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Heterogeneity in clinically normal older participants classified as Suspected Non-Alzheimer's disease pathophysiology

Elizabeth C. Mormino, PhD¹, Kathryn V. Papp, PhD^{1,2}, Dorene M. Rentz, PsyD^{1,2}, Aaron P. Schultz, PhD^{1,3,4}, Molly LaPoint, BS¹, Rebecca Amariglio, PhD^{1,2}, Bernard Hanseeuw, MD, PhD¹, Gad A. Marshall, MD^{1,2}, Trey Hedden, PhD^{3,4}, Keith A. Johnson, MD^{1,2,4,5}, and Reisa A. Sperling, MD^{1,2,4}

¹Department of Neurology, Massachusetts General Hospital, Harvard Medical School, Boston

²Center for Alzheimer Research and Treatment, Department of Neurology, Brigham and Women's Hospital, Harvard Medical School, Boston, Massachusetts

Corresponding Author: Elizabeth Mormino, PhD, Address: Martinos Center for Biomedical Imaging, 149 13th Street, Charlestown MA 02129, Telephone: (617) 726-6213, Fax number: (617) 726-5760, bmormino@nmr.mgh.harvard.edu.

Authors' contributions

E. Mormino: design and conduct of the study; analysis and interpretation of the data; preparation of the manuscript

K. Papp: collection, analysis, and interpretation of the data; preparation of the manuscript

D. Rentz: design of the study; interpretation of the data; review and approval of the manuscript

A. Schultz: management, analysis, and interpretation of the data; review and approval of the manuscript

M. LaPoint: collection, analysis, and interpretation of the data; review and approval of the manuscript

R. Amariglio: collection and interpretation of the data; review and approval of the manuscript

B. Hanseeuw: interpretation of the data; review and approval of the manuscript

G. Marshall: collection and interpretation of the data; review and approval of the manuscript

T. Hedden: collection and interpretation of the data; review and approval of the manuscript

K. Johnson: design of the study; interpretation of the data; preparation of the manuscript

R. Sperling: design of the study; interpretation of the data; preparation of the manuscript

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³Athinoula A. Martinos Center for Biomedical Imaging, Department of Radiology, Massachusetts General Hospital, Charlestown

⁴Department of Radiology, Massachusetts General Hospital, Harvard Medical School, Boston

⁵Division of Nuclear Medicine and Molecular Imaging, Department of Radiology, Massachusetts General Hospital, Harvard Medical School, Boston

Abstract

Importance—A substantial proportion of clinically normal (CN) older participants are classified as suspected non-Alzheimer’s disease (AD) pathophysiology (SNAP), as defined as being biomarker negative for beta-amyloid (A β) but positive for neurodegeneration (ND). The etiology of SNAP in CN remains unclear.

Objective—To determine whether SNAP CN show evidence of early AD processes (elevated Tau and/or increased risk of cognitive decline).

Design—Longitudinal observational study.

Setting—Academic medical center.

Participants—Two hundred forty seven CN (age range=63–90; 142 women).

Main outcomes and measures—CN were classified into preclinical AD stages using measures of A β (PIB-PET) and ND (hippocampus volume or cortical glucose metabolism from AD-vulnerable regions): Stage 0 (A β -/ND-), Stage 1 (A β +/ND-), Stage 2 (A β +/ND+), and SNAP (A β -/ND+). Continuous levels of PIB and ND, medial and inferior temporal lobe Tau, and longitudinal cognition were examined both across preclinical stages and within the SNAP group.

Results—Twenty-six percent of CN from the Harvard Aging Brain Study (HABS) were classified as SNAP. Compared to Stage 0, SNAP were not more likely to have subthreshold PIB values (higher values within the A β -range), suggesting that misclassification due to the PIB cut off was not a prominent contributor to this group. Tau in both the medial and inferior temporal lobes was indistinguishable between SNAP and Stage 0, and was lower in SNAP compared to Stage 2. Stage 2 demonstrated greater cognitive decline compared to all other groups, whereas SNAP showed a diminished practice effect over time compared to Stage 0.

