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Author manuscript

*Oral Surg Oral Med Oral Pathol Oral Radiol.* Author manuscript; available in PMC 2017 November 01.

Published in final edited form as:

*Oral Surg Oral Med Oral Pathol Oral Radiol.* 2016 November ; 122(5): 566–571. doi:10.1016/j.oooo.2016.07.003.

## Comparison of mean radiation dose and dosimetric distribution to tooth-bearing regions of the mandible associated with proton beam radiation therapy and intensity modulated radiation therapy for ipsilateral head and neck tumor

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### Abstract

**Objective**—The purpose of this study is to compare the dosimetric distribution of ipsilateral proton beam radiation therapy (PBRT) to intensity-modulated radiation therapy (IMRT) in the tooth-bearing region of the mandible in patients with head and neck cancer (HNC).

**Patients and Methods**—The mandibular dosimetric distribution of HNC patients treated with 60 Gy relative biological equivalent (RBE) PBRT were evaluated. The mean radiation doses were calculated in five regions: Ipsilateral molar, ipsilateral premolar, anterior, contralateral premolar and contralateral molar (CM). The CM was used as reference region for comparative analysis. The mandibular dosimetric distribution of patients treated with PBRT was compared to IMRT patients with similar tumor sites and planning target volumes.

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**Disclosure:** All authors declare that there are no financial conflicts associated with this study and that the funding source has no role in conceiving and performing the study.

Abstract of this study was presented at the Annual meeting of the American Academy of Oral Medicine (AAOM), 2016.

**Results**—The mean radiation dose to the contralateral regions was lower in patients treated with PBRT compared to IMRT. The average mean radiation dose to the reference region (CM) in patients treated with PBRT (RBE) vs. IMRT: oropharynx [2.2 Gy vs. 23.2 Gy,  $P < 0.00002$ ], parotid [0 Gy vs. 11.8 Gy,  $P = 0.01$ ] and oral cavity [0.4 Gy vs. 15.6 Gy,  $P = 0.006$ ].

**Conclusion**—This study demonstrates the effective tissue-sparing capability of PBRT compared to IMRT. Utilization of PBRT could translate to less radiation-related toxicity.

### Keywords

Proton therapy; IMRT; head and neck cancer; proton beam radiation therapy

## Introduction

Head and neck cancer (HNC) patients frequently undergo radiation therapy for primary tumors as either neoadjuvant or adjuvant therapy, usually in combination with one or more additional modalities such as surgery and chemotherapy<sup>1, 2</sup>. To limit treatment-related toxicities, radiation oncologists reduce doses to spare adjacent organs and tissues at risk, such as the brain, brainstem, spinal cord, salivary glands, jaw and muscles of mastication.

Intensity-modulated radiation therapy (IMRT) has enabled improved tumor dose conformality and doses are reduced in order to spare the tissues/organs at risk. IMRT has purportedly decreased oral adverse events such as mucositis, xerostomia, trismus and osteoradionecrosis (ORN)<sup>3–6</sup>. However, some patients still experience these sequelae and complications, which frequently result in decreased quality of life<sup>7–13</sup>.

Proton beam radiation therapy (PBRT) is a relatively new radiation technique now utilized in the management of HNC that has been shown to have greater dose reduction capability in comparison to IMRT, an advantage that could reduce radiotherapy complications<sup>14–19</sup>. This advantage owes to a characteristic of proton particles that allows deposition of energy over a discrete range known as the Bragg peak<sup>20</sup>. The Bragg peak is spread out along the tumor coverage allowing the release of energy within the tumor, thereby eliminating an exit dose<sup>2, 18</sup>.

In this study, we evaluated the dosimetric distribution to the tooth-bearing region of the mandible in HNC patients treated with ipsilateral PBRT, and compared the tissue-sparing capabilities of PBRT and IMRT in patients with HNC.

