



Published in final edited form as:

Menopause. 2017 February ; 24(2): 157–162. doi:10.1097/GME.0000000000000744.

Sleep-Disordered Breathing and the Menopausal Transition among Participants in the Sleep in Midlife Women Study

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Abstract

Objective—Menopause is widely believed to be an established cause of sleep disorders, but evidence for this theory is inconclusive. Attributing any sleep problem to normal processes of menopause may lead to underdiagnosis of treatable sleep disorders in midlife women. This study uses detailed longitudinal data on sleep and menopausal health from participants in the Sleep in Midlife Women Study to investigate whether risk and severity of sleep-disordered breathing increase with progression through menopause, accounting for changes in age and body habitus.

Methods—219 participants age 38–62 years were recruited from participants in the Wisconsin Sleep Cohort study. Menopausal status was determined from daily diaries in which participants reported menstrual flow, hot flashes, and use of hormonal medications. Each participant underwent in-home polysomnography studies every six months, to measure the apnea-hypopnea index (N=1,667 studies). Linear models with empirical standard errors were fit for logarithm of apnea-hypopnea index on menopausal status and years in menopause, adjusting for age, BMI, waist girth and neck girth.

Results—Compared to participants in premenopause, apnea-hypopnea index was 21% higher among participants in perimenopause (95% Confidence Interval [-4, 54]), 31% higher among participants in postmenopause ([2, 68]), and 41% higher among participants whose menopausal stage could not be distinguished between peri- and postmenopause ([8, 82]). Among women who had begun perimenopause, each additional year in menopause was associated with 4% greater AHI ([2,6]).

Conclusions—Progression through menopause is associated with greater SDB severity. This association is independent of aging and changes in body habitus.

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Conflicts of Interest: None

Disclaimers: None

Keywords

Menopause; Sleep Apnea Syndromes; Sleep Apnea; Obstructive; Women's Health; Aging

Introduction

Among younger adults, the prevalence of sleep apnea in men is roughly three times the prevalence in women, but the effect of gender is smaller among older adults.¹⁻⁴ A possible explanation for the contrast in aging patterns between genders is that the transition to menopause may increase risk of sleep-disordered breathing. Several studies have attempted to investigate the association between menopausal status and sleep-disordered breathing, but those studies had important methodological limitations.

Studies designed to accurately measure menopause are rarely designed to fully measure sleep-disordered breathing, and vice versa, making prior results difficult to interpret. Classification of menopausal status relies on menstrual history, which is difficult to recall retrospectively. Since sleep-disordered breathing manifests during sleep—when patients are rarely observed, and cannot observe themselves at all—underdiagnosis is common. Self-report of sleep-disordered breathing is therefore of limited value, and likely to introduce bias as some groups of people are more likely than others to be accurately diagnosed.

Many studies have failed to account for confounding, especially by age. Menopause is an aging process, and since age is a powerful predictor of sleep apnea risk and severity, it is important to distinguish whether menopause itself is associated with greater risk of sleep apnea or whether it simply captures an aging process similar to that in men. Some studies found that more advanced menopausal stage is associated with sleep-disordered breathing, but failed to account for the effect of aging.⁵⁻¹⁰

A further consideration is that if menopausal status does affect breathing during sleep, it might be expected to do so primarily through changes in body habitus. Postmenopausal women tend to have higher BMI and central adiposity,¹¹ both of which are strongly associated with SDB.¹² If any association between menopausal stage and sleep-disordered breathing can be explained either by body habitus changes or by aging itself, then there is little immediate clinical utility in using menopausal status as a risk factor—knowing a patient's age and BMI would suffice to assess her risk.

