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TP53 mutations in newly diagnosed Acute Myeloid Leukemia – Clinico-molecular characteristics, response to therapy, and outcomes

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Abstract

Background—*TP53* gene mutations predict for poor prognosis in acute myeloid leukemia (AML).

Methods—Peripheral blood or bone marrow samples from 293 newly diagnosed AML patients were analysed with targeted amplicon-based next-generation sequencing based mutation analysis.

Results—We found *TP53* mutations in 53 (18%; 45 were missense mutations; the most common pattern of amino acid substitution, in 13 of the 53 patients, was a substitution of arginine to histidine on different codons). The clinical characteristics, pattern of mutations, response to different therapies, and outcomes of patients with AML - *TP53*-mutated (n=53) vs. *TP53*-wildtype (n=240) were compared. *TP53*-mutations were significantly more likely in patients with complex karyotype, abnormalities of chromosome 5, 7, and 17, and therapy-related AML. *TP53*-mutated AMLs have significantly lower incidence of mutations in *FLT3, RAS,* and *NPM1* and higher incidence of coexisting *MPL* mutations compared to wild type. Distribution of *TP53*-mutations was equal in both age groups(<60 years vs 60 years). *TP53* mutated AML was associated with a lower response rate(CR 41% vs. 57%; p=0.04), significantly inferior complete remission duration (CRD) (at 2 yrs 30% vs. 55%; p=0.001) and overall survival (OS) (at 2 yrs 9% vs. 24%; p=

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T.K., P.J, H.K., and J.C. analyzed results.

T.K., P.J., and H.K. wrote the paper.

T.K., P.J., S.P., H.K., and J.C. did clinical correlation and R.K.S. and K.P. provided molecular data

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<0.0001) irrespective of the age or the type of treatment used - high vs low intensity chemotherapies.

Conclusions—The type of treatment did not improve the outcome in younger or older patients with *TP53* mutated AML. These data suggest that novel therapies are needed to improve the outcome of patients with *TP53* mutations.

Keywords

TP53; AML; Adverse prognosis AML; complex karyotype; biomarkers of resistance

Introduction

TP53 is a tumor suppressor protein encoded by the *TP53* gene, located on the short arm of chromosome 17. TP53 plays a pivotal role in maintaining genomic stability in response to DNA damage; it activates DNA repair programs, and triggers cell-cycle arrest. *TP53* is mutated in over half of human cancers.¹ *TP53* mutations represent an important mechanism of resistance to DNA-damaging chemotherapeutic agents.^{1, 2} The transcription factor encoded by the *TP53* gene is composed of a transcription activation domain, DNA binding domain, proline rich domain and a tetramerization domain.³ Most *TP53* mutations in cancers involve the DNA binding domain in covering exons 5-8. An alteration in the amino acid residues (specifically involving the arginine) can induce profound changes in the activity of *TP53* gene.³ The majority (70-80%) of *TP53* mutations are represented by missense substitutions leading to amino acid changes; the remaining mutations are truncating lesions. A significant proportion of mutations recurrently target 'hot-spot' codons. These mutations either directly disrupt the DNA-binding domain of *TP53* or cause conformational changes of the TP53 protein, thus leading to severely impaired TP53 function.

In patients with acute myeloid leukemia (AML), mutated *TP53* is predominantly observed in therapy related AML (t-AML)^{4, 5} and/or in patients with complex karyotype (70-80%)⁶. The reported frequency pf *TP53* mutations is 5-10% in de-novo AML.⁷⁻⁹ Studies from mouse models of AML have shown that gain of function mutations in hot spot regions can promote a more aggressive AML.^{3, 10-12} One study showed that prior chemotherapy does not directly induce *TP53* mutations; rather very small clones of *TP53* mutations (<0.7%) exist in the hematopoietic stem cells from healthy individuals which expand after genotoxic stress induced by chemotherapy.¹³ The precise reason for the decreased frequency of *FLT3*, and *NPM1* mutations ¹⁴ in *TP53* mutated AML is unclear.¹⁵ Previous studies ^{9, 16, 17} have demonstrated that presence of *TP53* mutations correlates with poor response to chemotherapy and poor survival in patients with or without aberrant cytogenetic abnormality predicted for poor overall survival and inferior response to treatment.^{16, 19} Moreover, 17p-loss of heterozygosity (LOH)²⁰, chromosome 17 aberrations ²¹ or overexpression of full length protein isoform ²² also predict for adverse prognosis in AML.

