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Author manuscript

Respir Physiol Neurobiol. Author manuscript; available in PMC 2018 March 01.

Published in final edited form as: *Respir Physiol Neurobiol.* 2017 March ; 237: 22–34. doi:10.1016/j.resp.2016.12.008.

## Ventilatory and chemoreceptor responses to hypercapnia in neonatal rats chronically exposed to moderate hyperoxia

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## Abstract

Rats reared in hyperoxia hypoventilate in normoxia and exhibit progressive blunting of the hypoxic ventilatory response, changes which are at least partially attributed to abnormal carotid body development. Since the carotid body also responds to changes in arterial CO<sub>2</sub>/pH, we tested the hypothesis that developmental hyperoxia would attenuate the hypercapnic ventilatory response (HCVR) of neonatal rats by blunting peripheral and/or central chemoreceptor responses to hypercapnic challenges. Rats were reared in 21% O<sub>2</sub> (Control) or 60% O<sub>2</sub> (Hyperoxia) until studied at 4, 6–7, or 13–14 days of age. Hyperoxia rats had significantly reduced single-unit carotid chemoafferent responses to 15% CO<sub>2</sub> at all ages; CO<sub>2</sub> sensitivity recovered within 7 days after return to room air. Hypercapnic responses of CO2-sensitive neurons of the caudal nucleus tractus solitarius (cNTS) were unaffected by chronic hyperoxia, but there was evidence for a small decrease in neuronal excitability. There was also evidence for augmented excitatory synaptic input to cNTS neurons within brainstem slices. Steady-state ventilatory responses to 4% and 8% CO<sub>2</sub> were unaffected by developmental hyperoxia in all three age groups, but ventilation increased more slowly during the normocapnia-to-hypercapnia transition in 4-day-old Hyperoxia rats. We conclude that developmental hyperoxia impairs carotid body chemosensitivity to hypercapnia, and this may compromise protective ventilatory reflexes during dynamic respiratory challenges in newborn rats. Impaired carotid body function has less of an impact on the HCVR in older rats, potentially reflecting compensatory plasticity within the CNS.

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## Keywords

developmental plasticity; carotid body; central chemoreceptor; nucleus tractus solitarius; hypercapnic ventilatory response

## Introduction

Development of the respiratory control system is influenced by the oxygen levels experienced during early life (Carroll, 2003; Bavis, 2005). Neonatal rats exposed to chronic hyperoxia hypoventilate when returned to room air (Bavis et al., 2010, 2014) and exhibit a progressive blunting of their hypoxic ventilatory response (HVR) over the course of the hyperoxic exposure (Bavis et al., 2010). The blunted HVR is an example of developmental plasticity, defined as a form of phenotypic plasticity that is unique to developing organisms (Bavis and Mitchell, 2008). Indeed, long-lasting blunting of the HVR only occurs when rats experience hyperoxia during the first two postnatal weeks (Ling et al., 1996; Bavis et al., 2002) and persists for months after return to room air (Ling et al., 1996; Fuller et al., 2002). Chronic hyperoxia is thought to blunt the HVR by causing abnormal development of the carotid body, the principal site for arterial O<sub>2</sub> chemoreception (Bavis et al., 2013). Hyperoxia-treated individuals have fewer O2-sensitive glomus (type I) cells in their carotid bodies and fewer chemoafferent axons in the associated carotid sinus nerve (CSN) (Erickson et al., 1998; Dmitrieff et al, 2012; Chavez-Valdez et al., 2012); these morphological changes lead to lifelong reductions in whole-nerve CSN responses to hypoxia (Fuller et al., 2002; Bisgard et al., 2003). The surviving glomus cells are also less responsive to hypoxia when tested immediately after the hyperoxic exposure (Donnelly et al., 2005, 2009; Bavis et al., 2011; Kim et al., 2013), but their O<sub>2</sub> sensitivity recovers shortly after return to normoxia (Bavis et al., 2011).

In contrast to lifelong impairment of the HVR, previous studies have not detected plasticity in the hypercapnic ventilatory response (HCVR) in mammals after developmental hyperoxia. Indeed, the HCVR was normal in adult rats (Ling et al., 1996) and adult mice (Dauger et al., 2003) that had been exposed to 60–65% O<sub>2</sub> for the first month of life. However, the HCVR has never been assessed for hyperoxia-treated rats *as neonates*. This is potentially important since some respiratory effects of developmental hyperoxia may only be apparent during the neonatal period. For example, neonatal rats hypoventilate and exhibit reduced carotid body glomus cell O<sub>2</sub> sensitivity immediately after chronic hyperoxia, but neither of these effects persist after a few days in room air (Bavis et al., 2011, 2014b). Moreover, chickens incubated in hyperoxia exhibit a modest reduction in the HCVR when tested shortly after hatching (Mortola, 2011).

Developmental hyperoxia has the potential to alter both peripheral and central components of the respiratory control system responsible for the HCVR. Carotid body glomus cells depolarize in response to increasing  $CO_2$  and decreasing pH in the arterial blood, ultimately resulting in neurotransmitter secretion and the activation of afferent neurons that conduct action potentials to the nucelus tractus solitarius (NTS) in the brainstem (Lahiri and Forster, 2003; Kumar and Prabhakar, 2012). Across a range of species and experimental approaches,

carotid body responses have been estimated to explain up to one-third of the increase in ventilation during hypercapnic challenges, particularly during mild hypercapnia (Forster & Smith, 2010; Smith et al., 2010). Carotid bodies also drive the initial ventilatory increase observed in neonatal rats immediately after a change in inspired CO<sub>2</sub> (Cummings and Frappell, 2009). Therefore, hyperoxia-induced carotid body hypoplasia and loss of carotid chemoafferent neurons could directly impact the HCVR, as could any changes to glomus cell CO<sub>2</sub>/pH sensitivity. Moreover, there is evidence that carotid chemoreceptor activity modulates the CO<sub>2</sub> sensitivity of central chemoreceptors (i.e., central and peripheral chemoreceptors are "interdependent"; cf. Forster and Smith, 2010; Smith et al., 2010). This model is supported by neuroanatomical and neurophysiological studies showing that (1) neurons in the NTS project to the retrotrapezoid nucleus (RTN), one of the putative sites for central CO<sub>2</sub> sensitivity (Takakura et al., 2006; Guyenet et al., 2009) and (2) many NTS neurons are themselves CO<sub>2</sub>-sensitive chemoreceptors (Conrad et al., 2009). However, the generality of this interaction across species and physiological states and its ultimate effect on respiratory control (i.e., additive, hypoadditive, or hyperadditive) remains a matter of debate (e.g., Duffin and Mateika, 2013; Teppema and Smith, 2013; Wilson and Day, 2013; Cummings, 2014).

Central  $CO_2$  chemoreceptors are located throughout the brainstem and include neurons in the NTS, dorsal motor neucleus of vagus (DMV), RTN, medullary raphé, rostroventrolateral medulla, pre-Bötzinger complex, and locus coeruleus (LC) (Dean and Putnam, 2010; Nattie and Li, 2012). The integrated activity from these neuronal populations is the primary determinant of the whole-animal HCVR. Importantly, hyperoxia may alter the behavior of at least some of these cell populations. Acute exposure to normobaric or hyperbaric hyperoxia (i.e., minutes to hours) stimulates  $CO_2$ -sensitive and  $CO_2$ -insensitive neurons of the NTS and DMV and repeated exposure to hyperoxia may diminish  $CO_2$  sensitivity (Dean and Putnam, 2010; Mattot et al., 2014). Therefore, the impact of developmental hyperoxia on  $CO_2$ -sensitive neurons in the NTS warrants further investigation.

