

Promoter Sequences Necessary for High-Level Expression of the Plasmid-Associated *ampC* β -Lactamase Gene *bla*_{MIR-1}

Mark D. Reisbig and Nancy D. Hanson*

Department of Medical Microbiology and Immunology, Center for Research in Anti-Infectives and Biotechnology, Creighton University School of Medicine, Omaha, Nebraska

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Little is known about mechanisms involved in high-level expression of plasmid-associated *ampC* genes. The sequence for *bla*_{MIR-1} has been elucidated, and the gene is not inducible. Although the sequence for the promoter (prA) that drives expression of *Enterobacter cloacae* chromosomal *ampC* is present upstream of *bla*_{MIR-1}, high-level expression from *bla*_{MIR-1} is directed from a hybrid promoter (prB) located further upstream of prA. The purpose of this study was to determine the influence of each promoter on *bla*_{MIR-1} expression and β -lactam resistance. RNA expression by deletion clones with both promoters was measured and compared to that by clones in which -35 and/or -10 elements of prA and/or prB were altered. Primer extension revealed two start sites for *bla*_{MIR-1} transcription. Expression of *bla*_{MIR-1} in clones with both promoters was 171-fold higher than that in clones carrying only prA. In addition, *bla*_{MIR-1} expression from prA increased 11-fold in the presence of the prB -10 element compared to expression driven from prA alone. Ceftazidime and cefotaxime MICs increased 42- and 64-fold, respectively, for the clone expressing *bla*_{MIR-1} from both promoters compared to expression from prA alone. The upstream promoter prB of *bla*_{MIR-1} is solely responsible for high-level expression required for cefotaxime and ceftazidime resistance. These data suggest that resistance to extended-spectrum cephalosporins mediated by noninducible plasmid-associated *ampC* genes requires the formation of novel promoter elements that are capable of increasing *ampC* expression.

The AmpC or group 1, class C β -lactamase genes are found on the chromosomes of several gram-negative bacteria and are being characterized with increasing frequency on plasmids in cephalosporin-resistant clinical isolates. Genetic evidence suggests that the plasmid-associated *ampC* genes originated from chromosomal *ampC* genes that mobilized onto plasmids via mobile genetic elements (30). Several plasmid-associated *ampC* genes with nucleotide similarity to chromosomal *ampC* genes from *Enterobacter* spp., *Morganella morganii*, *Citrobacter freundii*, and *Hafnia alvei* have been identified (1, 8, 9, 17). Recently, sequencing the chromosomal *ampC* of *Aeromonas caviae* revealed greater than 96% similarity to the sequences of *bla*_{FOX-1-5} (3, 4, 7, 10, 19, 25). The remaining plasmid-associated *ampC* group with sequences similar to that of *bla*_{MOX-1} exhibit ~70% identity to the genes of *Aeromonas* spp. (26).

When AmpC is expressed at high levels, AmpC-producing organisms become resistant to almost all β -lactam antibiotics, with the exception of cefepime, ceftipime, and the carbapenems (30). Most chromosomal *ampC* genes are inducible in the presence of certain agents, such as cefoxitin and imipenem (12). Inducible AmpC expression is regulated by AmpR in the presence of two other gene products, AmpD and AmpG (12, 15, 16, 20). However, AmpR does not regulate expression from the majority of the plasmid-associated *ampC* genes, and therefore, the mechanisms by which high-level AmpC expression from noninducible plasmid-associated *ampC* genes occurs

remain to be elucidated. The plasmid-associated *ampC* genes *bla*_{DHA-1} and *bla*_{DHA-2} of *M. morganii* origin and *bla*_{ACT-1} of *Enterobacter asburiae* origin are inducible, and their induction is by the general mechanism of inducible expression (2, 6, 27, 29). However, a C-to-T transition at the first position of the *bla*_{ACT-1} -10 promoter element is implicated in increased expression even in the absence of induction (27, 28).

The other plasmid-carried *ampC* of *Enterobacter* origin is *bla*_{MIR-1} (14, 22). *bla*_{MIR-1} expression is not inducible, as the gene lacks the association of an upstream *ampR* gene and the binding site for AmpR is truncated (14, 28). The 5' flanking sequence of *bla*_{MIR-1} retains the chromosomal *ampC* -35 and -10 elements (prA) and a portion of the AmpR binding site sequence (Fig. 1B) (14). Upstream of the truncated AmpR binding site is an insertion element with 96% similarity to a transposase gene nucleotide sequence from *Pseudomonas pseudoalcaligenes* (14).

