

Strengthening the case that elevated levels of programmed death ligand 1 predict poor prognosis in hepatocellular carcinoma patients

Jian-Hong Zhong^{1,*}
Cheng-Piao Luo^{2,*}
Chun-Yan Zhang²
Le-Qun Li¹

¹Hepatobiliary Surgery Department,
²Experimental Department, Affiliated
Tumor Hospital of Guangxi Medical
University, Nanning, People's Republic
of China

*These authors contributed equally to
this work

Abstract: Immunotherapy targeting programmed death receptor 1 and programmed death ligand 1 (PD-L1) has shown impressive antitumor efficacy in several solid cancers, including advanced hepatocellular carcinoma (HCC). Since response rates of various cancers to such immunotherapy appear to correlate with PD-L1 expression levels, several studies have examined whether PD-L1 expression correlates with HCC pathology and patient prognosis. In this paper, we analyzed the strength and limitations of a recent meta-analysis of associations of PD-L1 with HCC characteristics and patient prognosis.

Keywords: hepatocellular carcinoma, programmed death ligand 1, hepatic resection, prognoses

Hepatocellular carcinoma (HCC) is a malignant disease with poor prognosis.¹ Its officially recommended treatment is by sorafenib therapy, which is extremely expensive, often causes adverse events, and prolongs overall survival by only 3 months in patients with advanced disease.^{2,3} Immunotherapy targeting programmed death receptor 1 (PD-1) and programmed death ligand 1 (PD-L1) has shown impressive antitumor efficacy in several solid cancers,⁴⁻⁶ including advanced HCC.⁷ Since response rates of various cancers to such immunotherapy appear to correlate with PD-L1 expression levels,⁸ several studies have examined whether PD-L1 expression correlates with HCC pathology and patient prognosis. As the results obtained were inconsistent, Gu et al⁹ initiated to perform the first meta-analysis that focused on the associations of PD-L1 with HCC characteristics and patient prognosis.⁹ They concluded that higher PD-L1 levels predict poor differentiation, vascular invasion, higher levels of α -fetoprotein (AFP), and poorer survival. While these results are clinically useful, they should be interpreted with several limitations in mind.

One of the limitations is that the meta-analysis did not include four studies¹⁰⁻¹³ involving 384 patients that satisfied the inclusion criteria of this meta-analysis.⁹ In addition, one study¹⁴ included in this meta-analysis was based on PD-L1 assays in serum but not in tumor samples. The patients included in this study received both surgery and palliative therapies, while in other studies,¹⁵⁻²⁰ patients received only surgery. These issues may increase heterogeneity in the pooled data, undermining the reliability of the results. In addition, it is unclear to us how this meta-analysis was able to report survival hazard ratios for the pooled patient population with tumors of any stage, when most studies in the meta-analysis reported survival separately by tumor stages but not in the population as a whole.

The work of Gu et al⁹ suggests that higher PD-L1 levels are associated with poorer clinicopathological characteristics of HCC. To extend this finding, we examined eleven

Correspondence: Le-Qun Li
Hepatobiliary Surgery Department,
Affiliated Tumor Hospital of Guangxi
Medical University, He Di Road, #71,
Nanning 530021, People's Republic of
China
Tel +86 771 5330 855
Fax +86 771 5312 000
Email xitongpingjia@163.com

Table 1 Summary of studies examining potential associations of PD-L1 expression levels with HCC clinicopathological characteristics and patient prognosis

Study	Country	Sample size	P-value		Age	AFP	Hepatitis history	Poor tumor differentiation	Tumor size	Satellite nodules	Vascular invasion	Tumor stage	OS	DFS/RFS
			Gender	Gender										
Finkelmeier et al ¹⁴	Germany	215	NR	NR	NR	NR	>0.05	NR	NR	NR	NR	<0.05	<0.05	NR
Gabrielson et al ¹⁵	USA	65	NR	NR	NR	NR	>0.05	>0.05	NR	NR	>0.05	>0.05	0.353	NR
Gao et al ¹⁶	People's Republic of China	240	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	<0.05	>0.05	<0.05	<0.05
Kan and Dong ¹⁷	People's Republic of China	128	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	NR	<0.05	>0.05	<0.05	NR
Umemoto et al ¹⁸	Japan	80	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	0.051	0.081
Wu et al ¹⁹	People's Republic of China	71	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	<0.05	NR
Zeng et al ²⁰	People's Republic of China	141	>0.05	>0.05	>0.05	>0.05	NR	NR	<0.05	>0.05	<0.05	<0.05	<0.05	<0.05
Calderaro et al ¹⁰	France	217	>0.05	>0.05	>0.05	>0.05	<0.05	<0.05	>0.05	<0.05	<0.05	NR	NR	<0.05
Jung et al ¹¹	Korea	85	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	<0.05	NR	>0.05	>0.05	<0.05	<0.05
Shi et al ¹²	People's Republic of China	56	NR	NR	NR	NR	NR	NR	NR	NR	NR	>0.05	NR	<0.05
Wang et al ¹³	People's Republic of China	26	>0.05	>0.05	>0.05	>0.05	>0.05	>0.05	NR	NR	NR	<0.05	NR	<0.05

Note: Bold values are statistically significant.
Abbreviations: AFP, α -fetoprotein; DFS, disease-free survival; HCC, hepatocellular carcinoma; OS, overall survival; PD-L1, programmed death ligand 1; RFS, recurrence-free survival; NR, not reported.

studies and found that none of the studies reported gender, age, or hepatitis history to be associated with elevated PD-L1 expression (Table 1). Only one study associated high PD-L1 expression with higher preoperative serum levels of AFP, poor tumor differentiation, and satellite nodules;¹⁰ two studies associated it with tumor size;^{11,20} four studies associated it with vascular invasion;^{10,16,17,20} and four studies^{12–14,20} associated it with tumor stage. One study reported no significant association between high PD-L1 levels and overall survival,¹⁵ while another study reported a nonsignificant trend that higher levels were associated with shorter overall survival.¹⁸

The results in Table 1 and those reported by Gu et al⁹ suggest that elevated PD-L1 levels are associated with several HCC characteristics that are also risk factors for early tumor recurrence. Such recurrence can occur through two mechanisms: true metastasis due to primary HCC dissemination before surgery and multicentric occurrence (de novo) in remnant liver due to continuous viral infection and inflammation.²¹ HCC treatments are usually effective against one or the other type of recurrence, but not both. In contrast, targeting PD-L1 may inhibit both types simultaneously, since reducing PD-L1 levels can strengthen T-cell responses to hepatitis virus infection.^{22,23}

Despite its limitations, the meta-analysis of Gu et al⁹ substantially strengthens the evidence that higher PD-L1 levels are associated with poorer clinicopathological characteristics of HCC and poorer prognosis of patients. Further phase I or phase II clinical trials should be performed to investigate anti-PD-L1 treatment for HCC.

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Disclosure

The authors report no conflicts of interest in this work.

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