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Pathways linking health literacy, health beliefs, and cognition to medication adherence in older adults with asthma

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Abstract

Background—Limited health literacy is associated with low adherence to asthma controller medications among older adults.

Objective—We sought to describe the causal pathway linking health literacy to medication adherence by modeling asthma illness and medication beliefs as mediators.

Methods—We recruited adults aged 60 years and older with asthma from hospital and community practices in New York, New York, and Chicago, Illinois. We measured health literacy and medication adherence using the Short Test of Functional Health Literacy in Adults and the Medication Adherence Rating Scale, respectively. We used validated instruments to assess asthma illness and medication beliefs. We assessed cognition using a cognitive battery. Using structural equation modeling, we modeled illness and medication beliefs as mediators of the relationship between health literacy and adherence while controlling for cognition.

Results—Our study included 433 patients with a mean age of 67 ± 6.8 years. The sample had 84% women, 31% non-Hispanic blacks, and 39% Hispanics. The 36% of patients with limited health literacy were more likely to have misconceptions about asthma ($P < .001$) and asthma medications ($P < .001$). Health literacy had a direct effect ($\beta = 0.089$; $P < .001$) as well as an

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indirect effect on adherence mediated by medications concerns ($\beta = 0.033$; $P = .002$). Neither medication necessity ($\beta = 0.044$; $P = .138$) nor illness beliefs ($\beta = 0.007$; $P = .143$) demonstrated a mediational role between health literacy and adherence.

Conclusions—Interventions designed to improve asthma controller medication adherence in older adults may be enhanced by addressing concerns about medications in addition to using communication strategies appropriate for populations with limited health literacy and cognitive impairments.

Keywords

Asthma; elderly; health literacy; adherence; medication beliefs; illness beliefs; cognition

Although the prevalence of asthma in older adults is similar to that in the young and middle-aged, older adults bear a disproportionate burden of asthma morbidity and mortality.^{1–3} In 2009, older adults represented only 12% of all patients with asthma in the United States,⁴ yet more than half of all deaths from asthma occurred among adults older than 65 years.² Similarly, asthma hospitalization rates were more than 3 times as high among those older than 65 years (25.5 per 10,000) compared with 15- to 44-year-olds (7.2 per 10,000).²

Low rates of adherence to asthma controller medications may contribute to the poor outcomes observed among older adults with asthma.^{5,6} Inhaled corticosteroids, the mainstay of asthma controller medications and asthma management, decrease asthma symptoms and reduce emergency room visits, hospitalizations, and death,⁷ yet less than 50% of older adults with asthma use them as prescribed.^{8,9} There are various factors associated with underuse of asthma controller medications ranging from barriers to the specific treatment, including complex and costly regimens,¹⁰ patient/provider-level barriers, such as provider perceptions about the time required to complete patient counseling^{11,12} and a poor patient-provider relationship,¹³ to patient-level barriers including polypharmacy from a combination of chronic conditions¹⁴ and a lack of motivation to manage chronic illnesses.¹³ A growing body of research highlights the impact of 3 additional patient-level factors: (1) limitations in health literacy, defined by the Institute of Medicine as “the degree to which individuals have the capacity to obtain, process and understand basic health information and services needed to make appropriate health decisions,”¹⁵ (2) misconceptions about asthma and the medications used to treat it,¹⁶ and (3) impairments in cognition.¹⁷

We have demonstrated that limited health literacy, as measured using the Short Test of Functional Health Literacy in Adults (S-TOFHLA), is associated with inaccurate illness beliefs, namely, that asthma is present only when there are symptoms, that asthma is not a chronic condition, and that asthma is curable.¹⁸ Limited health literacy was also associated with having more concerns about medications on the Beliefs about Medications Questionnaire (BMQ), including that medications were addictive and greater concerns about medication side effects.^{19–21} Similarly, limitations in health literacy and cognition are independently associated with lower medication adherence among patients with asthma.^{17,22,23}

Although it is well established that limited health literacy impacts medication adherence, the mechanisms by which this happens are uncertain. One potential mechanism is that health literacy influences beliefs about asthma and the medications used to treat it through its impact on information acquisition, which subsequently impacts medication adherence. To test illness and medication beliefs as mediators on the pathway linking health literacy and medications adherence, we performed structural equation modeling with data from a cohort of older adults with asthma.