In this study, we describe the characteristics and the impact of *TP53* mutations on outcomes of patients with AML after treatment with different frontline modalities, and evaluated whether the type of chemotherapy influences outcome in patients in different age groups.

Patients and Methods

This retrospective analysis evaluated patients with newly diagnosed AML treated at MD Anderson Cancer Center (MDACC) between 2012 and 2015 who had available cytogenetic and molecular data. Patients with core-binding factor (CBF) and acute promyelocytic leukemia (APL) were excluded. Initial therapies were selected based on age, fitness and comorbidities and irrespective of the status of TP53 mutation. The treatment regimens were divided into three groups: (1) high-dose cytarabine-based ($500 - 2000 \text{ mg/m}^2/\text{day}$ for 3 to 5 days) combination chemotherapy, (2) hypomethylating agent-based chemotherapy (5azacytidine, decitabine, guadecitabine), or (3) low-intensity therapy other than hypomethylating agents (cladribine, low-dose cytarabine, clofarabine, omacetaxine, etc.). Post remission therapy for younger, fit patients was based on a risk-adapted strategy: patients with favorable risk received further consolidation cycles with high-dose cytarabine (HiDAC)-based therapy; patients with intermediate and adverse risk cytogenetics received further consolidation with HiDAC-based therapy and were referred for stem cell transplant (SCT). Patients treated on the lower-intensity approaches continued on consolidation/ maintenance strategies inherent to the lower-intensity programs for up to 18-24 months. All treatment protocols were approved by the Institutional Review Board (IRB); patients signed a written informed consent prior to enrollment in accordance with the Declaration of Helsinki.

Response Criteria

Responses were assessed using standard criteria and based on morphological evaluation. Complete remission (CR) is defined by a bone marrow differential showing < 5% blasts, neutrophil count 1.0×10^{9} /L and platelet count 100×10^{9} /L and no evidence of extramedullary leukemia. CR with incomplete recovery of blood counts (CRi) meets all criteria for CR, except for either residual neutropenia (ANC < 1.0×10^{9} /L) or thrombocytopenia (platelet count < 100×10^{9} /L). CR with incomplete recovery of platelets (CRp) meets all criteria for CR, except for persistent thrombocytopenia (platelet count < 100×10^{9} /L).

Cytogenetics and Molecular Analysis

Cytogenetic analysis was performed on bone marrow at diagnosis, prior to initiation of therapy as described previously.²³ Complex karyotype was defined as the presence of 3 chromosomal abnormalities. Molecular testing to detect known recurrent point mutations was performed by the institutional Molecular Diagnostics Laboratory. Peripheral blood and/or bone marrow cells from 293 patients with AML were analyzed prospectively with use of an amplicon-based next-generation sequencing HiSeq system (Illumina, Inc., San Diego, CA) designed to detect somatic mutations in hotspot regions of 28 genes. The exons (codons) covered on the *TP53* gene were 2 (1-25), 4 (33-45), 4 (41-80), 4 (72-112), 4-6 (107-214), 6 (210-224), 7-10 (234-367). Adequate coverage was defined as a total coverage depth of at least 250X.

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Statistical Analysis

Overall survival (OS) was defined as the time from diagnosis until death or last follow-up. Complete remission (CR) duration was defined as the time from achieving a CR, or CR with incomplete recovery of blood counts (CRi), or CR with incomplete platelet recovery (CRp) until relapse or death from any cause. Fisher's exact test and the Mann-Whitney U test were used for categorical variables and for continuous variables, respectively. Survival distributions was estimated by Kaplan and Meier curves and survival differences were evaluated using the log-rank test. All differences with p < 0.05 were considered to be statistically significant (two tailed). SPSS V22 (Armonk, NY, USA) was used for statistical analyses.