## Patients and Methods

The study was approved by the Institutional Review Board of Memorial Sloan Kettering Cancer Center and ProCure Proton Therapy Center. The mandibular dosimetric distributions of 30 HNC patients treated with ipsilateral PBRT receiving 60 Gy relative biological equivalent (RBE) between 2014 and 2015 were evaluated. Tumor sites were base of tongue (BOT) (4), tonsil (5), parotid (5), submandibular gland (5), oral cavity (11): [gingiva (5), buccal mucosa (3), retromolar region (1), floor of mouth (1), and palate (1)]. The mandibles were dosimetrically contoured using pre-RT CT planning software (ProCure Proton Therapy Center, New Jersey). The mandible was divided into 5 regions: ipsilateral molar (IM),

ipsilateral premolar (IP), anterior (A), contralateral premolar (CP) and contralateral molar (CM). The mean radiation doses were calculated for the 5 regions. The methods for dosimetric contouring were described in our previous study.<sup>21</sup>.

To compare the tissue-sparing capability of PBRT versus IMRT, the mandibular dosimetric distribution in 16 patients treated with PBRT were compared with 16 patients treated with IMRT based on similar tumor sites, planning target volumes, and radiation dose. The mandibles of patients treated with IMRT were dosimetrically contoured in 5 regions using Memorial Sloan Kettering Cancer Center's preradiotherapy CT planning software. All patients received ipsilateral radiotherapy. The farthest region from the treated site, CM, was used as reference region for comparative analysis.

Treatment plans were drawn up by the same radiation oncologist. Gross tumor volume (GTV) is defined as gross extent of tumor based on clinical examination and imaging studies (CT, MRI, PET-scans). Patients were treated with a therapeutic intent of 2 Gy per fraction, given 5 fractions per week for 30 – 35 fractions. Clinical target volume (CTV) is defined as GTV + 3–5 mm to cover area of potential microscopic involvement, lymph nodes at high-risk and skin involvement, and planning target volume (PTV) is defined as CTV + 5 mm to account for patient motion and setup error. Radiotherapy technique as earlier described in Romesser et al.<sup>17</sup>.

## Statistical analysis

The patients were group into 3 categories for statistical analysis. Oropharynx (BOT and tonsil) n = 5, parotid n = 5 and oral cavity (submandibular, buccal mucosa, retromolar region, mandibular gingiva) n= 6. Comparisons between cohorts were performed using a 2-tailed Student's t-test. A *P*-value of < 0.05 was considered significant.

## Results

Table 1 summarizes the dosimetric distribution to the tooth-bearing regions of the mandible following proton beam radiation therapy for 30 HNC patients requiring ipsilateral radiation. The average mean radiation doses to the contralateral region were the highest in patients with BOT tumor and lowest in patients with parotid tumors, in this order: BOT > other oral cavity sites > tonsil > submandibular gland > parotid (Figures 1 and 2).

Table 2 summarizes the dosimetric distribution to the tooth-bearing regions of the mandible following intensity-modulated radiation therapy for 16 HNC patients requiring ipsilateral radiation.

Table 3 summarizes the results of t-tests comparing the mean dose associated with PBRT versus IMRT in 16 patients with HNC treated with ipsilateral PBRT to 16 patients with HNC treated with ipsilateral IMRT. The comparative analysis showed: oropharynx [range: 0 – 8.7 Gy, mean 2.2 Gy (RBE) vs. 18.6 – 29.2 Gy, mean 23.2 Gy, *P* < 0.00002], parotid [range: 0 – 0 Gy, mean 0 Gy (RBE) vs. 4.47 – 21.1 Gy, mean 11.8 Gy, *P* = 0.01] and oral cavity [range: 0.06 – 1.3 Gy, mean 0.4 Gy (RBE) vs. 4.1 – 25.9 Gy, mean 15.6 Gy, *P* = 0.006]. The mean radiation dose to the contralateral regions was lower in patients treated with PBRT compared

to those treated with IMRT. In addition, the mean radiation dose to the reference region, CM, was statistically lower in patients treated with PBRT.

## Discussion

This is the first study detailing dosimetric distribution of the tooth-bearing region of the mandible in patients treated with PBRT for HNC, as well as the first comparison of PBRT's mandibular dosimetric distribution with that of IMRT. Our analysis shows that the dosimetric distribution to the tooth-bearing region of the mandible is directly related to the tumor site and location of the tooth on the mandible. BOT tumors and oral cavity tumors in regions such as the gingiva and floor of mouth received the highest radiation doses to the contralateral region while treatment of tumors involving the parotid gland deposited negligible radiation in this region. Also, in all tumor sites the contralateral region of the mandible received the lowest radiation dose with the exception of tumors involving the BOT where the contralateral molar region received a higher dose compared to the contralateral premolar region. Our findings show that PBRT had a far greater sparing capability than IMRT by tumor sites, planning target volume and radiation dose to tumor.

