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## The Utility of Cerebral Blood Flow as a Biomarker of Preclinical Alzheimer's Disease

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### Abstract

There is accumulating evidence suggesting that changes in brain perfusion are present long before the clinical symptoms of Alzheimer's disease (AD), perhaps even before amyloid- $\beta$  accumulation or brain atrophy. This evidence, consistent with the vascular hypothesis of AD, implicates cerebral blood flow (CBF) in the pathogenesis of AD and suggests its utility as a biomarker of preclinical AD. The extended preclinical phase of AD holds particular significance for disease-modification, as treatment would likely be most effective in this early asymptomatic stage of disease. This highlights the importance of identifying reliable and accurate biomarkers of AD that can differentiate normal aging from preclinical AD prior to clinical symptom manifestation. Cerebral perfusion, as measured by arterial spin labeling magnetic resonance imaging (ASL-MRI), has been shown to distinguish between normal controls and adults with AD. In addition to demonstrating diagnostic utility, CBF has shown usefulness as a tool for identifying those who are at risk for AD and for predicting subtle cognitive decline and conversion to mild cognitive impairment (MCI) and AD. Taken together, this evidence not only implicates CBF as a useful biomarker for tracking disease severity and progression, but also suggests that ASL-measured CBF may be useful for identifying candidates for future AD treatment trials, especially in the preclinical, asymptomatic phases of the disease.

### Keywords

Alzheimer's disease; arterial spin labeling; cerebral blood flow; mild cognitive impairment; neuroimaging; perfusion

### 1. Introduction

Alzheimer's disease (AD), characterized by progressive cognitive decline, is the most common form of dementia (Alzheimer's Association 2014). With a global prevalence of 5

million that is expected to quadruple by 2040, it is clear that AD is a growing world-wide health concern (Hebert et al. 2013). Recent advances in AD research have shown that neuropathological changes begin years, if not decades, before the clinical manifestation of this disease becomes evident (Morris 2005). This extended preclinical phase may hold particular significance for disease modification, as potential therapies are likely to be most effective in the early, asymptomatic stage of AD. As such, increased research efforts have been aimed at identifying reliable and accurate biomarkers of AD that can differentiate normal aging from preclinical AD prior to clinical symptom manifestation.

By definition, a biomarker is a characteristic that is objectively measured and evaluated as an indicator of pathologic processes, and can be used as a diagnostic tool for identifying patients, staging a disease, indicating disease prognosis, or for predicting and monitoring clinical response to an intervention (Biomarkers Definitions Working Group. 2001). Within the context of AD, it has been proposed that an ideal biomarker should detect a fundamental feature of AD neuropathology, be validated in neuropathologically confirmed cases, and have high diagnostic sensitivity and specificity (>80%). Moreover, a useful biological marker of AD should be reliable, reproducible, non-invasive, simple to perform, and inexpensive (Trojanowski and Growdon 1998). Our ability to identify effective biomarkers in AD is largely dependent on our knowledge of its pathogenesis. Although AD etiology has become increasingly clear, the exact cause of this disease remains unknown. While a number of hypotheses exist, much of the literature favors either the ‘amyloid cascade hypothesis’ or the ‘vascular hypothesis’ (Kelleher and Soiza 2013). The amyloid cascade hypothesis describes a sequence of biomarker changes that occur along a continuum from normal aging to dementia. Within this model, amyloid biomarkers are implicated as the primary cause of AD and the first to become abnormal, followed much later by tau-related markers of neural injury and neurodegeneration, leading to structural brain changes, subtle cognitive decline, mild cognitive impairment (MCI), and eventually dementia (Jack et al. 2010). However, evidence suggesting that amyloid- $\beta$  may be necessary but not sufficient for the development of AD, and its’ questionable association with cognitive decline has led to increasing criticism of the amyloid cascade hypothesis. This model also appears incomplete, as it does not consider vascular dysfunction in the etiology of AD, despite accumulating evidence supporting its contribution. Within the vascular model, pathology results from cerebral hypoperfusion, leading to glial and neuronal damage, and eventually resulting in neurodegeneration (e.g., deposition of amyloid- $\beta$  and tau), cognitive decline, and dementia (Kelleher and Soiza 2013). Although currently accepted biomarkers of AD include those related to amyloid, tau, cerebral metabolism, cerebral blood flow (CBF) or perfusion, and brain atrophy, etiological studies of AD suggest that amyloid and perfusion markers may hold the most promise in very early disease detection. The recent evidence supporting a strong relationship between cerebral perfusion and disease progression further implicates CBF as a useful biomarker of preclinical AD (Binnewijzend et al. 2013; Wang 2014; Wierenga et al. 2014; Binnewijzend et al. 2015).

The term perfusion is generally used to describe the *process* by which arterial blood is delivered to a capillary bed in the tissue. Similarly, CBF, is used to describe the “*rate* of delivery of arterial blood to the capillary bed of a particular mass of tissue” and it is measured in milliliters of blood per 100 grams of tissue per minute (Buxton 2009)Average

CBF values in humans approximate 50 mL/100 g per minute, with gray matter blood flow being approximately three times higher than white matter flow (Buxton 2009). The building blocks of cellular energy needed by the brain, oxygen and glucose, are delivered by a constant supply of CBF, since neither of them is stored in appreciable amounts as reserve (Golanov and Reis 1997). The connection between neural activity, energy metabolism, and blood flow is the foundation of functional neuroimaging measures. CBF can be measured by a variety of methods, the most common being positron emissions tomography (PET), single-photon emission computed tomography (SPECT) and arterial spin labeling (ASL) magnetic resonance imaging (MRI). Over the past decades, neuroimaging studies have demonstrated that CBF is altered in AD and AD risk (Wierenga et al. 2014).

