being directly proportional to the number of glutamines [23]. There are 21 proteins that mainly interact with ataxin-1 and influence its folding or misfolding, 12 of which increase the toxicity of ataxin-1 for nerve cells, while 9 of the identified proteins reduce its toxicity [23]. Ataxin-1 resembles a double twisted spiral or helix and has a special structure, termed a "coiled coil domain", that promotes aggregation. Proteins which possess "coiled coil domain" and interact with ataxin-1 have been reported to enhance promotion of ataxin-1 aggregation and toxic effects [24].

The gradual accumulation of misfolded proteins in the absence of their appropriate clearance can cause amyloid disease, the most prevalent one being AD. Parkinson's disease and Huntington's disease have similar amyloid origins [25]. These diseases can be sporadic or familial and their incidence increases dramatically with age. The mechanistic explanation for this correlation is that as we age (and are subjected to increasing numbers of mutations and/or oxidative stress causing changes to protein structure, etc.), the delicate balance of the synthesis, folding, and degradation of proteins is disturbed, ensuing in the production, accumulation and aggregation of misfolded proteins [26].

Among the environmental factors that increase the risk of degenerative diseases, a particularly important factor is contact with substances affecting mitochondrial function; thus resulting in an increase of oxidative damage to proteins [27]. However, it is clear that no single environmental factor is wholly responsible. In addition, there are genetic factors accountable too. For example, in the simplest forms of familial Parkinson's disease, mutations are associated with dominant forms of the disease. In the case of AD, and for other less common NDDs, the genetics can be even more complicated, since different mutations of the same gene and combination of these mutations may affect the disease risk differently [28].

### MISFOLDING IN NEUROFIBRIL TANGLES

The neurofilament is a linear 9–10 nm microfilament found within the neuronal cell body, the axon and the dendrites. It provides a major component of the neuronal cytoskeleton by providing structural support for axons and regulates their diameter. The neurofilament has a poorly defined lumen with protruded short "side arms" and appears to be composed of globular subunits of polypeptide chains. Unlike neurotubules, neurofilaments are stable and can be readily isolated by subcellular fractionation. Neurofibrillary tangles (NFTs) are

prominent feature that occur within the pyramidal cells of the hippocampus and neurons of the cerebral cortex of AD. Albeit, similar neuronal changes likewise occur in elderly Down's syndrome subjects and to a lesser degree in intellectually normal aged people [29], NFTs are a primary hallmark of AD. These tangles are composed of bundles of paired helically twisted filaments (PHF), which may be either neurofilaments or microtubules and are formed by intracellular hyperphosphorylation and by the c-terminal truncation of tau protein. These intracellular conformationally twisted fibres of tau protein impair axonal transport between the cell body and numerous synapses, which is crucial to neuronal function and survival [30–32]. Concomitantly, nitric oxide dependent oxidative stress leads to mitochondrial energy deprivation in AD [33].

The formation of amyloid plaques and NFTs are considered to underpin the dysfunction and demise of neurons within brain, instigating neuroinflammation and subsequent symptoms of AD [34]. The traditional understanding is that tau protein binds to microtubules and assists with their formation and stabilization. Simplistically, when tau is hyperphosphorylated it is unable to bind to microtubules that become unstable. The unbound tau clumps to generate NFT formations [35]. With time, these lesions develop into filamentous NFTs that interfere with numerous essential intracellular functions. A correlation has been found between the quantitative neuropathological markers of AD (neuronal loss, and neuritic plaque and NFTs load) in the hippocampus and frequent neuropsychiatric behaviors, typified by aggression in AD patients. An increase in hippocamal NFTs load, rather than the other variables, was associated with severity of aggression and chronic aggression in AD patients [36]; providing a pathogenic link between neurofibrillary tangle load and neuropsychiatric behaviors in dementia subjects.

Several observations in patients with Down's syndrome and familial forms of AD (FAD) signify that genetic factors resulting in changes in A $\beta$  are sufficient to cause AD, including tangles and other neurofibrillary changes [37]. Even though FAD only accounts for a relatively small fraction of AD cases (approximately 5%), mutations on APP, as well on presenilin1 (PS1), presenilin2 (PS2) and Adamalysin10 (ADAM10) foster the accumulation of A $\beta$  and induce the entire range of AD pathology starting with senile plaques of accumulated A $\beta$ , passing through NFT formation and leading to synaptic dysfunction, neuronal loss, brain atrophy and dementia. This lays the foundation of the amyloid cascade hypothesis of AD, is supported by the neurotoxicity of A $\beta$  oligomers in cellular and animal model systems, and proposes that A $\beta$  acts as the initiator to induce neurofibrillary changes that, following a silent asymptomatic period of up to two decades, results in a syndrome known as amnestic mild cognitive impairment (a likely prodrome to dementia) that can develop into AD. Interestingly, it appears the development of detectable entorhinal NFTs, rather than amyloid senile plaques (that can occur in the brain of the healthy elderly), correlates to the development of mild cognitive impairment and is considered to herald incipient AD [38].

