

# $\beta$ -Catenin haploinsufficiency promotes mammary tumorigenesis in an ErbB2-positive basal breast cancer model

Tung Bui<sup>a,b</sup>, Babette Schade<sup>a</sup>, Robert D. Cardiff<sup>c</sup>, Olulanu H. Aina<sup>c</sup>, Virginie Sanguin-Gendreau<sup>a</sup>, and William J. Muller<sup>a,b,d,1</sup>

<sup>a</sup>Goodman Cancer Center, McGill University, Montreal, QC H3A 1A3, Canada; <sup>b</sup>Department of Biochemistry, McGill University, Montreal, QC H3A 1A3, Canada; <sup>c</sup>Center for Comparative Medicine, University of California, Davis, CA 95616; and <sup>d</sup>Faculty of Medicine, McGill University, Montreal, QC H3A 1A3, Canada

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Aberrant activation of  $\beta$ -catenin through its activity as a transcription factor has been observed in a large proportion of human malignancies. Despite the improved understanding of the  $\beta$ -catenin signaling pathway over the past three decades, attempts to develop therapies targeting  $\beta$ -catenin remain challenging, and none of these targeted therapies have advanced to the clinic. In this study, we show that part of the challenge in antagonizing  $\beta$ -catenin is caused by its dual functionality as a cell adhesion molecule and a signaling molecule. In a mouse model of basal ErbB2 receptor tyrosine kinase 2 (ErbB2)-positive breast cancer (ErbB2<sup>K1</sup>), which exhibits aberrant  $\beta$ -catenin nuclear signaling,  $\beta$ -catenin haploinsufficiency induced aggressive tumor formation and metastasis by promoting the disruption of adherens junctions, dedifferentiation, and an epithelial to mesenchymal transition (EMT) transcriptional program. In contrast to the accelerated tumor onset observed in the haploid-insufficient ErbB2 tumors, deletion of both  $\beta$ -catenin alleles in the ErbB2<sup>K1</sup> model had only a minor impact on tumor onset that further correlated with the retention of normal adherens junctions. We further showed that retention of adherens junctional integrity was caused by the up-regulation of the closely related family member plakoglobin ( $\gamma$ -catenin) that maintained both adherens junctions and the activation of Wnt target genes. In contrast to the ErbB2<sup>K1</sup> basal tumor model, modulation of  $\beta$ -catenin levels had no appreciable impact on tumor onset in an ErbB2-driven model of luminal breast cancer [murine mammary tumor virus promoter (MMTV-NIC)]. These observations argue that the balance of junctional and nuclear  $\beta$ -catenin activity has a profound impact on tumor progression in this basal model of ErbB2-positive breast cancer.

ErbB2 | breast cancer | transgenic model | beta-catenin | cell junction

The canonical Wnt/ $\beta$ -catenin pathway governs many cellular processes crucial to both normal development and cancer progression (1).  $\beta$ -Catenin is a key component in this pathway and plays two distinct roles in cells: as an adhesion protein and as a transcriptional coactivator. At the plasma membrane,  $\beta$ -catenin links classical Cadherins to  $\alpha$ -catenin and the cytoskeletal network to form the basis of adherens junctions (2). On Wnt ligand engagement, the  $\beta$ -catenin degradation machinery, including APC, Axin, and GSK3 $\beta$ , is disrupted, allowing the subsequent accumulation of  $\beta$ -catenin in the nucleus, where it drives the transcription of a large number of genes involved in proliferation and differentiation (1). A growing body of evidence has highlighted a major interplay between these two functions of  $\beta$ -catenin (reviewed in refs. 3 and 4). Numerous studies have shown that the disruption of adherens junctions can promote the nuclear translocation of  $\beta$ -catenin and its transcriptional activity (5–7). However, these studies indicate that this cell adhesion-mediated activation of  $\beta$ -catenin only occurs when the Wnt pathway is active (5–7). Conversely,  $\beta$ -catenin can influence cell adhesion dynamics by activating genes, such as *Twist*, *Snai1*, *Adam10*, and *MMPs*, which repress expression of Cadherin at both the transcriptional

and protein levels, thus creating a feed-forward loop that further enhances  $\beta$ -catenin's transcriptional activity (reviewed in ref. 3).

As a result, the multiple functions of  $\beta$ -catenin must be tightly regulated to maintain proper tissue homeostasis. Aberrant  $\beta$ -catenin activity has been observed in many forms of human malignancies (8). In colorectal cancer, loss of function mutations in components of the degradation complex (*APC* and *AXIN2*) or activating mutations in  $\beta$ -catenin result in constitutive activation of downstream target genes (8). In breast cancer, these mutations are extremely rare; however, aberrant activation of  $\beta$ -catenin is observed in aggressive basal-like and ErbB2-positive breast cancer and associated with poor clinical outcome (9, 10). In contrast to colorectal cancer,  $\beta$ -catenin signaling is hyperactivated in breast cancer by alternative mechanisms, such as aberrant expression of Wnt ligands or the loss of negative regulators (11). Although there has been much research focused on the signaling function of  $\beta$ -catenin, the contribution of its adhesion function to tumorigenesis remains poorly understood.