Results

Patient characteristics

A total of 293 patients with newly-diagnosed (excluding CBF and APL) AML were evaluated. Their median age was 67 years (range, 20-92 years). Ninety-seven(33%) patients were <60 years old and 196 (67%) patients were 60 years. *TP53* mutations were detected in 53/293 (18%) patients. *TP53* mutations were equally distributed in younger and older patients - 18% (17/97) and 18% (36/196) respectively. Table-1 (A-B) summarizes the characteristics of patients with wild type vs. mutated *TP53*, according to age. Among younger patients, *TP53*-mutated AML (mut-*TP53*) was associated with significantly lower peripheral blood and bone marrow (BM) blasts (P=0.03 and 0.008 respectively) and a trend for lower fibrinogen levels as compared to wildtype-*TP53* (wt-*TP53*). In older patients, those with mut-*TP53* AML tended to be older, have lower WBC counts, lower platelet count, and a significantly lower bone marrow blast % compared to those with wt-*TP53*.

Compared to wt-*TP53* AML, patients with mut-*TP53* AML were significantly more likely to exhibit a complex karyotype (35/240 [15%] vs. 43/53 [81%]; P<0.0001) and therapy-related AML (31/240 [13%] vs 16/53 [30%]; P<0.0035). *TP53* mutated AML were more likely to have abnormalities of chromosomes 5 and 7 (38/53 [72%] vs 38/240 [16%]; P<0.0001). In addition, a higher proportion of patients with chromosome 17 abnormalities had mut-*TP53*: 68% vs. 10% in those without chromosome 17 abnormalities (p<0.0001).

Pattern of TP53 mutations

Most mutations in *TP53* gene were found in exons 5 through 8, most commonly being in exon 7 (18 patients) (Supplemental Figure 1). These exons span the DNA-binding domain and are also found in other cancers with *TP53* mutations. Missense mutations were observed in 45/53 (85%) patients, frameshift in 4, nonsense in 3, and deletion in 1. Substitution of arginine with other amino acids was most common (14/53;26%); codon 248 was also involved (6/14;43%). Figure-1 illustrates the mutations coexisting in mut-*TP53* AML. Sole *TP53* mutations were detected in 22/53 (42%) patients. The frequencies of gene mutations among patients with and without mutated *TP53* are summarized in Table-2 Compared to wt-*TP53* AML, mut-*TP53* AML was associated with a trend for lower incidence of *DNMT3a* (9% vs 15%; p=0.4), *IDH1/2* (14% vs. 23%; p=0.3), and *RUNX1* (0% vs. 7%; p=0.09) mutations. There was also a trend for higher incidence of mutations in *EZH2* (4% vs. 1%;

p=0.15), *KIT* (15% vs. 9%; p=0.21), and *MPL* (4% vs. 0%; p=0.03) as compared to wt-*TP53* AML. There was a significant under-representation of mutations in *FLT3* (6% vs. 19%; p=0.02), *RAS* (4% vs. 14%; p=0.04), and *NPM1* (8% vs. 20%; p=0.03) in mut-*TP53* AML compared with wt-*TP53*.

Response to treatments

Table 3 summarizes the complete remission (CR) rates according to age and the type of treatments administered in mut-*TP53* vs wt-*TP53* AML patients. Patients with mut-*TP53* AML had lower CR rates irrespective of age (p= not significant). Selection of treatment was based on age, performance status, comorbidities, and pretreatment disease characteristics. The number of patients with mut-*TP53* vs wt-*TP53*, respectively who received the three type of treatment modalities were: high-dose cytarabine-based chemotherapy (11 vs. 89), hypomethylating agents (24 vs. 76) and low intensity therapy (18 vs. 75). Within the hypomethylating agents group, there was no significant difference between mut-*TP53* and wt-*TP53* patients, when CR rates were compared according to treatment with azacytidine or decitabine. Patients with mut-*TP53* AML had lower CR rates irrespective of type of treatment modality. However, there was a trend towards better response with high-dose cytarabine among patients with wt-*TP53* AML (55% vs. 72%; p=0.3).