Recent studies of PBRT for the treatment of HNC patients concluded that PBRT lowers radiation dose and improves normal tissue sparing when compared to IMRT without relinquishing target coverage<sup>14, 16, 17</sup>. This dosimetric benefit translated into lower rates of acute complications such as mucositis, nausea, dysgeusia and fatigue<sup>17</sup>. Further study of patients treated with PBRT is needed to substantiate the long-term harm reduction associated with this treatment.

Treatment-related toxicity from radiotherapy could be an overwhelming challenge to patients. Osteoradionecrosis (ORN) remains a potentially serious complication following radiotherapy, even in the era of IMRT<sup>8, 10, 22</sup>. ORN is defined as an area of exposed bone greater than 1 cm in size in an area previously irradiated that failed to heal over a period of 3–6 months, and may require resection of the necrotic segment(s) of the jaw. Reported incidence of ORN ranges from 6.3–6.8% of patients with oral and oropharyngeal cancer treated with IMRT<sup>8, 10</sup>. High radiation dose and jaw trauma due to dental extraction remain major risk factors for this complication<sup>10, 23–26</sup>. Radiation doses >60 Gy to the bone is significantly linked to the risk of developing ORN and mean doses > 40Gy were predictive of increased subsequent dental events<sup>25, 27</sup>. Our findings show radiation dose to the contralateral premolar and molar ranging from 0 to 15.3 Gy, thus suggesting that the risk for ORN could be avoided in the contralateral region of the mandible in patients who received ipsilateral PBRT. However, areas of the jaw covered by the PTV are at an increased risk of ORN, which also raises the question of the relative biological equivalent (RBE) of a proton to a photon. Report has placed the RBE at 1.1 (i.e 1 Gy of proton beam = 1.1 Gy of photon beam), with some variation<sup>18</sup>. So areas of the jaw covered by the PTV will be receiving a higher equivalent to a photon beam.

Oral mucositis is an acute complication of head and neck radiotherapy characterized by pain, ulcerations, odynophagia, secondary infections and reduced oral intake<sup>28–30</sup>. Approximately, one-third of HNC patients receiving radiotherapy suffer from grades 3 and 4

oral mucositis<sup>28</sup>. Development of oral mucositis has led to interruptions of patients' treatment. A recent study by Romesser et al. showed a significant reduction in the development of oral mucositis when comparing patients treated with PBRT to IMRT<sup>17</sup>.

Xerostomia or dry mouth caused by reduced salivary flow, is the most prevalent complication in patients with HNC treated with radiotherapy<sup>31</sup>. Xerostomia can lead to poor oral hygiene, dental caries, halitosis, and difficulty with mastication, swallowing and speech. The QUANTEC group suggested that long-term, severe xerostomia may be avoided if at least one parotid gland is spared, to a mean dose of <20 Gy, or if both glands are spared, to a mean dose of <25 Gy to minimize the risk of parotid gland toxicity in patients with HNC treated with conformal radiotherapy<sup>31</sup>. This radiation doses may be readily achievable with PBRT<sup>14, 17</sup>.

Trismus is another well-known complication of radiotherapy<sup>32, 33</sup>. The condition is defined as difficulty with mouth opening secondary to spasm of the muscles of mastication; a maximal interincisal opening measurement of < 35 mm is considered trismus<sup>34</sup>. Trismus can affect many aspects of daily living, and frequently causes impaired speech and difficulties in eating and chewing, maintaining proper oral hygiene, and receiving dental intervention. Studies have shown a correlation between radiation doses received by the muscles of mastication and post-radiotherapy trismus. High radiation doses to the muscles of mastication predispose patients to trismus<sup>35, 36</sup>. Oropharyngeal (BOT and tonsil) tumors are in close proximity to the muscles of mastication (pterygoids) making it difficult to spare this normal tissue from radiation dose. However, by attempting to eliminate an exist dose, PBRT may be able to spare the muscles of mastication.

Limitations of this study are the small patient numbers. In addition, we could not account for the subtle differences in target volume delineation that may have occurred as the treating radiation oncologist evolved in practice.