The following review discusses the utility of CBF as a tool to differentiate AD from normal aging and to predict cognitive decline and conversion to MCI. When available, we focus on studies assessing cerebral perfusion with ASL, a noninvasive MRI technique that magnetically labels arterial water and uses it as an endogenous tracer to measure CBF. Due to its ability to quantify CBF, ASL-MRI has the potential to accurately estimate the magnitude and location of neural function (Liu and Brown 2007) and it is a non-invasive and easily repeatable method with good reliability and reproducibility (Parkes et al. 2004). This review also examines whether behavioral strategies can modify CBF to prevent or delay the development of AD and concludes by discussing the future of ASL-MRI as a biomarker of preclinical AD.

## 2. CBF in Aging

### 2.1. Resting CBF in Aging

Advancing age is the greatest risk factor for developing AD, with most patients diagnosed at age 65 or older (Alzheimer's Association 2014). There is also a well-established association between elevated blood pressure and reduced CBF with age, with an overall reduction in CBF of between 18–28% in older adults compared to young adults (Singh et al. 2010; Popa-Wagner et al. 2013). Evidence suggests that this decline in CBF is rapid rather than gradual, with decreases of approximately 40% in adults compared to children (Biagi et al. 2007), and cognitively healthy adults (20–67 years) showing global cerebral perfusion reductions of 0.45% per year (Parkes et al. 2004). Hypoperfusion tends to be widespread, affecting frontal, temporal (e.g., superior temporal cortex, hippocampus, parahippocampal gyrus), parietal (e.g., precuneus), and subcortical regions (e.g., thalamus, caudate) (Parkes et al. 2004; Bangen et al. 2009; Lee et al. 2009; Wierenga et al. 2013). However, Lee and colleagues (Lee et al. 2009) report significant variability of CBF within a sample of cognitively intact older adults, with individuals showing relative increases and decreases compared to the group average in multiple regions, including the anterior and posterior cingulate, precuneus, caudate and superior temporal cortex, suggesting these regions may be particularly sensitive to vascular changes in aging. These regions overlap with the default mode network, a network of brain regions active during rest and intrinsic processing and inhibited during cognitive involvement, that has demonstrated altered metabolic processing in aging and AD (Greicius et al. 2004), supporting the notion that vascular function may contribute to these early functional changes.

## 2.2. Functional CBF in Aging

Functional CBF, or the change in CBF from baseline as a result of cognitive task performance, may be a more sensitive measure of early functional brain changes given some advantages over the more typically used blood oxygen level dependent (BOLD) signal. These advantages include the direct assessment of cerebrovascular function, measurement of a well-defined physiological quantity (e.g., units of mL of blood per 100 grams of tissue per minute), and better localization of functional activity to the arterial side of the vascular tree, as the ASL perfusion signal is well localized to the capillary bed (Lee et al. 2001; Liu and Brown 2007). For example, cognitively intact older adults showed increased percent change (%) in CBF in the medial temporal lobe (MTL) during a picture encoding task compared to their younger counterparts, in the context of lower resting CBF at baseline (Restom et al. 2007; Bangen et al. 2009). Performance on primary measures of learning and memory did not differ between the groups, suggesting that the increase in % in CBF may reflect age-related compensatory mechanisms to accommodate for lower resting perfusion (Bangen et al. 2009). Moreover, memory abilities were associated with % in CBF in the MTL during picture encoding (Bangen et al. 2009), but not with the simultaneously collected % in BOLD, suggesting that CBF may be more tightly linked to cognition than the BOLD response in older adults.

## 3. Resting CBF in Genetic Risk for AD

The apolipoprotein (APOE) gene, thought to play a role in cerebrovascular integrity (Tiraboschi et al. 2004; Yip et al. 2005), is considered the single most important genetic factor in AD (Coon et al. 2007). Possession of the APOE  $\epsilon 4$  allele increases AD risk 3–8 fold, in a dose dependent fashion, and significantly lowers the age of disease onset (Saunders et al. 1993; Corder et al. 1993; Coon et al. 2007). Cognitively normal APOE  $\epsilon 4$  carriers demonstrate both increased and decreased CBF relative to normal controls. Across studies, perfusion decreases have been observed in the MTL, left lingual gyrus and the precuneus, whereas increases have been reported in the frontal, parietal and temporal cortex, as well as the anterior/posterior cingulate cortex and cerebellum (Bangen et al. 2009; Thambisetty et al. 2010; Wierenga et al. 2013). Interestingly, the effect of APOE genotype on CBF appears to be mediated by age, with older adults displaying more areas of hypoperfusion and younger adults displaying more areas of hyperperfusion (Wierenga et al. 2013). Moreover, in young APOE  $\epsilon 4$  carriers, increased CBF appears to be associated with better executive functioning, perhaps suggesting an early compensatory mechanism (Wierenga et al. 2013). Similarly, the finding that older adults at risk for AD demonstrate abnormally low CBF may indicate a breakdown of this early compensatory mechanism. We have suggested that the interaction between age and APOE genotype reflects the differential impact of the genotype on cerebrovascular function across the lifespan. There is also evidence that disease severity may mediate the relationship between APOE and CBF, with differing patterns of CBF alteration in  $\epsilon 4$  carriers with AD and those with MCI, thought to reflect the prodromal stage of AD. Specifically, APOE  $\epsilon 4$  carriers with MCI showed regional hyperperfusion in the parahippocampal and bilateral cingulate gyri, whereas APOE  $\epsilon 4$  carriers with AD displayed hypoperfusion in the right frontal and occipital lobes (Kim et al. 2013). Taken together, the evidence presented here suggests that CBF is altered in genetic

risk for AD. Moreover, the early hyperperfusion and later hypoperfusion observed in carriers of the  $\epsilon 4$  allele suggests the presence of a vascular regulatory mechanism, likely in response to an increased need for oxygen and glucose or alterations in brain metabolism.