More rigorous studies accounting for both age and body habitus have yielded conflicting results, with some showing an association independent of age,¹³⁻¹⁶ and others showing no association.¹⁷ Among population-based epidemiologic studies, only one prior investigation¹³ has followed the same women through different menopausal stages. This investigation by Young et. al. using data from the Wisconsin Sleep Cohort found a smaller estimated association between menopause and SDB severity (compared to participants in premenopause, odds ratios of 1.66 for perimenopause, 3.22 for postmenopause, and 2.82 for undetermined peri-postmenopause) than was suggested by studies that used cross-sectional data.^{14,16} This discrepancy may be a result of study design, as the longitudinal studies were able to control for time-invariant factors intrinsic to each participant whereas the cross-

sectional studies are limited to comparing difference across different women. However the Young et. al. study was limited by the small number of observations on each participant, and an average five-year gap between observations.

The present study investigates whether progression through menopause is associated with risk and severity of sleep-disordered breathing, independent of age and changes in body habitus, in order to address the question of whether menopause itself is a useful marker of SDB risk and severity. It uses data from the Sleep in Midlife Women Study, a population-based cohort study designed to gather high-quality, precise longitudinal data on both menopausal status and sleep-disordered breathing.

Methods

Study Design

Sleep in Midlife Women Study participants were recruited from among female participants in the Wisconsin Sleep Cohort Study. The parent study's design is described in full elsewhere.³ Briefly, Wisconsin state workers were sampled at random and recruited to participate in a mailed survey from 1989-1993. Among survey responders, a stratified random sample was selected for further study. Sampling weights were designed to enrich the sample with participants with sleep apnea. From 1989-2003, this subsample of responders was invited to undergo in-laboratory polysomnography, and to return for sleep studies approximately every four years, continuing through the present. From 1996-2005, all female participants who were “at risk” for menopause (defined as having begun Perimenopause or being over 47 years old) were invited to participate in the Sleep in Midlife Women Study. Because the median age in the cohort was 47 at the start of recruitment, women who had already begun perimenopause were prioritized, in order to maximize the number of observations on women not yet in postmenopause. The response rate was approximately 80%.

Sleep in Midlife Women Study participants completed diaries, including daily data on sleep symptoms and menstrual symptoms, and monthly data on hormonal medication use. Participants also underwent polysomnography studies in their own homes approximately every six months through 2007. On the night of the sleep study, an interview was conducted including questions on alcohol use and smoking, and weight, waist girth, and neck girth measurements were taken. BMI was calculated using height measured at the participant's most recent laboratory visit.

Protocols and informed consent documents for the Sleep in Midlife Women Study and Wisconsin Sleep Cohort Study were approved by the University of Wisconsin-Madison Health Sciences Institutional Review Board.

Sleep-Disordered Breathing Measures

Sleep-disordered breathing was assessed by measuring the apnea-hypopnea index (AHI) to indicate the rate of breathing pauses during sleep. In-home polysomnography (P-series, Compumedics USA, Inc., Fridley, MN) included piezoelectric chest and abdominal bands to record breathing effort, nasal-oral thermistry to detect airflow, and finger-pulse oximetry to

record arterial oxygen saturation. Apnea-hypopnea index was calculated by summing the number of apneas (air flow cessation ≥ 10 seconds) and hypopneas (25% decrease in airflow, or interruption in flow pattern, for ≥ 10 seconds, with oxygen desaturation of $\geq 4\%$), divided by objectively measured total sleep time.

Menopausal stage measures

Menopausal stage was classified using criteria consistent with the Stages of Reproductive Aging Workshop.¹⁸ Menstrual history was used wherever possible to date age at early perimenopause, age at late perimenopause and age at postmenopause. History of hysterectomy and/or oophorectomy were also used where relevant. Where menstrual history was missing or uninformative, criteria based on use of hormonal medications or chronological age were used. Each participant was classified as being premenopausal until at least one criterion for being in perimenopause was met, then classified as perimenopausal until one of the criteria for postmenopause was met. A full list of criteria is presented in Table 1. Initially perimenopause was divided into early perimenopause and late perimenopause. However AHI was very similar in the two perimenopause categories, absolutely and conditional on the covariates of interest for this analysis, so the two categories were combined *post hoc* into a single perimenopause category. Following the method of Young et. al.,¹³ a fourth category of menopausal status was created for observations that were known to have occurred after the start of perimenopause, but at which perimenopause could not be distinguished from postmenopause. Continuous time in menopause was estimated as time elapsed since the date of the start of early perimenopause.