### **BACKGROUND OF AD**

AD represents a critical geriatric health issue, and primarily accounts for dementia cases reported after the age of 65 years. The global prevalence of dementia within the elderly

population has increased drastically during recent times. Accounting for some 35.6 million cases worldwide in 2010, it is predicted to double over the next 20 years and expected to reach up to 65.7 million by 2030, unless countermeasures can be found and successfully applied [39]. This rising trend has been forecasted not only across industrialized nations, but also in lower and developing economies. In the US alone, 5.4 million individuals were afflicted with AD in 2012, making it the 6th leading cause of death [40].

AD is characterized by late onset neuropsychiatric symptoms, which starts with a subtle decline in cognition (accompanied with behavioral sequellae and a loss of independence in activities of daily living), leading to a substantial loss of higher brain (exceutive) function and, ultimately, to losing motor control; eventually resulting in total incapacitation and development of critical respiratory problems as a major cause of death [9]. The pathological impact on the brain makes AD an irreversible neurodegenerative condition, in which progressive loss of brain neuronal tissue occurs, initially through loss of synapses and dendritic arborization followed by neuronal cell body gray matter loss [9]. At the neuropathological level, AD is characterized by the cellular build-up of misfolded proteins, like as  $A\beta$  and cerebral amyloid angiopathy (CAA) in brain, intracellular aggregates of tau in the NFTs and extracellular aggregates of  $A\beta$  in the senile plaques [41]. Extracellular accumulation of  $A\beta$ , as discussed, appears to represent the first lesion (with declines in CSF levels of  $A\beta$ ) that forms years before clinical signs; while the induction of NFTs, CAA, neuroinflammation and synaptic loss initiates self-sustaining cycles that drives disease progression towards development of the clinical condition [42].

As A $\beta$  and phosphorylated-tau neuropathology appears to be well established at least a decade or more before the diagnosis of AD, it is perhaps readily understandable why shortterm prevention trials to overcome already long-established A $\beta$ , phosphorylated-tau, or possibly other neuropathology, have thus far failed [43]. The association of clinical AD with other disease conditions, exemplified by CAA and other cerebrovascular pathology, suggest that accompanying conditions and not inevitably AB and phosphorylated-tau neuropathologies may actually launch many patients into clinically apparent AD. Indeed, AD neuropathology may be a necessary but not an entirely sufficient cause for the onset or expression of clinical dementia in sporadic AD. Age, co-morbidity, vascular pathology, genetic, environmental, a not yet identified biochemical trigger, or individual factors such as a lack of cognitive reserve might be necessary for clinical expression of dementia [43]. Currently, there is a lack of specific knowledge as to the precise timing of the events that lead to MCI and AD, making it difficult to define a therapeutic window for successful preventive efforts. Interestingly, phosphorylated-tau provides an example of the complexity of the AD neuropathological process that results in clinical dementia. Chronic traumatic encephalopathy (CTE) dementia, sometimes termed dementia pugilistica and presenting most often in contact sport athletes (boxers, wrestlers and American Football players) but more recently in military personnel following blast injury, occurs in the absence of significant or in some cases any A<sup>β</sup> amyloid deposition [44]. In this scenario, once initiated P-tau associated neurofibrillary pathology alone appears sufficient to cause clinical dementia; reiterating the problem of finding the correct window of opportunity for drug treatment, as targeting the amyloid cascade after tau-phosphorylation has been initiated likely would not off-set progression to clinical dementia [43].

Anatomical analyses in AD show pathological changes spread throughout the cortex and surrounding structures, particularly the hippocampus where memory formation and consolidation occur, to result in a generalized loss of cortical gray matter and, in particular, an early loss of basal forebrain cholinergic neurons [45]; albeit all neurotransmitter systems are impacted during disease progression. Longitudinal studies in autosomal dominant AD in which there is a predictable age of onset provides an opportunity to define the sequence and degree of pathological changes that give rise to symptomatic disease [46], with the caveat that sporadic AD and FAD are not necessarily alike.

Concentrations of  $A\beta$  within CSF appear to gradually fall some 25 years prior to expected symptom onset, with  $A\beta$  deposition gradually occurring in brain some 15 years before anticipated symptom onset. Elevated levels of tau and phosphorylated-tau appear in CSF and increasing brain atrophy appears detectable at this same time too: 15 years prior to expected symptom onset. A decline in cerebral metabolism and initial impairments in episodic memory appear evident some 10 years before anticipated symptom onset. Finally, global cognitive impairments appear evident some 5 years prior to expected symptom onset, with patients meeting full diagnostic criteria for dementia by some 3 years following expected symptom onset [46]. This time-dependent progression of neuropathological changes merges with the initial occurrence and then increasing neuropsychiatric changes: troubled or incapacitated skills in learning, short-term memories, abstract thinking and personality changes that then extend to losses in lingual, visuo-spatial capacities and ultimately impairment of motor skills and increasing helplessness.