#### 4. Resting CBF in Familial Risk

First degree familial history of AD has been shown to increase risk of developing AD by as much as 10 fold (Silverman et al. 2005; Jarvik et al. 2008). Furthermore, this risk factor is associated with alterations in brain perfusion, as cognitively normal middle-aged adults with a familial history of AD demonstrate decreased CBF in right superior and middle frontal cortices, compared to those without a familial history (Okonkwo et al. 2014). When looking specifically at maternal history of AD, there appears to be a particular risk of hypoperfusion in midlife, as evidenced by reduced CBF in the hippocampus and parietofrontal regions in those who have a mother with AD, compared to those with either no history or only a paternal history of AD (Okonkwo et al. 2014). Interestingly, the effect of genetic and familial risk-factors appears to be additive, as APOE  $\epsilon 4$  carriers who also have a familial history of AD display diminished perfusion in frontal regions, supramarginal gyri, and hippocampi compared to those with no risk factors for AD (Fleisher et al. 2009; Okonkwo et al. 2014). Overall, studies of CBF in those with a familial history of AD implicate CBF as an early marker of brain changes in those at risk for developing AD.

#### 5. Resting CBF in Vascular Risk

Elevated vascular risk burden, or the presence of vascular risk factors (e.g., diabetes, hypertension, heart disease, current smoking), is associated with an increased risk of cognitive decline and AD (Luchsinger et al. 2005; Austin et al. 2011; Gorelick et al. 2011; Bangen et al. 2014). Moreover, AD risk increases with the number of coexisting vascular risk factors present (Luchsinger et al. 2005). Studies investigating the link between vascular risk and CBF suggest that increased vascular risk factors are associated with a decrease in resting CBF over time, and with greater % CBF in response to functional tasks (Bangen et al. 2009; Muller et al. 2012). Recent evidence also suggests that vascular risk burden might moderate the relationship between increasing age and reduced CBF, with a relationship between advancing age and reduced CBF only observed among those possessing multiple vascular risk factors. Moreover, only those with elevated vascular risk showed an association between reduced CBF and poorer cognitive performance (Bangen et al. 2014). Taken together, these studies demonstrate that CBF may be the mechanism by which elevated vascular risk burden impacts brain health and cognition, suggesting its utility in identifying those at risk for AD.

#### 6. CBF in Cognitive Risk

##### 6.1. Resting CBF and Cognitive Performance

An examination of the relationship between CBF and cognitive performance may further elucidate the role of CBF as a biomarker of preclinical AD. Consistent with theories of neural efficiency, lower temporal and parietal CBF has been associated with better attention in younger adults, and lower CBF in cognitively healthy older adults has been related to

better tonic alertness (Bertsch et al. 2009). Other studies have shown that increased CBF in the posterior cingulate, precuneus and superior parietal lobe in normal aging is associated with improved immediate memory and working memory function (Okonkwo et al. 2014), and increased CBF in the hippocampus is correlated with improved spatial memory only in older adults (Heo et al. 2010). Similarly, in MCI, increased MTL CBF has been shown to be correlated with better memory performance, whereas decreased CBF was linked to visuospatial and general cognitive dysfunction (Bangen et al. 2012; Yoon et al. 2012). Our group found that improved verbal memory was correlated with an increase in resting CBF in the medial frontal gyrus in MCI APOE  $\epsilon$ 4 carriers and elevated CBF in the parahippocampal gyrus in APOE  $\epsilon$ 4 cognitively normal adults (Fig. 1). These results highlight the role of CBF in memory function and are largely consistent with a compensatory response that may shift from posterior to anterior cortices as the disease progresses to overcome pathologic encroachments (Wierenga et al. 2012). Furthermore, there is evidence that resting perfusion in AD patients correlates negatively with disease severity, as measured by the MMSE (Sandson et al. 1996; Alsop et al. 2008). Together, these findings suggest that CBF plays a role in maintaining cognitive function, and that the relationship between CBF and cognition may be modified by age and genotype.

## 6.2. Resting CBF in Mild Cognitive Impairment

Mild Cognitive impairment (MCI), or the presence of cognitive impairment in the absence of significant functional impairment, is arguably the most well-characterized risk factor for AD (Marra et al. 2011). Across studies, MCI is associated with both increases and decreases in CBF, with increases in the MTL, anterior cingulate, insula, putamen, the left hippocampus, right amygdala, ventral striatum and the basal ganglia (Dai et al. 2009; Alexopoulos et al. 2012; Wolk and Detre 2012; Wierenga et al. 2014), and decreases that reflect a lateral temporo-parietal-frontal pattern, extending to the MTL, posterior cingulate, and precuneus (Johnson et al. 2005; Xu et al. 2007; Dai et al. 2009; Bangen et al. 2012; Alexopoulos et al. 2012; Wolk and Detre 2012; Wierenga et al. 2014). The evidence of both increased and decreased perfusion in those with MCI is suggestive of early neurodegeneration resulting in CBF dysregulation that may reflect changing metabolic demands to maintain cognitive function (Ostergaard et al. 2013). Overall, the consistent presence of regional perfusion changes in MCI suggests that CBF can be used to identify those at cognitive risk for AD.

In addition to being able to identify those with concurrent MCI, there is evidence supporting the utility of CBF in predicting who is likely to convert to MCI. Evidence from a 2013 PET study suggests that those who later develop MCI demonstrate a greater increase in perfusion over time in orbitofrontal, medial frontal and anterior cingulate regions, compared to those who do not develop MCI, and that MCI converters show greater CBF decreases in parietal, temporal and thalamic regions (Beason-Held et al. 2013). A 2015 study also found that, compared to cognitively stable participants, those who showed subtle cognitive decline at an 18-month follow-up demonstrated reduced CBF at baseline, most notably in the posterior cingulate cortex, an area commonly associated with AD. Furthermore, the observed pattern of reduced CBF was similar to that of patients who already had MCI at baseline (Xekardaki et al. 2015). The authors suggest that normal cognitive function despite reduced CBF may reflect neurocognitive reserve. More specifically, cognitively normal controls who later show



subtle cognitive decline likely already have perfusion alterations at baseline comparable to those with MCI, but they appear cognitively normal due to cognitive reserve that has not yet been depleted (Xekardaki et al. 2015). Together, this evidence suggests that CBF alteration may take place years before the onset of cognitive symptoms in those who eventually convert to MCI. Furthermore, it demonstrates that CBF can be used to identify those with MCI and predict cognitive decline and conversion to MCI.