Elevated expression of ErbB2 receptor tyrosine kinase 2 (ErbB2) through genomic amplification and/or overexpression at the protein level occurs in 20–30% of breast cancer patients and strongly correlates with poor clinical outcome (12). Transgenic mice expressing

## Significance

Although the oncogenic potential of  $\beta$ -catenin as a transcriptional factor is well-established, its role as a critical component of adherens junctions during tumorigenesis remains elusive. Using two transgenic mouse models of ErbB2-induced mammary tumorigenesis that recapitulate either luminal or basal human breast cancer, we show that  $\beta$ -catenin is required for proper adherens junction formation and that, consequently,  $\beta$ -catenin haploinsufficiency promotes aggressive mammary tumorigenesis. This haploinsufficient phenotype is unique to a basal ErbB2-driven model with a preexisting aberrant activation of  $\beta$ -catenin signaling, highlighting a tumor suppressor role of  $\beta$ -catenin, similar to other adherens junction proteins, in maintaining junctional integrity and a complex interplay between its junctional and transcriptional roles in facilitating tumor progression.

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Data deposition: The microarray data reported in this paper have been deposited in the Gene Expression Omnibus (GEO) database, www.ncbi.nlm.nih.gov/geo (accession no. GSE89498).

<sup>1</sup>To whom correspondence should be addressed. Email: william.muller@mcgill.ca.

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activated variants of ErbB2 in the mammary epithelium develop mammary tumors that recapitulate multiple molecular subtypes of human breast cancer. Expression of an *ErbB2* mutant under the control of the murine mammary tumor virus promoter (MMTV-Neu-IRES-Cre or MMTV-NIC model) promotes highly metastatic mammary tumors that molecularly resemble human luminal breast cancer (10, 13). In contrast, the knockin of an *ErbB2* mutant into its endogenous promoter (ErbB2<sup>KI</sup> model) promotes mammary tumorigenesis after a long latency period (14). Interestingly, these ErbB2<sup>KI</sup> tumors exhibit multiple features of the human ErbB2-amplified basal subtype, including genomic amplification of the *ErbB2* locus and a strong basal molecular signature that correlates with activation of canonical  $\beta$ -catenin signaling (10).

To further study the *in vivo* roles of  $\beta$ -catenin during ErbB2-mediated mammary tumorigenesis, both the luminal MMTV-NIC and basal ErbB2<sup>KI</sup> genetic engineered mouse models (GEMMs) were interbred with a separate strain of mice carrying  $\beta$ -catenin conditional alleles. Because both MMTV-NIC and ErbB2<sup>KI</sup> tumor models coexpress Cre recombinase and ErbB2 in the mammary epithelium,  $\beta$ -catenin levels would be specifically disrupted in the emerging ErbB2-positive tumors in both GEMMs. The results revealed that a complete disruption of  $\beta$ -catenin had little impact on the development of mammary tumors in both ErbB2 tumor models. We showed that the closely related plakoglobin ( $\gamma$ -catenin) could functionally replace both the adherens junction and transcriptional functions of  $\beta$ -catenin in both of these ErbB2 GEMMs. Interestingly, in the basal ErbB2<sup>KI</sup> GEMM, a 50% reduction in the  $\beta$ -catenin pool resulted in a dramatic acceleration of tumor onset. Tumor progression in these strains was associated with the disruption of adherens junctions, dedifferentiation, and activation of an epithelial to mesenchymal transition (EMT) transcriptional program that was further correlated with nuclear localization of  $\beta$ -catenin. By contrast, in the luminal MMTV-NIC model, which lacks nuclear  $\beta$ -catenin signaling,  $\beta$ -catenin heterozygosity had little impact on mammary tumorigenesis. Taken together, our data indicate that, in the basal category of ErbB2-positive breast cancer, alteration of  $\beta$ -catenin levels can have a dramatic impact on ErbB2 tumor progression, highlighting the need for therapeutic strategies that appropriately target signaling activity of  $\beta$ -catenin.

## Results

### The Subcellular Distribution of $\beta$ -Catenin in Basal ErbB2<sup>KI</sup> Tumors has a Profound Impact on ErbB2-Mediated Mammary Tumor Progression.

To investigate the *in vivo* roles of  $\beta$ -catenin in ErbB2-induced mammary tumorigenesis, we interbred two GEMMs of ErbB2-positive breast cancer that recapitulate either the luminal (MMTV-NIC) (13) or basal (ErbB2<sup>KI</sup>) (14) subtypes of ErbB2-positive breast cancer with a mouse model harboring a heterozygous or homozygous conditional  $\beta$ -catenin allele (Ctnnb1<sup>fl/+</sup> or Ctnnb1<sup>fl/fl</sup>) (15). In both ErbB2 GEMMs, mammary gland-specific expression of the ErbB2 oncogene is strictly coupled with Cre-mediated deletion of  $\beta$ -catenin. Thus, every mammary epithelial cell that expresses ErbB2 will ablate conditional  $\beta$ -catenin allele(s). In the ErbB2<sup>KI</sup> GEMM, mammary epithelial-specific disruption of both  $\beta$ -catenin alleles had no significant impact on the tumor onset (Fig. 1A and Fig. S1D). However, loss of a single  $\beta$ -catenin allele in ErbB2<sup>KI</sup> mice resulted in a dramatic acceleration of tumor onset (181 d) compared with the parental ErbB2<sup>KI</sup> and the Ctnnb1<sup>fl/fl</sup> ErbB2<sup>KI</sup> strain, with average onsets of 471 and 346 d, respectively (Fig. 1A and Fig. S1D). In addition,  $\beta$ -catenin haploid-insufficient mice developed multifocal tumors in contrast to the focal tumors observed in the parental mice (Fig. S1E). To confirm that  $\beta$ -catenin deletion did not impact ErbB2 levels, we performed immunoblots and RT-PCR analyses on ErbB2<sup>KI</sup> tumors. Consistent with the corresponding genotypes, all tumors expressed ErbB2, with the expected reduction in  $\beta$ -catenin levels (Fig. 1B and Fig. S1B and F). We have previously shown that ErbB2<sup>KI</sup> tumors often have