AD has a multifactorial etiology, with age and familial background as key risk factors. A number of epidemiological studies point towards a role of metal ions (iron homeostasis and copper), prior head injuries, a low educational status and a functional association of the AD cascade has been noted with select chronic disorders, like T2DM, hyperthyroidism and familial Down's syndrome [9]. As discussed earlier, genetic predisposition additionally impacts AD risk, in which early onset FAD mutations/duplications associated with the APP gene at chromosome 21 [47] as well as select mutations within the PS1 and PS2 lead with certainty to AD associated clinical dementia. Additionally, a relatively infrequent but not rare allele of ApoE, specifically e4, appears to be highly augmented amongst typical late onset AD patients making it too a key risk factor. In general, ApoE maintains cholesterol and triglyceride homeostasis within the brain periphery, and exists as a combination of three different isoforms,  $\epsilon_2$ ,  $\epsilon_3$ , and  $\epsilon_4$ . The less common ApOE  $\epsilon_4/\epsilon_4$  correlates to the highest AD risk, with the  $\varepsilon^2$  allele providing some protection against AD disease risk [48]. The mechanisms responsible for ApoE actions in AD remain an area of significant interest and include a possible malfunction in CNS cholesterol homeostasis to induce plaque formation by chaperoning A $\beta$  deposition. Additionally, ApoE may assist A $\beta$  degradation, a process that is less competently undertaken by the ApoE-e4 allele [48,49].

#### AD Aβ PEPTIDE GENERATION

As discussed, significant literature supports the awry processing and clearance of A $\beta$ , the major component of amyloid plaques [10, 50–55], in brain as a key initiator of the process that ultimately leads to AD [20]. The length of A $\beta$  varies from 38–43 residues, which is

generated from APP via sequential proteolytic cleavage [51, 55, 56]. This APP exists as three common isoforms (APP695, APP751, and APP770), with the former being most abundant in neuronal cells [51, 56]. Resulting APP cleavage can generate A $\beta$  forms that are either non-amyloidogenic or amyloidogenic (disease-related) depending on the protease responsible [56, 57]. In the latter process,  $\beta$ -secretase ( $\beta$ -site APP cleaving enzyme, BACE1) cleaves APP close to its N terminus between residues M671 and D672. Thereafter, a  $\gamma$ secretase complex, which comprises PS1 or PS2, nicastrin, anterior pharynx-defective-1 (APH-1), and presenilin enhancer-2 (PEN-2), cleaves the remaining fragment of membrane

#### OLIGOMERIZATION OF Aβ PEPTIDE IN AD

varying lengths [25, 51, 56].

A $\beta$  senile plaques are extracellular depositions of A $\beta$  that are largely 40 or 42 aa in length  $(A\beta40 \text{ and } A\beta42)$  [10, 50–55]. The A $\beta40$  form is the more common of the two, whereas Aβ42, consequent to the additional two hydrophobic C-terminus amino acids (isoleucine and alanine), is the more fibrillogenic and hence associated with AD. The previously described FAD mutations appear to increase the relative production of A $\beta$ 42 (relative to A $\beta$ 40) and understanding receptor mediated mechanisms along with molecular chaperons and other proteasomes and homeostatic mechanisms to clear A $\beta$  is hence fundamental [49]. In AD, such housekeeping mechanisms are clearly perturbed [49], leading to the fibrilization of A $\beta$  into amyloid plaques. Indeed, a number of pathways via which A $\beta$  forms are normally cleared from brain have been reviewed [49] and involve the candidate enzymes, insulindegrading enzyme (IDE), neprilysin (NEP), endothelin-converting enzyme (ECE), angiotensin converting enzyme (ACE), plasmin and matrix metalloproteinases (MMPs) whose actions continue to be evaluated in cell culture and animal models. The pre-fibrillar aggregates of amyloid across a number of studies appear to be more toxic than the mature amyloids, as the former can generate reactive species on neuronal membranes subjecting them to oxidative stress or calcium induced apoptosis [31, 58].

bound APP at the C-terminus resulting in the generation of monomeric A $\beta$  peptides of

A $\beta$  peptide clearance clearly involves mechanisms supporting its eflux out of brain across the blood-brain barrier. In aged brains, failue of this system supports A $\beta$  accumulation within brain arteries, replacing the smooth muscle tissues and leading to CAA. CAA may subsequently increase the risk for intra-cerebral haemorrhage that, in turn, may give rise to vascular dementia [47, 59].

A $\beta$  can exist in various aggregation states, including monomers, oligomers (sometimes termed A $\beta$ -derived diffusible ligands (ADDLs)) and eventually insoluble fibrils. This general term 'oligomers' includes diverse types of assemblies, epitomized by dimers, trimers, protofibrils, ADDLs and annular or pore-like oligomers [60, 61]. Cerf and colleagues have suggested that oligomers may be classified into pre-fibrillar or fibrillar oligomers, as they appears to have different aggregation pathways [60]. In general, A $\beta$  oligomers are considered to be small, soluble oligomers that can include up to five or six monomeric units or ADDLs, and protofibrils [62–67]. It is considered that A $\beta$  oligomers might represent intermediates with the developmental pathway of amyloid fibril, rather than an essential factor in fibril formation [60, 68].

In the last few years, it has been becoming increasingly evident that rather than the plaques, the A $\beta$  oligomers are the principle pathogenic agents in AD [62, 69]. Indeed, scientific literature, supporting the notion that oligomeric intermediates are comparatively more toxic and better correlate with Alzheimer's symptomology than does final insoluble A $\beta$  aggregates, is growing [25, 60]. Mice genetically engineered to express oligomers but not plaques (APP(E693Q)) developed the disease. Additionally, mice that are further engineered to transform oligomers into plaques (APP(E693Q) x PS1 E9) appeared to be no more cognitively impaired than oligomer alone mice [64]. Amongst the numerous differences between oligomers of different sizes and insoluble brain A $\beta$  deposits is the smaller diameter of A $\beta$  oligomers that increases their likely ability to more readily diffuse into synaptic clefts and induce neuronal and synaptic dysfunction [25].