In the present study, we tested the hypothesis that developmental hyperoxia would attenuate the HCVR of neonatal rats by blunting peripheral and/or central chemoreceptor responses to hypercapnic challenges. Specifically, neonatal rats were exposed to 60% O<sub>2</sub> from birth and the HCVR, peripheral (carotid body) CO<sub>2</sub> chemosensitivity, and central (NTS) CO<sub>2</sub> chemosensitivity were examined at several ages during the first two weeks of life (P4 – P14). This age range was selected to encompass much of the postnatal maturation of the HCVR (Stunden et al., 2001; Putnam et al., 2005) and the critical window for hyperoxia-induced carotid body plasticity (Bavis et al., 2002; Bavis et al., 2013) in rats.

## Methods

#### 2.1. Experimental animals

Experiments were conducted on Sprague-Dawley rat pups of both sexes. Timed-pregnant rats were obtained from a commercial supplier (Charles River Laboratories; Portage, MI, USA) and placed into environmental chambers on the day before they were expected to give birth. Chambers were flushed with room air and oxygen at sufficient flow rates to maintain 60% O<sub>2</sub>. The resulting pups ("Hyperoxia") were raised in 60% O<sub>2</sub> with their mothers until

studied (i.e., 4 - 14 days of age (P4 – P14), where P0 is the day of birth). Additional litters were reared in identical chambers flushed with 21% O<sub>2</sub> to serve as age-matched control groups ("Control"). One Hyperoxia litter was removed from the chamber when pups reached P7 and permitted to recover in room air prior to study at P13-15 ("Recovery"). All rats were maintained on a 12:12 light cycle throughout the study and provided food and water *ad libitum*.

All experimental procedures were approved by the Institutional Animal Care and Use Committees at Bates College (carotid chemoafferent nerve recordings and ventilation measurements) and Wright State University (NTS brainstem slice recordings).

#### 2.2. Single-unit carotid chemoafferent nerve recordings

Carotid chemoafferent nerve recordings were made at P4, P7, and P14 (or P13-15 for the Recovery group) using the same methods used in our previous studies (e.g., Bavis et al., 2011). Recordings were made from pups derived from 5–8 different litters per treatment group at each age, except for the Recovery group which consisted of pups from one litter; the number of recordings made for each group is reported in Figure 2.

Rat pups were deeply anesthetized by exposure to 100% CO<sub>2</sub> and then decapitated. The left and right carotid bifurcations (containing the carotid bodies) and nodose-petrosal ganglion complexes were isolated *en bloc* and placed into an ice-cold, oxygenated (95% O<sub>2</sub>, 5% CO<sub>2</sub>) saline solution containing (in mM): 125 NaCl, 5 KCl, 2 CaCl<sub>2</sub>, 1 Na<sub>2</sub>HPO<sub>4</sub>, 1 MgSO<sub>4</sub>, 26 NaHCO<sub>3</sub>, and 5 dextrose. The preparation was cleaned initially using a solution of 0.15– 0.25% collagenase (collagenase P; Roche Diagnostics) and 0.01% protease (type XIV; Sigma-Aldrich) in saline (30 min at 37°C), and then cleaned manually (in ice-cold saline) until only the carotid body, carotid sinus nerve (CSN), glossopharyngeal nerve, and petrosal ganglion remained. The preparation was transferred to a perfusion chamber (RC-22C; 140  $\mu$ L; Warner Instruments) on the stage of an inverted microscope (Eclipse TE-300; Nikon). The chamber was perfused with saline solution equilibrated with 21% O<sub>2</sub> and 5% CO<sub>2</sub> (balance N<sub>2</sub>) and delivered at a rate of ~3 ml min<sup>-1</sup> through stainless steel tubing. The solution was passed through an in-line heater (SH-27B; Warner Instruments) so that bath temperature was 37°C.

Single-unit activity was recorded from the soma of carotid chemoafferent neurons using a suction electrode advanced into the petrosal ganglion. The pipette potential was amplified 2,000–5,000× with an extracellular amplifier (EX-1; Dagan Instruments), passband-filtered (0.1–2 kHz), and digitized (10 kHz sample rate; Powerlab 8/30 and Chart 5.2 software; ADInstruments). For identification of individual chemoafferent cells under baseline conditions (21%  $O_2$ , 5%  $CO_2$ , balance  $N_2$ ), the carotid body was stimulated (~200  $\mu$ A × 0.05 ms pulse duration) at 0.5–1 Hz (Isostim A320; World Precision Instruments) using a glass electrode (filled with 1 M NaCl). If a stimulus elicited a single orthodromic action potential, the stimulator was turned off and baseline nerve activity was recorded for 2 min. The CO<sub>2</sub> responsiveness was then assessed during two 2-min hypercapnic challenges by switching to saline solutions equilibrated with 10% and 15% CO<sub>2</sub> (21% O<sub>2</sub>, balance N<sub>2</sub>); approximately half of the preparations received 10% CO<sub>2</sub> first while the other half received 15% CO<sub>2</sub> first. The hypercapnic challenges were separated by 5 min recovery in baseline

conditions (21% O<sub>2</sub>, 5% CO<sub>2</sub>, balance N<sub>2</sub>). The peak responses to 10% CO<sub>2</sub> and 15% CO<sub>2</sub> were similar in magnitude (data not shown), suggesting that near maximal responses to CO<sub>2</sub> were achieved; therefore, only responses to 15% CO<sub>2</sub> are reported. After the second hypercapnic challenge, the preparation was exposed to hypoxia (5% O<sub>2</sub>, 5% CO<sub>2</sub>, balance N<sub>2</sub>) for 2 min. This sequence was repeated (at least 15 min later) if a second chemoafferent neuron was identified; no more than two recordings were made per preparation.

Action potentials were discriminated off-line to confirm single unit activity (Spike Histogram Module v. 1; ADInstruments). Baseline discharge rate was calculated from the number of action potentials over the final 60 s under baseline conditions (21% O<sub>2</sub>, 5% CO<sub>2</sub>, balance N<sub>2</sub>). For hypercapnic and hypoxic challenges, the number of individual action potentials per second was calculated and peak discharge frequency was determined after applying a 3-s moving average. Only neurons that exhibited spontaneous activity during the protocol (i.e., at least one spontaneous action potential) were accepted as viable units for analysis. Chronic hyperoxia may increase the proportion of petrosal ganglion neurons that exhibit no spontaneous activity under baseline or hypoxic conditions (Donnelly et al., 2005); accordingly, applying this exclusion criterion could underestimate the differences between Control and Hyperoxia treatment groups reported in *Section 3.1*.

#### 2.3. Electrophysiological recordings from caudal NTS slice preparations

Medullary brainstem slices (~300 µm thick) were prepared from neonatal Sprague-Dawley rats (P4-P7) of both sexes as previously described (Conrad et al., 2009); recordings were made from slices derived from 2–3 different litters per treatment group. Briefly, The slices were cut transversely at the level of the NTS using a vibratome (Pelco Vibratome 1000) into aCSF at 4–6° C (composition (in mM): 124 NaCl, 3 KCl, 1.3 MgSO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 1.24 NaH<sub>2</sub>PO<sub>4</sub>, 10 glucose and 2.4 CaCl<sub>2</sub>, equilibrated with 95% O<sub>2</sub>/5% CO<sub>2</sub>). Three successive slices were cut between 300 µm rostral to and 300 µm caudal to the area postrema. These slices from the caudal NTS (cNTS) were incubated in aCSF at room temperature until used.