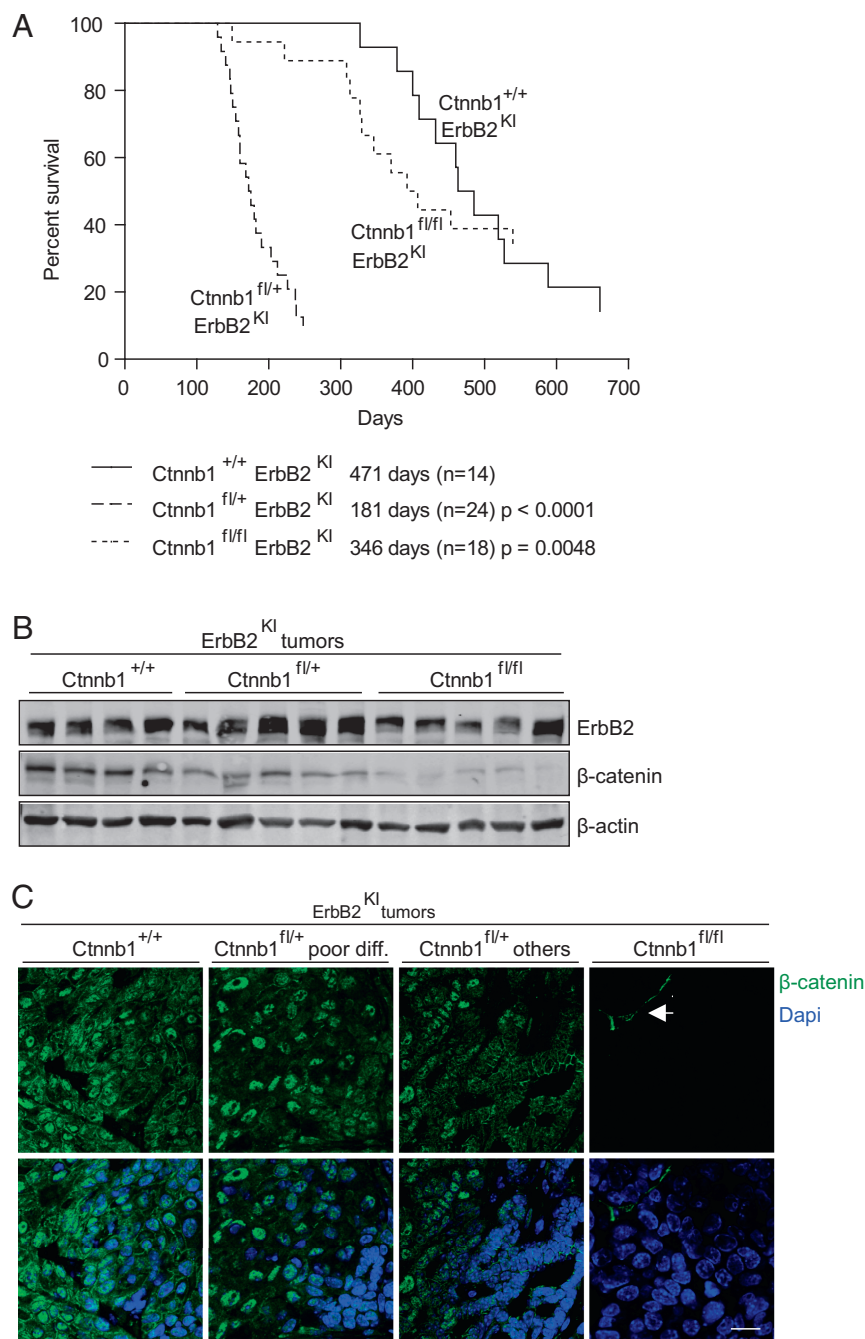
genomic amplification of the *ErbB2* transgene, which resembles a critical genetic event in human ErbB2-positive breast cancer (14). To assess whether *ErbB2* amplification is still required for tumorigenesis on the loss of  $\beta$ -catenin, we performed an RT-PCR-based quantification of *ErbB2* transgene copy number and found that all three cohorts of tumors had a variable gain of *ErbB2* copy number (Fig. S1G). This result suggests that amplification of the *ErbB2* transgene is an essential requirement for tumor development with or without  $\beta$ -catenin. In addition, immunofluorescent analyses with a  $\beta$ -catenin-specific antibody revealed that loss of a single  $\beta$ -catenin allele had an impact on the subcellular distribution of  $\beta$ -catenin pools. In contrast to the control ErbB2<sup>KI</sup> tumors, where  $\beta$ -catenin can be detected in both cell junctional and nuclear compartments, Ctnnb1<sup>fl/+</sup>ErbB2<sup>KI</sup> tumors displayed a decrease in the membrane/junctional pool of  $\beta$ -catenin, with no apparent change in the nuclear pool (Fig. 1C). A quantitative analysis of  $\beta$ -catenin localization from immunohistochemistry (IHC) images further supported comparable nuclear pools between these two groups of tumors, indicating that the remaining pool of  $\beta$ -catenin is engaged primarily in nuclear activity (Fig. S1H). However, a similar heterozygous deletion of  $\beta$ -catenin had no impact on either tumor progression in the luminal MMTV-NIC model (Fig. S1A and D) or  $\beta$ -catenin subcellular distribution (Fig. S1C). Taken together, these data argue that  $\beta$ -catenin is dispensable for ErbB2 tumor progression in both luminal and basal categories of ErbB2 mammary tumors. However, alteration of  $\beta$ -catenin dosage in the basal ErbB2<sup>KI</sup> GEMM can result in an acceleration of tumor onset by altering the subcellular distribution of  $\beta$ -catenin to the nuclear compartment.