The process of amyloid plaque formation starts with an increased concentration of total A $\beta$  and a higher A $\beta$ 42/A $\beta$ 40 ratio that gradually leads to oligomerization and, ultimately, formation of A $\beta$  deposits that results in inflammatory responses, astrocytic activation, synaptic spine loss and neuritic dystrophy [25]. Whereas the general process via which A $\beta$  monmers can, via intermediates (oligomers, ADDLs), eventually generate senile plaques is agreed upon, the potential via which reversal might occur (i.e., whether soluble A $\beta$  could derive from a plaque) remains unknown. It has been reported that the structure of A $\beta$ , rather than its sequence, plays the principle role in A $\beta$  oligomers [60]. Indeed, Cerf and colleagues [60] have reported a specific anti-parallel  $\beta$ -sheet structure in A $\beta$ -(1–42) oligomers. They reported spectral similarities between A $\beta$  oligomers and pore-forming porins, and advocated that the ability of A $\beta$  oligomers to develop a porin-like structure might be linked to their toxicity in AD.

A further key pathologic feature of AD, tauopathy, has likewise been reported to be promoted by oligomerization. Tau oligomers have been reported as pathological structures associated with AD progression in mouse models that play a prime function in behavioral impairments and resulting neurodegeneration [71–73]. There are increasing reports of the higher toxicity of tau oligomers compared with tau filaments, and they have been proposed as precursors of tau filaments [71, 74, 75] that can potentially drive the disease process [73].

Furthermore, small oligomeric forms of  $\alpha$ -synuclein, the protein associated with Parkinson's disease, have likewise been reported as a key factor implicated in neuronal death [73, 76]. The potent toxicity of  $\alpha$ -synuclein oligomers and their ability to induce membrane dysfunction and breakdown have been described both in cellular and animal models [70, 77–80]. The conformational organization of oligomeric  $\alpha$ -synuclein contains  $\beta$ -sheet structural elements [70, 81]. Indded, increasing evidence supports a basis for multi-pathway aggregation of  $\alpha$ -synuclein and should considered in studies focused towards elucidating the molecular mechanisms of its fibrillation [82].

#### ROLE OF A $\beta$ STRUCTURE IN AD

Select alterations within specific proteins or peptides from their native conformations and their subsequent aggregation into insoluble fibrils has been proposed as a prime initiator and

cause of several neurodegeneratice conditions, including AD [70]. These conformational changes in proteins can both define and differentiate various diseases [83]. As described for AD, growing evidence points towards monomeric A $\beta$  peptides as building blocks for amyloid fibers via a range of intermediate structures [62, 84]. A conformational shift from  $\alpha$ -helix to  $\beta$ -sheet within the protein structure has been reported during the aggregation of amyloid fibrils associated with AD [85]. Although, a universal structure for A $\beta$  fibrils does not exist, several antiparallel  $\beta$ -sheets structural models have been proposed in earlier studies [86–90]. Native A $\beta$  peptide in AD is  $\alpha$ -helix rich and polymorphic at the molecular structural level [85, 91].

The process of A $\beta$  fibrillation involves a conformational shift which ultimately leads to the formation of extended  $\beta$ -sheets [19]. Involvement of an oligometric  $\alpha$ -helix containing intermediate has been proposed as a key step in A $\beta$  fibrillogenesis [19]. A $\beta$  peptide 1–40 fibril polymorphs share a common, parallel  $\beta$ -sheet organization and take on similar peptide conformations but diverge in their overall symmetry and in other structural features. In a study on the disease-associated mutant, (D23N)A $\beta$ 40, the researchers reported stabilized parallel and antiparallel  $\beta$ -sheets within the amyloid fibrils [92]. The role of antiparallel  $\beta$ sheet structures are suggested for fibrils that are formed by short peptides with one  $\beta$ -strand segment only. Certain structural models for AB fibrils include a B-hairpin with intramolecular backbone hydrogen bonding between the β-strand segments on either side of a  $\beta$ -turn present between Val-24 and Asn-27 [90], Gly-25 and Lys-28 [87, 88], or Ile32 and Gly-37 [89]. Hence there appears to be key regions within the structure of A $\beta$  that favor the adoption of  $\beta$ -strand generation, residues 12 to 24 as well as 30 to 40, with the presence of a turn occuring primarily between these two regions, residues 25 to 30 [15, 50, 93, 94]. This turn is stabilized by electrostatic interactions and supports the two separate  $\beta$ -strands forming two parallel  $\beta$ -sheets in close contact via their side chains. In accord with this, key mutations leading to early AD are mostly localized at amino acids residues 21 or 22 of A $\beta$ (within Aβ: Flemish mutation (Ala-21-Gly), Dutch (Glu-22-Gln), Italian (Glu-22-Lys), Arctic (Glu-22-Gly), Iowa (Asp-23-Asn)) [95-99]. Indeed, consequent to the early misfolding and protein-protein interaction regions associated with  $A\beta$ , the sequence in the region of amino acids 16 to 21 is widely recognized as the self-recognition element underpinning self-aggregation, and has provided the basis for the development of rationallydesigned peptide-based aggregation inhibitors with the potential to competitively interact with this key region and thereby inhibit/interfere with polymerization [50, 93, 94].