METHODS

Participants and setting

Data for this analysis came from the Asthma Beliefs and Literacy in the Elderly study, a prospective cohort of adults aged 60 years and older with asthma.²⁴ Briefly, patients were recruited from hospital- and community-based primary care and pulmonary practices in New York, New York, and Chicago, Illinois, between December 2009 and May 2012. Participants were eligible for the study if they were English or Spanish speaking, were 60 years or older, and had persistent moderate or severe asthma diagnosed by a clinician. Those who had chronic obstructive pulmonary disease, other chronic lung diseases, or more than 10 pack-year history of smoking were excluded. Once participants provided informed consent, they underwent a standardized, in-person interview in their preferred language (English or Spanish). Participants were excluded from this analysis if they did not complete the health literacy assessment. The study was approved by the institutional review boards at the Icahn School of Medicine at Mount Sinai and the Feinberg School of Medicine at Northwestern University.

Measures

Sociodemographic characteristics and potential variables along the causal pathway connecting health literacy to medication adherence were assessed: health literacy, illness and medication beliefs, cognition, and medication adherence.

Health literacy—Health literacy was measured using the S-TOFHLA.²⁵ The S-TOFHLA is a 36-item reading comprehension and 4-item numeracy exercise. The reading comprehension test has 2 health care–related passages with every fifth to seventh word deleted. Participants select words that best complete each sentence. The numeracy test assesses health care–related quantitative capacity, such as the ability to understand numerical information on prescription bottles. Individual items were summed for a total score of 0 to 100, where inadequate is 0 to 53, marginal is 54 to 66, and adequate is 67 to 100. The S-TOFHLA has been validated for use in English and Spanish.²⁵ Before conducting the analyses, we examined the distribution of scores and found that few patients were in the lowest categories. As a result, we dichotomized the health literacy scores as adequate (>_67) or limited (<67).

Illness and medication beliefs—Beliefs about asthma were assessed with the 8-item Brief Illness Perceptions Questionnaire (B-IPQ).²⁶ The B-IPQ is based on Leventhal's Common Sense Model of Self-Regulation and relies on the theory that patients' mental

models, or representations of an illness, provide the framework through which they understand and manage their illnesses.^{27,28} This model has served as a framework for understanding patients' experiences of symptoms, treatment adherence, and care seeking for a range of conditions, including cardiovascular diseases, diabetes, cancer, and asthma.¹⁸ An overall B-IPQ score was computed by summing the items, with a total score ranging from 0 to 80; higher scores indicate a more negative or threatening view of the illness. We also reported specific beliefs from the B-IPQ that have been found to be strongly predictive of adherence to controller medications.^{18,29} Beliefs about asthma controller medications were assessed using the BMQ, a 10-item scale that can be tailored to measure beliefs about medications for a specific chronic illness.³⁰ It is divided into 2 domains—concerns and necessity. Higher scores on the concerns subscale indicate greater concern about side effects and long-term dependence on asthma controller medications. Higher scores on the necessity subscale represent greater belief in the necessity of asthma controller medications for preserving one's health.

Cognition—Cognitive function was measured using a selection of tests from the Alzheimer's Disease Centers' Uniform Data Set with extensive normative data.³¹ The neuropsychological battery was designed to assess immediate recall, executive function, verbal fluency, and processing speed. Assessment of immediate recall was done using the Wechsler Memory Scale Story A (WMS-immediate). The WMS-immediate requires participants to listen to a short story and immediately repeat as many details as possible.³² Assessment of executive function was done through the Trail Making Test Part B (Trails B). The Trails B represents the time required to sequentially connect a series of alternating letters and numbers scattered across a page in alphanumeric order.³³ Verbal fluency was tested through animal naming whereby participants are given 1 minute to name as many animals as possible.³³ Last, working memory was assessed through the use of the Wechsler Adult Intelligence Scale III Letter-Number Sequencing.³² In this test, participants listen to a sequence of letters and numbers spoken by the interviewer, and then repeat them back in ascending number and alphabetical order.