Conclusions and relevance—SNAP CN do not exhibit evidence of elevated Tau, suggesting that this biomarker construct does not simply represent amyloid independent tauopathy. At the group level, SNAP does not show cognitive decline but does show a diminished practice effect. SNAP is likely heterogeneous with a subset of this group at elevated risk of short-term decline. Future biomarker refinement will be necessary to subclassify this group and determine the biological correlates of ND markers among A β - CN.

Introduction

In 2011 the National Institutes on Aging and the Alzheimer’s Association workgroup published criteria for classifying clinically normal (CN) older individuals thought to be on the AD trajectory into stages of preclinical Alzheimer’s disease (AD)¹. This staging framework postulated a sequence that begins with beta-amyloid (A β) accumulation,

followed by neurodegeneration (ND), and eventually cognitive decline^{2,3}. Preclinical Stage 1 are A β + but ND-, Stage 2 are A β + and ND+, and Stage 3 are A β +/ND+ and additionally show subtle cognitive impairment. Soon after the publication of these criteria, Jack et al described an additional category of A β – CN that were ND+ (“Suspected Non-Alzheimer’s disease Pathophysiology,” SNAP)⁴. Interestingly, the proportion of SNAP CN has been remarkably consistent at ~25% across multiple independent cohorts⁵.

The relevance of SNAP CN to the conceptualization of preclinical AD is currently unclear^{5,6}. Among A β – CN, baseline neurodegenerative markers are not associated with subsequent accumulation of A β ⁷, suggesting that SNAP CN are not at elevated risk of entering the Alzheimer’s disease cascade compared to Stage 0. It has also been shown that markers of non-AD pathologies such as cerebrovascular disease and α -synucleinopathy are not more prevalent in SNAP⁸ (however see⁹). A remaining possibility is that SNAP CN, or at least a portion of the SNAP CN group, reflects amyloid independent tauopathy¹⁰⁻¹². Tau aggregation in the medial temporal lobe (MTL) is ubiquitous in aging (~94% of individuals in their 70’s are Braak stage I and higher¹³). Although Tau aggregation beyond the MTL is coupled with A β accumulation^{13,14}, a subset of low A β individuals show this more extensive pattern of Tau deposition. The presence of Tau aggregation in the MTL and beyond the MTL among A β – participants has recently been labeled “primary age-related tauopathy” (PART) and is currently under discussion^{10,12,15}. An intriguing possibility is that SNAP CN are the *in vivo* analog of this postmortem group. We can test this possibility using PET imaging that enable assessment of the spatial distribution of Tau aggregates^{16,17}.

Another clarification regarding the relevance of SNAP is whether this group shows cognitive decline over time. If PART is a contributing etiology of SNAP, then SNAP should show cognitive decline given that PART cases demonstrate worse cognitive scores than their low A β /low Tau counterparts¹⁰. Whereas most studies to date have found elevated decline in Stage 2, results in SNAP CN vary¹⁸⁻²⁰. We previously reported intermediate levels of longitudinal change over two years in SNAP CN on a global cognitive composite measure¹⁹. We sought to expand on this finding by examining a larger sample followed over a longer duration, and additionally explore correlates of decline within the SNAP group.

Overall, the primary goal of the current study was to explore whether SNAP CN shows evidence of amyloid independent Tau and/or cognitive decline.

Methods

Participants

Harvard Aging Brain Study (HABS) participants undergo baseline MRI/PET scanning and annual neuropsychological testing. Study protocols were approved by the Partners Healthcare Institutional Review Board, and all participants provided informed consent.

At baseline participants had a global CDR=0, performed within education-adjusted norms on the Logical Memory delayed recall, and MMSE²⁷. Two hundred forty-seven participants included in the current analyses completed PIB-PET, FDG-PET, and structural

MRI scanning within one year of baseline (Table 1). Eighty of these participants additionally underwent T807-PET within one year of the other imaging procedures.

MRI

MRI was completed at the MGH Martinos Center on a Siemens TIM Trio 3T System with a 12-channel head coil. Structural T1-weighted volumetric magnetization-prepared, rapid acquisition gradient echo scans (TR/TE/TI=6400/2.8/900ms, flip angle=8°, 1x1x1.2mm resolution) were used to extract hippocampus volume (HV) with FreeSurfer v5.1²¹. Total bilateral HV was adjusted for estimated total intracranial volume¹⁹.