In contrast to the luminal MMTV-NIC-derived tumors, which exclusively express the luminal keratins, the basal ErbB2<sup>KI</sup> tumors exhibit a high degree of pathological intratumoral heterogeneity with expression of both luminal and basal keratins (10, 16). Histological analyses of the haploid-insufficient  $\beta$ -catenin tumors revealed a striking range of different histopathologies (Fig. 2 and Fig. S2A). Although 75% of ErbB2<sup>KI</sup> tumors displayed differentiated tumor pathologies, such as comedo and multinodular adenocarcinomas, the majority of  $\beta$ -catenin-deficient ErbB2<sup>KI</sup> tumors (80%) were poorly differentiated. Notably, poorly differentiated acinar tumors represented the predominant pathology among Ctnnb1<sup>fl/+</sup>ErbB2<sup>KI</sup> tumors and accounted for 53% of all tumors of this genotype (Fig. 2A). To further elucidate the cellular origin of these tumors, we performed immunohistochemical staining for myoepithelial marker Krt14 and mammary stem cell marker Krt6. We found that the poorly differentiated Ctnnb1<sup>fl/+</sup>ErbB2<sup>KI</sup> tumors exhibited an increase in both of these populations compared with the control tumors (Fig. 2B). Similarly, immunofluorescent analyses for luminal marker Krt8 and myoepithelial marker Krt14 confirmed an expansion of this double-positive population in Ctnnb1<sup>fl/+</sup>ErbB2<sup>KI</sup> tumors (Fig. 2B and Fig. S2B), indicating an effect of  $\beta$ -catenin heterozygous loss on the differentiation status of ErbB2-driven mammary tumor cells in this model. It is unclear how this population emerged, whether through an expansion of a progenitor population or dedifferentiation of a luminal population. Although heterozygous loss of  $\beta$ -catenin had a major impact on the pathology of the tumors, a complete loss of  $\beta$ -catenin resulted in an induction of differentiated tumor phenotypes (Fig. 2), with a corresponding reduction in the double Krt8/14 cell population (Fig. S2B). Taken together, these data suggest that  $\beta$ -catenin deficiency may alter the progenitor population and thus, promotes a switch from well-differentiated tumors to poorly differentiated tumors with diverse pathology and features of the basal breast cancer subtype.

### Plakoglobin Can Functionally Compensate for the Loss of $\beta$ -Catenin.

Given that  $\beta$ -catenin is an essential component of adherens junctions, we evaluated the consequence of a complete KO of  $\beta$ -catenin on cell adhesion by IHC staining for components of adherens

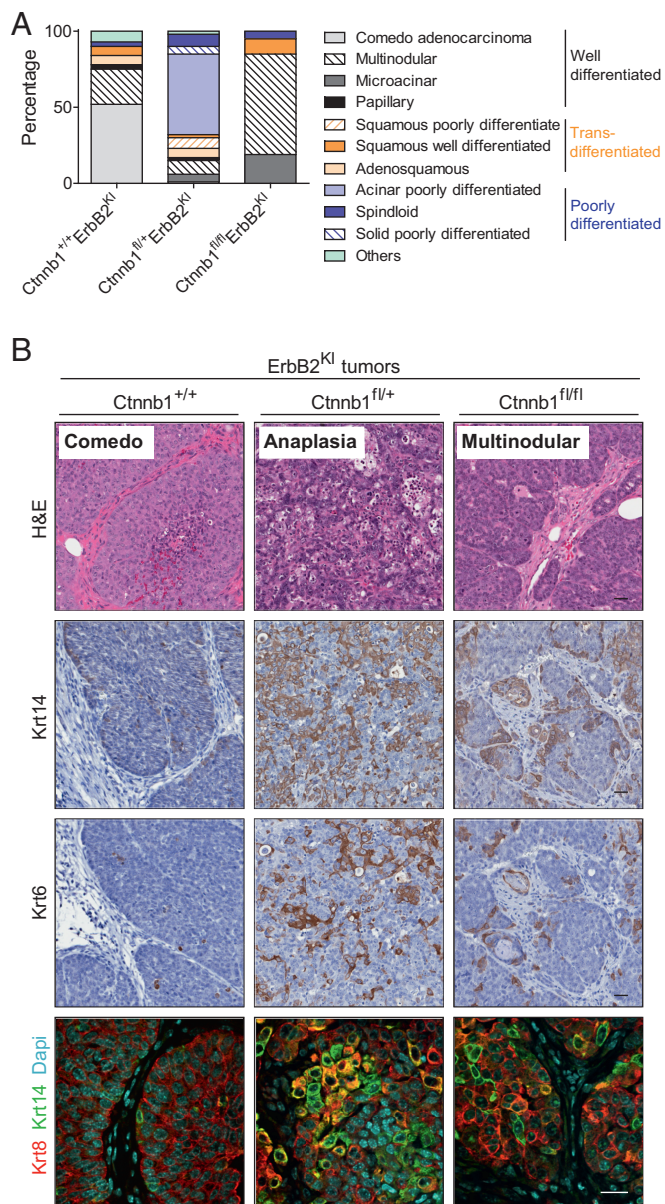




**Fig. 1.** Effect of  $\beta$ -catenin deletion on ErbB2<sup>K1</sup> mammary tumorigenesis. (A) Kaplan–Meier analysis of tumor onset shows the consequences of  $\beta$ -catenin deletion during ErbB2<sup>K1</sup> tumorigenesis. Statistical significance determined by Student's *t* test. Median tumor onsets are indicated for each cohort. (B) ErbB2 expression and loss of  $\beta$ -catenin expression were confirmed by immunoblot analyses. (C)  $\beta$ -Catenin (green) was visualized by IHF staining on paraffin-embedded end-point mammary tumors, and nuclei were visualized by DAPI staining. Images were acquired by confocal microscopy. Arrow points to the stromal component of tumors. Ctnnb1<sup>+/+</sup>ErbB2<sup>K1</sup>, *n* = 6; Ctnnb1<sup>fl/+</sup>ErbB2<sup>K1</sup> poorly differentiated, *n* = 5; Ctnnb1<sup>fl/+</sup>ErbB2<sup>K1</sup> others, *n* = 3; Ctnnb1<sup>fl/fl</sup>ErbB2<sup>K1</sup>, *n* = 5. (Scale bar: 20  $\mu$ m.)