Time to event outcomes

The CRD was significantly shorter in patients with mut-*TP53* AML, irrespective of age (P=0.05 in younger patients and P=0.025 in older patients; Figure 2 A-B). The overall survival (OS) was also significantly shorter in patients with mut-*TP53* AML, irrespective of age (P=0.005 in younger patients and P=0.004 in older patients; Figure-3 A-B). Fourteen patients had substitution of arginine; arginine residues in *p53* gene are considered as mutational hotspots ³. However, the survival of patients who had arginine vs. non arginine substitution in mut-*TP53* AML was similar (not shown).

Patients with mut-*TP53* had inferior survival compared to wt-*TP53* AML, irrespective of the intensity of treatment modality (P=0.06 and 0.007 in high and low intensity chemotherapy regimens; Figure-4 A-B). Finally, we analysed whether mut-*TP53* AML patients in different age groups respond differentially to various treatments. Figure-4 C-D shows that the intensity of chemotherapy does not impact the survival outcomes significantly in patients with mut-*TP53* AML with any age group.

Discussion

Novel molecular techniques such as next-generation sequencing have unraveled the molecular heterogeneity of AML patients.²⁴ These advances have helped in identifying newer therapeutic targets and have refined risk stratification in patients with AML. Several groups have reported that mutations in *TP53* gene confer an adverse prognosis in AML. ^{7, 9, 14, 16, 19, 25} In this study, we have made some important observations. First, the incidence of *TP53* mutations in our cohort was 18% - higher than the 5-10% incidence previously reported ^{6,8,13,15,18}. This likely reflects the referral pattern to a tertiary referral cancer center. Second, the analysis confirmed the inferior outcome associated with mut-

TP53 AML and showed this adverse prognosis to be irrespective of age or type of treatment modality used. Third, we summarized the pattern of coexisting mutations in our patients and noted interesting associations between *TP53* and other molecular aberrations. These patterns may indicate potential interactions which might be relevant in the pathobiology of mut-*TP53* AML.

Patients were treated heterogeneously on different protocols based on the age, comorbidities, and pretreatment karyotype. This heterogeneity provided an opportunity to analyse the outcomes of mut-*TP53* AML according to the age and the type of therapy that has not been previously reported. Overall survival rates among younger and older patients with mut-*TP53* AML were clearly inferior to their wild-type counterparts. Rates of CR in younger and older patients with mut-*TP53* AML were lower than those with wt-*TP53*, but these, interestingly, did not reach statistical significance. When we examined the CR rates by type of therapy, we noted no significant difference in CR rates among patients receiving low-intensity therapy or HMA, but a trend towards inferior responses to HiDAC-based chemotherapy among mut-*TP53* AML compared to wt-*TP53* AML. This highlights a critical need to develop newer therapies with a *TP53*-independent mechanism of action. Also, patients deemed to have increased risks of mortality and morbidity with intensive chemotherapy may be offered lower-intensity approaches, particularly since the intensity of therapy did not predict for improved survival (Figure 4C).

The risk of relapse after achieving a remission is the second major issue impacting poor outcomes in patients with mut-*TP53* AML. In both older and younger cohorts, patients with mut-*TP53* AML had a significantly shorter CR duration compared to those with wt-*TP53* AML. Post-remission therapy including prolonged consolidation and allogeneic stem cell transplant (SCT) plays an important role²⁵, but may not be suitable for a significant proportion of the patients. In our cohort, 4 (24%) patients < 60 years with mut-*TP53* AML underwent allogeneic SCT, while only 2 (6%) patients 60 years received the procedure. While noting that selection bias does play a role in this retrospective analysis, SCT was associated with an improved outcome (1-yr OS 75% vs. 29%; p=0.012, data not shown) in the younger cohort. Unfortunately, the majority of patients, including almost all of the patients 60 years did not obtain the benefit of an allogeneic SCT. A second priority, therefore, must be to design prolonged consolidation/maintenance strategies for these patients. Immunotherapeutic approaches such as vaccines, immune checkpoint blockade, or chimeric antigen receptor T-cells are currently being investigated and may be more feasible than SCT for most patients ²⁶.