PET

PET scanning using PIB, T807, and FDG radioligands was completed at the MGH PET facility using a Siemens ECAT EXACT HR+ PET scanner (3D mode; 63 image planes; 15.2cm axial field of view; 5.6mm transaxial resolution and 2.4mm slice interval). C¹¹-PIB and F¹⁸-T807 were synthesized using previously published protocols²².

Ten-minute transmission scans for attenuation correction were collected before emission data. For PIB, 8.5–15 mCi were injected and 60-minutes of dynamic data were acquired in 69 frames (12x15 seconds, 57x60 seconds). T807 was acquired from 80–100 minutes after a 9.0–11.0 mCi injection in 4x5 minute frames. For FDG, 5.0–10.0 mCi was injected and images were acquired across 6x5 minute frames 45-min post-injection.

PET preprocessing was performed using SPM8. PIB images were realigned, and the first 8 minutes were averaged and used for normalization to the MNI FDG template. Distribution volume ratio images were created with Logan plotting (40–60 minutes, gray matter cerebellar reference). PIB signal from a global cortical aggregate was extracted for each participant¹⁹. T807-PET data were realigned, summed, and coregistered to each participant's MRI. T807 was extracted from FreeSurfer-defined bilateral entorhinal, parahippocampal, and inferior temporal gyrus and expressed as the standardized uptake value ratio (SUVR) relative to a gray matter cerebellar reference¹⁷. FDG-PET data were realigned, summed, and normalized to the MNI FDG template. FDG was extracted from a MetaROI reflecting AD vulnerable cortical regions, and normalized using a pons/vermis reference region²³.

Classification into preclinical AD stages

A Gaussian mixture modeling approach was used to classify HABS CN as A β + or A β – (cut-off value=1.20)²⁴. Although ND markers vary across studies⁵, the most commonly used ND markers are aHV, MetaROI FDG, and CSF Tau. We previously have used aHV and FDG to classify participants into preclinical stages^{19,25,26} since this data is available for the majority of HABS participants (CSF Tau is only collected on a subset) and is consistent with classification procedures used by Jack and colleagues^{4,19}. Specifically, participants were classified as ND+ if positive for either aHV or MetaFDG (using a cut-off of 6723mm³ for aHV and 1.249 for MetaROI FDG)¹⁹. Further details regarding classification into ND groups is presented in Supplemental Materials (eMethods 1, eTable 1). Based on joint A β

and ND status, CN were classified as Stage 0 ($A\beta$ -/ND-), Stage 1 ($A\beta$ +/ND-), Stage 2 ($A\beta$ +/ND+), and SNAP ($A\beta$ -/ND+)⁴.

Neuropsychological testing

We assessed cognition using the Preclinical Alzheimer's Disease Cognitive Composite (PACC)²⁷, which is comprised of the (1) Free and Cued Selective Reminding Test Cued Recall, (2) Logical Memory Delayed Recall, (3) Digit Symbol Coding, and (4) MMSE. Measures were z-transformed based on the mean and standard deviation from baseline data and averaged.

Statistical models

Analyses were performed using R v3.2. Differences in demographics across preclinical stages were examined with t-tests for continuous variables and chi-squared tests for dichotomous variables. Multiple linear regression models were used to examine biomarker differences, controlling for age and sex.

Linear mixed models were used to examine change in the PACC. All models included covariates for preclinical stage, age, sex, and education, as well as each covariate's interaction with time from baseline. A random intercept and slope was included for each participant. We were specifically interested in contrasting SNAP with Stage 0, Stage 1, and Stage 2. To further explore variation among SNAP, we examined the association between continuous values of PIB and ND markers within the SNAP group. All p-values were 2-sided and no correction for multiple comparisons was performed.