Slices were placed in a perfusion chamber, held in place with a nylon grid and superfused with aCSF at  $\sim 35^{\circ}$  C (pH  $\sim 7.45$ ) as described in Conrad et al. (2009). Neurons were visualized with an upright microscope (Nikon Optiphot-2) with a 40× water-immersion objective (Hoffmann Modulated Contrast, N.A. 0.55, 3.0 mm working distance) using nearinfrared illumination. In most experiments electrophysiological recordings were done using whole cell recording techniques (Conrad et al., 2009). In some experiments, after recording the spontaneous firing response to hypercapnic acidosis in current clamp mode, whole cell voltage clamp was used to simultaneously record fast spontaneous postsynaptic currents including AMPA-receptor induced excitatory (downward deflection) and GABA-A receptorinduced inhibitory (upward deflection) postsynaptic currents at a holding potential of -40 mV in aCSF. The frequency and amplitude of postsynaptic currents were analyzed offline using Clampfit software. Recordings were made with glass pipettes (borosilicate glass; TW150-3, World Precision Instruments, Inc.) pulled to a tip resistance of  $\sim 5 \text{ M}\Omega$  on a vertical electrode puller (Narishige PP-830). These pipettes were filled with a solution containing (in mM): 130 K-gluconate, 1 MgCl<sub>2</sub>, 10 Na-HEPES, 0.4 EGTA, 2 Na<sub>2</sub>ATP, 0.3 Na<sub>2</sub>GTP (pH ~7.4); the pipette filling solution was modified (no added CaCl<sub>2</sub> and 0.4 mM

Membrane potential ( $V_m$ ) was measured using current clamp with an Axopatch 200B amplifier, a Digidata 1440A-A/D converter and pCLAMP 10.2 software (all from Molecular Devices Co.). Data were filtered at 2 kHz and sampled at 10 kHz and saved on a computer for later analysis. The neurons were discarded if the series resistance changed by >20% during the experiment. Membrane potential was corrected for the measured liquid junction potential of 16 mV. The integrated firing rate (10 s bins) response to 5–10 minutes of exposure to hypercapnia (aCSF equilibrated with 85% O<sub>2</sub>/15% CO<sub>2</sub>, pH ~6.8–6.9) was determined in cNTS neurons from Control and Hyperoxia neonates. Some of the experiments were done in synaptic blockade solution (SNB; in mM: 121 NaCl, 3 KCl, 11.4 MgSO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 10 glucose and 0.2 CaCl<sub>2</sub>) containing carbenoxolone (CAR: 100 µm) to block gap junctions (Conrad et al., 2009) to assure that the responses we observed were intrinsic to the neuron or whether synaptic transmission would affect the chemosensitive firing rate response of cNTS neurons.

was then applied to rupture the patch and form a whole cell patch.

To measure the rheobase of cNTS neurons, a set of depolarizing current pulses with increments of 5 pA and duration of 1 s were injected into each neuron, which was held at -70 mV to eliminate spontaneous firing. The rheobase was the minimum amplitude of the injected current that could just induce an action potential. Capacitance values (C<sub>m</sub>) for cNTS neurons were measured in current clamp mode according to the method of Golowasch et al. (2009). In brief, a hyperpolarizing DC current pulse (I<sub>ext</sub>, -20 pA, 1 s) was applied from a membrane potential (-70 mV) at which neurons had no spontaneous firing. The resulting hyperpolarization (20–30 mV) was recorded. Four consecutive traces in each neuron were averaged to remove noise. In order to get the membrane time constant ( $\tau_m$ ) and the change of membrane potential, the average trace was fitted from t=0 to a time at which the voltage had reached steady state with two or three exponential terms using the Lenenberg-Marquardt (LM) algorithm of the Clampfit analysis software in the pClamp package. The slowest component of the fit curve represents the charging of the membrane and was used to determine  $\tau_m$ . C<sub>m</sub> was obtained by dividing  $\tau_m$  by the resistance coefficient R<sub>m</sub> (= V<sub>m</sub>/I<sub>ext</sub>) and thus C<sub>m</sub> =  $\tau_m r/R_m = \tau_m r I_{ext}/V_m$ .

#### 2.4 Ventilation measurements

Ventilation was measured in P4, P6-7, and P13-14 rats using head-body plethysmography (Bavis et al., 2010). Measurements were made for pups derived from 6–8 different litters per treatment group at each age; the number of individuals studied in each group is reported in Table 1.

The plethysmograph consisted of two cylindrical compartments, a head compartment (4.75 cm ID; 70 ml) and a body compartment (3.1 cm ID; length adjusted based on rat size). After weighing, the rat was sealed into the plethysmograph chamber with a flexible, latex rubber collar separating the head and body compartments; petroleum jelly was applied around the rat's neck to obtain an airtight seal. Test gases were delivered to the head compartment at

extension; ADInstruments). Air temperature in the body compartment was monitored continuously with a T-type thermocouple probe (Physitemp Instruments) and maintained at 32–34°C (i.e., within the thermoneutral zone; Malik and Fewell, 2003) by placing the plethysmograph chamber inside an incubator.

Following a 10 min adjustment period (21%  $O_2$ , 0%  $CO_2$ , balance  $N_2$ ), baseline ventilation was recorded for 5 minutes. Rats were then exposed to 5 min hypercapnic challenges with 4%  $CO_2$  and 8%  $CO_2$  (21%  $O_2$ , balance  $N_2$ ) separated by a 5 min recovery period (21%  $O_2$ , 0%  $CO_2$ , balance  $N_2$ ). Ventilatory parameters were calculated for each breath and averaged over 45–60 sec at the end of the baseline and recovery periods and over 10–15 sec at 0.5 and 5 min into each hypercapnic challenge, excluding movement artifacts and sighs. Data from the baseline and recovery periods were averaged for each individual and used as a single "baseline" (i.e., 0%  $CO_2$ ) value for subsequent analysis.

#### 2.5. Statistical analysis

Carotid chemoafferent baseline activity and peak responses to hypercapnia and hypoxia were compared between Control and Hyperoxia treatment groups using Student's t-tests with Welch's correction for unequal variances (Prism 6, GraphPad). For cNTS neuron properties, all neurons were initially classified as either chemosensitive (CS) or nonchemosensitive (NCS) based on their increased firing rate in response to hypercapnia. Specifically, the magnitude of the firing rate response to hypercapnia was quantified using a chemosensitivity index (CI), calculated according to the equation in Wang et al. (1998). The CI is the ratio of firing rates in hypercapnia vs. normocapnia normalized to a 0.2 pH unit change in external pH. A CI of 100% indicates an NCS neuron. A neuron was identified as a CS neuron if its CI was 120% or greater and was identified as an NCS neurons if its CI was between 120% and 80%; below 80% CI a neuron is considered inhibited by hypercapnia (usually less than 10% of cNTS neurons are inhibited by hypercapnia (Conrad et al., 2009) and are thus very rare; in this study we did not continue the rare recording in which a cNTS neuron was inhibited by hypercapnia). For comparisons of basic electrophysiological properties of cNTS neurons, data for CS and NCS neurons were pooled and subsequently subjected to two-way ANOVA (factor 1: developmental treatment group; factor 2: aCSF vs. SNB solution). Ventilation measurements were compared across treatment groups by twoway repeated measures ANOVA (factor 1: developmental treatment group; factor 2: level of inspired CO<sub>2</sub>) followed by Tukey's multiple comparison test where appropriate (SigmaPlot 13.0, Systat Software). To determine whether the magnitude of the HCVR varied with age, ventilatory responses (expressed as a percentage increase from baseline) were compared across ages separately for each level of inspired CO<sub>2</sub> using a two-way ANOVA (factor 1: developmental treatment group; factor 2: age) followed by Tukey's multiple comparison test

(SigmaPlot 13.0, Systat Software); values were log-transformed prior to running this test in order to meet the equal variance assumptions.

Preliminary analysis including sex as a factor yielded no significant sex or treatment  $\times$  sex interactions for ventilation data, so data for males and females were pooled for all statistical analysis; approximately equal numbers of males and females were used for all studies. *P* 0.05 was considered significant for all statistical tests. Values are reported as mean  $\pm$  SEM.