junctions, including E-cadherin, p120-catenin, and  $\alpha$ -catenin. Surprisingly, the  $\beta$ -catenin null tumors exhibited adherens junctions that were indistinguishable from the parental ErbB2<sup>K1</sup> tumors (Fig. 3*A* and S3*A* and *B*). Immunoblot analysis showed that a complete loss of  $\beta$ -catenin did not affect the expression of any of these three adherens junction proteins (Fig. 3*B* and *C*). One possible explanation for the lack of an obvious phenotype in the  $\beta$ -catenin null ErbB2<sup>K1</sup> tumors is a potential compensation by the closely related homolog plakoglobin (*Jup*). Consistent with this

hypothesis, previous studies have shown that KO of  $\beta$ -catenin often results in the up-regulation of plakoglobin as a compensatory mechanism (17–19). To ascertain whether up-regulation of plakoglobin in  $\beta$ -catenin null ErbB2<sup>K1</sup> tumors was responsible for the maintenance of adherens junctions, we measured both transcript and protein levels of plakoglobin in ErbB2 tumors using both immunoblot and immunofluorescent analyses. The results revealed that the  $\beta$ -catenin null ErbB2<sup>K1</sup> tumors expressed elevated levels of plakoglobin protein and mRNA transcript (Fig. 3*A*, *B*, and *D*).



**Fig. 2.** Loss of  $\beta$ -catenin promotes a diverse spectrum of tumor pathologies, including features of poor differentiation and altered differentiation status. (A) Tumor pathologies are classified into three main categories: well-differentiated with epithelial features, transdifferentiated with squamous features, and poorly differentiated. Percentage of each pathology subtype is quantified from all tumors collected: Ctnnb1<sup>+/+</sup>ErbB2<sup>KI</sup>,  $n = 30$ ; Ctnnb1<sup>fl/+</sup>ErbB2<sup>KI</sup>,  $n = 80$ ; Ctnnb1<sup>fl/fl</sup>ErbB2<sup>KI</sup>,  $n = 21$ . (B) H&E staining (row 1) of the most common form of pathology for each genotype. Tumors were stained for Krt14 (row 2) and Krt6 (row 3) by IHC and costained for Krt8/14 by IHF (row 4). Ctnnb1<sup>+/+</sup>ErbB2<sup>KI</sup>,  $n = 6$ ; Ctnnb1<sup>fl/+</sup>ErbB2<sup>KI</sup>,  $n = 7$ ; Ctnnb1<sup>fl/fl</sup>ErbB2<sup>KI</sup>,  $n = 5$ . (Scale bar: 20  $\mu$ m.)

Significantly, immunofluorescent analysis showed that plakoglobin colocalized with E-cadherin at the plasma membrane, indicating its engagement in adherens junctions (Fig. 3A). Previous studies have shown that, similar to  $\beta$ -catenin, plakoglobin interacts with both E-cadherin and  $\alpha$ -catenin to form adherens junctions (19, 20). We observed a similar phenomenon in the MMTV-NIC mice, in which  $\beta$ -catenin deficiency also promoted an up-regulation of plakoglobin that was associated with adherens junctions (Fig. S4).

Previous studies have indicated that plakoglobin up-regulation is inversely correlated with expression of *Twist1*, a repressor of

plakoglobin transcription (21). To explore whether *Twist1* could account for the up-regulation of plakoglobin, we next measured the levels of *Twist1* in the  $\beta$ -catenin-deficient tumors. Consistent with this expectation, we showed that the  $\beta$ -catenin null ErbB2<sup>KI</sup> tumors that expressed elevated levels of plakoglobin exhibited a corresponding reduction in *Twist1* transcript levels (Fig. 3D). Analyses of the haploid-insufficient  $\beta$ -catenin ErbB2<sup>KI</sup> tumors revealed a more complex and varied phenotype with respect to plakoglobin and *Twist1* expression. In the poorly differentiated category of haploid-insufficient  $\beta$ -catenin ErbB2<sup>KI</sup> tumors, *Twist1* expression was maintained, resulting in the suppression of plakoglobin expression (Fig. 3D). However, in tumors that exhibited the differentiated mammary tumors, we observed an inverse pattern of *Twist1* and plakoglobin expression (Fig. 3D), indicating that the levels of these key transcriptional regulators had a direct impact on the differentiation status of these tumors. Indeed, *Twist1* is a known inhibitor of epithelial cell differentiation (21). Taken together, these observations indicate that plakoglobin can functionally replace  $\beta$ -catenin in restoring adherens complex formation.