A previous study reported that *bla*_{MIR-1} is expressed from a putative hybrid promoter (prB) likely formed during mobilization of *bla*_{MIR-1} from the chromosome to the plasmid (28). The joining of the upstream insertion element from *P. pseudoalcaligenes* with the truncated AmpR binding site created prB with the -35 element located in the insertion sequence and the -10 element located 17 bp downstream, within the remnant of the AmpR binding site (Fig. 1B) (28). In this study, the role of expression from the *bla*_{MIR-1} promoter prB and the vestigial promoter prA were investigated in relation to β -lactam antibiotic susceptibility.

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* Corresponding author. Mailing address: Center for Research in Anti-Infectives and Biotechnology, Department of Microbiology and Immunology, Creighton University School of Medicine, 2500 California Pl., Omaha, NE 68178. Phone: (402) 280-5837. Fax: (402) 280-1875. E-mail: ndhanson@creighton.edu.

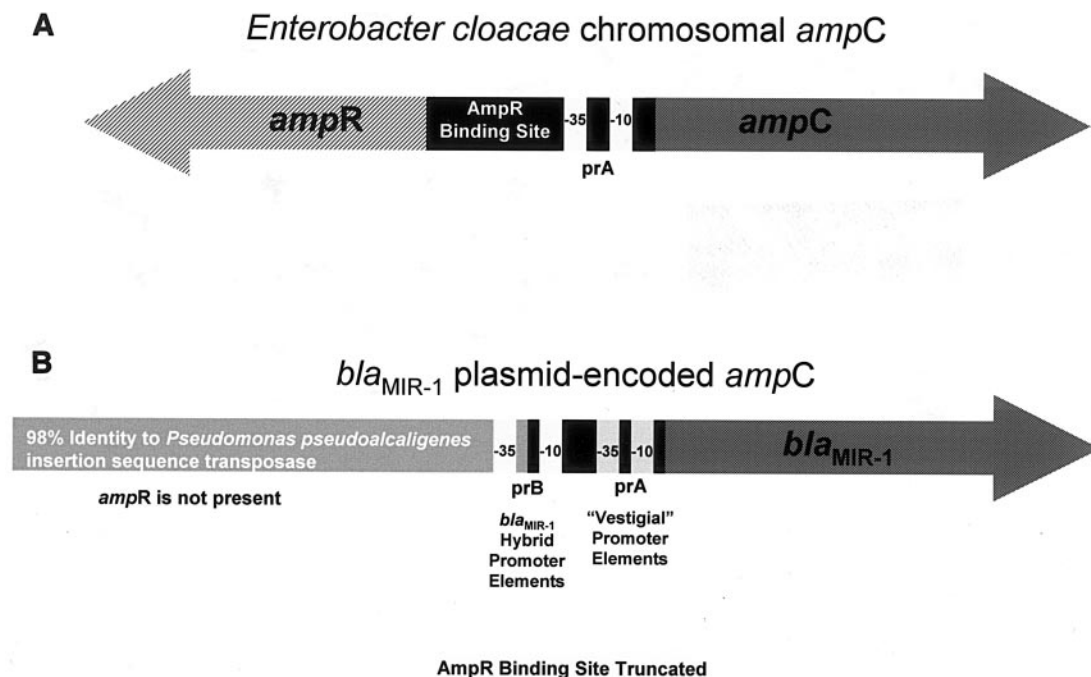


FIG. 1. Genetic organization of the chromosomal *ampC* gene of *E. cloacae* (A) and the plasmid-carried *ampC* gene *bla*_{MIR-1} (B). The *E. cloacae ampC* is inducible and is expressed from prA. *bla*_{MIR-1} is not inducible; however, prA remains intact, upstream of the structural gene.

MATERIALS AND METHODS

Bacterial strains and plasmids. The bacterial strains and plasmids used in this study are listed in Tables 1 to 3.

