



Published in final edited form as:

*Ann Thorac Surg.* 2013 January ; 95(1): 105–110. doi:10.1016/j.athoracsur.2012.08.078.

## Dynamic Three-Dimensional Echocardiographic Assessment of Mitral Annular Geometry in Patients with Functional Mitral Regurgitation

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### Abstract

**Background**—Mitral valve (MV) annular dynamics have been well described in animal models of functional mitral regurgitation (FMR). Despite this little, if any, data exists regarding the dynamic MV annular geometry in humans with FMR. In the current study we hypothesized that three-dimensional (3D) echocardiography, in conjunction with commercially available software, could be used to quantify the dynamic changes in MV annular geometry associated with FMR.

**Methods**—Intraoperative 3D transesophageal echocardiographic data obtained from 34 patients with FMR and 15 controls undergoing cardiac surgery were dynamically analyzed for differences in mitral annular geometry with TomTec<sup>®</sup> 4D MV Assessment 2.0 software.

**Results**—In patients with FMR, the mean mitral annular area (14.6cm<sup>2</sup> vs. 9.6cm<sup>2</sup>), circumference (14.1cm vs. 11.4 cm), anteroposterior (4.0cm vs. 3.0cm) and anterolateral-posteromedial (4.3cm vs. 3.6cm) diameters, tenting volume (6.2mm<sup>3</sup> vs. 3.5mm<sup>3</sup>) and nonplanarity angle (154° ± 15 vs. 136° ± 11) were greater at all points during systole compared to controls (p<0.01). Vertical mitral annular displacement (5.8mm vs. 8.3mm) was reduced in FMR compared to controls (p<0.01).

**Conclusions**—There are significant differences in dynamic mitral annular geometry between patients with and without FMR. We were able to analyze these changes in a clinically feasible fashion. Ready availability of this information has the potential to aid comprehensive

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quantification of mitral annular function and possibly assist in both clinical decision-making and annuloplasty ring selection.

### Keywords

Mitral regurgitation; echocardiography

## INTRODUCTION

Using invasive imaging techniques, three-dimensional (3D) mitral valve (MV) annular dynamics have been well described in large animal models of functional mitral regurgitation (FMR) <sup>1, 2</sup>. While the increasing use of real time – 3D echocardiography (RT-3DE) has improved our understanding of static human MV annular geometry significantly over the last decade, little if any data exists on the dynamic 3D changes in annular function in humans with FMR. The knowledge of annular changes during cardiac cycle is based on manual reconstruction of ‘dynamic’ MV models from static images <sup>3-13</sup>. Effects of chronic mitral regurgitation (MR) states such as FMR on annular behavior are also extrapolated from annular position at a single point (end-systolic) in the cardiac cycle <sup>5, 14-18</sup>.

At present, the echocardiographic assessment of MV in FMR is performed only to quantify regurgitation as a marker of valve dysfunction and exclude stenosis after repair. Ideally, geometric distortion of the mitral annulus incurred from annuloplasty devices should also be objectively quantified and followed. But despite recognition of the prognostic value of mitral annular changes in FMR, technological impediments have precluded their clinical application <sup>19</sup>. Demonstration of differences in mitral annular geometry (static and dynamic) between patients with and without FMR in a clinically feasible fashion should be the first step towards achieving this goal. In the current study we hypothesized that RT-3DE, in conjunction with commercially available imaging software, could be used to compare 3D mitral annular geometry during systole in patients with and without FMR.

## MATERIAL AND METHODS

### Study Population

The data were collected as part of a prospective Institutional Review Board approved protocol with waiver of informed consent. We enrolled 34 consecutive patients with FMR undergoing cardiac surgery. FMR was defined as MR resulting from retraction and mal-coaptation of structurally normal mitral valve leaflets in the presence of global left ventricular dysfunction. In a subset of patients with FMR, localized ischemia-induced wall motion abnormalities (WMA) can be seen to be contributing to MR; in such patients the term ischemic mitral regurgitation (IMR) may also be used. Exclusion criteria included patients with structural abnormalities (flail leaflets, torn chordae) of the mitral apparatus or technically inadequate studies. We also selected 15 controls. These were patients scheduled for cardiac surgery for an unrelated indication and who had normal (>50%) ejection fraction, trace or no mitral regurgitation and absence of any valvular abnormality (Table 1).