### 6.3. Functional CBF in Mild Cognitive Impairment

Very few studies have investigated CBF in response to functional tasks among those at risk for AD, and existing results are mixed. One study showed that in adults with MCI, APOE  $\epsilon 4$  carriers demonstrated greater % in CBF in the right MTL compared to non-carriers during a memory encoding task (Bangen et al. 2012). Other studies have found decreased response in the MTL in response to memory encoding tasks in adults with MCI, with these reductions ranging from 22–30%, compared to controls (Xu et al. 2007; Fleisher et al. 2009). It has been suggested that this decreased response is due to differences in resting state, given that both high and low risk groups tended to show similar levels of perfusion when accounting for resting state CBF levels (Fleisher et al. 2009). Taken together, these results suggest that the ability to modulate CBF in response to memory tasks may be reduced in individuals at risk for AD. They also implicate the measurement of functional CBF response as a possible early marker of brain changes in adults at cognitive risk for developing AD.

## 7. CBF in Preclinical AD Biomarker Risk

Well-validated AD biomarkers include elevated amyloid- $\beta$  and/or tau-related proteins in the brain, cerebral hypometabolism, measures of brain atrophy, and measures of cognitive functioning. This multitude of AD biomarkers and their inter-relationships has made it difficult to determine the etiological importance of each independent biomarker. Furthermore, it has led to difficulties in establishing both additive and putative weight for these markers of AD. With this in mind, clinicians and researchers tend to rely on a combination of biomarkers in the detection of AD, MCI and preclinical AD, rather than any one marker in isolation. The National Institutes of Aging-Alzheimer's Association (NIA-AA) workgroup recently proposed a hypothetical staging framework for the classification of preclinical AD, defined as clinically normal individuals who are thought to be in the early asymptomatic stages of AD. Within this staging framework, classification of preclinical AD is largely based on biomarkers associated with the amyloid cascade hypothesis, including markers of amyloid- $\beta$  accumulation, neuronal injury and cognitive functioning (Sperling et al. 2011). Stage 1 can be operationally defined as asymptomatic amyloidosis, Stage 2 as asymptomatic amyloidosis + "downstream" neurodegeneration as evidenced by positive cerebrospinal fluid (CSF) amyloid- $\beta$  and tau with no evidence of subtle cognitive change, and Stage 3 as amyloidosis + neuronal injury + subtle cognitive/behavioral decline not meeting criteria for MCI. Although changes in brain perfusion are not included in this staging criteria, the authors acknowledge that other biomarkers of AD may show changes prior to those utilized within their model, especially in those at genetic risk for AD by way of the APOE gene (Sperling et al. 2011). Exploring CBF alterations within this newly defined preclinical stage of AD would likely provide further evidence for its usefulness as a

preclinical biomarker and could argue for its inclusion in the current NIA-AA staging framework. Unfortunately, such studies are lacking, with AD-related perfusion studies largely restricted to genetic and cognitive risk factors. To date, we are aware of no published studies directly exploring CBF in preclinical AD, as defined by this new set of diagnostic criteria. One study examined CBF changes in relation to the NIA-AA-based stages of AD; however the authors collapsed the MCI and preclinical AD categories into one “predementia” stage, making interpretation within the NIA-AA defined stages difficult. Although results showed an association between decreased CBF and advancing stages of AD, across the continuum from normal aging to AD, they showed no CBF differences between predementia patients and controls (Binnewijzend et al. 2015). While these results support CBF as a biomarker of AD progression, they suggest that CBF changes are not directly related to amyloid- $\beta$  deposition. Further CBF research distinguishing between the NIA-AA-based preclinical and MCI groups is needed to confirm these findings.

Understanding how CBF relates to the NIA-AA based biomarkers of AD may also provide information as to its usefulness as a preclinical marker of AD. While we are aware of no studies exploring the relationship between ASL-MRI measured CBF and CSF biomarkers of AD, a 2012 SPECT study showed that high levels of CSF phosphorylated tau (P-tau) and total tau (T-tau) were associated with decreased CBF in the right superior posterior medial frontal lobe, whereas high levels of P-tau were also correlated with CBF increases in the left fronto-temporal border zone area (Stomrud et al. 2012). Although this study found no relationship between CBF and CSF  $\beta$ -amyloid<sub>1-42</sub> (A $\beta$ 42), results from both human and animal PET studies consistently demonstrate that higher PET measured amyloid- $\beta$  load is related to both increases and decreases in regional CBF (Sojkova et al. 2008; Mattsson et al. 2014; Maier et al. 2014). Across studies, amyloid-related CBF decreases have been found in the precuneus, cingulate, supramarginal gyrus, thalamus, entorhinal cortex, hippocampus, midbrain, and inferior temporal cortex, while CBF increases have been found in the medial and inferior frontal, precuneus, and inferior parietal regions (Sojkova et al. 2008; Sojkova et al. 2011; McLaren et al. 2013; Mattsson et al. 2014). Consistent with studies examining amyloid-related cross sectional differences in CBF, those measuring longitudinal change in CBF show both greater decreases and increases in perfusion over time in non-demented older adults with high amyloid- $\beta$  deposition compared to those with low deposition (Sojkova et al. 2008). A more recent study showed that elevated amyloid- $\beta$  deposition was associated with hypoperfusion in several regions, and that this relationship was independent of diagnostic group (i.e., MCI, AD). Furthermore, results showed that, compared to normal controls, amyloid- $\beta$  positive diagnostic groups demonstrated regional CBF decreases, with precuneus, entorhinal cortex and hippocampus hypoperfusion in dementia, inferior parietal cortex hypoperfusion in late MCI and dementia, and inferior temporal cortex hypoperfusion in early and late MCI, whereas amyloid- $\beta$  negative groups did not (Mattsson et al. 2014). Consistent with these findings, a 2015 study found a negative correlation between regional CBF and age that was only present in those with elevated amyloid- $\beta$  deposition (Gietl et al. 2015). Taken together, these results suggest that preserved CBF in the absence of amyloid pathology could reflect healthy aging, whereas CBF reductions with age, in the presence of amyloid deposition, may reflect AD. Further, these results are also consistent with evidence



of increased CBF at earlier stages of AD, followed by a phase of reduced CBF (Thambisetty et al. 2010; Ostergaard et al. 2013).