Although study of the molecular mechanism underpinning of  $A\beta$  fibrillogenesis has been the focus of numerous studies [94], the precise process of protein misfolding and aggregation still remains to be fully elucidated. A critical event in the aggregation cascade appears to be the development of small oligomers that provide a core/nucleus to then catalyze protein misfolding via a process identified as the nucleation-dependent polymerization model [100]. This model has been proposed for a series of diseases involving protein misfolding, in addition to accounting for A $\beta$  aggregation [50, 94]. The model envisions two phases: a lag phase followed by an elongation phase [15, 94, 100]. In the initial lag phase, A $\beta$  self-associates into small oligomeric species when a critical concentration is exceeded within the local environment to provide an ordered nucleus to catalyze the further growth of the polymer by providing a template for fibril growth during the more rapid elongation phase.

Hence the lag (nucleation) phase is the rate-limiting nucleus formation step as it involves a thermodynamically and kinetically unfavorable process since noncovalent interactions between monomers are relatively weak. However, once the nucleus has developed, it provides a seed for exponential fibril growth. This growth phase persists until a steady-state is achieved between available A $\beta$  aggregates and monomers. With enlargement, depending on the conformational stability of the growing amyloid structure, the fibril can ultimately break either naturally or as a result of an active cellular process. The potential generation and dispersion of new amyloid seeds can thereby result. The presence of such pre-formed nuclei can then serve as a template to seed further fibril growth, with amyloid formation becoming self-propagating. Such a seeding process can reduce or eliminate the initial nucleation phase [93, 101], and both changes in transition metal content (i.e., Cu and Zn, and potentially Fe) [15] as well as the presence of other proteins that can modify aggregation rate – either accelerating or lowering it (i.e., acetylcholinesterase and butyrylcholinesterase, respectively [102, 103]), as reviewed by DeToma and colleagues [15].

Elegant studies in cell culture and transgenic AD mice by Jucker and colleagues [104–106] have demonstrated that injection of A $\beta$  seeds, whether small or large, soluble or insoluble, protease-sensitive or resistant can generate amyloid deposits both locally within the brain and eventually in axonally linked regions. Indeed, with sufficient time, extensive brain areas can become compromised, including neocortical and subcortical regions as occurs in affected AD subjects. Interestingly, A $\beta$  deposition in brain occurred even after peritoneal cavity administration of A $\beta$  extract. These studies support the proposition that A $\beta$  aggregation and deposition can potentially seed by a process that has parallels to the molecular templating of prion proteins [50,94,106].

#### AGGREGATED TAU AND a-SYNUCLEIN

Increasing evidence from cellular and animal studies suggests that the prion-like seeding process may additionally pertain to other pathological proteins, several of which generate intracellular amyloid-like inclusions. As discussed, a further pathological hallmark of AD is the presence of hyperphosphorylated forms of the microtubule associated protein tau within key brain regions that aggregate to form NFTs, which bind Congo red and exhibit a cross  $\beta$ sheet conformation. The human tau gene yeilds six isoforms of between 50 and 70 kDa size that normally have minimal distinct structure and are considered unfolded [107, 108]. Alterations in the amount and/or the structure of tau protein can impact its role as a microtubule stabilizer [109], as it interacts with microtubules via binding domains localized both in a proline-rich sequence within the N-terminal and via some 4 regions in the the Cterminal half of the molecule. Those in the C-terminal are comprised of highly conserved amino acid binding elements (18 aa length) that are separated by less conserved inter-repeat sequences (13 to 14 aa length) [73, 110, 111]. Microtubule binding activity is regulated through phosphorylation/dephosphorylation of select residues at multiple sites, together with intra- and intermolecular interactions, in a complex manner [110, 111]. Several studies have described abnormal tau posttranslational modifications that include hyperphosphorylation, acetylation, glycation, nitration, truncation, and others that modify the structure of tau in AD [109–115]. Kolarova and colleagues [109] proposed that both the number of NFTs and the state of proteolysis at the C-terminus of tau (associated with conformational changes and

microtubule interaction) are vaulable to define AD progression [109]. In addition to reported  $\beta$ -sheet conformations, studies have described a role of paired  $\alpha$ -helical filament conformations in tau pathogenesis [116] that can occur in a wide number of tauothies in addition to AD [73, 110, 111].

In a manner similar to that described for  $A\beta$ , the intracerebral administration of brain extracts containing aggregated tau [117,118] or even purified tau [119, 120] to tau transgenic as well as normal wild-type mice appear to induce tau lesions that then have the potential to spread to axonally linked brain areas. Tau seeding has likewise been demonstrated in cellular studies [121], and appears to occur across different seed sizes, and even soluble forms [106, 120, 122].