Statistical Analysis

Descriptive statistics were calculated as means and standard deviations of continuous variables, and frequencies of categorical variables. All analyses were conducted using SAS version 9.3 (Cary, NC).

The relationships of menopausal stage and time in menopause to AHI were modeled using linear and logistic regressions. Initially logistic models using cut points of AHI greater than 10, 15, and 30 were compared, and results were found to be similar. Models using AHI ≥ 15 are presented here as that cutoff is commonly used in clinical diagnosis of OSA. The distribution of AHI was right skewed in this healthy population, so for linear models we transformed AHI by taking the natural logarithm, adding one to preserve zero values. Thus the outcome in linear models was $\ln(\text{AHI} + 1)$. Linear regression coefficients were then exponentiated to produce a ratio of AHI +1. Results of these models are presented as the ratios, which may also be used to obtain percent differences. Models using continuous time in menopause as a predictor excluded observations on participants in premenopause and observations on participants whose start of early perimenopause could not be dated, either because last menstrual period predated the study, because prospective data was missing, or because subjects did not meet criteria outlined in Table 1.

Mixed effects models with random intercepts, using empirical standard errors to account for repeated measures, were run using the MIXED procedure. Each model was adjusted for age, BMI, waist girth, neck girth, hormone therapy use, alcohol use, and smoking history.

Observations with missing covariate data were excluded. An overall F -test and a test for linear trend were calculated for menopausal stage.

Effect modification was assessed by interacting menopausal status and time in menopause with several different covariates: age, years in postmenopause, use of menopausal hormone therapy, BMI, waist circumference, and neck circumference. For each assessment, product terms were included in fully adjusted linear models, and P -values were assessed on individual product terms and on the overall F -test for all product terms in the model. P -values below 0.01 were considered evidence consistent with effect modification.

Logistic regression models with outcomes of AHI ≥ 15 were adjusted for the same covariates. Generalized Estimating Equations, assuming compound symmetry, were used to account for repeated measures, via the GENMOD procedure.

Results

Sample characteristics

Of the 239 participants recruited for the Sleep in Midlife Women Study, 219 participants had nonmissing values of all covariates for at least one visit. 1,667 sleep studies were included in this analysis. Participant characteristics at baseline are presented in Table 2.

Mean AHI was relatively high, reflecting the fact that the in-home polysomnography equipment measured AHI systematically higher than typical laboratory equipment. There were relatively few observations on participants in premenopause, as a result of the study design that prioritized recruitment of women who had begun perimenopause. Women who had progressed further through menopause by the beginning of the study were older and had higher mean AHI. Women beginning the study in premenopause had smaller mean waist circumference, reported more alcohol consumption, and had less history of smoking.

Regression results

Full results of multivariable regressions of AHI on menopausal stage are presented in Table 3. There was a monotonic increase in AHI from premenopause, to perimenopause, and postmenopause. However, the highest AHI was observed in the undetermined peri-postmenopause category. This relationship was independent of chronologic age and body habitus. Though age, BMI, neck girth, and waist girth were also associated with higher AHI, these factors did not explain the association with menopausal stage. A similar pattern across categories of menopausal stage was found in the logistic model, but confidence intervals were wide.

Results of modeling regression of AHI on years in menopause are shown in Table 4. Every additional year in menopause was associated with 4% higher AHI (95% confidence interval [2%-6%]). This association was also independent of age and body habitus measures. Each additional year in menopause was associated with 7% greater odds of having AHI of fifteen or greater, but with a confidence interval that includes one. This suggests an exposure-response relationship, that is that the longer participants had been in menopause, the more severe was their SDB on average.

There was no evidence that menopausal stage was modified by age, by any measure of body habitus, or by menopausal hormone therapy use (see Supplemental Digital Content 1). There was also no evidence that the association between menopausal stage and AHI was modified by time in menopause.