## 3. Results

#### 3.1. Carotid chemoafferent responses to hypercapnia and hypoxia

Baseline (21%  $O_2/5\%$  CO<sub>2</sub>) chemoafferent activity was low in both treatment groups at all ages, with mean discharge rates between 0.01 and 0.76 impulses s<sup>-1</sup> (Figs. 1 and 2). Baseline activity was significantly lower in Hyperoxia rats than in age-matched Controls at P4 (*P*=0.004) and P14 (*P*<0.001) (Fig. 2); a similar trend was apparent at P7 although it did not quite reach statistical significance (*P*=0.08). Hypercapnia generally increased single-unit chemoafferent activity (Fig. 1), but the peak response to 15% CO<sub>2</sub> was much lower in Hyperoxia rats at all ages (all *P*<0.001) and was nearly abolished after two weeks of developmental hyperoxia (Fig. 3, left panels). Similar results were obtained during 10% CO<sub>2</sub> challenges (data not shown). Consistent with previous studies (e.g., Donnelly et al., 2005, 2009; Bavis et al., 2011), the chemoafferent response to 5% O<sub>2</sub> was lower in Hyperoxia rats compared to age-matched Controls at all three ages (all *P*<0.001) (Fig. 3, right panels).

One litter of P7 Hyperoxia rats was returned to room air for 6–8 days prior to study at P13-15. Carotid chemoafferent activity for these rats ("Recovery" in Fig. 1E) tended to be greater than that observed in either P7 Hyperoxia or P14 Hyperoxia rats during baseline conditions  $(0.29\pm0.16 \text{ imp s}^{-1}, n=6)$  and during the 15% CO<sub>2</sub>  $(3.11\pm0.70 \text{ imp s}^{-1}, n=6)$  and 5% O<sub>2</sub>  $(14.17\pm4.11 \text{ imp s}^{-1}, n=6)$  challenges. Indeed, baseline chemoafferent activity and peak responses to 15% CO<sub>2</sub> and 5% O<sub>2</sub> were no longer different from those of P14 Control rats (all *P*>0.05).

#### 3.2. cNTS neuronal excitability and chemosensitivity

Recordings were made from a total of 42 cNTS neurons from Control rats and 44 cNTS neurons from Hyperoxia rats (age range P4-P7). 43% of Control neurons and 52% of Hyperoxia neurons exhibited chemosensitivity (a greater than 20% increase in CI with hypercapnia) when perfused with artificial aCSF equilibrated with 15%  $CO_2$  (Fig. 4A, B); these percentages did not change in the presence of SNB solution, suggesting that these are intrinsically chemosensitive neurons. The magnitude of the hypercapnic response (i.e., the CI) was not different in cNTS neurons from Control and Hyperoxia rats, either in the presence of SNB (Fig. 4C).

Four basic electrophysiological parameters were characterized for cNTS neurons: resting membrane potential ( $V_m$ ), capacitance ( $C_m$ ), membrane time constant (Tau:  $\tau_m$ ), and rheobase (Fig. 5). The average values for each parameter for CS and NCS neurons under each condition and in each solution are shown in Figure 5C. No statistical differences (*P*-

values ranging from 0.08 to 1.0) were found in CS vs. NCS neurons from rats reared in a given condition (Control or Hyperoxia) or exposed to a given solution (aCSF or SNB), so the values for each electrophysiological parameter were pooled from CS and NCS neurons for further statistical analysis. The pooled values for capacitance were 65.0±26.1 pF (Control, aCSF; n=8), 76.7±20.2 pF (Hyperoxia, aCSF; n=11), 93.4±31.4 pF (Control, SNB; n=8) and 86.0±14.9 pF (Hyperoxia, SNB; n=9). The pooled values for  $\tau_m$  were 118.2±27.3 ms (Control, aCSF; n=8), 104.4±23.2 ms (Hyperoxia, aCSF; n=11), 143.3±18.4 ms (Control, SNB; n=8), and 115.2±13.8 ms (Hyperoxia, SNB; n=9). Based on a two-way ANOVA, there was no significant difference in the pooled values for capacitance or for  $\tau_m$ comparing Control with Hyperoxia or comparing aCSF with SNB and there was no significant interaction between developmental condition and the solution used (all P>0.05). The pooled values for rheobase were  $15.0\pm1.9$  pA (Control, aCSF; n=8),  $23.2\pm3.2$  pA (Hyperoxia, aCSF; n=11), 20.0±2.7 pA (Control, SNB; n=8) and 26.1±4.3 pA (Hyperoxia, SNB; n=9). There were no significant differences in these pooled rheobase values based on the solution (aCSF vs. SNB), but rheobase was slightly higher in cNTS neurons from rats reared in Hyperoxia compared to Controls (P=0.027); there was no significant interaction between developmental condition and the solution used. Finally, the pooled values for Vm were -67.0±1.7 mV (Control, aCSF; n=8), -64.1±2.3 mV (Hyperoxia, aCSF; n=11), -74.0±3.2 mV (Control, SNB; n=8) and -74.4±1.3 (Hyperoxia, SNB; n=9). V<sub>m</sub> was similar for Control vs. Hyperoxia rats, but V<sub>m</sub> was more negative in SNB (P<0.001); there was no interaction between developmental condition and the solution used.

Overall, these data indicated that the basic electrophysiological parameters were similar in cNTS neurons from Control and Hyperoxia rats, both in the presence of aCSF and in SNB. There was a trend in the V<sub>m</sub> data, however, that suggested that tonic excitatory input in cNTS neurons might be somewhat larger in Hyperoxia rats (i.e., V<sub>m</sub> slightly more hyperpolarized by SNB solution). We therefore also measured excitatory and inhibitory currents in CS and NCS cNTS neurons. In aCSF, both excitatory (downward deflection) and inhibitory (upward deflection) post-synaptic currents were observed (Fig. 6A, left panel). In the presence of CNQX (an AMPA-receptor antagonist), only the inhibitory post-synaptic currents were seen (Fig. 6A, middle panel). In the presence of both CNQX and bicuculline (a GABA-receptor antagonist), both excitatory and inhibitory post-synaptic currents were eliminated (Fig. 6A, right panel). The spontaneous inhibitory post-synaptic currents (sIPSC) were too infrequent to obtain reliable frequency and amplitude values. We did measure the frequency (Fig. 6B) and the amplitude (Fig. 6C) of spontaneous excitatory post-synaptic currents (sEPSC). The amplitude of sEPSCs were greater in NCS neurons from Hyperoxia rats vs. Control rats (P=0.009; Fig. 6C). The frequency of sEPSCs did not differ between groups (P=0.66 and 0.22 for CS and NCS neurons, respectively).

#### 3.3. Hypercapnic ventilatory response (HCVR)

Ventilation was measured in conscious rats at three steady-state levels of inspired  $CO_2$  (0, 4, and 8%  $CO_2$ ) (Fig. 7). As expected, minute ventilation increased during acute exposure to  $CO_2$  in Control and Hyperoxia rats at all ages (main effect for  $CO_2$  level, all *P*<0.001). Ventilation plateaued after the first minute of hypercapnia at all ages and remained unchanged over the remainder of the hypercapnic exposure (data not shown). The increase in

ventilation was mediated exclusively by increases in tidal volume at P4 and P6-7 (CO<sub>2</sub> level, both P<0.001) with no increase in respiratory frequency (Table 1); respiratory frequency actually decreased slightly during exposure to 8% CO<sub>2</sub> in P6-7 rats (Tukey's post hoc test, P=0.02 vs. 0% CO<sub>2</sub>). In contrast, both tidal volume and respiratory frequency increased with increasing CO<sub>2</sub> levels at P13-14 (CO<sub>2</sub> level, both P<0.001) (Table 1).