The phospholipid-binding protein  $\alpha$ -synuclein that is expressed highly in presynaptic terminals where it functions as a molecular chaperone to promote SNARE complex formation to regulate synaptic vesicle formation and synaptic function, likewise, undergoes aggregation. Misfolded  $\alpha$ -synuclein assemblies develop into intracellular fibrillar inclusions, termed Lewy bodies and Lewy neurites in a-synucleinopathies exemplified by Parkinson's disease and dementia with Lewy bodies [123, 124]; albeit Lewy bodies are found across a number of neurodegenerative conditions, including AD, indicating that overlapping pathologies exist amongst the neurodegenerative disorders. Like tau, a-synuclein normally exists in an unfolded state as a soluble monomer (approximately 14 kDa size) that lacks a distinct secondary or tertiary structure [125, 126] and that clearly undergoes conformational changes to generate an insoluble neurotoxic form. It has been reported to attain an  $\alpha$ -helical secondary structure upon its interaction with and binding to the lipid wall of vesicles, and to natively form a-helically folded tetramers (58 kDa) when isolated under non-denaturing conditions [127, 128]. Such tetramers are considered its physiologically relevant form that appears to be aggregation resistant [128]. By contrast, recombinantly expressed monomers of  $\alpha$ -synuclein have been reported to readily aggregate into amyloid-like fibrils in vitro [127]; suggesting that helically folded tetramer formation may be a favored mechanism for this protein to minimize aggregation, and that mechanisms leading to the destabilization or reduction in native tetramer formation may support aberrant monomer aggregation [127, 128], as soluble oligomers have been reported elevated within the cortical tissue of subjects with idiopathic Parkinson's diseases and dementia with Lewy bodies [128]. Disease generating missense mutations and multiplications of the  $\alpha$ -synuclein gene [129] in addition to oxidative stress [130] and post-translational modifications such as phosphorylation [131, 132] have similarly been described to impact the aggregation rate of  $\alpha$ -synuclein, as have truncation [133], the presence of fatty acids and metal ions, and loss of chaperones [128, 134].

In vitro studies by Aperti and colleagues have shown that oligomers appear to be globular in form and of variable size during early stages, but following incubation they become elongated and generate protofilaments. As  $\alpha$ -synuclein oligomers change configuration their  $\alpha$ -helical secondary structure declines (reducing from 47% in globular spheroidal oligomers to some 37% in protofilaments). Simultaneously, as protofilaments form, their  $\beta$ -sheet structure rises (to 54% from some 29% present in spheroidal oligomers) so that  $\beta$ -sheets comprise the major conformation (approximately 66%) within the final formed fibrils [135,

136]. In a manner parallel to that described for tau and A $\beta$ , intracerebral injections of synthetic (whether human or mouse)  $\alpha$ -synuclein fibrils [137, 138], or an autopsy-derived brain extract from a subject with dementia with Lewy bodies [139], induced neuronal dysfunction and neurodegeneration involving a Lewy-body-like pathology in wild-type host mice with cell-to-cell transmission into anatomically interconnected regions. By contrast, similar intracerebral inject of soluble  $\alpha$ -synuclein was not accompanied by neuronal dysfunction or pathology [139]. Interestingly, Guo and colleagues [124] reported that  $\alpha$ -synuclein fibril brain inoculation can not only seed further  $\alpha$ -synuclein aggregation and pathology but also, depending on its conformation, the promotion of tau cross-seeding; again underpinning the tremendous heterogeneity evident in the pathology associated with neurodegenerative disorders and particularly of synucleinopathies.

#### BACKGROUND OF T2DM

Diabetes mellitus comprises a group of metabolic disorders characterized by prolonged high blood glucose levels and a loss of glucose homeostasis. Whereas type I diabetes mellitus is due to a lack of insulin production, in T2DM insulin demand from pancreatic  $\beta$ -cells is insufficient consequent to elevated requirement in the context of insulin resistance [140]. T2DM accounts for up to 90% of total diabetes incidence and has become a major health problem globally [141]. Approximately 285 million people suffer from T2DM worldwide [142], which associates with a serious complications leading to increased risks of vascular dysfunction (alterations in blood pressue), loss in vision (diabetic retinopathy), impairments of cognitive function (dementia) and deficiences in kidney function (requirement of dialysis) [143, 144]. In recent years, prediabetes and T2DM appear to be reaching epidemic proportions particularly in industrailized nations, with significant associated socio-economic impact, loss in productivity and increased long-term health care cost.

Several classes of medications have proved effective in managing T2DM including Metformin – of the biguanide drug class, sulfonylureas such as Gluburide, dipeptidyl peptidase 4 inhibitors epitomized by Sitagliptin, thiazolidinediones such as Rosi- and Pioglitazone, and incretin mimetics epitomized by exenatide, and are widely used [145]. These, particularly when combined with the management of lifestyle and complimentary therapeutic approaches, can prove to be yet more effective and can additionally potentially mitigate T2MD related conditions [146–149]. For example, exercise, a low calorie balanced diet, and a reduction in body weight appear to be effective therapies that aid in the upregulation of endogenous protective factors and resetting of homeostatic metabolic processes [150–153]; albeit further elucidation of underlying mechanism is needed [153].