Overall, research exploring cerebral perfusion as it relates to amyloid and tau markers, suggests that CBF is sensitive to these biomarkers of preclinical AD and AD risk. Moreover, it has led to the proposal that perfusion changes may occur even before amyloid- $\beta$  accumulation or other pathological signs are evident, and that early alterations in CBF should be worked into current staging frameworks of AD biomarker pathology (Smith et al. 1999; Bookheimer et al. 2000; Iadecola 2004; Ruitenberg et al. 2005; Knopman and Roberts 2010; Sheline et al. 2010; Wierenga et al. 2014) (Fig. 2). However, studies exploring ASL-MRI measured CBF in relation to CSF biomarkers of AD is needed to confirm these findings and to better understand the relationship between changes in cerebral perfusion and specific biomarkers of preclinical AD.

## 8. Can Behavioral Interventions Modify CBF to Improve Cognition and Prevent or Delay the Development of AD?

Given that general cognitive function and AD risk and progression are associated with CBF, the question remains as to whether CBF can be modified to optimize CBF levels. If so, does CBF modification positively impact the neurodegenerative process? Evidence shows that physical activity in cognitively normal older adults is correlated with healthy cognitive aging (Kramer and Erickson 2007; Prakash et al. 2015) and increases in CBF (Chapman et al. 2013; Maass et al. 2015), suggesting that physical activity might be a promising intervention for AD prevention (Rolland et al. 2010; Smith et al. 2010). For example, life-long aerobic exercise preserved baseline CBF in areas associated with AD and aging (Thomas et al. 2013), and a recent randomized controlled trial of exercise with cognitively normal older adults found that, compared to the control group, adults in the exercise group showed increased CBF in the anterior cingulate cortex with improved cognitive performance after 12 weeks (Chapman et al. 2013). Furthermore, there is evidence that the association between CBF and physical activity patterns may be modified by AD risk. We recently reported a relationship between sedentary time and CBF that was modified by genetic risk in the left hippocampus, such that APOE  $\epsilon$ 4 carriers showed higher CBF as a function of longer sedentary time relative to non-carriers. We believe this suggests that sedentary time may act as a behavioral risk factor possibly exacerbating the regulatory increases in CBF usually seen in APOE  $\epsilon$ 4 carriers (Zlatar et al. 2014). Preliminary work currently being collected by our research group suggests that there is a strong positive association between higher sedentary time (measured objectively via accelerometry) and higher levels of CSF tau. Similarly, work by Head and colleagues found that cognitively normal sedentary APOE  $\epsilon$ 4 carriers may be at augmented risk of cerebral amyloid deposition as measured by PET PIB (Head et al. 2012). These results highlight the importance of understanding how behavioral lifestyle factors may interact with genetic vulnerability for AD and possibly affect disease pathology and course.

Not only has physical activity been shown to change CBF, but cognitive enhancement interventions may also be a key behavioral tool to maintain CBF levels in older adults.

Mozolic and colleagues demonstrated that cognitive training increased resting CBF in the prefrontal cortex of older adults, which has been implicated in age-related functional compensatory recruitment (Mozolic et al. 2010; Mozolic et al. 2011). This suggests that cognitive training might extend the utility of compensatory mechanisms, and perhaps preserve healthy cognition in adults at risk for AD (Cabeza 2002; Park and Reuter-Lorenz 2009; Mozolic et al. 2011). More intervention research studies are needed to determine if increased physical activity and/or cognitive training can modify CBF and in turn prevent conversion to MCI or AD.

## 9. Future Directions and Emerging Biomarkers

Calibrated fMRI estimates functional changes in cerebral metabolic rate of oxygen (CMRO<sub>2</sub>) by simultaneously acquiring BOLD and CBF data in response to a cognitive and hypercapnic challenge (Davis et al. 1998; Liu and Wong 2005). This is a promising technique with the potential to reduce some of the confounds of cerebrovascular dysfunction in the measurement of neural function. Recent applications of calibrated fMRI to aging generally conclude that the BOLD response can provide misleading reflections of underlying physiological changes [since the BOLD signal reflects local changes in deoxyhemoglobin content, which in turn exhibits a complex dependence on changes in CBF, cerebral blood volume (CBV), and (CMRO<sub>2</sub>) (Buxton 2009)] and that a calibrated approach provides a more quantitative reflection of underlying metabolic changes than the BOLD response alone (Ances et al. 2009; Hutchison et al. 2013).

Measuring *resting* CBF in conjunction with hypercapnia may provide a more comprehensive assessment of vascular function. For example, a measure of cerebrovascular reactivity (CVRh), or the amount of vessel restriction and relaxation, can be obtained by combining a baseline resting CBF scan with end tidal CO<sub>2</sub> produced by conditions of hypercapnia, and is a physiological parameter showing great clinical potential as a new hemodynamic marker and indicator of cerebrovascular health (Bulte et al. 2009; Hajjar et al. 2010; Villien et al. 2013). Like CBF, CVRh is able to predict cognitive decline and to distinguish between different stages of the AD process, and it has been suggested that CVRh may be more sensitive to vascular burden than CBF (Silvestrini et al. 2006; Glodzik et al. 2011). Furthermore, reduced CVRh is associated with hypertension (Hajjar et al. 2010), cognitive decline (Pfefferkorn et al. 2001; Silvestrini et al. 2006; Vicenzini et al. 2007; Cantin et al. 2011; Zavoreo et al. 2013), and is more sensitive to vascular burden as measured by the Framingham Stroke Risk Profile than resting CBF or brain volume (Glodzik et al. 2011). In fact, results from a 2015 study suggest that APOE ε4 carriers have lower CVRh than non-carriers and that the cognitive decline associated with the ε4 allele is attributable to lower CVRh (Hajjar et al. 2015).