Minute ventilation was lower in Hyperoxia rats at all three ages studied (main effect for developmental treatment, P<0.001 at P4 and P13-14 and P=0.01 at P6-7). However, the increase in ventilation during 4% and 8% CO<sub>2</sub> exposure was similar to that observed in Controls (i.e., similar slopes for the relationship between ventilation and inspired CO<sub>2</sub> in Fig. 7) (treatment × CO<sub>2</sub> level, P=0.25, 0.52, and 0.13 at P4, P6-7, and P13-14, respectively); the lower minute ventilation in Hyperoxia rats thus appears to reflect their decreased baseline (0% CO<sub>2</sub>) ventilation. Hyperoxia rats tended to have both reduced respiratory frequency and reduced tidal volume across all inspired CO<sub>2</sub> levels compared to age-matched Controls (Table 1), although the decrease in tidal volume was not statistically significant at P6-7 (P=0.24).

Ventilatory responses to 4% CO<sub>2</sub> and 8% CO<sub>2</sub> were also expressed as the percentage increase from baseline (Fig. 8). This analysis confirmed that the magnitudes of the HCVR at the end of the 5-minute hypercapnic challenges were similar between Control and Hyperoxia rats at all three ages (developmental treatment, P=0.40, 0.23, and 0.89 at P4, P6-7, and P13-14, respectively) (Fig. 8, right panels). Visual inspection of the data in Figure 8 (right panels) also suggested that the magnitude of the HCVR changed with age. This was confirmed by comparing the HCVR across ages separately at each level of inspired CO<sub>2</sub> (main effect for age, P<0.001 for responses to both 4% and 8% CO<sub>2</sub>); although responses were similar between P4 and P6-7 rats, the HCVR more than doubled by P13-14 (Tukey's post hoc test, P<0.001 for P13-14 vs. P4 and P6-7). This age-dependent increase in the HCVR was similar in Control and Hyperoxia rats (developmental treatment and developmental treatment × age, all P>0.05).

Although Control and Hyperoxia rats achieved similar increases in ventilation over the course of the hypercapnic challenges, we also examined ventilation at 30 seconds into the HCVR to determine whether decreased carotid body  $CO_2$  sensitivity (see section 3.1) slowed the onset of the HCVR. At P4, the early phase of the HCVR was significantly reduced in Hyperoxia rats at both 4%  $CO_2$  and 8%  $CO_2$  (main effect for developmental treatment, *P*=0.006) (Fig. 8A, left panel). This effect was no longer detected at P6-7 or P13-14 (both *P*>0.05) (Fig. 8B, C, left panels).

## 4. Discussion

Chronic perinatal hyperoxia substantially reduced the carotid body response to hypercapnia but had no effect on the  $CO_2$  sensitivity of cNTS neurons. The diminished carotid body chemosensitivity likely explains the slower increase in ventilation observed at the onset of hypercapnia in the youngest rats studied (P4) (e.g., Cummings and Frappell, 2009), but the magnitude of the HCVR under steady-state conditions was not affected by chronic hyperoxia at any age. This is consistent with previous studies that concluded that

developmental hyperoxia has no lasting effect on the HCVR measured later in adult rats (Ling et al., 1996) or mice (Dauger et al., 2003). Although cNTS neuron chemosensitivity appeared normal after developmental hyperoxia, there was some evidence for a small decrease in neuronal excitability but enhanced excitatory synaptic input to cNTS neurons within brainstem slices. It is unclear how these small changes interact but the increased network excitability may (partially) compensate for impaired carotid body function and/or contribute to the early maturation of the hypoxic ventilatory response observed in hyperoxia-treated rats (Bavis et al., 2010, 2014a).

#### 4.1. Hyperoxia-induced changes in carotid body CO<sub>2</sub> sensitivity

Chronic hyperoxia reduced, and in some cases abolished, carotid body responses to hypercapnia in neonatal rats. This finding contrasts markedly with the results reported by Lahiri et al. (1987) for adult cats subjected to 60-67 h of 100% O<sub>2</sub>. Specifically, Lahiri et al. observed that chronic hyperoxia enhanced the carotid chemoafferent responses to CO<sub>2</sub> measured in anesthetized cats. Differences in species (rat vs. cat), age during hyperoxic exposure (neonate vs. adult), duration and severity of hyperoxia (>96 h of 60%  $O_2$  vs. <67 h of 100% O<sub>2</sub>), and the measurement conditions (in vitro vs. in vivo) are all potential explanations for the contrasting findings. Although we cannot rule out any of these possibilities without additional experiments, it is worth noting that chronic hyperoxia has similar effects on carotid body O<sub>2</sub> sensitivity in neonatal rats (e.g., Donnelly et al., 2005, 2009; present study) and kittens (Hanson et al., 1989). Moreover, while some effects of chronic hyperoxia (e.g., carotid body hypoplasia) are limited to a critical window of plasticity during the early postnatal period (Bavis et al., 2002, 2013), attenuation of singleunit carotid chemoafferent responses to hypoxia can be elicited at later ages as well (Lahiri et al., 1987; Donnelly et al., 2005). Assuming that hyperoxia impairs carotid body  $O_2$  and CO<sub>2</sub> sensing through a common mechanism (see below), it seems that neither species nor age of exposure are likely explanations for differences between studies. In contrast, short periods of hyperoxia augment carotid body O<sub>2</sub> sensitivity while longer durations of hyperoxia decrease it, particularly when hyperoxia is initiated at later ages (i.e., P7 vs. P0; Donnelly et al., 2009; Roeser et al., 2011). Therefore, we speculate that the duration and/or severity of hyperoxia contribute to the apparently opposite results obtained in the present study and by Lahiri et al. (1987).

The transduction pathway for arterial  $CO_2$  in the carotid body glomus cell has not been fully explained, but it is widely accepted that high extracellular  $PCO_2$  decreases intracellular pH and that this leads to an inhibition of background K<sup>+</sup> channels, membrane depolarization, voltage-gated Ca<sup>2+</sup> entry into the cell, and secretion of neurotransmitters (Lahiri and Forster, 2003; Buckler, 2015); extracellular acidosis may also inhibit K<sup>+</sup> channels directly. Carotid body glomus cells express two varieties of background K<sup>+</sup> channels that are inhibited by both hypoxia and acidosis: Twik-related acid-sensitive K<sup>+</sup> (TASK) channels (primarily heteromeric TASK-1/3 channels, but also homomeric TASK-1 and TASK-3 channels) and a calcium-activated K<sup>+</sup> channel (BK<sub>Ca</sub>) (Buckler et al., 2000; Kim et al. 2009; Peers, 1990; Peers and Green, 1991; Buckler, 2015). TASK channels in particular are proposed to be important mediators of carotid body responses to hypercapnia (Buckler, 2015). Although studies of mice lacking the gene for one or more TASK isoform have produced mixed results

(Trapp et al., 2008; Ortega-Sáenz et al., 2010), one study found that TASK-1 and TASK-1/3 deficient mice had greatly reduced carotid chemoafferent responses to both hypoxia and hypercapnia (Trapp et al., 2008).

Carotid body hypoxic and hypercapnic responses decrease over a similar time course in hyperoxia and recover over a similar time course after return to normoxia (Bavis et al., 2011; present study), so it is possible that chronic postnatal hyperoxia impairs carotid body O2 and  $CO_2$  responses through a common mechanism. Given that  $O_2$  and  $CO_2$  chemotransduction pathways converge at the level of K<sup>+</sup> channels within the carotid body (Kim et al., 2009; Buckler, 2015), hyperoxia-induced changes in  $K^+$  channel expression is one potential mechanism that warrants further investigation. Chronic postnatal hyperoxia ( $60\% O_2$ ) has previously been shown to decrease carotid body mRNA expression for TASK channels and to increase mRNA expression for BK<sub>Ca</sub> channels (Kim et al., 2006; Bavis et al., 2011). These data must be interpreted cautiously since changes in mRNA expression may not lead to changes in protein expression, but these findings are consistent with the hypothesis that hyperoxia depresses carotid body CO<sub>2</sub> sensitivity (and O<sub>2</sub> sensitivity) by downregulating TASK channels within the glomus cells. More specifically, of TASK-1, TASK-3, and  $BK_{Ca}$ , only TASK-1 expression changed after 7 days of hyperoxia (Bavis et al., 2011); TASK-3 and BK<sub>Ca</sub> were affected after 14 days, but not after 7 days. Since carotid chemoafferent responses to CO2 were reduced by only 4-7 days of hyperoxia, this could indicate a relatively greater role for TASK-1 (and/or heteromeric TASK-1/3 channels) in hyperoxiainduced plasticity. Moreover, TASK-1 mRNA expression recovered within 7 days upon return to room air which correlates nicely with recovery of the carotid chemoafferent responses to hypercapnia and hypoxia observed in the present study. Decreases in TASK-3 and/or increases in BK<sub>Ca</sub> mRNA expression presumably would only come into play during longer hyperoxic exposures.