#### PROTEIN FOLDING AND MISFOLDING IN T2DM

Like NDDs, T2DM is considered as a degenerative metabolic disorder that occurs with greatest prevelance in late age [15]. Although the early underlying biochemical defects that lead to T2DM have yet to be fully clarified, the presence of proteinaceous plaques that primarily comprise IAPP is found in the majority of patients (approximately 90%), is largely absent in healthy subjects [154], is considered a significant contributor in T2DM

pathogenesis and progression [16] and recognized as a hallmark for diagnosis of this disease [17, 155].

IAPP is part of the calcitonin-like family of polypeptides and is present across animal species. Although, it does not form amyloid in all species (for example, it does not in wildtype rats and mice), it is extremely amyloidogenic in humans [155, 156]. IAPP derives from an 89 aa pre-prohormone. The elimination of its 22 residue signal sequence results in 67 aa pro-IAPP. This is then further processed within the Golgi and insulin secretory granule of pancreatic  $\beta$ -cells to yield the mature hormone IAPP of 37 aa length [157]. Important posttranslational modifications comprise an intramolecular disulfide bridge (formed between residues 2 and 7) together with amidation of the C-terminal to provide a physiologically relevant peptide, which is amassed within the insulin secretory granule (in a ratio of between 1:50 and 1:100 relative to insulin) and is co-secreted with insulin [156,157]. The primary physiological actions of IAPP are receptor mediated, not fully elucidated in humans, but appear to involve a key role in glucose homeostasis by enhancing the effects of insulin. This is largely achieved by suppressing the release of glucagon from pancreatic a-cells to thereby prevent glucose release from the liver, by reducing gastric emptying, and by stimulating the satiety center within the brain [158]. IAPP, however, is predisposed to concentrationdependent amyloidosis that causes cellular dysfunction consequent to membrane disruption, channel formation and toxicity.

A key difference between amyloidogenic human IAPP and the non-amyloidogenic rat polypeptide is amino acid changes at 6 positions. In particular, there appear to be conserved regions within the two terminal parts of the polypeptides (specifically residue 1-16 and residue 30-37) that appear to be conserved in all calcitonin family peptides and hence likely play key biological roles. The former region is considered involved in activating the receptor and the latter in binding in an antagonistic manner [159]. The middle domain between these two conserved regions largely differentiates the different IAPP forms (residues 18-29) and appears responsible for the different aggregation propensities. In rat IAPP there are three prolines (positions 25, 28 and 29) and these are believed responsible for its inability to form amyloid. Much attention has been focused on the sequence within the 20-29 regions and the role it plays in controlling amyloid formation. Prolines and N-methyl amino acids are known to disrupt  $\beta$ -sheet formation [50, 93, 94]. The relevance of this mid region in aggregation is underlined by a single point mutation, a Ser20Gly substitution, which enhances amyloid formation, leads to  $\beta$ -cell death and an early onset familial form of T2DM found in Japan [160]. Of note, mutations outside of this mid region can eliminate amyloid formation, indicating that one cannot rule out contributions from other regions impacting IAPP's amyloidogenicity [161, 162].

Studies by Wu and Shea [159] suggest that IAPP does not form a single well-defined threedimensional structure, but can interconvert between several co-existing conformations. Interestingly, rat IAPP has been found to possess only  $\alpha$ -helix-coil conformations, whereas human IAPP can assume two discrete conformers encompassing helix-coil conformations and  $\beta$ -rich conformations that include a helix-hairpin and an extended  $\beta$ -hairpin [159]. This  $\beta$ -hairpin structure, that is present only in the amyloidogenic IAPP forms, appears to be the likely candidate to generate an amyloid-capable structure, as  $\beta$ -hairpin structures are found

across a number of amyloidogenic peptides. Additionally, the  $\beta$ -hairpin structure within IAPP appears to be less soluble and flexible than helix-coil structures, and thus represents a hot-spot to facilitate nucleation and ensuing fibril growth [159, 163]. In support of this, the higher aggregation rate associated with the described Ser20Gly IAPP mutation [164] can be justified by an increased predilection to generate a  $\beta$ -turn, as glycines are considered turn inducers and IAPP residue 20 sits directly within the turn region of our hairpin [159, 163].

Other factors that likely also influence the rate of aggregation of human IAPP and include the pH of the microenvironment of the polypeptide, impacting its solubility, with an acidic pH inhibiting fibrillization. Likewise, interaction with metal ions, particularly Zn<sup>2+</sup> and  $Cu^{2+}$ , as well as with other proteins, principally insulin and C-peptide, have been described to both interact with human IAPP and modify its homeostasis and oligomerization [15]. The presence of such factors is of considerable physiological relevance as, in contrast to A $\beta$  for which processing by different endogenous secretase activities results in the generation of discrete peptides with different amyloidogenic propensity [37, 93, 97-99], the very same human IAPP of 37 aa length is generated and secreted in health and T2DM [15, 154–156]. Hence in healthy individuals regulatory mechanisms must be present to limit its tendency to aggregate into cytotoxic oligomers or efficient mechanisms must exist to clear them before they induce adverse actions. Interestingly, unlike humans, non-human primates, dogs and cats that have IAPPs with high aggregation propensities and that all have the potential to develop T2DM, other species that are renowned for their ability endure excessive food consumption without apparent health complications, such as pigs and rodents, have IAPPs with low aggregation propensities and do not normally develop T2DM [159, 163]. In line with this, a sedentary lifestyle in combination with excessive high calorie food consumption, as compared to our ancestors, appears to be driving human T2DM globally [164].