Results

Continuous levels of PIB and ND

Participant characteristics are found in Table 1. Given that biomarker cut off selection may impact group classification, it is possible that SNAP CN are more likely to have greater levels of subthreshold PIB compared to Stage 0 (values of PIB *below* the cut off of 1.20) and/or lower levels of ND markers compared to Stage 2 (values of ND markers just *above* the cut off for ND positivity). We therefore contrasted continuous levels of subthreshold PIB between SNAP and Stage 0 (groups below the $A\beta$ cut off), as well as ND markers between SNAP and Stage 2 (groups above the ND cut off). Although not reaching statistical significance, SNAP CN showed *less* PIB uptake compared to Stage 0 ($p=0.069$), suggesting there was no evidence for higher levels of subthreshold PIB in SNAP compared to Stage 0. There were also no differences between SNAP and Stage 2 for continuous levels of aHV ($p=0.27$) or metaFDG ($p=0.27$). To determine whether SNAP shows a distinct ND pattern, vertexwise gray matter thickness was contrasted between SNAP and Stage 2 and did not reveal any regions showing reduced thickness in SNAP (eFigure 1).

Tau Imaging across preclinical stages

Examination of regional Tau as measured with T807 PET revealed *less* Tau in SNAP compared to both Stage 1 and Stage 2 in entorhinal cortex (SNAP vs. Stage 1: $p=0.015$; SNAP vs. Stage 2: $p=0.0030$) and parahippocampal gyrus (SNAP vs. Stage 1: $p=0.049$,

SNAP vs. Stage 2; $p=0.016$). T807 signal in the inferior temporal gyrus was significantly lower in SNAP compared to Stage 2 ($p=0.0095$), but this did not reach statistical significance compared to Stage 1 ($p=0.18$). Importantly, T807 signal between SNAP and Stage 0 was indistinguishable across all three regions (p -values 0-88). Stage 2 and Stage 1 showed similar levels of Tau in medial temporal regions (p -values 0-68). Although not statistically significant from Stage 2, Stage 1 showed intermediate values of T807 in the inferior temporal gyrus ($p=0.28$, Figure 1). Examination of continuous T807 versus PIB confirmed that T807 signal among $A\beta^-$ participants was not related to SNAP (eFigure 2). Furthermore, higher inferior temporal lobe T807 was associated with smaller aHV within $A\beta^+$ ($p=0.035$) but not within $A\beta^-$ ($p=0.81$; Figure 2). The association between metaROI FDG and T807 did not reach significance within either $A\beta^+$ ($p=0.11$) or $A\beta^-$ ($p=0.61$).

Longitudinal change in cognitive performance on PACC

There were no baseline differences on PACC between SNAP and any group (p -values 0.87, eTable 2). Examination of longitudinal change revealed that SNAP showed better performance over time on the PACC compared to Stage 2 ($\beta=0.157 \pm 0.044$, $p=0.0004$). SNAP showed worse performance over time compared to Stage 0 ($\beta=-0.082 \pm 0.037$, $p=0.026$), which was primarily driven by a diminished practice effect. The difference between SNAP and Stage 1 was not statistically significant ($\beta=-0.085 \pm 0.047$, $p=0.069$, Figure 3).

Examination of individual trajectories across preclinical stages revealed two SNAP participants showing rapid cognitive decline (eFigure 3). We therefore repeated longitudinal models excluding these two participants, and found a marginally significant difference between SNAP and Stage 0 ($\beta=-0.053 \pm 0.038$, $p=0.084$) and no difference between SNAP and Stage 1 ($\beta=-0.045 \pm 0.038$, $p=0.24$, eFigure 4). Thus, the diminished practice effect observed in SNAP compared to Stage 0 was not solely driven by the two rapid decliners.

To further understand cognitive change within SNAP, we examined continuous levels of ND and subthreshold PIB (continuous values of PIB *below* the cut off of 1.20) on longitudinal PACC within the SNAP group. This analysis revealed that higher subthreshold PIB ($p=0.0010$) and reduced aHV ($p=0.0016$) were associated with worse PACC performance over time in the SNAP group. There was no significant contribution of MetaROI FDG ($p=0.60$). These effects were no longer significant after excluding the two SNAP rapid decliners (effect of subthreshold PIB: $p=0.48$; aHV: $p=0.41$). A similar analysis within Stage 0 did not reveal any significant associations between cognitive change and subthreshold PIB ($p=0.20$), MetaROI FDG ($p=0.95$), or aHV ($p=0.079$).