#### 4.2. cNTS neuron properties after developmental hyperoxia

While chronic postnatal hyperoxia markedly reduced the CO<sub>2</sub> sensitivity of CB cells, it did not markedly change the HCVR. This implies some form of central compensation of the hypercapnic ventilatory control. Central ventilatory control is a complex process that involves numerous chemosensitive regions and complex interactions among these regions (Nattie and Li, 2012). Further, chemosensitive neurons from various central regions show different developmental patterns (Gargaglioni et al., 2010; Putnam et al., 2005). Our findings show that chronic postnatal hyperoxia did not alter the CO<sub>2</sub> sensitivity of neurons within the cNTS: both the percentage of chemosensitive neurons and the magnitude of the chemosensitivity index were similar between developmental treatment groups and similar to those of untreated neonatal rats in a previous study (Conrad et al., 2009). It thus seems most likely that enhanced central CO<sub>2</sub> chemosensitivity involves changes in neurons from another region of the brainstem other than the NTS, but such a conclusion must await future studies. The suggestion that chronic postnatal hyperoxia increases CO<sub>2</sub>-sensitivity of some central neurons raises an interesting possibility. If this is shown, the increased neuronal central chemosensitivity would be compensating the hyperoxia-induced reduction in CB CO<sub>2</sub> sensitivity. This would raise mechanistic questions of how hyerpoxia decreases CO<sub>2</sub> sensitivity in CB cells while increasing it in central chemosensitive neurons.

Basic electrophysiological properties of cNTS neurons were generally unaltered by chronic hyperoxia. There was a significant increase in the rheobase of cNTS neurons with chronic hyperoxia, suggesting a decrease in neuronal excitability, but this increase was very small compared to the two-fold increase in rheobase between cNTS neurons from adult rats versus neonatal rats (Vincent and Tell, 1997). However, two observations suggest a shift toward a more excitable network: (1) cNTS neurons for hyperoxia-treated rats tended to hyperpolarize to a somewhat greater extent when synaptic input was blocked (suggesting greater tonic excitatory input) and (2) the amplitude of spontaneous EPSCs on non-chemosensitive cNTS neurons was greater in slices from hyperoxia-treated rats. The latter result could indicate a greater density of glutamate receptors (likely AMPA receptors since the EPSCs were largely abolished by application of CNQX) on these neurons. Since the NTS receives and integrates afferent input from peripheral chemoreceptors, increased excitability of these neurons could increase CNS gain and contribute to compensation for diminished carotid body responses to hypoxia and hypercapnia.

This is not the first study to suggest that chronic hyperoxia increases network excitability in the NTS or in other CNS regions (reviewed in Bavis et al., 2013). Newborn mammals typically respond to acute hypoxia with only a transient increase in ventilation; within a few minutes, ventilation begins to decline toward, or below, baseline levels (Bissonnette, 2000). Current models suggest that postnatal maturation of the HVR (from the immature, biphasic response to the mature, sustained response) involves a shift in the balance of inhibitory and excitatory signaling pathways in the NTS (Gozal et al., 2000). Interestingly, rats reared in hyperoxia exhibit a sustained increase in ventilation during hypoxia (similar to adults) at an earlier age than untreated, control rats (Bavis et al., 2010, 2014a; Hill et al., 2013). Systemic blockade of NMDA glutamate receptors with MK-801 restores the biphasic HVR in hyperoxia-treated rats, suggesting that developmental hyperoxia strengthens glutamatergic signaling through NMDA receptors and/or its downstream signaling pathways (Bavis et al., 2014a); the role of AMPA glutamate receptors was not investigated. At the same time, blockade of inhibitory PDGF-β receptors had no effect on the HVR of hyperoxia-treated rats despite enhancing the HVR of age-matched control rats (Bavis et al., 2014a). It is interesting that this earlier study implicated glutamate signaling through NMDA receptors in hyperoxiainduced plasticity while the present study suggests augmented signaling through AMPA receptors. This could reflect different populations of cells or fundamental differences in the preparation (i.e., the role of NMDA receptors may not be evident in slice preparations that lack presynaptic activity from the peripheral chemoreceptors).

#### 4.3. Implications for the interdependent model of central/peripheral chemoreception

It has been proposed that carotid chemoreceptor activity modulates the  $CO_2$  sensitivity of central chemoreceptors (i.e., central and peripheral chemoreceptors are "interdependent"; cf. Forster and Smith, 2010; Smith et al., 2010). According to this model, central  $CO_2$  sensitivity, and thus the HCVR, depends on the level of activation of carotid bodies. In awake dogs, for example, carotid body stimulation increases the slope of the ventilatory response to CNS hypercapnia while carotid body inhibition depresses it (Blain et al., 2010; Smith et al., 2015). However, the generality of this interaction across species and

physiological states remains a matter of debate (e.g., Duffin and Mateika, 2013; Teppema and Smith, 2013; Wilson and Day, 2013; Cummings, 2014).

As shown in the present study, chronic hyperoxia dramatically reduces the activity of individual carotid chemoafferent neurons during acute hypercapnia. Moreover, previous studies have shown that developmental hyperoxia causes carotid body hypoplasia and the degeneration of chemoafferent neurons (Erickson et al., 1998; Chavez-Valdez et al., 2012; Dmitrieff et al., 2012; Bavis et al., 2013). Therefore, carotid body input to the CNS should have been quite low under baseline conditions (normocapnic normoxia) and during acute hypercapnia in the hyperoxia-treated rats. The reduced basal carotid body activity likely contributes to the overall hypopnea observed in this treatment group, along with diminished central respiratory drive (Bavis et al., 2014b). However, despite greatly reduced carotid body activity, the magnitude of the HCVR itself was unaffected by developmental hyperoxia whether it was expressed as the slope of the ventilation - inspired  $CO_2$  relationship (Fig. 7) or as the percentage increase in ventilation from baseline (Fig. 8). Thus, there was no evidence for a hyperadditive interaction between peripheral and central chemoreception in the present study. Indeed, there was no evidence that carotid bodies contribute significantly to the steady-state HCVR in neonatal rats (i.e., not even a simple additive effect). Carotid bodies may provide an initial defense to a hypercapnic challenge (Cummings and Frappell, 2009), but this contribution appears to be overshadowed by activation of the central  $CO_2$ chemoreceptors. Considered this way, the interaction between the peripheral and central chemoreceptors might be hypoadditive in neonatal rats. A similar conclusion (i.e., hypoadditive interaction) was reached for neonatal mice (Cummings, 2014).