Medication adherence—Adherence to asthma controller medications was measured using the 10-item Medication Adherence Rating Scale (MARS).³⁴ The MARS is composed of statements about medication use behaviors, including regular versus as-needed use and intentional versus unintentional nonadherence. Respondents are asked how often they exhibit each behavior, with 5 options ranging from "always" to "never." MARS has high interitem reliability (Cronbach $\alpha = 0.85$), good test-retest reliability ($r = 0.65$; $P < .001$), and correlates strongly with objective measurements of asthma controller medication adherence.³⁴ A mean MARS score between 1 and 5 was calculated from the answers to individual items; higher scores indicate better adherence. Participants with a mean MARS score of 4.5 or more were coded as having good adherence.^{34,35}

Sociodemographic characteristics—Demographic variables, including age, sex, race/ethnicity, educational attainment, and income, were collected via self-report using validated items. We also collected data on the length of time since asthma diagnosis and whether participants had ever been intubated.

Statistical analysis

We tested associations between baseline demographic characteristics and health literacy using chi-square or ANOVA tests. We also used chi-square tests to conduct bivariate tests of association between (1) health literacy and each of illness and medication beliefs and cognition and (2) between the 3 factors—health literacy, illness and medication beliefs, and cognitive function—and medication adherence.

We used structural equation modeling to assess the indirect effect that health literacy has on medication adherence through illness beliefs, medication beliefs subscale items (concern and necessity), and cognition. Health literacy and medication adherence were entered into the model as continuous variables using the S-TOFHLA and mean MARS scores, respectively. The 2 domains of medication beliefs (concerns and necessity) were modeled separately as latent factors. The 5 items from the BMQ concerns subscale were used as indicators for medication concerns, and the 5 items from the BMQ necessity subscale were used as indicators for medication necessity. Illness beliefs were entered as a continuous variable summing the responses from the B-IPQ. A latent variable was created for cognitive function using the overall scores of WMS-immediate recall, Trails B, animal naming, and letters-numbers sequencing tests as the indicators. The model was adjusted for age, sex, race/ethnicity, English proficiency, and educational attainment.

The proportion of the total effect of health literacy on adherence attributable to the mediators was calculated by taking the ratio of the indirect effect divided by the total effect. The indirect effect for a pathway is calculated by multiplying the parameter estimates for each relationship in the pathway. Estimation for SEM was performed using maximum likelihood. The fit of the models was assessed using the root mean square error of approximation and the comparative fit index.³⁶ In general, a root mean square error of approximation of more than 0.10 indicates a poor fit, 0.05 to 0.10 indicates a marginal fit, and less than 0.05 indicates a close fit. A comparative fit index of more than 0.90 indicates that the model has an adequate fit. Analyses were conducted with SAS 9.3 (SAS Institute Inc, Cary, NC) and Mplus7 (Muthen & Muthen, Los Angeles, Calif).

RESULTS

A total of 1972 patients were identified from the hospitals' databases as having a diagnosis of asthma between December 2009 and May 2012. Of these, 531 (27.8%) were ineligible. Reasons for ineligibility included the following: no or mild asthma (52.2%), 10 or more pack-year smoking history (16.6%), another chronic lung disease (15.6%), and cognitive impairment, death, or another reason (15.6%). In addition, 466 (27.4%) were unreachable and 523 (27.4%) refused. Of the 452 (23.7%) enrolled in the study, 19 (4.2%) patients were excluded from this analysis because they did not complete the health literacy assessment, leaving a sample size of 433. The mean age of the participants was 67 ± 6.8 years, with 84% women, 31% non-Hispanic blacks, 39% Hispanics, and 22% whites. Asthma controller medications were currently used by 78.8% of the participants. One hundred fifty-five (36%) had limited health literacy. Those who had limited literacy were more likely to be minorities, older, poorer, with lower educational attainment, and to have been intubated in the past ($P < .$

003 for all comparisons; Table I). There were no differences in sex or years with asthma between the 2 groups ($P > .05$ for all comparisons).