Cloning of *bla*_{MIR-1} and deletion clones. The primers used to amplify by PCR each *bla*_{MIR-1} deletion fragment are listed in Table 4. PCR was carried out as previously described to create amplicons containing the entire *bla*_{MIR-1} structural gene and various lengths of the 5' upstream region including different components of prB and prA (Fig. 2). Table 4 identifies the PCR-amplified fragment lengths and the nucleotide positions present in each fragment and the resulting promoter changes. These changes are illustrated in Fig. 2. The seven cDNA amplicons were cloned by using the TOPO-XL cloning kit (Invitrogen). Each fragment was subcloned as an EcoRI fragment into plasmid pMDR009, a pACYC184 derivative that allows for EcoRI insertion without expression interference from the chloramphenicol acetyltransferase promoter (5). Plasmid constructs were transformed into *Escherichia coli* Top10 (Invitrogen) (11). The promoter inserts were manually sequenced from the plasmid with the *Pfu* polymerase sequencing kit as described by the manufacturer (Stratagene). The *bla*_{MIR-1} structural gene from each clone was amplified by PCR as previously described with Platinum *Taq* Plus DNA polymerase (28). These amplicons were analyzed by automated sequencing as previously described (23). The constructed plasmids are listed in Tables 2 and 3.

Primer extension analysis. RNA isolation and primer extension analysis were carried out as previously described using 20 µg of RNA for experimental samples and 1 µg for the 16S rRNA control lanes (27). Primers used for primer extension are listed in Table 4. Extension products were visualized, normalized, and quantified as previously described (27).

TABLE 1. Clinical isolates used in this study

Strain	<i>ampC</i> gene harbored	Upstream sequence present	<i>ampC</i> expression	Reference(s)
<i>K. pneumoniae</i> 96D	<i>bla</i> _{MIR-1}	Truncated AmpR binding site, insertion element	Constitutive high	14, 22, 28
<i>E. cloacae</i> 55	<i>ampC</i>	AmpR binding site, <i>ampR</i>	Inducible	28

MICs. Cefoxitin, cephalothin, ampicillin, cefotaxime, and ceftazidime MICs for each strain were determined by Etest (AB Biodisk North America, Piscataway, N.J.) with a 0.5 McFarland's standard inoculum on 56-ml Mueller-Hinton agar plates incubated in ambient air for 18 h at 37°C.

RESULTS

Expression levels of promoter clones were compared to the uninduced wild-type *ampC* expression from *Enterobacter cloacae* 55. *ampC* expression from clone EcM1Δ01 is driven by prA, which includes -10 and -35 elements identical to those found in the wild-type *E. cloacae* 55 promoter. As shown in Fig. 3, overall expression levels for these two strains were comparable. In addition, the start sites for *ampC* transcription mapped to position +36 (Fig. 3B) in each clone. As the upstream sequence was extended to include nucleotides which represented the -10 and -35 elements of prA and the -10 element of prB (EcM1Δ04), *ampC* expression from the prA

TABLE 2. Other strains used in this study^a

Strain	Relevant <i>bla</i> _{MIR-1} upstream sequences of the plasmid ^b
EcM1Δ01.....ΔIS, Δ-35prB, Δ-10prB, -35prA ⁺ , -10prA ⁺ , <i>bla</i> _{MIR-1} ⁺	
EcM1Δ02.....ΔIS, Δ-35prB, Δ-10prB, Δ-35prA, -10prA ⁺ , <i>bla</i> _{MIR-1} ⁺	
EcM1Δ03.....ΔIS, -35prB ⁺ , -10prB ⁺ , -35prA ⁺ , -10prA ⁺ , <i>bla</i> _{MIR-1} ⁺	
EcM1Δ04.....ΔIS, Δ-35prB, -10prB ⁺ , -35prA ⁺ , -10prA ⁺ , <i>bla</i> _{MIR-1} ⁺	
EcM1Δ05.....ΔIS, MUT-35prB, -10prB ⁺ , -35prA ⁺ , -10prA ⁺ , <i>bla</i> _{MIR-1} ⁺	
EcM1Δ06.....ΔIS, Δ-35prB, MUT-10prB, -35prA ⁺ , -10prA ⁺ , <i>bla</i> _{MIR-1} ⁺	
EcM1wt.....IS ⁺ , -35prB ⁺ , -10prB ⁺ , -35prA ⁺ , -10prA ⁺ , <i>bla</i> _{MIR-1} ⁺	

^a All plasmids were transformed into *E. coli* Top 10 cells (Invitrogen) [*F*⁻ *mcrA* Δ(*mrr-hsd RMS-mcrBC*) φ80*lacZ*ΔM15 Δ*lacX74 recA1 araD139* Δ(*ara-leu*)7697 *galU galK rpsL* (Str^r) *endA1 nupG*] (11).