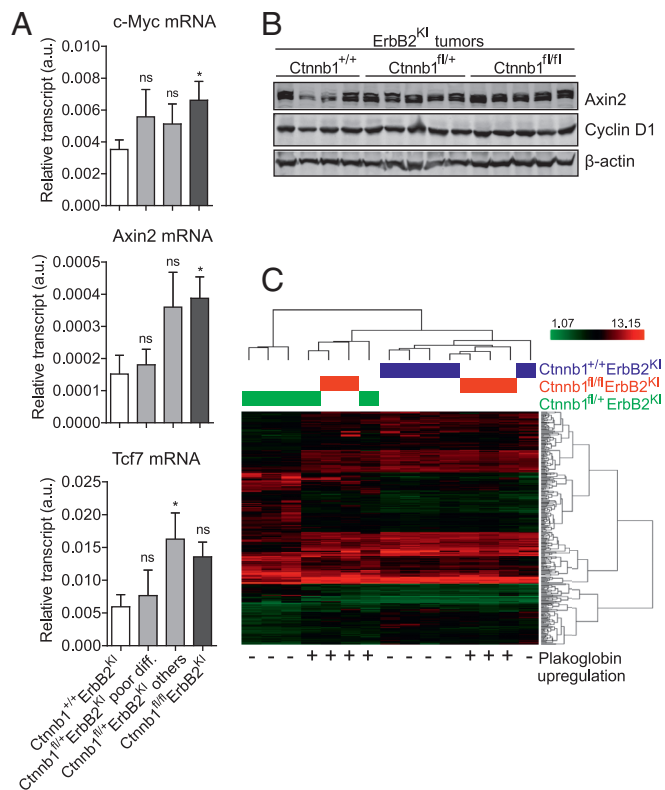
Like  $\beta$ -catenin, plakoglobin shares the ability to activate Tcf/Lef-mediated transcription in response to Wnt ligand stimulation (22–24). To ascertain whether plakoglobin can be recruited to the nucleus to activate  $\beta$ -catenin target genes, we first assessed whether plakoglobin could be detected in the nuclear compartment. In contrast to the  $\beta$ -catenin null MMTV-NIC tumors, where plakoglobin was exclusively located at the adherens junctions (Fig. S4A), plakoglobin staining was detected in both the nucleus and adherens junctions in the Ctnnb1<sup>fl/fl</sup>ErbB2<sup>KI</sup> tumors, indicating that it could functionally replace nuclear  $\beta$ -catenin activity (Fig. 3A). Cellular fractionation analysis further confirmed the presence of plakoglobin in both compartments (Fig. 3E). Consistent with plakoglobin's role as a transcription factor (22), the  $\beta$ -catenin null ErbB2 tumors retained expression of a number of known  $\beta$ -catenin target genes, including *c-Myc*, *Axin2*, *Tcf7*, and *Ccnd1* (Fig. 4A and B). This observation is further supported by comparing the gene expression profiles of  $\beta$ -catenin-deficient and -proficient ErbB2<sup>KI</sup> tumors (Fig. 4C). Unsupervised clustering analysis showed  $\beta$ -catenin null tumors grouped closely with  $\beta$ -catenin-proficient tumors, indicating that, on ablation of  $\beta$ -catenin, plakoglobin can activate a similar set of target genes. Together, these observations argue that plakoglobin can functionally replace both the junctional and transcriptional functions of  $\beta$ -catenin.

To further validate the role of plakoglobin in maintaining the transformed phenotype in the  $\beta$ -catenin-deficient ErbB2 tumor cells, we evaluated whether inhibition of plakoglobin activity through either small molecule inhibitors or RNAi would diminish the proliferative properties of Ctnnb1<sup>fl/fl</sup>ErbB2<sup>KI</sup> tumor cells. One inhibitor that has been used to inhibit  $\beta$ -catenin family members is ICG-001. Although ICG-001 was initially identified to be a potent antagonist of  $\beta$ -catenin, it was later found to inhibit the transcriptional activity of plakoglobin as well (25). The results revealed that treatment of ErbB2<sup>KI</sup> tumor cells lacking both  $\beta$ -catenin alleles with ICG-001 inhibitor resulted in significant impairment of the proliferative capacity of these tumor cells (Fig. 5A). Our previous studies with the ICG-001 inhibitor in an ErbB2<sup>KI</sup> cell line had shown that inhibition of  $\beta$ -catenin activity resulted in direct transcriptional inhibition of components of *ErbB2* amplicon, including *ErbB2*, *Grb7*, and *Stard3* (7). Consistent with these previous analyses, RT-PCR analyses of ICG-001-treated  $\beta$ -catenin null ErbB2<sup>KI</sup> cells resulted in profound decrease in *ErbB2*, *Grb7*, and *Stard3* transcription, suggesting that, similar to  $\beta$ -catenin, plakoglobin can also regulate the *ErbB2* amplicon (Fig. 5A and B). To further confirm that ICG-001 was targeting plakoglobin in the  $\beta$ -catenin null tumor cells, we also used RNAi approaches that specifically target plakoglobin. Consistent with small molecule inhibitor studies, treatment of the  $\beta$ -catenin null ErbB2<sup>KI</sup> tumor cells with plakoglobin siRNAs resulted in a similar blockade in the proliferative capacity of these cells and suppression









**Fig. 4.** Plakoglobin maintains  $\beta$ -catenin-dependent transcription. (A) Transcript levels of selected  $\beta$ -catenin downstream target genes *c-Myc*, *Axin2*, and *Tcf7* were assessed by RT-PCR. Data were normalized to *Gapdh*. *Ctnnb1*<sup>+/+</sup>*ErbB2*<sup>KI</sup>, *n* = 8; *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> poor differentiation, *n* = 6; *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> others, *n* = 6; *Ctnnb1*<sup>fl/fl</sup>*ErbB2*<sup>KI</sup>, *n* = 5. Error bar: SEM. ns, Not significant. \**P* < 0.05 (Student's *t* test). (B) Immunoblot analysis illustrates comparable protein levels of  $\beta$ -catenin target genes *Axin2* and *Cyclin D1*. (C) The heat map shows unsupervised clustering analysis of gene expression profile from end-point tumors. Expression of plakoglobin is indicated as + (high or up-regulated) or – (low or unexpressed) to show that expression of plakoglobin alone is sufficient to segregate heterogeneous *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors into two distinct groups: (i) high plakoglobin tumors that are well-differentiated and cluster with *Ctnnb1*<sup>+/+</sup>*ErbB2*<sup>KI</sup> tumors and (ii) low plakoglobin tumors that are poorly differentiated and form their own unique group.