Discussion

Our analysis found that the transition from premenopause to postmenopause is associated with increased severity of sleep-disordered breathing, and that these changes are not entirely explained by chronological aging, or by changes in body habitus. We also found evidence of an exposure-response relationship between progression through menopause and sleep-disordered breathing, in which mean AHI in perimenopause was in between mean AHI in premenopause or postmenopause. The estimated comparison between premenopause and perimenopause was not sufficiently precise to discount association due to chance, in that the confidence interval included one. However the association between continuous time in menopause and higher AHI also supports an exposure-response model.

Though BMI, waist circumference, and neck circumference were all associated with higher AHI, we did not find evidence that changes in body habitus are the sole mechanism through which menopausal stage could affect AHI. Many other mechanisms by which menopause could affect sleep-disordered breathing are possible, but they have not been rigorously tested. Levels of sex hormones may be implicated, since ovarian aging is associated with lower levels of estrogens and progesterones. Some short-term experiments on small samples have suggested that level of sex hormone can affect breathing during sleep.^{21,22} However exogenous hormones have not been conclusively shown to be beneficial to risk or severity of sleep apnea,²³ suggesting that some other aspect of the menopausal transition may be at work.

These findings agree with much of the published literature in finding a positive association between later menopausal status and SDB. However they give stronger support to a causal association. In contrast to the studies by Bixler et. al¹⁴ and Polesel et. al.¹⁶, this study used repeated measures that allow regression modeling to control for time-invariant personal characteristics that could bias a cross-sectional association. One notable different between these studies and ours is that the magnitude of the associations found in this analysis were substantially smaller.

The finding that menopausal hormone therapy did not modify the association between menopausal stage and AHI contradicts findings from an earlier study of data from the Wisconsin Sleep Cohort Study.¹³ The discrepancy may be explained by the fact that data analyzed for the cited paper by Young et. al. was collected prior to 2002, a year when the results of the Women's Health Initiative study led to an abrupt shift in prescribing practices away from long-term chronic-disease prevention and toward short-term symptomatic treatment.²⁰

It is surprising that the most severe sleep-disordered breathing was observed in the group with undetermined menopausal status. If this group represents a mix of participants whose

“true” menopausal status is perimenopause and participants in “true” postmenopause, we would expect the estimated association with AHI to fall in between that of perimenopause and postmenopause. It is unclear why this group should be at especially high risk of sleep apnea. One possibility is that women who had irregular menses or oligomenorrhea throughout adulthood may have been difficult to classify on the basis of their menstrual histories, or may have had particularly long perimenopauses that lasted beyond the end of the study, leading to their data being systematically more likely to be missing. This could represent a qualitatively different experience of menopause, with some biological importance. Our study did not collect data on polycystic ovary syndrome (PCOS), and this is one known predictor of irregular menses. Several studies of women with PCOS have found that they are at greater risk of obstructive sleep apnea, independent of age and BMI.¹⁹ Another possible explanation is simply “noisy” data—the confidence intervals around the odds ratio estimate for the undetermined group do not preclude an elevated “risk” intermediate to that of the perimenopause and postmenopause groups.

Strengths and Limitations

This project's findings present the most comprehensive study of menopause and SDB to date. This study has several important strengths that distinguish it from existing studies. Very few studies have been designed to both measure menopause well and measure SDB well. Our study measured menopause with high precision, with participants reporting menstrual and symptom data daily. Furthermore the measurements used to define menopausal status were collected prospectively, rather than relying on recall, which can be inaccurate. A third strength is the length of follow-up time, which allowed many participants to be observed at different stages of the menopausal transition, rather than relying solely on comparisons across different women. This study is also notable for its ability to control for factors associated with menopause, including age, body habitus measures, and health behaviors such as alcohol and smoking.

It was necessary in this study to prioritize women already in perimenopause for recruitment in order to avoid losing the chance to observe them before their transition to menopause was complete, but the small number of observations on participants in premenopause is an important limitation. It is possible that small sample size contributed to a lack of precision on the estimated difference in mean AHI compared to women in perimenopause. It is possible that greater sample size would have produced a clearer contrast. Small sample size also likely contributed to the broad confidence intervals in the logistic models.