Another approach to better understand this biology is by studying the associated genetic aberrations in these patients. At the cytogenetic level, the frequency of complex karyotype was significantly higher in patients with mut-*TP53* AML compared to wt-AML (81% vs 15%), consistent with previously published reports.^{7, 16} A phenotype of genomic instability, ineffective DNA-repair, and impaired apoptosis may define this entity. At the molecular level, we found that the majority of mutations in *TP53* occurred in the exons involved in the DNA-binding domain. Furthermore, as a result of missense mutations, we observed that arginine was the most common amino acid substituted in mut-*TP53* AML patients, occurring in 26% of the patients. In this small subset, we did not observe significant

differences in outcome of patients with arginine vs non-arginine amino acid substitutions (not shown). Arginine residues in the P53 protein are considered mutational hotspots that affect DNA binding and significantly alter the transcriptional activity of the mutant protein, to a much greater extent compared to other non-arginine amino acid substitutions.²⁷⁻²⁹ It is possible, therefore, that these *TP53* missense mutations are not only loss of function, but a neoplastic gain-of-function. This has been recently suggested ¹.

Although the numbers were small, we observed specific patterns of coexisting mutations that will need to be confirmed in larger studies. Compared to wt-*TP53*, patients with mut-*TP53*, demonstrated a trend for lower incidence of *DNMT3a*, *IDH1/2*, and *RUNX1* mutations and a trend for higher incidence of mutations in *EZH2* and *KIT*. Patients with mut-*TP53* had a statistically significant higher frequency of *MPL* mutations and significantly lower frequency of mutations in *FLT3*, *RAS*, and *NPM1* compared to wt-*TP53* AML. Previous studies have observed a similar finding with a lower frequency of *NPM1* and *FLT3* mutations in mut-*TP53* AML.^{14, 16} the mechanistic relevance of these possible interaction/ associations and its potential impact on the biology of AML blasts needs to be studied further.

In summary, we identified that *TP53* mutated AML is characterized by complex cytogenetics, low blast counts, and underrepresentation of concomitant mutations in *FLT3, RAS, NPM1, and RUNX1*. These patients exhibit lower response rates to intensive chemotherapy and shorter CR durations, translating into inferior survival in both younger and older patients. Better understanding of the biology of *TP53* mutations and the mechanism of chemoresistance in AML along with newer approaches to improve the CR rates, and prolong survival are critically needed.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1. Distribution of mutations in patients with *TP53* **mutated AML (n=53)** The left-hand column lists the 28 genes that were tested in the panel. Each column represents a single patient and each colored bar indicates the presence of a mutation in the indicated gene. This illustrates the spectrum of coexistent mutations in patients with *TP53* mutated AML.



Figure 2. Comparison of complete remission duration (CRD) in patients with/without *TP53* mutation according to age (<60 vs >60 years)

A-B) The CR duration among patients with *TP53*-mutated AML was significantly inferior to those with wild type *TP53* in both younger (<60 years; P=0.052) and older patients (>60 years; P=0.025).



Figure 3. Comparison of overall survival in patients with/without *TP53* mutation regardless of therapy, by age (<60 vs >60 years)

A-B) The survival among patients with *TP53*-mutated AML was significantly inferior to those with wild type *TP53* in both younger (<60 years; P=0.005) and older patients (>60 years; P=0.004).



Figure 4. Survival outcomes according to the type of treatment – intensive chemotherapy vs low intensity treatments

A) Patients with *TP53*-mutated AML who received intensive chemotherapy had a median survival of 6.8 months compared to 20.2 months in those with wild type-*TP53* (P=0.06). B)
Patients with *TP53*-mutated AML who received low intensity chemotherapy had a significantly inferior median survival of 6.7 months, compared to 12.5 months in those with wild type *TP53* (P=0.007). C) Among the younger patients (<60 years age), survival was not significantly different when treated with intensive chemotherapy or low intensity chemotherapy. D) Among the older patients (>60 years age), survival was not significantly different with hypomethylating agents or with other low intensity therapies.