The present experiments were not specifically designed to test the interdependent model of central/peripheral chemoreception, so it is appropriate to consider alternate explanations for the apparent lack of a hyperadditive interaction. First, previous studies investigating interdependence of central and peripheral chemoreceptors have used adult animals while the present study employed neonatal rats. It is possible that the relative influence of carotid bodies on central CO<sub>2</sub> chemoreceptors increases with postnatal age. Second, studies demonstrating hyperadditive interactions generally have acutely isolated/controlled activation of peripheral and central chemoreceptors. In contrast, neonatal rats subjected to developmental hyperoxia undergo a prolonged, progressive loss of carotid chemoafferent input to the CNS. Accordingly, we cannot rule out compensatory plasticity in the cNTS or further downstream such that peripheral input to central chemoreceptors remains relatively unchanged. The latter hypothesis seems reasonable, particularly in light of observed changes in cNTS network excitability (see section 4.2) and since the early (presumably carotid bodymediated) phase of the HCVR "recovered" by P6-7 in hyperoxia-treated rats (Fig. 8B, C). If this were the case, however, it is somewhat surprising that no differences were detected in the steady-state HCVR at P4 when the early phase of the HCVR was blunted (Fig. 8A). It is possible that developmental hyperoxia may increase the chemosensitive response to hypercapnia of other brainstem neurons, such as those from the RTN, but additional experiments are needed to test this possibility.

#### 4.4. Significance

Whereas the detrimental effects of developmental hyperoxia on hypoxic responses are well established (reviewed in Bavis, 2005 and Bavis et al., 2013), this is the first report that chronic hyperoxia also diminishes peripheral chemosensitivity to hypercapnic stimuli. As previously shown by others (e.g., Cummings and Frappell, 2009), and confirmed in the present study for P4 rats, the carotid bodies may be important to mounting a rapid response to acute hypercapnic challenges. Thus, even if the steady-state HCVR is normal, protective ventilatory reflexes to transient and/or dynamic respiratory challenges may be compromised after chronic hyperoxia. For example, blunted peripheral  $CO_2$  sensitivity might prolong apneas or delay arousal responses during rebreathing or asphyxic events. These effects are likely exacerbated by concurrent depression of peripheral  $O_2$  sensitivity.

Preterm infants experience an earlier increase in arterial  $PO_2$  than expected for their postconceptual age and, in addition to this relative hyperoxia, may also experience hyperoxia when treated with supplemental  $O_2$  (e.g., Hagadorn et al., 2006). The influence of developmental hyperoxia on the respiratory control of human infants has rarely been studied, but preterm infants that receive supplemental  $O_2$  tend to have blunted ventilatory responses to brief changes in inspired  $O_2$  (Calder et al., 1994; Katz-Salamon and Lagercrantz, 1994; Katz-Salamon et al., 1996). Whether these infants also exhibit abnormal  $CO_2$  sensitivity remains to be studied.

#### Acknowledgments

This work was supported by National Institutes of Health grants R15 HL-083972 and P20 GM103423. Joe Santin assisted with the statistical analysis of electrophysiological parameters for cNTS neurons and Dr. Debra Mayes assisted with the figures.

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## Highlights

- We studied whether postnatal hyperoxia alters respiratory responses to CO<sub>2</sub> in rats.
- Carotid body responses to CO<sub>2</sub> were reduced at P4, P6-7, and P13-14.
- cNTS neurons had normal CO<sub>2</sub> responses.
- Steady-state hypercapnic ventilatory responses were normal.



## Fig. 1.

Representative recordings of carotid chemoafferent activity in response to hypercapnia (15%  $CO_2$ ) and hypoxia (5%  $O_2$ ) for neonatal rats reared in 21%  $O_2$  (Control) or 60%  $O_2$  (Hyperoxia) from birth. Prior to initiating the hypercapnic or hypoxic challenge, preparations were bathed in saline equilibrated with 21%  $O_2/5\%$  CO<sub>2</sub> (baseline). Data are shown for rats at P4 (panels A and B) and at P14 (panels C and D). Additionally, panel E provides an example of a rat that was exposed to 60%  $O_2$  through P7 and then returned to room air for 6 days (i.e., "Recovery"); note the brisk responses to hypercapnia and hypoxia in the Recovery rat compared to Hyperoxia rats studied immediately following chronic hyperoxia (i.e., panels B and D).



## Fig. 2.

Single-unit carotid chemoafferent activity recorded under baseline conditions (21%  $O_2/5\%$  CO<sub>2</sub>) for (A) P4, (B) P7, and (C) P14 neonatal rats reared in 21%  $O_2$  (Control) or 60%  $O_2$  (Hyperoxia) from birth. Values are mean±SEM. Number of observations (Control, Hyperoxia): P4 (n=17, 20), P7 (n=16, 18), P14 (n=16, 11). \* *P*<0.05 vs. Control within the same age group.



#### Fig. 3.

Single-unit carotid chemoafferent responses to 15% CO<sub>2</sub> (left panels) and 5% O<sub>2</sub> (right panels) for (A) P4, (B) P7, and (C) P14 neonatal rats reared in 21% O<sub>2</sub> (Control) or 60% O<sub>2</sub> (Hyperoxia) from birth. Note that the y-axis scales are different for the hypercapnic and hypoxic responses. Values are mean±SEM. Number of observations (Control, Hyperoxia): P4 (n=17, 20), P7 (n=16, 18), P14 (n=16, 11). \* *P*<0.05 vs. Control within the same age group.



#### Fig. 4.

The firing rate response to hypercapnia in representative cNTS neurons from neonatal rats reared in (A) 21%  $O_2$  (Control) or (B) 60%  $O_2$  (Hyperoxia) from birth. The top panel shows integrated firing rate (action potentials/10 s) (a) in normocapnic (5% CO<sub>2</sub>) artificial cerebrospinal fluid (aCSF), (b) in hypercapnic (15% CO<sub>2</sub>) aCSF, and (c) upon return to normocapnic aCSF. The bottom panels show representative membrane potential tracings with action potentials in (a) normocapnia, (b) hypercapnia, and (c) normocapnia. It can be seen that hypercapnia increases the firing rate of cNTS neurons from both Control and Hyperoxia rats. (C) The chemosensitivity index (CI) was calculated from the firing rate

increase induced by hypercapnia for both chemosensitive (CS) and nonchemosensitive (NCS) cNTS neurons. A value of 100% indicates no firing rate increase in response to hypercapnia. Values are mean±SEM. Number of neurons: (Control, Hyperoxia): CS in aCSF (n=10, 11), NCS in aCSF (n=12, 11), CS in synaptic blockade (SNB) solution (n=8, 11), NCS in SNB (n=12, 9). No significant differences in CI were detected between Control and Hyperoxia rats (*P*>0.05).



aCSF	Resting Membrane	Rheobase	Capacitance	Tau	n
	Potential (mV)	(pA)	(pF)	(ms)	
CS-Control	- 66.3 ± 2.5	15.0 ± 2.0	70.3 ± 23.5	111.6 ± 46.0	4
CS-Hyperoxia	- 64.1 ± 2.3	23.1 ± 3.5	55.4 ± 19.6	88.3 ± 26.3	8
NCS-Control	- 67.8 ± 2.7	15.0 ± 3.5	59.7 ± 23.4	124.8 ± 36.4	4
NCS-Hypeoxia	- 64.0 ± 7.0	23.3 ± 4.4	133.3 ± 46.7	147.5 + 45.6	3
SNB	Resting Membrane Potential (mV)	Rheobase (pA)	Capacitance (pF)	Tau (ms)	n
CS-Control	- 72.6 ± 4.6	19.0 ± 2.9	64.2 ± 18.3	157.6 ± 26.5	5
CS-Hyperoxia	- 74.6 ± 1.9	25.0 ± 3.2	67.2 ± 4.2	97.7 ± 11.8	5
NCS-Control	- 76.3 ± 4.8	21.7 ± 6.0	142.2 ± 78.4	119.5 ± 18.9	3
	743 + 20	27 5 + 0 7	100 5 + 31 2	127 7 + 24 7	4

#### Fig. 5.

A representative trace of (A) the effect of depolarizing current pulse injections on the membrane potential and (B) the effect of a hyperpolarizing current pulse injection on the membrane potential of a cNTS neuron from a neonatal rat. The top trace shows the membrane potential recorded in response to each pulse injection and the bottom trace shows the pulse injection protocol. Depolarizing current injections were used to determine the rheobase while the hyperpolarizing current pulse was used to determine the capacitance and Tau. (C) The mean (±SEM) values for these basic neuronal electrophysiological properties are shown for CS and NCS cNTS neurons from Control and Hyperoxia rats both in aCSF and in SNB.