In individuals with a long-term constantly raised blood sugar level (chronic hyperglycemia) their  $\beta$ -cells would be expected to secrete compensatory elevated levels of insulin together IAPP and, with a shift in lipid metabolism towards conversion of excessive glucose into lipids, would chronically stress this complex homeostatic system [165]. The generation of excess free radicals provides the potential to further disrupt the charge and conformation of the native proteins and proficiency of clearance mechanism, eventually impacting their folding and modifying their physiological role into a pathological one [165–167].

#### LINKAGE BETWEEN AD AND T2DM

AD and T2DM are both prevalent in the aged population. Whereas the cerebral accumulation of A $\beta$  is a major pathological hallmark of AD [168], the deposition of a very different polypeptide, amylin, that likewise succumbs to  $\beta$ -sheet formation and self-aggregation occurs within the pancreas, especially in  $\beta$ -cells, in T2DM [169]. While T2DM leads to pathological angiogenesis and immature vascularization [170], it also results in chronic cerebral hypoperfusion leading to neuro-glial dysfunction and degeneration [171]. Individuals with T2DM are at increased risk of suffering transient ischemic attacks that, although often silent, can leads to severe consequences in the long-term, including dementia [172]. In part, such dementia is associated vascular dysfunction, better termed as vascular cognitive impairment [173]. It is now widely recognized that A $\beta$  is generated in the normal

brain in an excitation-dependent manner, and may have physiological function(s) [174, 175]; however, a healthy vascular system aids in its proper and timely clearance. This is critical as once oligomerization and deposition of A $\beta$  starts, the processes of seeding and spread together with neuroinflammation and other events are initiated, and neuronal dysfuction and toxicity ensue [176]. With the occurrence of defective insulin signaling, insulin resistance, and mitochondrial dysfuction within the brain [177–179], common denominators are clearly apparent between T2DM and AD; posing the question as to whether one leads to the other in aged individuals.

### CONCLUSION

By far the majority of cellular functions throughout the body, whether occuring within the pancreas or brain, are perform by involvement of different types of proteins. As a consquence, cells possess a complex system of mechanisms to maintain protein homeostasis (proteostasis) and efficiently regulate protein synthesis and assembly to provide a functionally active state that is optimally balanced to the cell's changing microenvironmental needs [180]. Deficiencies in proteostasis are becoming increasingly recognized as an overarching mechanism through which chronic protein misfolding and self-aggregation, often accompanied by impairments in clearance mechanisms [49], leads to cellular dysfunction and apoptosis [180]. The resulting protein aggregates lack their functionally active conformation and not only impart local stress and toxic effects but can impose global detrimental properties. This can instigate self-propagating destructive cycles, such as chronic inflammation, that can further drive proteome imbalance and result in a number of different age-dependent degenerative diseases. As such processes occur over a very long and 'clinically silent' time, defining drug targets for treatment and engaging them during the window of opportunity of when they are relevant to impact disease progression has proved extraordinarily difficult [43] and, together with other factors, has substantially contributed to drug development failures in NDDs [181, 182]. Elucidating protein-misfolding has consequently become a hot spring for modern scientific investigation as it impacts the pathogenesis of wide array of diseases, including AD and T2DM.

Although significant advancements have been accomplished in this field, our understanding of proteostasis mechanisms and how to rebalance and to effectively and safely modulate them as a viable strategy for therapeutic intervention remains limited. Likely consequent to the organizational principles that regulate proteostasis mechanims across diverse cells within the body, numerous studies have revealed that there is a strong correlation between aggregate-deposition diseases, albeit a different misfolded protein may be involved. An agedependent decline in proteostasis capacity and deregulation in homeostatic mechanism may account for age representing a major risk factor for such diseases, and underpin the vulnerability of individuals presenting with multiple pathologies and succumbing to more than one disorder. A greater in-depth knowledge of the cascades that regulate and modify proteostasis may provide new insights into the development of novel drug targets and potential drugs that might help in the better management and effective treatment of diseases associated with protein misfolding, exemplified by AD and T2DM.

#### Acknowledgments

The authors are grateful to (i) the Deanship of Scientific Research (DSR), (ii) King Fahd Medical Research Center (KFMRC), King Abdulaziz University (Jeddah, Saudi Arabia), and (iii) The Intramural Research Program of the National Institute on Aging, National Institutes of Health for support. Thanks are additionally due to Mohammad S Gazdar (Librarian, KFMRC) for providing assistance in searching the scientific literature.

#### Abbreviations

| Αβ   | Amyloid-β                   |
|------|-----------------------------|
| AD   | Alzheimer's disease         |
| APP  | Amyloid precursor protein   |
| CAA  | Cerebral amyloid angiopathy |
| ER   | Endoplasmic reticulum       |
| NDDs | Neurodegenerative diseases  |
| NFTs | Neurofibrillary tangles     |
| T2DM | Type 2 Diabetes Mellitus    |

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