Unadjusted association of health literacy with illness and medication beliefs and cognition, and their association with adherence

Patients with limited health literacy had more negative illness and medication beliefs and scored lower on most of the cognitive abilities measured (Table II). Participants with limited health literacy were more likely to believe that asthma was temporary, present only when they were having symptoms, and could be cured ($P < .001$ for all comparisons). In addition, limited health literacy was associated with greater medication concerns ($P < .001$) and less belief in medication necessity ($P = .02$). Similarly, limited health literacy was also associated with poorer performance on all cognitive measures (Trails B, WMS-immediate, letter-number sequencing, and animal naming; $P < .001$ for all comparisons). All factors, including health literacy, illness and medication beliefs, and cognition, were significantly associated with adherence to asthma controller medications in the unadjusted analyses (Table III).

Mediation analysis

The best-fitting model demonstrated that medication concerns, a subscale of medication beliefs, was a partial mediator of the relationship between health literacy and medication adherence (root mean square error of approximation = 0.051 and comparative fit index = 0.931; Fig 1). Specifically, this model showed that greater concerns about medications was associated with lower health literacy ($\beta = -0.154$; $P < .001$) and lower medication adherence ($\beta = -0.211$; $P < .004$). The total indirect effect of this pathway was significant ($\beta = 0.033$; $P = .002$). Furthermore, health literacy was also directly associated with medication adherence ($\beta = 0.090$; $P < .001$). Comparing the sum total effect of health literacy on medication adherence ($\beta = 0.123$; $P < .001$) with the total indirect effect mediated by medication concerns ($\beta = 0.033$; $P = .002$) showed that approximately 27% of the effect of health literacy on adherence was mediated by medication concerns. Last, cognition and health literacy were associated ($\beta = -0.767$; $P < .001$), but cognition did not have a mediational role. Other models found that neither the path between health literacy and medication necessity ($\beta = 0.044$; $P = .138$) nor the path between illness beliefs and medication adherence ($\beta = -0.007$; $P = .143$) demonstrated mediational roles. Therefore, the final model demonstrates an association between health literacy and medication necessity ($\beta = -0.155$; $P < .001$) and no direct pathway between illness beliefs and medication adherence. All models controlled for age, sex, race/ethnicity, education, and English proficiency.

DISCUSSION

In this study, we used structural equation modeling to determine how health literacy, illness and medication beliefs, and cognition affect asthma controller medication adherence in older adults with asthma. We found 2 pathways by which health literacy impacts medication adherence. The first is that health literacy influences concerns about medications, which, in turn, influence medication adherence. Approximately one-quarter of the association of health literacy with adherence was attributable to this indirect pathway. We also found that health literacy has a direct impact on asthma controller medication. Our results suggest that

limited health literacy leads to fears about asthma controller medications' side effects and decreased adherence, identifying potentially valuable targets for educational interventions to improve adherence among older adults with asthma.

Our work is consistent with previous literature showing a connection between health literacy, medication concerns, and adherence. Older adults with limited health literacy are half as likely to be adherent to their asthma controller medications.³⁷ Similarly, the odds of adherence to asthma controller medications is halved in patients with more concerns about medication side effect and addiction profiles.²¹ Although previous research has shown that patients with limited health literacy have greater concerns about their medications,²⁹ this study is the first to show that low health literacy may lead to decreased adherence through contributing to misconceptions about asthma controller medications. In other words, patients with difficulty obtaining and processing medical information may misunderstand why and how medications work, develop fears about their medications, and be less likely to take them.

This pathway may be more pertinent to older adults because of the impact of cognitive function on health literacy. Although baseline literacy has been shown to affect cognition in late life,^{38,39} the increasing prevalence of dementia and delirium is likely to have a larger impact on health literacy as people age. As a result, it is possible that cognition did not have a mediational role in the model because health literacy and cognition are highly correlated in older adults. In previous studies, the odds of limited health literacy were 3 to 5 times higher in individuals with impairments in memory and verbal fluency than in those with normal cognition.⁴⁰ In this way, the ability to self-manage asthma, including remembering clinician instructions, adhering to multidrug regimens, and complex problem-solving in the event of acute symptoms, may be improved not only by educational strategies that simplify vocabulary (a response to inadequate health literacy) but also by adopting techniques specific to the geriatric population.^{38,39}