### Intraoperative 3D TEE Examination

After induction of general anesthesia, a comprehensive two-dimensional (2D) transesophageal echocardiography (TEE) examination was performed during the pre-cardiopulmonary bypass period. MR quantification was performed by measuring the vena contracta. Vena contracta is a semi-quantitative method of MR severity assessment. It is based on measurement of the width of the narrowest part of the MR jet in the mid-esophageal long-axis view to clearly identify flow convergence of the MR jet on the left ventricular side. All our cases were patients with moderate or more MR (vena contracta width  $> 1.5 \text{ cm}^{20}$ ) and without any evidence of structural disease of the leaflets, papillary muscles or chordae tendinae or a WMA. Image acquisition in 3D was performed with an iE-33 ultrasound system equipped with an X7-2t “matrix” TEE probe (Philips Medical Systems, Andover, MA). Images were acquired with R-wave gating over 4-8 beats during brief periods of apnea and concurrent avoidance of patient or probe movements. In patients with atrial fibrillation and other arrhythmias, the 3D ‘live zoom mode’ was used to acquire an en-face view of the mitral valve. (The 3D live zoom mode displays a magnified 3D image.) A technically adequate image was defined as an en-face left atrial image of the MV, devoid of artifacts. Intraoperative image acquisition was completed in 30 seconds; the data sets were then immediately exported via a universal serial bus (USB) flash drive transfer to a Windows-based workstation for analysis by the TomTec<sup>®</sup> Imaging Systems ‘Image Arena Browser’ (GmbH, Munich, Germany).

### Dynamic MV Geometric Analysis

The MV geometric analysis was performed using the TomTec<sup>®</sup> Image Arena software (GmbH, Munich, Germany) equipped with the 4D MV Assessment 2.0 Program. The feasibility and methodology of intraoperative dynamic geometric analysis has been established previously<sup>21</sup>. Briefly, the dynamic MV geometric analysis is performed in a workflow arrangement of seven sequential steps, which are initiated with identification and selection of ‘end-systolic’ and early systolic frames in the data set. Based on the identification of the anatomical landmarks and the frames of interest (End-systolic frame – the last frame before the MV opens) (Early-systolic frame – the last frame before MV starts to close), mitral annulus, coaptation line, leaflets and the aortic valve position are dynamically tracked throughout the systolic phase. This is based on optical flow and pattern recognition of the mitral annulus and leaflets<sup>22-25</sup>. At the conclusion of the workflow, both static and dynamic geometric analyses are generated. The time taken for data export and analysis was  $< 5$  minutes. The number of frames encompassing systole varied between 30-50 depending on the patient’s heart rate, depth of imaging and the number of heartbeats over which R wave gating occurred.

### Statistical Analysis

Data generated from the static and dynamic analysis of the mitral valve were exported to Microsoft Excel for Mac 2011 (Microsoft Corporation, Redmond, WA), via ‘csv’ (comma separated values) file format. To account for variation in frames per systolic cycle and to normalize for heart rate, time values were averaged to five equal points during the systolic phase. SPSS 18.0 (IBM Inc., Endicott, NY) was used to analyze the data. Baseline

demographic data were compared using t-test or Fisher's Exact, as appropriate. Comparison between MV geometric parameters was made using t-test for single measures, and linear repeated measures analysis for comparisons over time. Pearson's correlation was used to assess the relationship between vena contracta and different MV geometric parameters throughout systole. Reliability of the echocardiographic evaluation was assessed in a random sample of 9 patients (5 cases, 4 controls) by examining the inter-observer and intra-observer variability for all parameters using Pearson's correlation. Statistical significance was determined at  $p < 0.05$ .

## RESULTS

### Baseline Patient and Imaging Characteristics

Data from 34 patients with FMR (cases) and 15 controls were used for analysis in this study. No significant differences were noted in baseline characteristics between the two groups with regards to age, gender, body-mass index and body surface area. Of the forty nine patients, 5 (10%) had MV data sets acquired with 'live zoom' imaging, while in the remaining 44 (90%) it was possible to acquire R-wave gated volumetric images. In all patients, we were able to complete MV geometric analysis within 40 seconds of initiating the workflow steps.

### Intra and Inter-Observer Variability

Reliability of the assessment comparing intra and inter-observer correlation was 0.92 and 0.83, respectively ( $p < 0.01$  for both).

### Mitral Annular Dimensions

Mean annular dimensions were all significantly enlarged in patients with FMR compared to controls throughout systole (Table 2, Figure 1). Maximum 3D mitral annular area was seen at end-systole in 90% (44/49) of all patients. Parameters of mitral annular shape and left ventricular remodeling such as the anterior and posterior leaflet areas (ALA/PLA), non-planarity angle (NPA), circularity index, and tenting volume were also significantly increased in FMR patients (Table 2, Figure 2).