^b Sequences upstream of the *bla*_{MIR-1} structural gene cloned into pMDR009. IS, insertion sequence transposase; Δ, deletion; +, present, MUT, mutation inserted by PCR mutagenesis.

TABLE 3. Plasmids used in this study

Plasmid	Characteristics ^a	Size (bp)	Reference or source
pACYC184	Cm ⁺ Tet ⁺	4,245	5
pMDR009	pACYC184 Δ103–515 bp; Cm ⁻ Tet ⁺	3,833	This study

^a Cm, chloramphenicol; Tet, tetracycline; +, positive for selectable marker; -, negative for selectable marker.

promoter increased 11-fold compared to expression from EcM1Δ01 and *E. cloacae* 55 (Fig. 3). In addition, expression from the prB -10 element was seen, as determined by analysis of two *ampC* transcriptional start sites located at positions +1 for prB and +36 for prA (Fig. 3B). However, the increases in expression from each promoter compared to that from EcM1Δ01 and *E. cloacae* 55 were almost equivalent (11-fold from prA and 7-fold from prB).

By including the -35 element in prB, represented by clone EcM1Δ03, full *bla*_{MIR-1} expression was restored, as expression levels from this clone were comparable to expression levels from the clinical isolate *Klebsiella pneumoniae* 96D and the full-length clone EcM1wt (Fig. 3). Interestingly, sequence elements other than the -35 promoter element of prB, upstream within the insertion sequence (Fig. 3), did not influence *bla*_{MIR-1} expression. To ensure that positional effects due to deletions of sequence within the promoter did not influence the observed levels of expression from clones EcM1Δ01 and EcM1Δ04, clones EcM1Δ06 and EcM1Δ05, which included nonsense sequences in place of the deleted -10 and -35 elements of prB, respectively, were constructed. No difference between levels of *bla*_{MIR-1} expression from EcM1Δ01 and EcM1Δ06 (Fig. 3) or EcM1Δ04 and EcM1Δ05 (Fig. 3) was observed. Furthermore, no expression from EcM1Δ02, which contained only the -10 element for prA, was detected (Fig. 3).

The influence of these promoter mutations on the suscepti-

bility phenotype was examined by using MICs of cefotaxime, ceftazidime, ampicillin, ceftoxitin, and cephalothin determined by Etest analysis. MICs of the extended-spectrum cephalosporins for all of the clones that had mutations or deletions in any of the prA or prB promoter elements remained in the susceptible category. Etest analysis revealed ceftazidime MICs of 16 and 24 μg/ml for clones EcM1Δ03 and EcM1wt, respectively, which both expressed *bla*_{MIR-1} from prB. A significantly lower ceftazidime MIC of 0.75 μg/ml was observed for clones EcM1Δ01 and EcM1Δ06, both of which expressed *bla*_{MIR-1} from only the prA promoter. Cefotaxime MICs of 8 μg/ml, observed for clones EcM1Δ03 and EcM1wt, were also significantly higher than MICs for clones EcM1Δ01 and EcM1Δ06, which were 0.125 and 0.094 μg/ml, respectively (Table 5). Even though *bla*_{MIR-1} prA expression from clones EcM1Δ04 and EcM1Δ05 showed an ~11-fold increase over that from EcM1Δ01 and *E. cloacae* 55, no significant increases in cefotaxime and ceftazidime MICs were observed. However, the ceftoxitin MIC for clones EcM1Δ04 and EcM1Δ05 was 24 μg/ml, while ampicillin MICs were 32 and 12 μg/ml, respectively, and cephalothin MICs were >256 and 192 μg/ml, respectively. Cefoxitin, cephalothin, and ampicillin MICs for clones EcM1Δ03 and EcM1wt, both containing the intact prB promoter, were >256 μg/ml. Cephalothin MICs for all clones were above the resistance breakpoint. However, regardless of which β-lactam was tested, the highest MICs correlated to the clones expressing *bla*_{MIR-1} at the highest level (Table 5).