Only seven of the participants who contributed observations to this analysis were women of color. Thus, we did not have the ability to examine whether race and ethnicity modified the association of menopausal stage with sleep-disordered breathing, and the generalizability of our finding to women of color is limited.

Lastly, while our method of staging menopause was able to describe a process that was associated with SDB, the boundaries between menopausal categories are always somewhat arbitrary. This limitation could be conceptualized as a type of measurement error, but that assumes that each participant has a “true” menopausal status, which could in theory be measured with precision. The heterogeneity of the menopausal experience, however,

challenges that assumption. Any attempt to quantify and categorize menopause as a single, universal process is inherently limited.

Conclusions

In this population of mid-life women, menopause was a risk factor for sleep-disordered breathing, independent of age and body habitus. Later menopausal stage and time in menopause were both associated with higher AHI, suggesting an exposure-response relationship between further progression through menopause and sleep-disordered breathing severity.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

We thank Laurel A. Finn and Jodi H. Barnet for their help preparing this article. We thank Diane Austin, Diane Demonoco Dowd, Mari Dresner, Crystal Halvorson, Kathryn Hoffman, Angie Kujak, Jennifer Kujak, Andrea Peterson, Angela Slattery, and Rebecca Swain-Eng for their work on the Sleep in Midlife Women Study.

Financial Support: This work was supported by the National Institute of Aging (R01AG14124), National Heart, Lung, and Blood Institute (R01HL62252) and the National Center for Research Resources (1UL1RR025011) at the National Institutes of Health. Anna G. Mirer is in the University of Wisconsin Medical Scientist Training Program (T32GM008692).

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Table 1
Classification of Menopausal Stage

Start of Perimenopause	Start of Postmenopause
First incidence of no flow with no hormonal contraception	Beginning of 12 months of no flow
Change in cycle length ≥ 7 days with no hormonal contraception	Beginning of 6 months of no flow while using menopausal hormone therapy
First incidence of hot flashes or night sweats while using hormonal contraception	Menopausal hormone therapy for ≥ 12 months
Ovary-sparing surgery with FSH <10 under 6 months ago and first incidence of hot flashes or night sweats	60 th Birthday
	55 th Birthday with menopausal hormone therapy
	FSH >40 after ovary-sparing surgery
	Ovary-removing surgery 6 or more months ago

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Table 2

Characteristics of study participants at baseline.

	Pre-menopause		Peri-menopause		Post-menopause		Peri/Post-menopause		All	
	Mean	(SD)	Mean	(SD)	Mean	(SD)	Mean	(SD)	Mean	(SD)
Apnea-Hypopnea Index (AHI)	6.9	(7.9)	9.3	(13.7)	10.9	(14.4)	8.1	(9.6)	9.6	(13.2)
Age (years)	47.5	(3.0)	49.6	(3.3)	53.2	(3.9)	45.2	(3.8)	50.3	(4.5)
BMI	31.5	(7.8)	31.5	(8.4)	31.1	(7.5)	31.4	(6.6)	31.3	(7.7)
Neck circumference (cm)	35.6	(3.7)	35.5	(3.5)	35.8	(3.7)	35.5	(3.4)	35.6	(3.5)
Waist circumference (cm)	91.7	(14.7)	94.3	(19.0)	95.3	(16.1)	94.4	(15.7)	94.5	(17.2)
Alcoholic drinks per week	4.4	(6.7)	2.4	(3.5)	2.5	(3.4)	2.7	(3.3)	2.6	(3.7)
	N	(%)	N	(%)	N	(%)	N	(%)	N	(%)
Apnea-Hypopnea Index										
< 15	12	(86%)	78	(83%)	64	(77%)	25	(89%)	179	(82%)
15	2	(14%)	16	(17%)	19	(23%)	3	(11%)	40	(18%)
Menopausal Hormone Therapy										
No	14	100%	87	(93%)	43	(52%)	28	100%	172	(79%)
Yes	0	(0%)	7	(7%)	40	(48%)	0	(0%)	47	(21%)
Smoking history										
Never	9	(64%)	50	(53%)	41	(49%)	15	(54%)	115	(53%)
Past	5	(36%)	27	(29%)	34	(41%)	11	(39%)	77	(35%)
Current	0	(0%)	17	(18%)	8	(10%)	2	(7%)	27	(12%)
Total Subjects	14		94		83		28		219	

Table 3

Results of multivariable linear (outcome = log(AHI+1)) and logistic (outcome = AHI ≥ 15) regression of AHI on menopausal stage, with and without adjustment.