### Dynamic Change in MV Geometry

Significant differences were noted in vertical annular displacement velocity and acceleration between patients with FMR and controls (Table 2). The delta changes in 3D mitral annular area, ALA, PLA and NPA were significantly reduced in patients with FMR compared to controls (Figures 1-2).

### Correlation between vena contracta and MV geometrical parameters

Mean vena contracta width in patients with FMR was  $0.737 \pm 0.29$  and correlated significantly with annular dimensions such as the PLA ( $r=0.624$ ,  $p=0.001$ ), mitral annular circumference ( $r=0.535$ ,  $p=0.006$ ), 2D annular area ( $r=0.587$ ,  $p=0.002$ ), 3D annular area ( $r=0.580$ ,  $p=0.001$ ) and antero-posterior diameter ( $r=0.582$ ,  $p=0.02$ ), at all points during the systolic cycle. Vena contracta width correlated slightly with tenting volume ( $r=0.404$ ,

$p=0.02$ ) and annular displacement velocity ( $r=0.369$ ,  $p=0.045$ ). However, parameters of mitral annular non-planarity such as the NPA, tenting height and circularity index and ALA did not show any correlation with the vena contracta.

## COMMENT

In this study, we were able to compare dynamic changes in mitral annular geometry between patients with FMR and controls, in a clinically feasible fashion. Our analyses demonstrated significant differences between the two groups in their static and dynamic annular geometry (Table 2). Our results have shown that the annulus in patients with FMR is flatter, more circular and larger in area and undergoes less vertical displacement than that in controls throughout systole. We were also able to dynamically analyze changes in planarity, shape of mitral annulus and leaflet areas, parameters that have not been previously analyzed either at endsystole or dynamically<sup>22, 26, 27</sup>. Importantly, the geometric differences in dimensions between the two groups were maintained throughout systole (Figures 1-2)<sup>3, 4, 27, 28</sup>. Mitral annular area also progressively increased, peaking at end-systole (Figure 1)<sup>4, 13, 26-28</sup>. Even though the baseline mitral annular area in patients with FMR was larger compared with controls, it underwent a much smaller change (Figure 1)<sup>3, 4, 28, 29</sup>. As compared to late systole, the NPA decreased during early systole (i.e. the annulus assumes a more saddle shaped configuration) (Figure 2)<sup>13, 30</sup>, and a more circular shape in patients with FMR. In patients with FMR, the PLA demonstrated a greater change over systole than the ALA, with a significant correlation with vena contracta ( $r=0.624$ ,  $p=0.001$ ). Interestingly, while tenting volume was much higher in patients with FMR, no significant difference was noted in tenting height (Table 2). This finding emphasizes that 3D tenting volume is a better predictor of mitral valvular tenting compared to 2D measures such as tenting height or tenting area<sup>31, 32</sup>.

The results of our study have important clinical implications for a comprehensive echocardiographic assessment of FMR. Whereas the static annular dimensions at end-systole represent structure, their dynamic nature represents the functional aspect of mitral annulus. Our results show that there are significant changes in annular structure and function in patients with FMR compared to those without. Hence, a case can be made that the current model of echocardiographic interrogation of flow dependent variables, without taking into account the dynamics of function, is far from comprehensive. A comprehensive assessment of mitral annular geometry should take into account the entire spectrum of changes over time<sup>33</sup>. Our results also raise the possibility of following the annular function as a marker ventricular reverse remodeling after revascularization therapy (surgical or percutaneous). Therefore, the ability to track the annulus through the cardiac cycle in a clinically feasible fashion is a significant advance from the current paradigm of mitral valve assessment.

Additionally, the demonstration of altered mitral annular dynamics throughout systole calls into question the use of flexible ring annuloplasty in the treatment of FMR. Proponents of flexible ring annuloplasty believe that these devices preserve mitral annular function and provide a more anatomically correct repair<sup>34</sup>. The results of this study demonstrate the flaw in this belief and the presumed benefit<sup>35</sup> and support the concept that the function of ring annuloplasty is one of restoration and not preservation of annular geometry. This is the goal

of the latest generation of saddle shaped annuloplasty rings, which have been designed to reestablish a more normal systolic human annular and leaflet geometry<sup>36, 37</sup>. Data from animal studies has been used to improve annuloplasty ring design but technological limitations have precluded the performance of such analyses for routine clinical use<sup>38</sup>. Our performance of these analyses in a timely fashion brings us a step closer to incorporating this information into clinical decision-making and objectively assessing the concept of offering an ‘annular solution to a ventricular problem’<sup>19</sup>.