Another measure showing predictive value in AD is CBF velocity (CBFv), which may distinguish between normal controls, individuals at genetic risk, adults with MCI, and AD patients (Maalikjy Akkawi et al. 2003; Maalikjy Akkawi et al. 2005; Sun et al. 2007; Claassen et al. 2009). Similarly, research looking at flow territory asymmetry, using vessel encoding ASL (VE-ASL), suggests that it is a promising predictor of cognitive decline, and should be the focus of further investigation (Donahue et al. 2014). Recent studies of acute

changes in blood pressure and CBF in AD patients, using transcranial doppler ultrasound, have found that AD is associated with elevated global cerebrovascular resistance (CVR) and autoregulatory dysfunction (Pantano et al. 1984). We have also shown that a cerebrovascular resistance index (CVRi), calculated as the ratio of mean arterial blood pressure and regional CBF, is sensitive to the AD process and warrants further exploration (Nation et al. 2013). Specifically, we found elevated CVRi in very old cognitively normal, MCI and AD groups which was associated with poorer cognitive performance in regions that are vulnerable to cerebral small vessel disease or neurodegeneration in AD (Clark et al. In Press; Nation et al. 2013). Notably, CVRi can be derived from ASL-based perfusion estimates to assess regional differences in autoregulation, further highlighting the utility of this non-invasive technique. Taken together, these considerations point toward the importance of integrating CBF and hemodynamic vascular measures in studies examining AD risk.

## 10. Discussion & Conclusions

In summary, changes in cerebral perfusion are present long before the development of the clinical symptoms of AD. Evidence from this review is consistent with the vascular hypothesis of AD and supports the notion that vascular dysfunction plays a crucial role in the pathogenesis of AD and that changes in CBF are present even before other AD pathology is evident. This highlights the potential utility of ASL-measured CBF in helping to detect the preclinical phase of AD in conjunction with other biomarkers (Lee et al. 2011; Ostergaard et al. 2013). ASL MRI is consistent with previous PET and SPECT studies measuring brain perfusion, yet it offers the advantage of being non-invasive, cost-effective, and highly reliable, reproducible and easily repeatable (Parkes et al. 2004). This suggests that ASL MRI is the most promising tool to non-invasively study changes in CBF as they relate to normal and pathological aging, as well as to investigate differences in perfusion before and after behavioral interventions developed to target CBF as a protective mechanism to delay AD (Uchihashi et al. 2011; Chen et al. 2011; Takahashi et al. 2013; Heijtel et al. 2014). Despite its clear advantages, ASL MRI has several methodological limitations related to its labeling sequences that may confine its widespread use.

The main labeling sequences used in in ASL are continuous ASL, pulsed ASL, and pseudo-continuous ASL. Continuous ASL applies a constant gradient in the direction of flow, pulsed ASL uses a single radiofrequency pulse or a short train of pulses, and pseudo-continuous ASL employs both radiofrequency and gradient pulses that are applied as a train of short pulses. While continuous ASL shows a considerable advantage to pulsed ASL in signal-to-noise ratio, it suffers from magnetization transfer effects. Similarly, pseudo-continuous ASL improves both signal-to-noise ratio and magnetization effects, yet it demonstrates reduced tagging efficiency. Further limitations related to ASL labeling sequences include sensitivity to transit time effects, limited brain coverage, low spatial resolution, and less sensitivity to white matter CBF (Buxton 2009; Wong 2013). Taken together, these limitations may explain some of the seemingly contradictory findings in AD risk and AD-related ASL studies, including inconsistencies regarding hyperperfusion and hypoperfusion and regional differences in CBF alteration between studies. Other possible explanation for disparate findings in AD-related CBF research include patient demographics (i.e., age and vascular risk burden (Bangen et al. 2014)), disease severity, and diagnostic criteria.

Given that the NIA-AA-based stages of preclinical AD are currently defined by the presence of other pathophysiological abnormalities, one could argue against the usefulness of CBF as a biomarker, as it could be viewed as a marker of a construct that is currently defined by the presence of other biomarker abnormalities. As discussed earlier, the NIA-AA acknowledges that there may be other biomarkers that show changes prior to the markers currently used in their staging framework. This notion is supported by research showing evidence of perfusion changes in at-risk individuals prior to the accumulation of amyloid- $\beta$  or brain atrophy and suggests that CBF should be included in the NIA-AA-based stages of AD. When evaluating the potential usefulness of CBF as a biomarker of preclinical AD, it is also important to consider the fact that decreased CBF and related vascular dysfunction are not specific to AD, as they are also observed in other neurological conditions (Schuff et al. 2009; Firbank et al. 2011). Although this may limit the specificity of CBF and caution against its use in isolation, it does not preclude its usefulness in conjunction with other, more established biomarkers of AD. For example, amyloid- $\beta$  and tau accumulation, brain atrophy, and cognitive dysfunction are also non-specific to the AD process, but they are considered to be AD-related biomarkers. This ultimately highlights the importance of establishing the specificity of CBF to distinguish between AD pathology and other vascular etiologies through research using consistent ASL protocols with different populations.