In conclusion, this study demonstrates the potential superior tissue-sparing capability of PBRT compared to IMRT. Clinically, this advantage could lead to fewer complications in patients treated with proton therapy by reducing doses to adjacent organs at risk, such as the jaw thereby minimizes the risk for ORN.

## Acknowledgments

This study was supported in part through the NIH/NCI Cancer Center Support Grant P30 CA008748.

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**Statement of Clinical Relevance**

In this study, proton beam radiation therapy demonstrated a superior tissue-sparing capability compared to intensity-modulated radiation therapy. Clinically, this advantage could translate to less radiation-related toxicity.

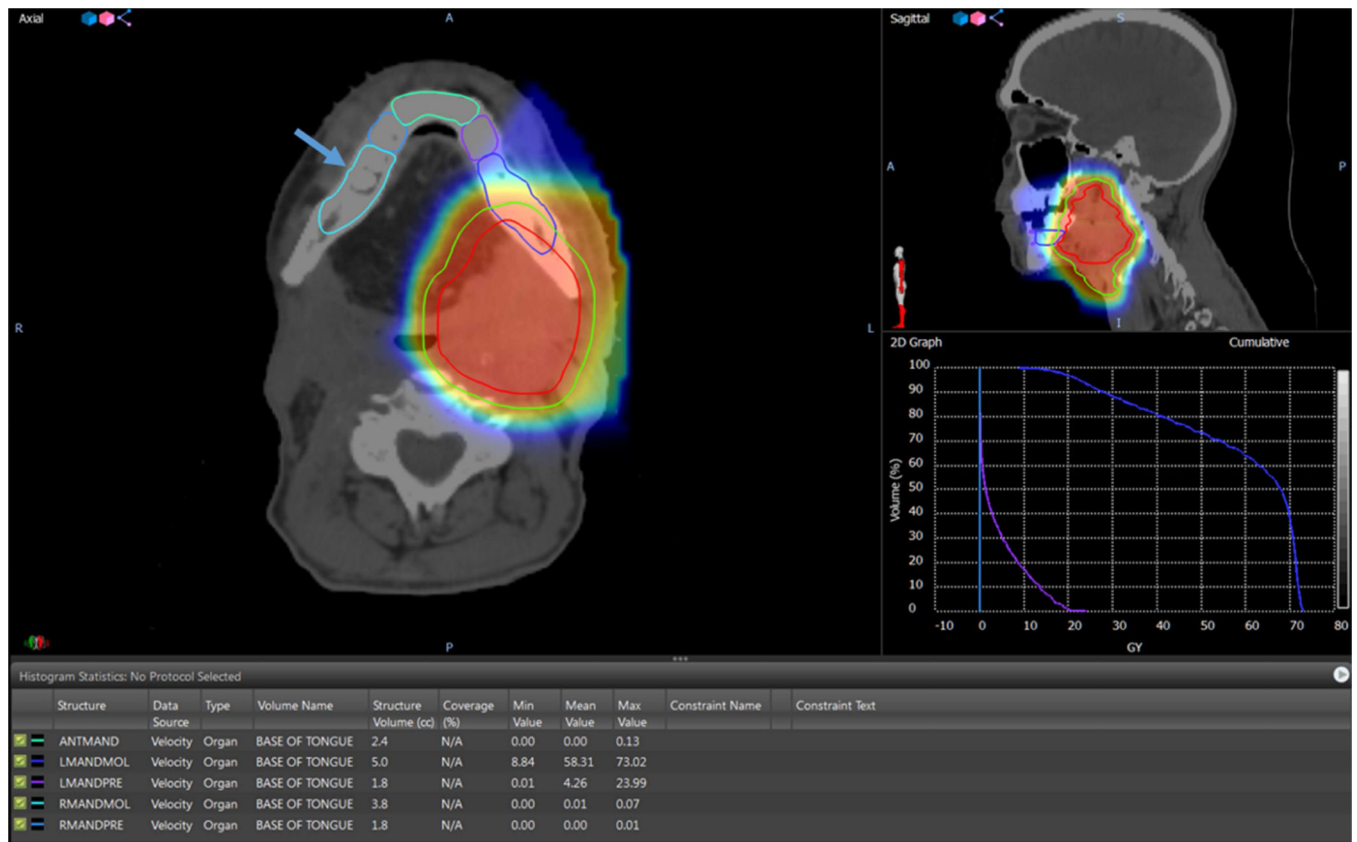
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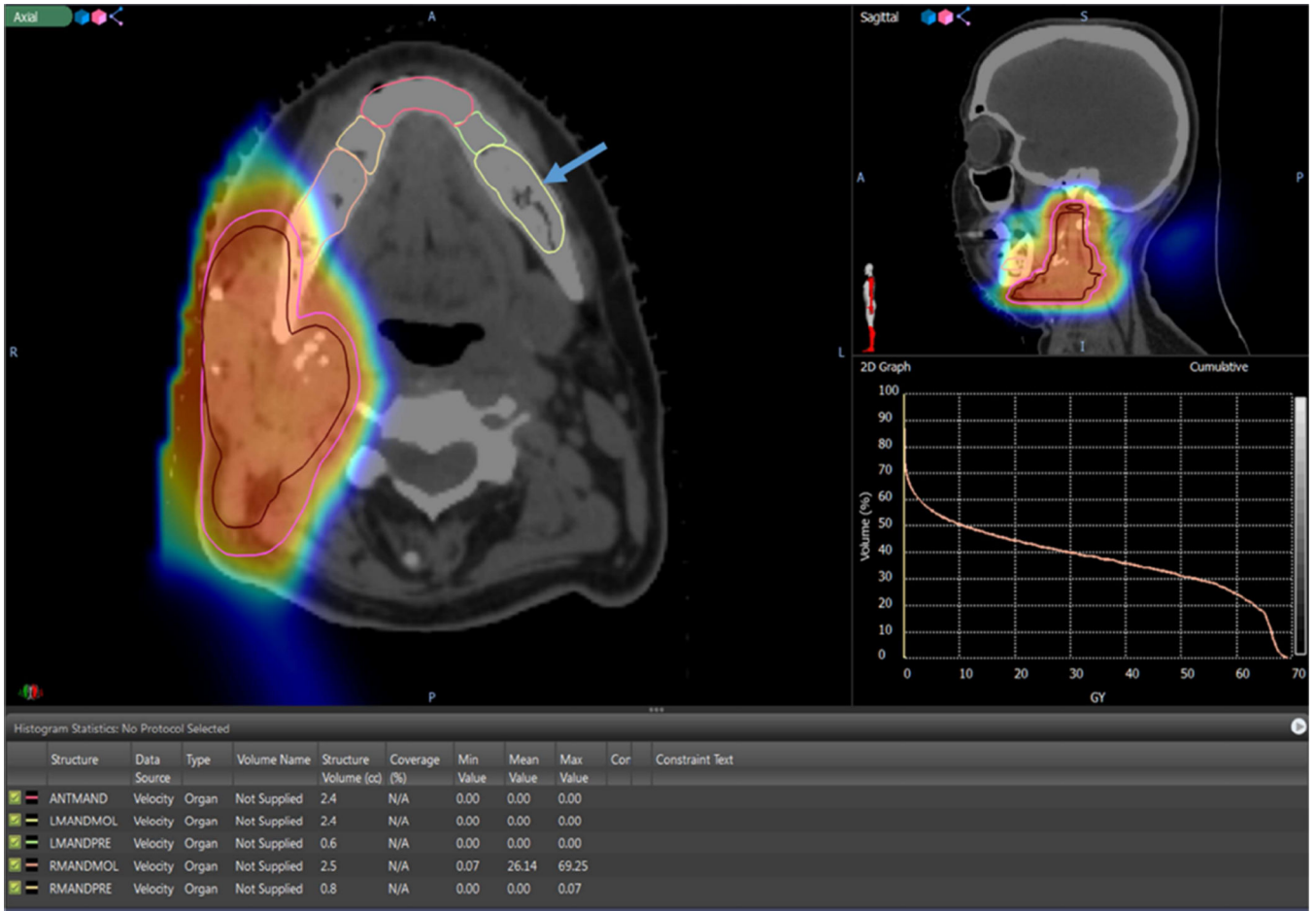
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**Figure 1.**

Shows the dosimetric distribution of the mandible of a base of tongue tumor patient treated with ipsilateral 70 Gy PBRT. The cloud of red, yellow and blue colors represents the radiation isodose color wash in the area of the target volumes. Arrow points to the contralateral molar region (reference region) (RMANDMOL). The mean value of radiation dose to the contralateral molar region was 0.01 Gy. The 2D graph represents the dose volume histogram depicting the isodose lines per volume for each contoured region, the blue isodose line represents the ipsilateral left mandibular molar region (LMANDMOL), the purple isodose line represents the ipsilateral left mandibular premolar region (LMANDPRE) and the cyan isodose line represents the contralateral right mandibular molar region (RMANDMOL). The table shows the minimum, mean and maximum radiation doses to the five contoured regions of the mandible.