	Linear		Logistic	
	AHI Ratio (95% CI)		Odds Ratio (95% CI)	
	N=1,886	N=1,667	N=1,667	
Menopausal stage				
Premenopause	1.00 (ref.)	1.00 (ref.)	1.00 (ref.)	1.00 (ref.)
Perimenopause	1.21 (1.00, 1.46)	1.21 (0.96, 1.54)	1.22 (0.61, 2.46)	1.13 (0.31, 4.13)
Postmenopause	1.61 (1.31, 1.97)	1.31 (1.02, 1.68)	1.75 (0.83, 3.70)	1.31 (0.33, 5.15)
Peri- to Postmenopause (undetermined)	1.48 (1.20, 1.82)	1.41 (1.08, 1.82)	1.58 (0.74, 3.37)	1.52 (0.39, 5.87)
Overall F test	<i>P</i> < 0.01	<i>P</i> = 0.03	<i>P</i> < 0.01	<i>P</i> = 0.63
Age (years)	--	1.05 (1.03, 1.07)	--	1.09 (1.03, 1.16)
BMI	--	1.03 (1.00, 1.05)	--	1.07 (1.00, 1.14)
Neck circumference (cm)	--	1.04 (1.02, 1.06)	--	1.09 (1.00, 1.19)
Waist circumference (cm)	--	1.01 (1.01, 1.02)	--	1.04 (1.01, 1.07)
Menopausal Hormone Therapy	--		--	
No	--	1.00 (ref.)	--	1.00 (ref.)
Yes	--	0.96 (0.86, 1.08)	--	0.83 (0.46, 1.49)
Alcoholic drinks per week	--	1.00 (0.99, 1.02)	--	1.04 (0.98, 1.10)
Smoking history	--		--	
Never	--	1.00 (ref.)	--	1.00 (ref.)
Past	--	0.90 (0.77, 1.04)	--	0.61 (0.34, 1.09)
Current	--	0.95 (0.80, 1.13)	--	0.69 (0.33, 1.44)

Table 4

Results of multivariable linear (outcome = $\log(\text{AHI}+1)$) and logistic (outcome = AHI ≥ 15) regressions of AHI on continuous time in menopause. Observations on subjects in premenopause or whose date of beginning perimenopause was unknown were excluded.

	Linear	Logistic
	AHI Ratio (95% CI)	Odds Ratio (95% CI)
	N=1,391	N=1,391
Years since start of perimenopause	1.04 (1.02, 1.06)	1.07 (0.97, 1.18)
Age (years)	1.03 (1.01, 1.05)	1.07 (0.99, 1.16)
BMI	1.02 (0.99, 1.05)	1.04 (0.97, 1.11)
Waist circumference (cm)	1.02 (1.01, 1.03)	1.10 (1.01, 1.21)
Neck circumference (cm)	1.03 (1.01, 1.05)	1.05 (1.02, 1.08)
Menopausal Hormone Therapy		
No	1.00 (ref.)	1.00 (ref.)
Yes	0.98 (0.88, 1.09)	0.74 (0.38, 1.41)
Alcoholic drinks per week	1.00 (0.99, 1.01)	1.02 (0.95, 1.09)
Smoking history		
Never smoker	1.00 (ref.)	1.00 (ref.)
Past smoker	0.88 (0.74, 1.03)	0.51 (0.26, 0.98)
Current smoker	0.94 (0.78, 1.13)	0.68 (0.31, 1.47)