Discussion

Twenty-six percent of clinically normal (CN) HABS participants were classified as SNAP ($A\beta^-/ND^+$). Using T807 PET imaging, we found that SNAP had *lower* levels of medial temporal lobe Tau compared to Stage 1 and Stage 2, and *similar* levels to Stage 0. Furthermore, SNAP had similar levels of inferior temporal lobe Tau as Stage 0, but significantly lower Tau levels compared to Stage 2. At the group level, SNAP CN showed a

diminished practice effect over time compared to Stage 0, and better performance over time compared to Stage 2. Examination within SNAP revealed that subthreshold PIB values and reduced hippocampus volume were associated with decline, an effect that was driven by two SNAP rapid decliners. Overall, these results highlight that patterns of neurodegeneration in AD vulnerable regions as detected with hippocampus volume and cortical glucose metabolism are not specific to AD processes among CN. Instead, multiple etiologies likely contribute to the biomarker construct of SNAP.

The presence of small hippocampi and reduced cortical metabolism in AD-vulnerable regions among $A\beta$ – CN highlights that factors beyond $A\beta$ influence variability among ND markers in aging. Although ND markers have been associated with $A\beta$ ^{28,29}, they are also influenced by cerebrovascular disease⁹, hippocampal sclerosis³⁰, and TDP-43³¹. Likewise, associations between chronological age and gray matter³² as well as cortical metabolism³³ are present throughout the lifespan, well before the age of $A\beta$ accumulation¹⁴. Given that ND markers used in our analyses are cross-sectional, these markers may also be influenced by early-life brain reserve factors (suggesting that the term “neurodegeneration” is a misnomer in at least some cases). Thus, abnormal ND levels measured with hippocampus volume and cortical glucose metabolism do not appear to be specific to AD processes³⁴ but are also likely influenced by a number of age-related pathologies, normal aging processes, and inter-individual differences.

Given the high prevalence of medial temporal tangle pathology in aging¹⁴, as well as the presence of Tau aggregates extending into inferior temporal cortex in a subset of low $A\beta$ participants¹⁰, one hypothesis is that elevated Tau is a pathological substrate of SNAP CN¹¹. However, examination of T807 signal did not reveal higher levels of either medial temporal or inferior temporal lobe Tau in SNAP compared to Stage 0. In fact, T807 signal was elevated in both Stage 1 and Stage 2 compared to SNAP within medial temporal lobe regions. Although inferior temporal T807 was only statistically higher in Stage 2 compared to SNAP, Stage 1 showed intermediate levels in this region whereas the mean values between Stage 0 and SNAP were nearly identical. Thus, these data do not support the hypothesis that the biomarker construct of SNAP is analogous to the post-mortem construct of primary age-related tauopathy (PART)¹⁰. Approximately 42% of the sample described by Crary et al (182/434) would, if they had undergone Amyloid PET, likely be classified as $A\beta$ – because they were Thal $A\beta$ Phase 0–2³⁵. Of these 182 $A\beta$ – cases, 77 (42%) were Braak Stage III or IV, were age~90, and MMSE~23¹⁰. In HABS there is a much larger proportion of $A\beta$ – participants (181/247, ~73%), which is expected given the younger mean age of 74 in the HABS cohort. Among the 181 $A\beta$ – participants in HABS, 64 (35%) had abnormal neurodegenerative biomarkers, were age=76.5, and MMSE=29. Given the restrictive enrollment requirements in a study of CN (i.e. baseline MMSE \geq 27), it is likely that cases with Tau aggregation extending into Braak III or IV would be excluded from the HABS, which is consistent with our finding of low tau PET signal in SNAP. It is possible there may be more concordance between SNAP and PART in older populations and/or in mild cognitive impairment, but not within a CN sample with a mean age of 74. Nevertheless, our analyses emphasize discordance between ND markers used to define SNAP and Tau PET imaging. Interestingly, higher inferior temporal lobe T807 was significantly associated with smaller hippocampus volume within $A\beta$ + participants but not within $A\beta$ – participants (the

association between inferior temporal T807 and hippocampus volume among A β + only accounted for 15% of the variance, suggesting that these markers are not completely aligned even within the A β + group). This discordance has important implications for staging criteria of preclinical AD, given that classifying participants using Tau imaging will differ from approaches that use hippocampus volume or MetaROI FDG.