**Figure 2.**

Shows the dosimetric distribution of the mandible of a parotid gland tumor patient treated with ipsilateral 66 Gy PBRT. The cloud of red, yellow and blue colors represents the radiation isodose color wash in the area of the target volumes. Arrow points to the contralateral molar region (reference region) (RMANDMOL). The mean value of radiation dose to the contralateral molar region was 0 Gy. The 2D graph represents the dose volume histogram depicting the isodose lines per volume for each contoured region, the brown isodose line represents the ipsilateral left mandibular molar region (LMANDMOL) and the yellow isodose line represents the contralateral right mandibular molar region (RMANDMOL). The table shows the minimum, mean and maximum radiation doses to the five contoured regions of the mandible.

Dosimetric distribution to the 5 tooth-bearing regions of the mandible following proton beam radiation therapy for head and neck cancer patients requiring ipsilateral radiation (n=30)

**Table 1**

Tumor site	Mean radiation dose to the mandible (Gy) (RBE)				
	Ipsilateral molar	Ipsilateral premolar	Anterior	Contralateral premolar	Contralateral molar
Base of tongue (n=4)	32.9 (11.13 – 58.3)	13.2 (0 – 25.7)	9.3 (0 – 19.5)	6.3 (0 – 16.8)	7.91 (0 – 22.9)
Tonsil (n=5)	31.9 (10.1 – 68.6)	16.8 (0.1 – 64.8)	9.5 (0.05 – 38.3)	2.1 (0 – 5.8)	0.66 (0 – 2.4)
Parotid gland (n=5)	16.3 (0.4 – 47.5)	0.4 (0 – 1.9)	0.002 (0 – 0.01)	0	0
Submandibular gland (n=5)	64 (61.9 – 66.9)	28.6 (16.2 – 39.8)	8.5 (0.01 – 27.3)	6.8 (0 – 23.9)	0.45 (0 – 1.3)
Other oral cavity sites (n=11)	45.3 (0 – 68.3)	39.2 (0 – 69.6)	27 (0 – 72.4)	15.3 (0 – 54.9)	2.6 (0 – 14.5)

**Table 2**  
 Dosimetric distribution to the 5 tooth-bearing regions of the mandible following intensity-modulated radiation therapy for head and neck cancer patients requiring ipsilateral radiation (n=16)

Tumor site	Mean radiation dose to the mandible (Gy)				
	Ipsilateral molar	Ipsilateral premolar	Anterior	Contralateral premolar	Contralateral molar
Oropharynx (BOT and tonsil) (n=5)	57.9 (49 – 69.9)	48.3 (28.8 – 67.1)	24 (18.4 – 33.9)	27.9 (20.3 – 35.2)	23.2 (18.6 – 29.2)
Parotid gland (n=5)	49.8 (35.8 – 62.7)	38.9 (20.8 – 60.8)	28.5 (16.6 – 47)	17.8 (11.4 – 31.3)	11.8 (4.5 – 21.1)
Oral cavity (submandibular, buccal mucosa, retromolar region, mandibular gingiva) (n=6)	59.6 (37.3 – 73.7)	58.4 (34.8 – 73.7)	45.2 (30.3 – 57.9)	27 (15 – 34.9)	15.6 (4.1 – 25.9)

**Table 3**

Comparative analysis of the sparing capability of PBRT versus IMRT

Tumor sites	PBRT (Gy) (RBE) (n)	IMRT (Gy) (n)	P-value
Oropharynx (BOT and tonsil)	2.2 (0 – 8.7) (n=5)	23.2 (18.6 – 29.2) (n=5)	<0.00002
Parotid	0 (n=5)	11.8 (4.47 – 21.1) (n=5)	0.01
Oral cavity (submandibular, buccal mucosa, retromolar region, mandibular gingiva)	0.4 (0.06 – 1.3) (n=6)	15.6 (4.1 – 25.9) (n=6)	0.006

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