We acknowledge certain limitations in our study: Firstly, our control group represented patients undergoing TEE for clinical indications and therefore might not represent a normal population. However, given that there was no clinical or echocardiographic evidence of MV disease, we believe it represented an adequate control group. Secondly, our 3D echocardiographic data were collected in real-time and the geometric analyses were performed off-line. However the lag time between data acquisition and export and analysis was less than five minutes and the results were readily available.

In conclusion, mitral annular geometry in patients with FMR is significantly altered throughout systole as compared to those without FMR. It is now clinically feasible to perform dynamic analysis of mitral annular geometry with the ready availability of information. Appreciation of this knowledge has the potential to objectively quantify mitral annular function and possibly follow results of therapy for FMR. In future this may help in design and selection of annuloplasty rings for FMR.

## Acknowledgements

This study was supported by the following grant:

Echocardiography to Predict Recurrent Ischemic Mitral Regurgitation after Surgical Mitral Valve Repair (RC Gorman, PI). National Institute of Health. R01-HL 103723

## Abbreviations and Acronyms

<b>2D</b>	Two-dimensional
<b>3D</b>	Three-dimensional
<b>ALA</b>	Anterior leaflet angle
<b>CPB</b>	Cardiopulmonary bypass
<b>CSV</b>	Comma separated values
<b>FMR</b>	Functional mitral regurgitation
<b>IMR</b>	Transesophageal echocardiography
<b>MR</b>	Mitral regurgitation
<b>MV</b>	Mitral valve
<b>NPA</b>	Non-planarity angle

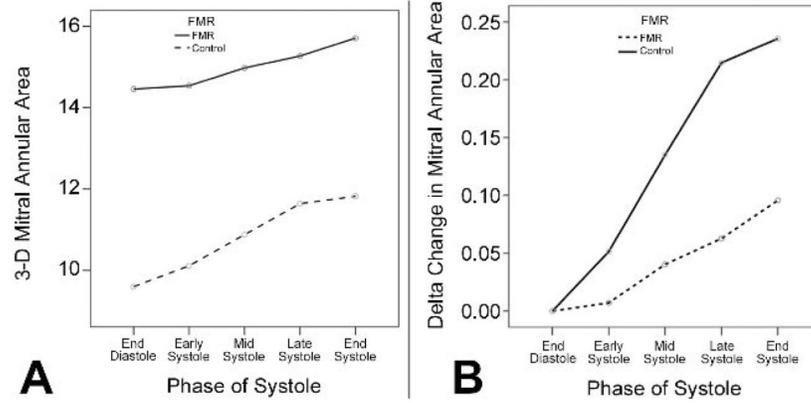
<b>PLA</b>	Posterior leaflet angle
<b>RT-3DE</b>	Real-time three-dimensional echocardiography
<b>TEE</b>	Transesophageal echocardiography
<b>USB</b>	Universal serial bus
<b>WMA</b>	Wall motion abnormalities

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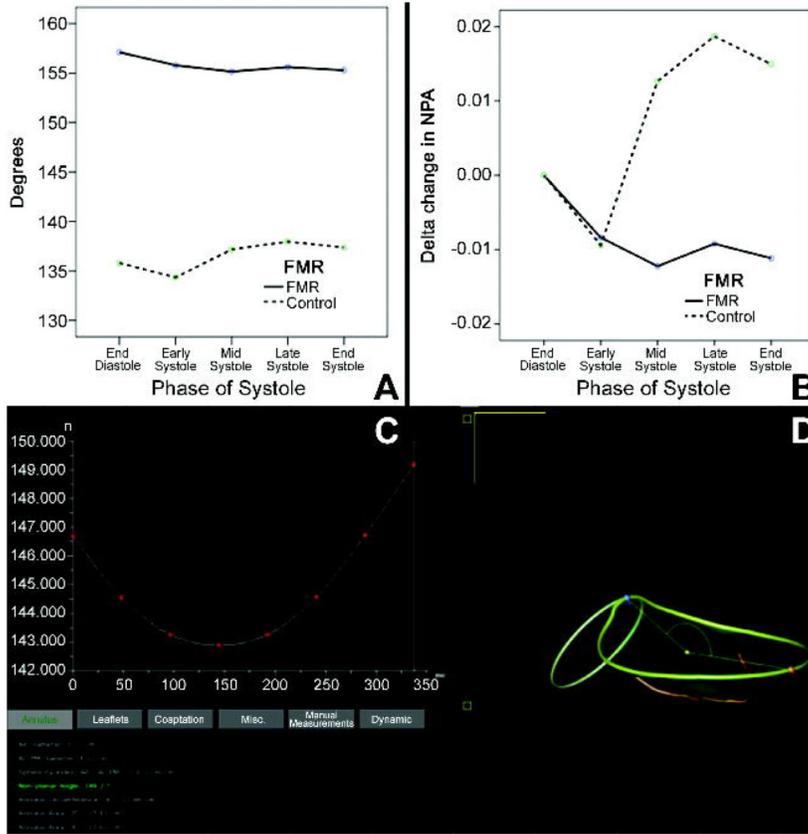
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**FIGURE 1.**

In patients with functional mitral regurgitation, mitral annular area was larger (Panel A) and experienced a smaller delta change (Panel B), compared with controls. These differences were seen throughout systole.