DISCUSSION

The events that mobilized *bla*_{MIR-1} from the chromosome to the plasmid resulted in the formation of hybrid promoter prB, which is responsible for high-level MIR-1 expression and resistance to all the β-lactam antibiotics tested. In the process,

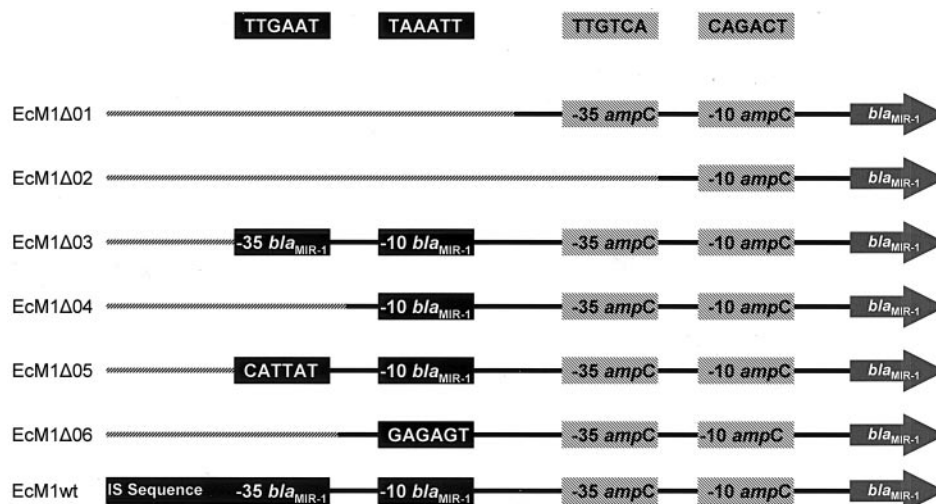


FIG. 2. Diagram of the 5' flanking regions of each *bla*_{MIR-1} construct. Solid lines, wild-type sequence; hatched lines, vector sequence. prA is the vestigial promoter, a remnant of the chromosomal *ampC* gene of *Enterobacter* origin. prB is the hybrid promoter, composed of the insertion sequence (IS) and remnant portions of the 5' flanking region of the chromosomal *ampC* gene. Deletions of both prA and prB components were generated to assess the contributory effects of these elements on transcription and the susceptibility phenotype. EcM1Δ05 and EcM1Δ06 harbored constructs with mutations that destroyed the -35 and -10 prB elements but kept the original nucleotide spacing. These clones were created to ensure that any changes in the observed in *bla*_{MIR-1} transcript levels were not a result of differences in promoter topography. For the specific mutations generated for these clones see Table 4.

TABLE 4. Primers used to amplify *bla*_{MIR-1} deletion fragments

Primer	Sequence ^d (5'-3')	Product name, size (bp) ^a	Purpose ^b	Nucleotides ^c	GenBank accession no.	Source or reference(s)
MIRΔUP MIR-1R	GCCATACTTGGCCTCATGCG GCATCAAAAAGGCGTGACGACG	M1Δ01, 1,524	S, C	786–805 2310–2290	M37983 M37839	14 14
MIRΔM35 MIR-1R	CCGTTAATGCTAAATTTAACCG GCATCAAAAAGGCGTGACGACG	M1Δ02, 1,490	S, C	820–841 2310–2290	M37983 M37839	14 14
MIRΔM35M10E35 MIR-1R	CCAACAGACTACAGCGGTCTGACG GCATCAAAAAGGCGTGACGACG	M1Δ03, 1,448	S, C	862–885 2310–2290	M37983 M37839	14 14
MIRΔM35M10 MIR-1R	CGTTTGTGACCCACAGTCAAATCC GCATCAAAAAGGCGTGACGACG	M1Δ04, 1,471	S, C	839–863 2310–2290	M37983 M37839	14 14
MIRMUTE35 MIR-1R	CCGTTAATGCG AGAG TTAACCCTTTG GCATCAAAAAGGCGTGACGACG	M1Δ05, 1,490	S, C	2310–2290	M37839	This study 14
MIRMUTM35 MIR-1R	CCTCATGCGGC ATTATT TCCTATCCG GCATCAAAAAGGCGTGACGACG	M1Δ06, 1,513	S, C	797–822 2310–2290	M37839	This study 14
MIRUPF MIR-1R	GGAAGCAAAGTGGTGTACC GCATCAAAAAGGCGTGACGACG	M1wt, 2,302	S, C	008–27 2310–2290	M37983 M37839	14 14
ENTB55PE MIR-1PE KpEc16SRNA	GCGAGAGCAGAGCAAGAGATGCC GCGAATGCAGAACTGGCGACG CCCAGACATTACTACCCGTCC		PE PE PE	80–103 966–986 82–61	X07274 M37839 AF390084	28 14, 28 27, 28

^a Size of the PCR-generated amplicon. For promoter deletions and changes see corresponding name in Fig. 2.