The SNAP group showed a diminished practice effect over time compared to Stage 0, and better performance than Stage 2. This finding is consistent with our previous publication examining longitudinal cognition over a shorter duration and fewer participants, such that short term decline was most prominent in A β +/ND+ participants¹⁹. Although the group level effect was influenced by two SNAP participants showing rapid decline (3% of the entire SNAP sample), the difference between SNAP and Stage 0 was marginally significant after excluding these two participants (the beta describing the difference between SNAP and Stage 0 was reduced from -0.08 to -0.05 after excluding the rapid decliners). Within the SNAP group, there was an association between subthreshold PIB and decline in the PACC, but this effect was driven by the two rapid decliners. The finding that subthreshold PIB values were predictive of cognitive change within SNAP is notable, given that SNAP did not show greater levels of subthreshold PIB compared to Stage 0, and subthreshold PIB values were not associated with cognitive change within Stage 0. This finding suggests that pre-existing neurodegeneration makes a small subset of SNAP participants that are additionally confronted with early A β accumulation at elevated risk of cognitive decline.

Our study has several limitations. We only examined cross-sectional markers of ND, and it is possible that longitudinal change in these markers will give a better estimate of neurodegenerative processes. The lack of concordance between ND status and T807 among A β - participants may reflect the spatial distribution of the ND markers used in our analyses, whereas more focal structural atrophy and/or hypometabolism may be a better correlate of amyloid independent tauopathy. Although we did not find evidence of elevated neocortical or medial temporal lobe Tau in SNAP compared to Stage 0, it is possible that Tau species not detectable with the T807 radioligand are present within SNAP. Finally, our analyses examining cognitive decline within SNAP are limited by the reduced variation in cognitive change among these groups, warranting future analyses with a longer follow up duration.

Approximately 25% of clinically normal participants in the Harvard Aging Brain Study are classified as SNAP. The lack of group level associations between the SNAP group and AD processes (subthreshold PIB and elevated Tau) suggests ND markers are influenced by multiple etiologies. Postmortem studies will be critical to determine pathological correlates of SNAP, and the development of novel molecular biomarkers will help subclassify this group *in vivo*.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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E. Mormino had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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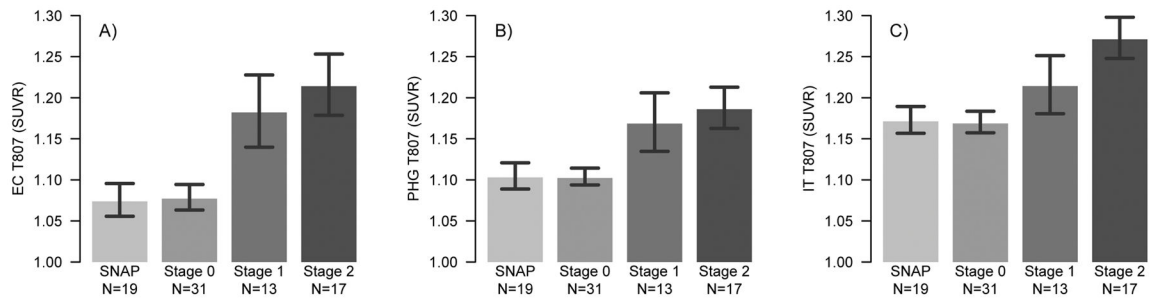


Figure 1. T807 versus preclinical stages

T807 by preclinical stage in the entorhinal cortex (A), parahippocampal gyrus (B) and inferior temporal gyrus (C). SNAP and Stage 0 show indistinguishable levels of Tau across all regions. Stage 1 and Stage 2 show elevated Tau in both medial temporal regions. Stage 2 shows significantly higher levels of Tau in the inferior temporal gyrus, while levels in Stage 1 are intermediate compared to SNAP.