Table 1

(A-B) - Comparison of baseline patient characteristics according to TP53 mutation status in patients with AML - age groups (<60 and > 60 years).

A - Age <60 (N=97)					
Characteristic, Median (range)	TP53 – Mutated	TP53 – Wild Type	P-value		
Age (Years)	49 (20-59)	51 (22-59)	0.8		
WBC (10 ³ /µL)	2.7 (0.9 - 30)	4.9 (0.5 - 103)	0.46		
Platelet (10 ³ /µL)	32 (4 - 153)	39 (1 - 708)	0.35		
Peripheral blasts (%)	6 (0 - 63)	27 (0 - 97)	0.03		
LDH (IU/L)	937 (392 - 10298)	717 (231 - 11952)	0.25		
Fibrinogen (mg/dL)	330 (43 - 558)	413 (67 - 1117)	0.06		
BM Blasts (%)	27 (12 - 91)	54 (1 - 96)	0.008		
B - Age >60 (N=196)					
Characteristic, Median (range)	TP53 – Mutated	TP53 – Wild Type	P-value		
Age (Years)	74 (62-90)	71 (60-92)	0.02		
WBC (10 ³ /µL)	2.3 (0.7-17.5)	2.9 (0.2-164.5)	0.09		
Platelet (10 ³ /µL)	34 (8-321)	45 (1-1069)	0.07		
Peripheral blasts (%)	10 (0-86)	8 (0-96)	0.8		
LDH (IU/L)	535 (286-3616)	616 (284-17486)	0.4		
Fibrinogen (mg/dL)	407 (213-753)	388 (102-923)	0.5		
BM Blasts (%)	32 (3-97)	47 (4-93)	0.009		

Table 2

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	TP53-Mutated (N=53)	%	TP53-Wild Type (N=240)	%	P-value
ASXL1	2	4	6	4	1
ATM	1	2	3	-	0.6
CEBPA	8	15	28	12	0.5
DNMT3A	5	6	35	15	0.4
EZH2	2	4	2	-	0.15
FBXW7	0	0	1	0.4	1
FGFR3	1	2	1	0.4	0.33
GATA1	1	2	0	0	0.18
GATA2	1	2	5	2	1
GNAS	0	0	1	0.4	1
IDH1	3	9	21	6	0.6
IDH2	4	8	34	14	0.26
JAK2	1	2	8	3	1
KIT	8	15	22	6	0.21
MET	2	4	4	2	0.3
MPL	2	4	0	0	0.03
PTPN11	1	2	10	4	0.7
RUNXI	0	0	16	7	0.09
TET2	3	9	17	7	1
WT1	1	2	4	2	1
MLL	1	2	0	0	0.18
NOTCH1	1	2	0	0	0.18
MLH1	0	0	1	0.4	1
JAK3	1	2	1	0.4	0.33
KLHL6	0	0	1	0.4	1
FLT3	3	9	45	19	0.02
RAS	2	4	34	14.2	0.04

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P-value 0.03

% 20

TP53-Wild Type (N=240) 49

% %

TP53-Mutated (N=53) 4

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Table 3

Complete remission (CR) rates in patients with/without TP53 mutations – Overall and according to the type of frontline therapy - High dose Ara-C, hypomethylating agents (azacytidine and decitabine) and low intensity chemotherapy

	TP53 Mutated	TP53 Wild Type	P-Value
Age Group	Responder/Total	Responder/Total	
< 60 years	9/17 (53%)	56/80 (70%)	0.25
> 60 years	13/36 (36%)	80/160 (50%)	0.19
Type of Treatment regimen	CR%	CR%	P-Value
High dose Ara-C	55	72	0.3
Hypomethylating agents	29	34	0.8
Azacytidine	40	37	0.98
Decitabine	62	37	0.21
Low intensity chemotherapy	50	60	0.6