^b Purpose for the primers. S, sequencing; C, cloning; PE, primer extension.

^c Nucleotide location of each primer with respect to the cited reference.

^d Sequences that were mutated are shown in boldface.

the chromosomal promoter prA was rendered ineffective, as the upstream regulatory elements were not mobilized with the *ampC* structural gene onto the plasmid. Therefore, prA represents a vestigial promoter associated with *bla*_{MIR-1} as a remnant of the chromosomal *ampC* expression system. Although the level of expression from prA resulted in resistance to cephalothin, this level of expression was not enough to confer resistance to the other β-lactams tested in this study. These data indicate that prB is responsible for high-level expression required for resistance to the extended-spectrum cephalosporins, ceftazidime, and cefotaxime, as well as ceftazidime and ampicillin. Removal of prB from the upstream flanking region of *bla*_{MIR-1} did not aid in the ability of prA to express levels of *bla*_{MIR-1} sufficient to confer resistance to these drugs. These data suggest that, even in the presence of prA, resistance to the extended-spectrum β-lactams requires the formation of a novel promoter, such as prB, to drive high-level expression.

In the absence of the AmpR regulatory protein, model systems have shown that constitutive *ampC* expression increased 2.5- to 5.8-fold. However, the data presented from this study show that, in the presence of a stronger promoter, levels of expression of the –35 and –10 elements of the vestigial *ampC* promoter do not increase above the constitutive level observed from a wild-type strain of *E. cloacae*. This observation was not predicted by data from promoter gene constructs comparing expression among cloning vectors expressing chromosomal *ampC* genes with or without the *ampR* gene (18, 24).

The plasmid-associated *ampC* genes of *Enterobacter* origin as a group are distinctive in that the mechanisms driving expression are unique to each gene, *bla*_{ACT-1} or *bla*_{MIR-1}. The genetic context of the *bla*_{ACT-1} promoter is similar to what is observed for the promoter prA of the *Enterobacter* sp. chromosomal *ampC* gene, whereas *bla*_{MIR-1} is expressed constitu-

tively from the novel hybrid promoter prB. Although prB and prA both exhibit 67% percent identity to the overall *E. coli* consensus promoter sequence, the observed expression from prB is higher than that observed from prA (13). The difference between prB and prA is in the positions at which these promoters match the consensus sequence. The sequence of prB is more similar than the sequence of prA at positions that have been shown to dramatically increase expression according to studies of the *E. coli* promoter consensus sequence (13). The prB promoter sequence matched the consensus at position 1 of the –10 element and position 4 of the –35 element compared to that of prA, which did not match the consensus sequence at these positions. Such positional differences would allow the RNA polymerase initiation complex to recognize the prB promoter better than the prA promoter.

It is possible that, because prB expression is 170-fold higher than prA expression, quenching could play a role in promoter usage. Quenching of promoter usage occurs when a strong promoter outcompetes a weak promoter for RNA polymerase recruitment (21). The lack of *bla*_{MIR-1} expression in the absence of the upstream promoter, prB, indicated that quenching was not responsible for decreased expression from the vestigial promoter, prA.

Although the formation of new promoters that constitutively express high levels of *ampC* transcripts is the means by which *bla*_{MIR-1} is expressed and is probable in other plasmid-associated *ampC* promoters, other mechanisms for increased promoter expression cannot be ruled out. The ~11-fold increase in expression from prA in EcM1Δ04 and EcM1Δ05 compared to expression from prA alone in EcM1Δ06 was likely the result of the prB –10 element attracting the RNA polymerase to the region. Although this increase in expression did not result in a significant change to the observed cefotaxime and ceftazidime

this study, it is inappropriate to select putative -10 and -35 elements of a sequenced plasmid-associated *ampC* gene based on the location of the wild-type promoter in the gene of origin. It is likely that the formation of new promoters or the acquisition of strong promoters located within upstream insertion elements (V. L. Herrera and N. D. Hanson, Abstr. 41st Inter-sci. Conf. Antimicrob. Agents Chemother., abstr. 1488, 2001; M. D. Reisbig and N. D. Hanson, Abstr. 13th Eur. Congr. Clin. Microbiol. Infect. Dis., abstr. P574, 2003) is necessary in order for β -lactam resistance to be observed in an organism that expresses a plasmid-associated *ampC* gene in the absence of AmpR.

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