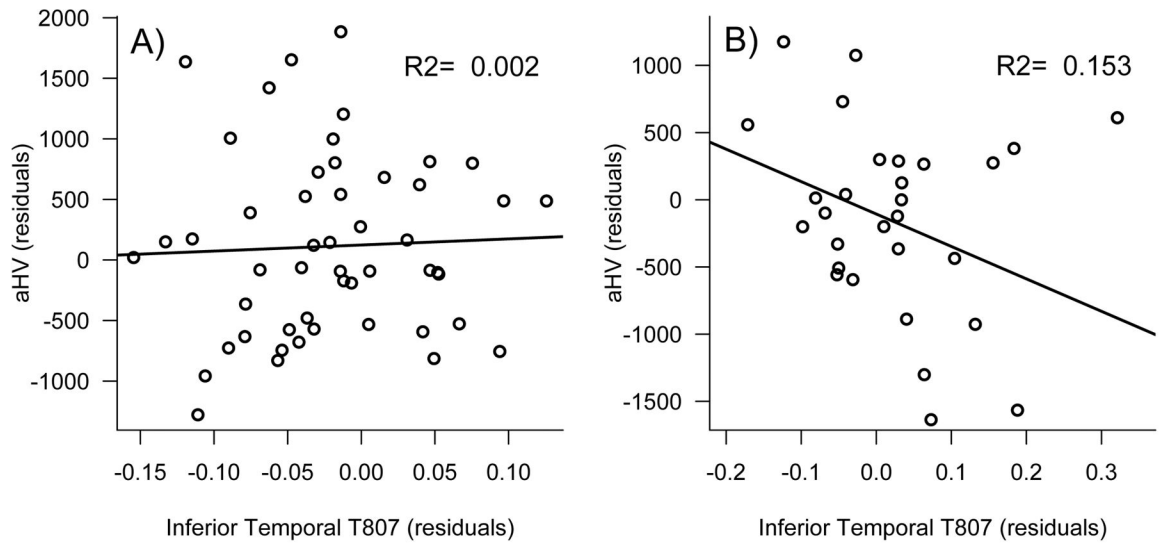


Figure 2. Hippocampus volume versus inferior temporal lobe T807

Associations are shown separately for Aβ⁻ (A) and Aβ⁺ groups (B). Plotted variables are residualized for age. Whereas no association was observed between inferior temporal Tau and hippocampus volume within the Aβ⁻ group, there was a significant association in the Aβ⁺ group that accounted for 15% of the variance above and beyond age.