This knowledge of the importance of health literacy, medication beliefs, and cognition can lay the groundwork for a multipronged, geriatrics-specific approach to improving medication adherence in older adults with asthma, a group whose unique needs have been largely ignored.⁴¹ Our work has important practice implications for 3 reasons. First, the approach to older adults with asthma needs to be based on geriatrics principles of pharmacology and illness management.⁴² Many older adults are managing asthma medications and symptoms in the context of other comorbidities. As such, an effective intervention would need to include an individualized assessment of patients' overall goals, symptom burden, a review of barriers to adherence, such as functional impairment, cost, or polypharmacy, and implementation of tailored solutions, such as simplifying regimens and enlisting pharmacists and other multidisciplinary team members.⁴³⁻⁴⁵

Second, information about asthma and asthma self-management should be delivered using limited health literacy techniques. Approximately one-third of English-speaking older adults and one-half of Spanish-speaking older adults have limited health literacy.⁴⁶ Furthermore, worse health literacy is associated with increasing age and cognitive impairment, such that the frailest older adults are likely to be least adherent.⁴⁷ To improve comprehension and

subsequent adherence, techniques should be tailored to the patient and context and may include using familiar language and pictures, contextualizing facts and behaviors, limiting the amount of information provided, using teach-back techniques, or providing audio or print materials for patients to review the content on their own in the future.⁴⁸

Third, practical interventions are needed to address patients' concerns about their medications. In one survey, nearly half of all older adults with asthma and low literacy were concerned about the side effects of asthma controller medications or believed that these medications were addictive.²¹ Although patients may simultaneously believe that their medications are necessary, an implicit cost-benefit analysis weighs in favor of medication concerns and results in decreased adherence. To the authors' knowledge, no studies have been done among older adults with asthma and low health literacy directly addressing this conflict. Future interventions among older adults with asthma may benefit from addressing erroneous medication beliefs.

There are limitations of our study. First, although the key strength of our study is the use of structural equation modeling to characterize associations between health literacy, illness and medication beliefs, and cognition with medication adherence, structural equation modeling cannot be used to prove a causal relationship between these factors and medication adherence. Second, individuals were recruited from 2 urban academic centers in the United States and findings may not be generalizable to younger patients or those residing outside urban areas. In addition, we relied on self-reported medication adherence, rather than measured adherence, which may not consistently reflect actual adherence.¹⁴ Finally, these results demonstrate the average affects in a cohort and, although they may be important in defining a group intervention, may not be applicable in approaching a given patient.

In summary, we found that the relationship between limited health literacy and low adherence to asthma controller medications among older adults with asthma is partially mediated by increased concerns about medications. This study suggests that interventions targeted at older adults with asthma may be most effective if they are sensitive to cognitive impairment, delivered using low health literacy techniques, and address patients' medication concerns. Tailored interventional trials using the aforementioned strategies are needed to improve clinical outcomes among older adults with asthma.

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Abbreviations used

B-IPQ	Brief Illness Perceptions Questionnaire
BMQ	Beliefs about Medications Questionnaire
MARS	Medication Adherence Rating Scale
S-TOFHLA	Short Test of Functional Health Literacy in Adults

WMS-immediate	Wechsler Memory Scale Story A
Trails B	Trail Making Test Part B

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Clinical implications

Improving asthma controller medication adherence in older adults with low health literacy requires addressing medication concerns and using communication strategies appropriate for populations with limited health literacy and cognitive impairments.

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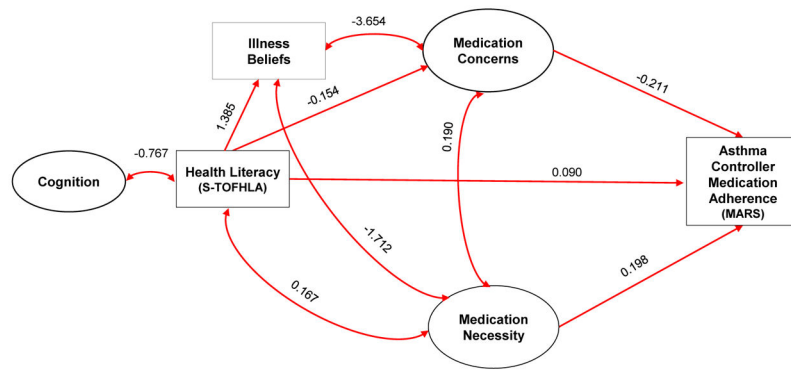


FIG 1. Parameter estimates for model of medications concerns as a mediator of health literacy and medication adherence in older adults with asthma.