FMR: functional mitral regurgitation



**FIGURE 2.**

Compared with controls, NPA is much greater in patients with functional mitral regurgitation, indicating a flatter and a less saddle-shaped mitral annulus (Panel A). Also, the delta change in NPA over systole is much lower in patients with functional mitral regurgitation (Panel B). A screenshot from TomTec<sup>®</sup> 4D MV Assessment 2.0 demonstrates the initial decrease in the saddle shape of the mitral annulus followed by an increase towards end systole (Panel C).

FMR: functional mitral regurgitation

**TABLE 1**

Characteristics of cases and controls

	Cases (n= 34)	Controls (n=15)
Age (years)	67.4 (43-88)	61.8 (32-84)
Gender	20 (58.8%)	9 (60.0%)
Male	14 (41.2%)	6 (40.0%)
Female		
Mitral Regurgitation Grade	-	15
0	-	-
1	14	-
2	15	-
3	5	-
4		
Ejection Fraction	20	0
< 50%	14	15 (100%)
> 50%		
Functional Mitral Regurgitation	34 (100%)	-
Ischemic Mitral Regurgitation	17 (50%)	-
Coronary Angiography	32 (94%)	15 (100%)
Yes	2 (6%)	0
No		
Coronary Artery Disease	28 (82%)	0
Procedure	17 (50%)	-
MVR + CABG	9 (26%)	-
MVR	6 (18%)	5 (33%)
CABG	1 (3%)	7 (47%)
AVR	1 (3%)	2 (13%)
AVR + CABG	-	1 (7%)
PFO Closure		

AVR: aortic valve replacement; CABG: coronary artery bypass graft; MVR: mitral valve replacement; PFO: patent foramen ovale

**TABLE 2**

Differences in mitral annular geometric parameters between controls and patients with FMR (cases).

<b>Annular Dimensions</b>	<b>Controls (n=15)</b>	<b>Cases (n=34)</b>	<b>P value</b>
Anteroposterior diameter	3.0 cm ± 0.1	4.0 cm ± 0.14	<0.01
Anterolateral–Posteromedial diameter	3.6 cm ± 0.1	4.3 cm ± 0.1	<0.01
Commissural diameter	3.5 cm ± 0.5	4.4 cm ± 0.7	<0.01
Annular Circumference	11.4 cm ± 0.5	14.1cm ±0.4	<0.01
2D Annular Area	8.7 cm <sup>2</sup> ± 0.79	14.2 cm <sup>2</sup> ± 0.8	<0.01
3D Annular Area	9.6 cm <sup>2</sup> ± 3	14.6 cm <sup>2</sup> ± 5	<0.01
Anterior Leaflet Area	6.4 cm <sup>2</sup> ± 2	10.0 cm <sup>2</sup> ± 3	<0.01
Posterior Leaflet Area	6.1 cm <sup>2</sup> ± 2	9.0 cm <sup>2</sup> ± 3	<0.01
<b>Annular Shape</b>			
Nonplanarity Angle	136° ± 11	155° ± 15	<0.01
Max Nonplanarity Angle	140° ± 10	158° ± 15	<0.01
Circularity Index	0.85 ± 0.02	0.93 ± 0.01	<0.01
Tenting Height	10.0 mm ± 0.85	11.7mm ± 0.77	0.13
Tenting Volume	3.5mm <sup>3</sup> ± 2.0	6.2mm <sup>3</sup> ± 3.5	0.01
Tenting Volume Fraction	24% ± 11	30% ± 21	0.36
Aorto-Mitral Angle	112° ±14	119° ± 15	0.12
<b>Annular Excursion</b>			
Maximum Vertical Annular Displacement	8.3 mm ± 3	5.8 mm ± 2	<0.01
Maximum Vertical Annular Displacement Velocity	32 mm/s ± 13	26 mm/s ± 8	0.05
Maximum Vertical Annular Acceleration	26 mm/s <sup>2</sup> ± 15	18 mm/s <sup>2</sup> ± 12	0.04

Values are described as mean ± standard deviation.

FMR: functional mitral regurgitation