With the recently revised criteria put forth by the NIA for diagnosis of AD (McKhann et al. 2011), MCI (Albert et al. 2011), and preclinical AD (Sperling et al. 2011), it is clear that research will increasingly focus on the role of biomarkers in disease detection, diagnosis, and clinical outcome. Although the utility of CBF as a biomarker of AD risk and disease conversion is promising, future studies following the framework of the NIA-AA diagnostic criteria for preclinical AD (Sperling et al. 2011) are needed to firmly establish the role of CBF in the cascade of pathophysiological events that precipitate AD. An essential next step in this line of research will be to generate a microvascular biomarker profile and pathological signature of AD using multimodal neuropsychological and neuroimaging-derived assessments to determine its usefulness in predicting AD pathology.

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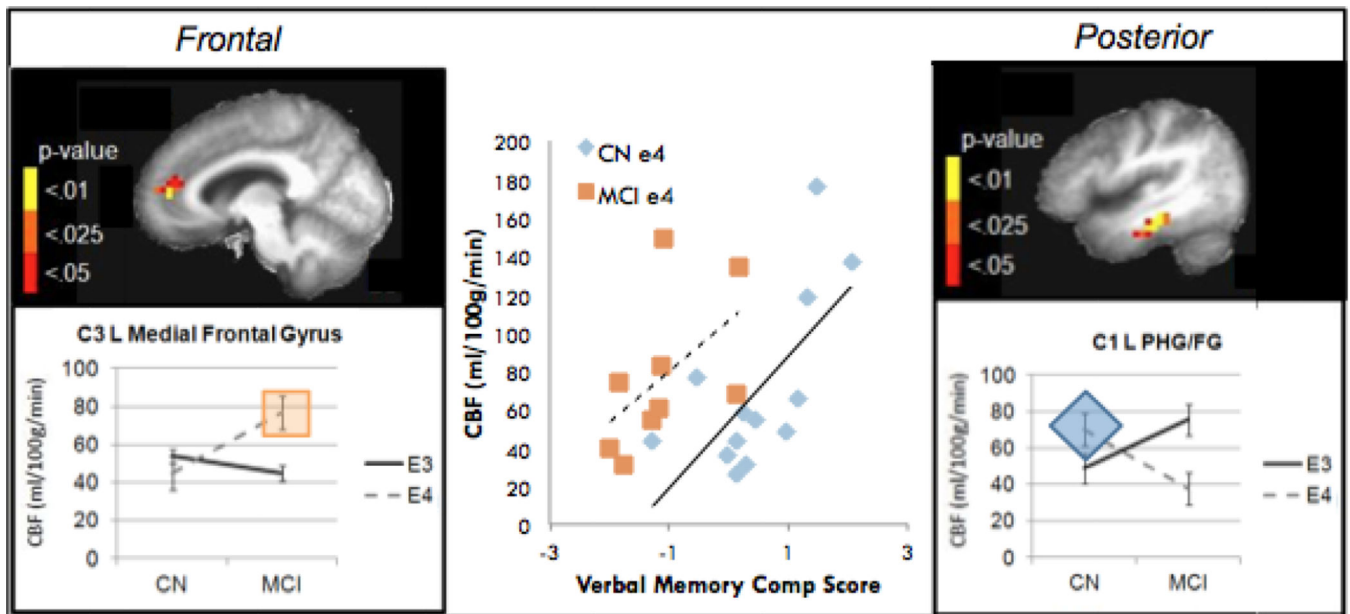


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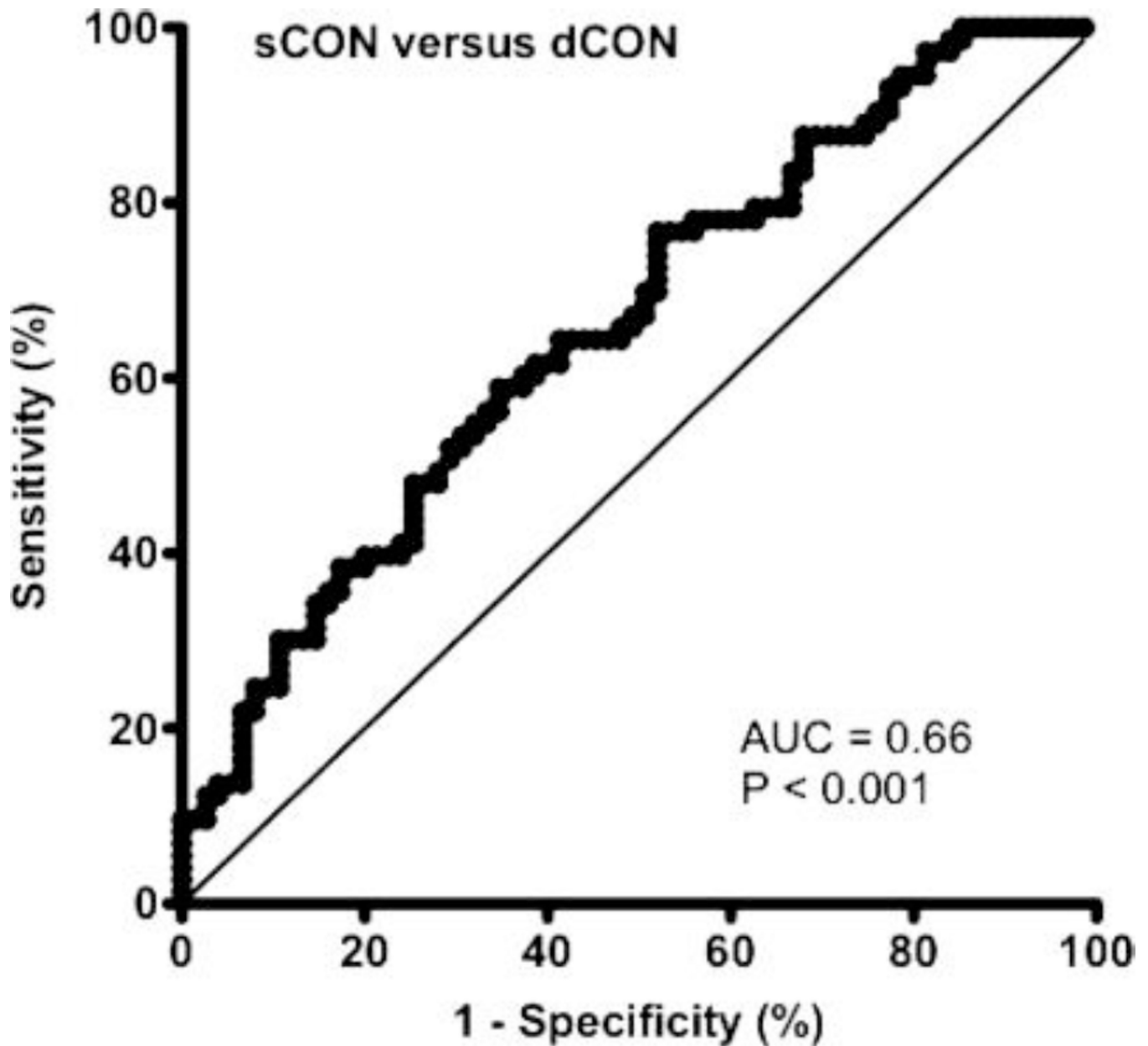
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**Fig.1.**