#### Fig. 6.

Representative traces of post-synaptic currents for a cNTS neuron from a neonatal rat reared in 21% O<sub>2</sub> in aCSF (A: left panel), in aCSF plus the AMPA-receptor antagonist CNQX (A: middle panel) and in aCSF plus CNQX and the GABA-A-receptor antagonist bicuculline (A: right panel). Excitatory post-synaptic currents (EPSC) are shown by downward deflections of the current trace and inhibitory post-synaptic currents (IPSC) are shown by upward deflections of the current trace. The frequency (B) and amplitude (C) of spontaneous EPSCs (sEPSC) are shown for both chemosensitive (CS) and non-chemosensitive (NCS) cNTS neurons from Control rats (reared in 21% O<sub>2</sub>) and from Hyperoxia rats (reared in 60% O<sub>2</sub>). Values are mean $\pm$ SEM. Number of observations (Control, Hyperoxia): CS (n=4, 5), NCS (n=6, 5). \* *P*<0.05 vs. Control.



#### Fig. 7.

Minute ventilation during exposure to 0% (baseline), 4%, and 8% CO<sub>2</sub> for (A) P4, (B) P6-7, and (C) P13-14 neonatal rats reared in 21% O<sub>2</sub> (Control) or 60% O<sub>2</sub> (Hyperoxia) from birth. Ventilation was measured at the end of the 5-min exposures to 4% and 8% CO<sub>2</sub>. Values are mean±SEM. Number of observations (Control, Hyperoxia): P4 (n=20, 19), P6-7 (n=17, 16), P13-14 (n=18, 15). \* P<0.05 vs. Control at all time points (i.e., main effect for developmental treatment). Although not shown on the graph for clarity, ventilation increased significantly with increasing inspired CO<sub>2</sub> level at all ages (i.e., 4% vs. 0% CO<sub>2</sub> and 8% vs. 4% CO<sub>2</sub>, all P<0.001).



## Fig. 8.

Hypercapnic ventilatory responses for (A) P4, (B) P6-7, and (C) P13-14 neonatal rats reared in 21% O<sub>2</sub> (Control) or 60% O<sub>2</sub> (Hyperoxia) from birth. The ventilatory response was measured approximately 30 seconds into the hypercapnic exposure (left panels) or at the end of the 5-min exposures to 4% and 8% CO<sub>2</sub> (right panels). Values are mean±SEM. Number of observations (Control, Hyperoxia): P4 (n=20, 19), P6-7 (n=17, 16), P13-14 (n=18, 15). \* P<0.05 vs. Control (i.e., main effect for developmental treatment), # P<0.05 vs. 4% CO<sub>2</sub> (i.e., main effect for inspired CO<sub>2</sub> level).

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Respiratory frequency and tidal volume during baseline conditions (0% O<sub>2</sub>) and during exposure to two levels of hypercapnia for rats reared in 21% O<sub>2</sub> (Control) or 60% O<sub>2</sub> (Hyperoxia).

Age     Treatment     n     Mass (g)     Baseline     4% CO <sub>2</sub> 8% CO <sub>2</sub> P4     Control     20     10.6±0.2     164±4     156±4     159±5       P4     Control     20     10.6±0.2     164±4     159±5     130±4       Hyperoxia     19     10.3±0.3     129±4 *     132±5 *     130±4 *       P6-7     Control     17     15.1±0.3     161±8     156±6     149±6 #       P6-7     Control     17     15.1±0.3     161±8     156±6     129±2 *, #       P6-7     Hyperoxia     16     14.8±0.4     132±3 *     129±2 *, #       P13-14     Control     18     32.2±1.0     143±5     172±7 #     180±6 #       Hyperoxia     15     29.9±0.9     120±5 *, #     155±5 *, #     155±5 *, #	ry Frequency(breaths min	Tid Tid	lal Volume (ml 1(	$00g^{-1})$
P4 Control 20 10.6±0.2 164±4 166±4 159±5   Hyperoxia 19 10.3±0.3 129±4* 132±5* 130±4*   P6-7 Control 17 15.1±0.3 161±8 156±6 149±6#   P6-7 Control 17 15.1±0.3 161±8 156±6 149±6#   P6-7 Control 17 15.1±0.3 161±8 156±6 149±6#   P13-14 Control 18 32.2±1.0 143±5 172±7# 180±6#   Hyperoxia 15 29.9±0.9 1 $_{30\pm5}$ * 1 $_{70\pm5}$ *# 1 $_{50\pm5}$ *#	4% CO <sub>2</sub> 8% CO <sub>2</sub>	Baseline	4% CO <sub>2</sub>	8% CO <sub>2</sub>
Hyperoxia 19 10.3 $\pm$ 0.3 129 $\pm$ 4 132 $\pm$ 5 130 $\pm$ 4   P6-7 Control 17 15.1 $\pm$ 0.3 161 $\pm$ 8 156 $\pm$ 6 149 $\pm$ 6   Hyperoxia 16 14.8 $\pm$ 0.4 132 $\pm$ 3 133 $\pm$ 3 129 $\pm$ 2 *   Hyperoxia 16 14.8 $\pm$ 0.4 132 $\pm$ 3 133 $\pm$ 3 129 $\pm$ 2 *   P13-14 Control 18 32.2 $\pm$ 1.0 143 $\pm$ 5 172 $\pm$ 7 180 $\pm$ 6   Hyperoxia 15 29.9\pm0.9 120 $\pm$ 5 * #	166±4 159±5	$0.93 \pm 0.05$	1.22±0.07 #	1.47±0.07 #
P6-7 Control 17 15.1±0.3 161±8 156±6 149±6 #   Hyperoxia 16 14.8±0.4 132±3 * 133±3 * 129±2 *, #   P13-14 Control 18 32.2±1.0 143±5 $172\pm7$ # 180±6 #   Hyperoxia 15 29.9±0.9 $120\pm6$ * $152\pm5$ *, # $152\pm7$ *	132±5 * 130±4 *	$0.74{\pm}0.04$ *	0.89±0.04 *, #	1.11±0.05 *, #
Hyperoxia 16 14.8±0.4 $132\pm3$ * $133\pm3$ * $129\pm2$ *, # P13-14 Control 18 32.2±1.0 143±5 $172\pm7$ # $180\pm6$ # Hyperoxia 15 29.9±0.9 $120\pm6$ * $158\pm5$ *, # $152\pm7$ * $152\pm5$ *, #	156±6 149±6#	$0.74{\pm}0.03$	$0.94{\pm}0.04$ #	$1.17\pm0.04$ #
P13-14 Control 18 32.2±1.0 143±5 172±7 # $180\pm6$ # Hyperoxia 15 29.9±0.9 $120\pm6$ * $158\pm5$ * # $152\pm5$ * #	$133\pm3$ * $129\pm2$ *	# 0.66±0.06	0.83±0.09 #	$1.05\pm0.11$ #
Hyperoxia 15 29.9±0.9 130±5 * 158±5 * # 162±5 * #	172±7 # 180±6 #	$0.75 \pm 0.02$	1.02±0.03 #	1.31±0.04 #
	$158\pm5$ *, # $162\pm5$ *,	$\#$ 0.69±0.03 $^{*}$	$0.88\pm0.04$ *, #	1.19±0.05 *, #

 $*^{*}$  P<0.05 vs. Control at the same level of inspired CO2 (i.e., post hoc test for main effect of developmental treatment) and

#P<0.05 vs. Baseline within the same developmental treatment (i.e., post hoc test for main effect of inspired CO2). Body mass did not differ between treatment groups at any age.