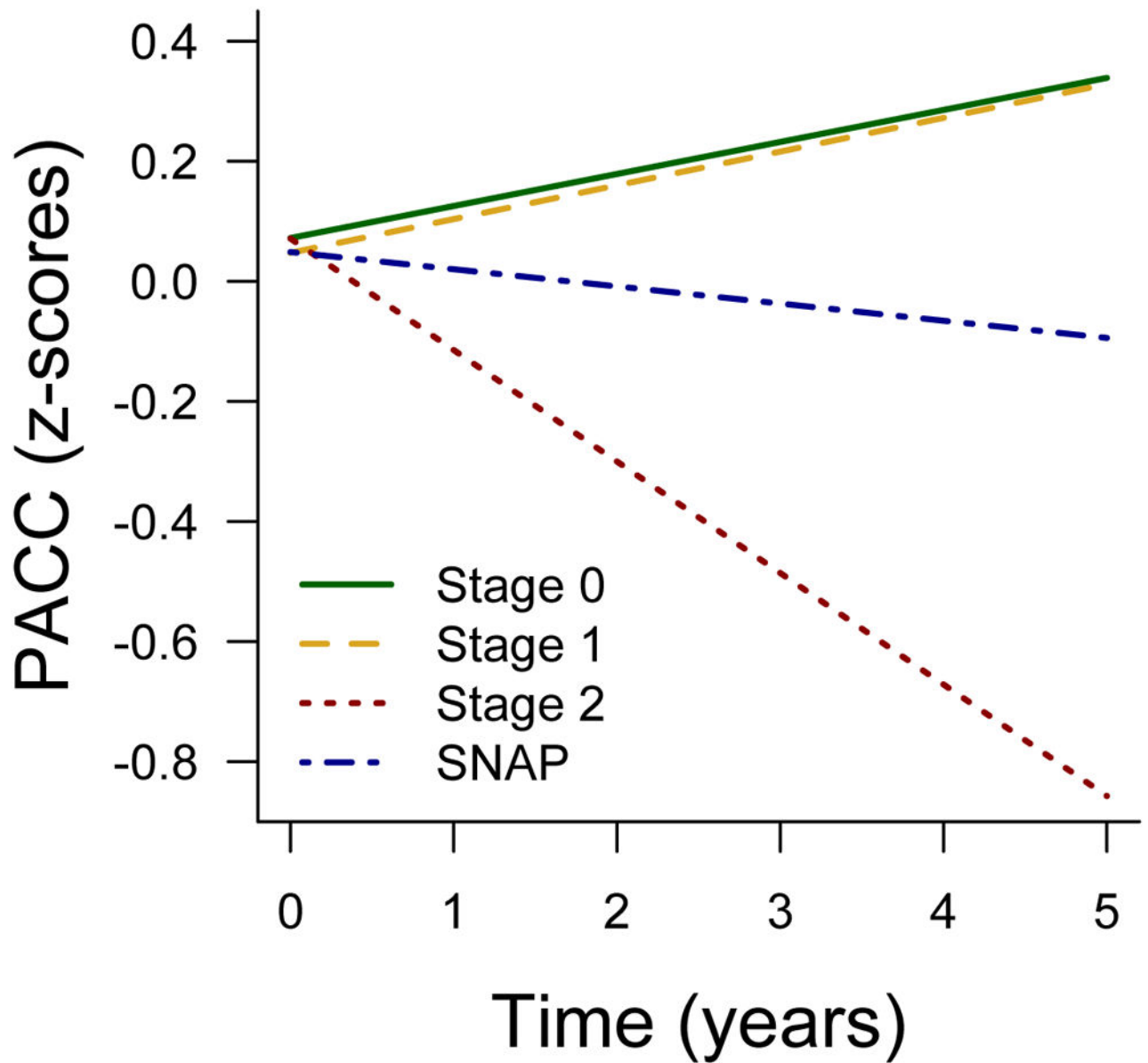


Figure 3. Longitudinal change in the PACC by preclinical stage

Stage 2 shows decline compared to all other groups. SNAP shows worse performance over time compared to Stage 0.

Table 1

Group characteristics. aHV=adjusted hippocampus volume.

	SNAP	Stage 0	Stage 1	Stage 2
N	64 (25.9%)	117 (47.4%)	31 (12.6%)	35 (14.2%)
Age (years)	76.5 (71.1, 81.3)	70.0 (66.9, 75.3) *	72.3 (69.3, 77.2) *	76.9 (73.0, 82.2)
% Female	46.9%	62.4% ^	58.1%	60%
Education (years)	16 (12, 18)	16 (13, 18) *	16 (14, 18) *	16 (16, 18) *
% APOE4+	17.8%	15.0%	64.3% *	53.1% *
PIB (DVR)	1.073 (1.044, 1.107)	1.091 (1.055, 1.128)	1.398 (1.290, 1.491) *	1.415 (1.314, 1.546) *
aHV (mm³)	6828 (6377, 7575)	7859 (7343, 8413) *	7717 (7197, 8060) *	6636 (6030, 7202)
MetaROI FDG (SUVR)	1.221 (1.162, 1.266)	1.354 (1.296, 1.436) *	1.377 (1.313, 1.399) *	1.208 (1.156, 1.244)
Follow-up (years)	3.6 (2.2, 4.2)	3.9 (2.1, 4.4)	4.0 (2.7, 4.2)	4.1 (3.0, 5.0) ^
MMSE	29 (28, 30)	29 (29, 30)	29 (28, 30)	29 (28, 29)

* Statistically different (p<0.05) and

^ marginally non-significant effects (p<0.15) than SNAP.

Medians and interquartile ranges are listed for continuous variables.