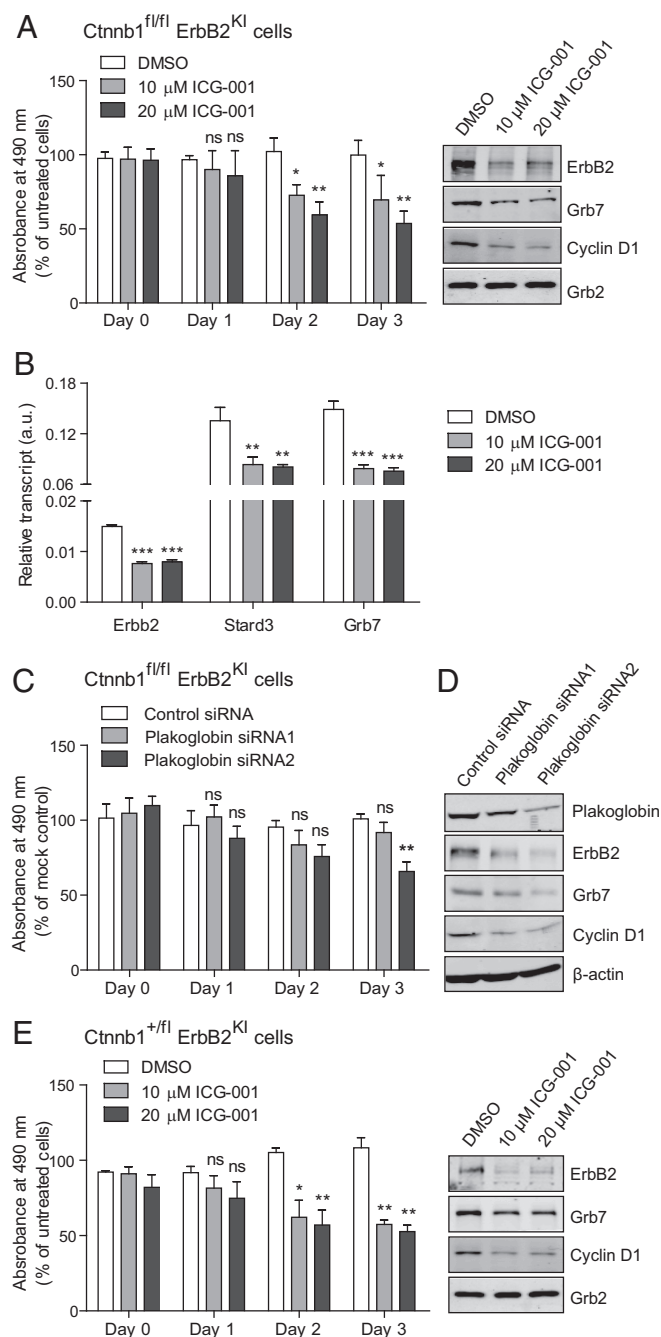
multinodular phenotype, the remaining 75% fail to activate this compensatory pathway. This latter plakoglobin-deficient category of tumors exhibits a poorly differentiated pathology characterized by disrupted adherens junctions and reduced E-cadherin protein levels (Fig. 3 *A–C*). Like E-cadherin, other adherens junction proteins, including p120-catenin and  $\alpha$ -catenin, were also down-regulated at the protein level (Fig. 3 *B* and *C*) and mislocalized to the cytoplasm in this poorly differentiated category of tumors (Fig. S3 *A* and *B*). Although all tumors have a heterozygous deletion of  $\beta$ -catenin in common, these two distinct groups of tumors pose a question on why plakoglobin does not compensate for adherens junction formation in poorly differentiated *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors. We speculate that these tumors express very low levels of plakoglobin because of high expression of its repressor *Twist1* (Fig. 3 *D*) in addition to other adherens junction components (Fig. 3 *B* and *C*), and compensation by plakoglobin to preserve adherens junctions is not possible. Furthermore, shRNA-mediated knockdown of  $\beta$ -catenin in *ErbB2*<sup>KI</sup>-derived cells also resulted in a similar down-regulation of adherens junction proteins (Fig. S3 *C*).

Although a heterozygous deletion of  $\beta$ -catenin resulted in a disruption of proper adherens junction formation, the remaining  $\beta$ -catenin was able to maintain the transcription of  $\beta$ -catenin's target genes (*c-Myc*, *Axin2*, *Tcf7*, and *Ccnd1*) comparable with

the control tumors (Fig. 4 *A* and *B*). This observation is consistent with the strong nuclear staining of  $\beta$ -catenin that suggests its engagement in transcriptional activity (Fig. 1 *C* and Fig. S1 *H*). To assess whether this remaining  $\beta$ -catenin signaling was important in *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors, we treated primary *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumor cells with the potent  $\beta$ -catenin antagonist ICG-001 and found that  $\beta$ -catenin inhibition severely impaired cell proliferation (Fig. 5 *E*). This proliferative defect was further correlated with decreased expression of  $\beta$ -catenin's target gene *Cyclin D1* and components of the *ErbB2* amplicon, including *ErbB2* and *Grb7* (Fig. 5 *E*). These observations argue that the remaining  $\beta$ -catenin in the *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors remains oncogenic by promoting the transcription of its target genes and the *ErbB2* amplicon.