TABLE I

Association of health literacy with patient characteristics

Characteristic	All patients (N = 433)	Health literacy level		P value
		Adequate (n = 278)	Limited (n = 155)	
Age (y)				
60–64	194 (45.0)	141 (50.9)	53 (34.4)	.003
65–69	104 (24.1)	63 (22.7)	41 (26.6)	
70+	133 (30.9)	73 (26.4)	60 (39.0)	
Sex: male	70 (16.2)	45 (16.2)	25 (16.1)	.99
Race				
Non-Hispanic white	95 (21.9)	90 (32.4)	5 (3.2)	<.001
Non-Hispanic black	132 (30.5)	83 (29.9)	49 (32.6)	
Hispanic	168 (38.8)	76 (27.3)	92 (59.4)	
Other	38 (8.8)	29 (10.4)	9 (5.8)	
Income <\$1350/mo	229 (54.1)	108 (39.7)	121 (80.1)	<.001
Education				
<12 y	141 (32.6)	37 (13.3)	104 (67.5)	<.001
High school	75 (17.4)	50 (18.0)	25 (16.2)	
Some college	89 (20.6)	71 (25.5)	18 (11.7)	
College graduate	127 (29.4)	120 (43.2)	7 (5.6)	
Years with asthma, mean \pm SD	31.4 \pm 20.8	31.0 \pm 21.2	32.3 \pm 20.0	.54
Ever intubated	38 (8.9)	15 (5.5)	23 (15.0)	.001

Values are n (%) unless indicated otherwise.

TABLE II

Association of health literacy with asthma health beliefs and cognitive function

Measure characteristics	Health literacy level		P value
	Adequate (n = 278)	Limited (n = 155)	
Illness beliefs			
B-IPQ, mean \pm SD	34.4 \pm 12.8	39.6 \pm 12.7	<.001
No symptoms no asthma, n (%)	132 (47.8)	100 (64.5)	<.001
Will not always have asthma, n (%)	61 (22.1)	64 (41.3)	<.001
Doctor can cure my asthma, n (%)	38 (13.8)	51 (32.9)	<.001
BMQ scores, mean \pm SD			
Necessity score	13.3 \pm 4.6	12.1 \pm 4.1	.02
Concern score	12.9 \pm 4.2	14.8 \pm 4.2	<.001
Cognition			
Memory			
WMS-immediate, mean \pm SD	11.4 \pm 4.0	7.2 \pm 3.4	<.001
Executive function			
Trails B, mean \pm SD	147.9 \pm 82.5	271.6 \pm 57.6	<.001
Word fluency			
Animal naming test, mean \pm SD	17.4 \pm 5.6	12.5 \pm 4.1	<.001
Processing speed			
Letter-numbers sequencing, mean \pm SD	8.0 \pm 3.0	3.8 \pm 2.7	<.001

TABLE III

Association of health literacy, beliefs, and cognition with medication adherence

	Adherence		P value
	Good (n = 126)	Poor (n = 201)	
Health literacy			
Limited health literacy, n (%)	25 (19.8)	88 (43.8)	<.001
Illness and medication beliefs			
Illness beliefs			
B-IPQ, mean \pm SD	33.8 \pm 13.3	37.7 \pm 12.1	<.001
No symptoms no asthma, n (%)	44 (34.9)	120 (59.7)	<.001
Will not always have asthma, n (%)	21 (16.7)	75 (37.3)	<.001
Doctor can cure my asthma, n (%)	20 (15.9)	43 (21.4)	.22
BMQ scores, mean \pm SD			
Necessity score	11.9 \pm 4.3	13.5 \pm 4.5	.001
Concern score	12.0 \pm 4.1	14.5 \pm 4.2	<.001
Cognition			
Memory			
WMS-immediate, mean \pm SD	11.1 \pm 4.1	9.3 \pm 4.2	<.001
Executive function			
Trails B, mean \pm SD	161.5 \pm 94.0	203.7 \pm 93.6	<.001
Word fluency			
Animal naming test, mean \pm SD	17.1 \pm 6.3	15.1 \pm 5.1	<.001
Processing speed			
Letter-numbers sequencing, mean \pm SD	7.6 \pm 3.7	5.9 \pm 3.3	<.001