Modified from Wierenga et al (2012). Analysis of variance results demonstrating an interaction of cognitive status (cognitively normal, MCI) and APOE genotype ( $\epsilon 3$ ,  $\epsilon 4$ ) for CBF with corresponding graphical presentation of significant CBF differences. Increased CBF in the left parahippocampal and fusiform gyrus was correlated only with verbal memory for cognitively normal (CN)  $\epsilon 4$  adults and increased CBF in the left medial frontal gyrus was only correlated with verbal memory for MCI  $\epsilon 4$  adults. This suggests a compensatory response that may shift from posterior to anterior cortices to overcome pathologic encroachments as the disease progresses. Results are thresholded and clustered (protecting a whole-brain voxelwise  $P < 0.05$ ; red:  $P < 0.05$ , orange:  $P < 0.025$ , yellow:  $P < 0.01$ ). Error bars represent the standard error of the mean. Results are overlaid onto sagittal slices of a high-resolution anatomical image averaged across all participants (L: left; R: right; C: cluster; PHG/ FG: parahippocampal gyrus/fusiform gyrus).



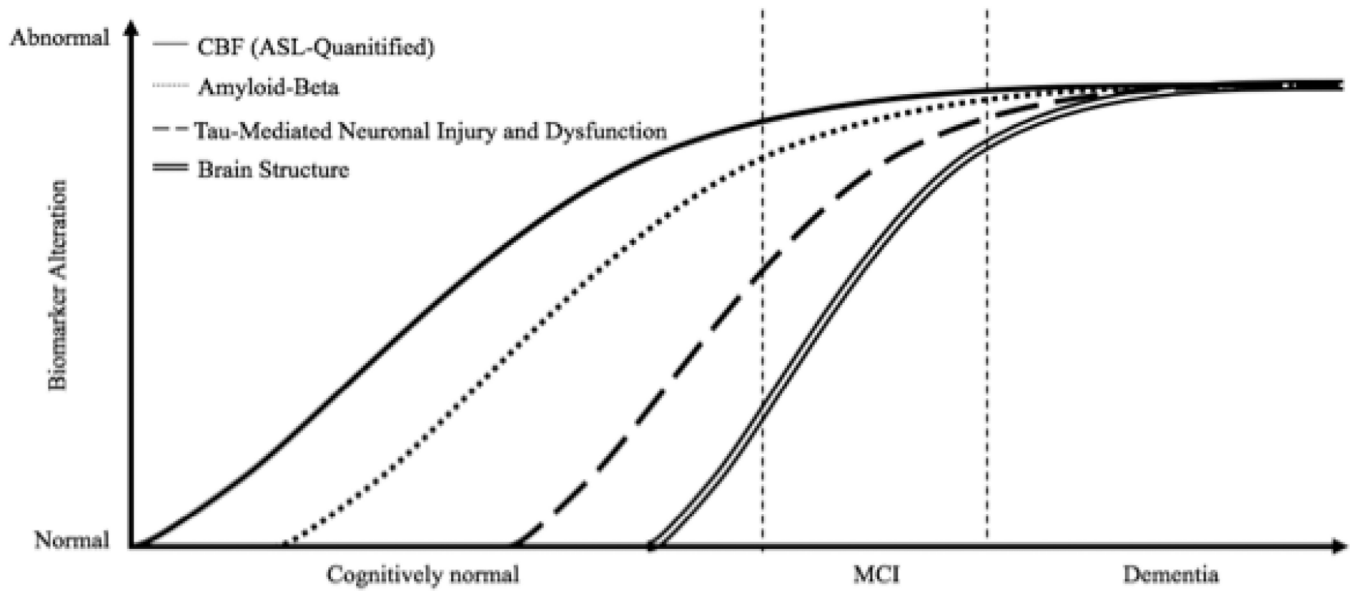
**Fig.2.**

Receiver operating characteristic curve (ROC) demonstrating the ability of CBF to differentiate cognitive decliners from those who remained cognitively stable. ASL relative CBF in posterior cingulate cortex (PCC) enabled discrimination of deteriorated cognitive function (dCON) ( $P < .001$ ) from stable cognitive function (sCON); however, there was no difference between deteriorated cognitive function and mild cognitive impairment (not shown).

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**Fig.3.**

Hypothetical model of the temporal ordering of physiological biomarkers of AD modified from Wierenga et al. (2014). This figure is adapted from Jack et al. (2010) to include early alterations in CBF in the sequence of biomarkers across the continuum from normal aging to MCI to AD. Direction of CBF alteration is not specified because, as reviewed here, both hyper and hypoperfusion reflect abnormality in different stages of cognitive decline.