To further understand the molecular changes of these haploid-insufficient *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors, we performed unsupervised clustering analysis of gene expression profiles and observed a high degree of heterogeneity among the *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors (Fig. 4 *C*). Two of five *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors clustered with the *Ctnnb1*<sup>+/+</sup>*ErbB2*<sup>KI</sup> tumors, whereas the other three tumors formed a distinct group. Remarkably, the two tumors with similar transcriptional profile to *Ctnnb1*<sup>+/+</sup>*ErbB2*<sup>KI</sup> tumors showed plakoglobin up-regulation, arguing that plakoglobin is functionally substituting for  $\beta$ -catenin (Fig. 4 *C*). By contrast, the three remaining tumors with a distinct gene signature were poorly differentiated acinar tumors with no plakoglobin compensation and defective adherens junctions. Close examination of the gene expression profiles revealed an EMT signature unique to poorly differentiated *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors (Fig. 6 *A*). These tumors exhibited classic features of EMT, including up-regulation of EMT repressors *Twist2* and *Zeb2*, genes involved in migration and invasion, and down-regulation of genes involved in cell adhesion (Fig. 6 *A*). Using RT-PCR, we confirmed this EMT signature in poorly differentiated *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors but not in those cases with high plakoglobin expression (Fig. 6 *B*). To establish whether this EMT signature is also associated with metastasis, we used a tail vein injection assay to assess lung metastasis in immunocompromised mice and showed that *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumor cells metastasized to the lungs more efficiently than the control *ErbB2*<sup>KI</sup> cells in terms of both number and size of lung lesions (Fig. 6 *C–E*). Furthermore, poorly differentiated *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> cells were more invasive in vitro than the control *ErbB2*<sup>KI</sup> cells (Fig. 6 *F*). Because  $\beta$ -catenin can promote EMT and invasion by activating its target genes, such as *Snail* (26) and *Mmp9* (27), we proposed that an active  $\beta$ -catenin/*Snail*/*Mmp9* signaling axis might underscore the invasiveness of the *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> cells. Indeed, antagonizing  $\beta$ -catenin with ICG-001 in *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> cells significantly inhibited transcription of both *Snail*/*Mmp9* as well as their invasiveness (Fig. 6 *G* and *H*). Collectively, these analyses indicate that a heterozygous loss of  $\beta$ -catenin promotes poorly differentiated tumors with EMT characteristics and enhanced metastasis that is dependent on the residual  $\beta$ -catenin signaling.

Because poorly differentiated *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> tumors exhibited an aggressive phenotype that correlated with a lack of plakoglobin expression, we asked whether forced expression of plakoglobin could reverse such aggressiveness. Using two *Ctnnb1*<sup>fl/+</sup>*ErbB2*<sup>KI</sup> cell lines that lack plakoglobin expression, we generated stable cells expressing either plakoglobin or GFP control. Forced expression of plakoglobin did not affect the EMT spindloid morphology or restore the protein level of E-cadherin in vitro (Fig. S6 *A* and *B*). In an in vivo allograft assay, expression of plakoglobin did not inhibit tumor growth of these cells (Fig. S6 *C*). Because these tumor cells were derived from end-stage tumors, these data suggest an inability of plakoglobin to restore adherens junction to inhibit tumor growth at this late stage of tumor development. Whether expression of plakoglobin at an early stage of tumor initiation could preserve junctional integrity and epithelial characteristics of *ErbB2*-transformed cells remains to be addressed.



**Fig. 5.** Ctnnb1<sup>fl/fl</sup>ErbB2<sup>KI</sup> cells are dependent on plakoglobin activity, whereas Ctnnb1<sup>fl/+</sup>ErbB2<sup>KI</sup> cells are still dependent on residual  $\beta$ -catenin activity. (A) Proliferation of Ctnnb1<sup>fl/fl</sup>ErbB2<sup>KI</sup> cells on plakoglobin inhibition (using ICG-001 inhibitor) was assessed by MTS [3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium] assay. Data were averaged from three independent experiments. Protein lysate was collected at day 3 of ICG-001 treatment and subjected to immunoblot analyses to show the effect of plakoglobin inhibition on its target gene (Cyclin D1) and the *ErbB2* amplicon (ErbB2 and Grb7). Grb2 served as a loading control. Error bar: SD. \* $P < 0.05$  (Student's *t* test); \*\* $P < 0.005$  (Student's *t* test); \*\*\* $P < 0.0005$  (Student's *t* test). (B) Total RNA was extracted from Ctnnb1<sup>fl/fl</sup>ErbB2<sup>KI</sup> cells treated with ICG-001 for 3 d, and transcript levels of genes belonging to the mouse *ErbB2* amplicon were evaluated by RT-PCR. Data were averaged from three independent runs and normalized to *Gapdh*. Error bar: SD. \*\* $P < 0.005$  (Student's *t* test); \*\*\* $P < 0.0005$  (Student's *t* test). (C) Primary cells derived from Ctnnb1<sup>fl/fl</sup>ErbB2<sup>KI</sup> tumor were transfected with siRNAs targeting plakoglobin (*Jup*), and cell proliferation was assessed by MTS assay. Data were averaged from three independent experiments. Error bar: SD. \*\* $P < 0.005$  (Student's *t* test). (D) Immunoblot was used to assess plakoglobin knockdown

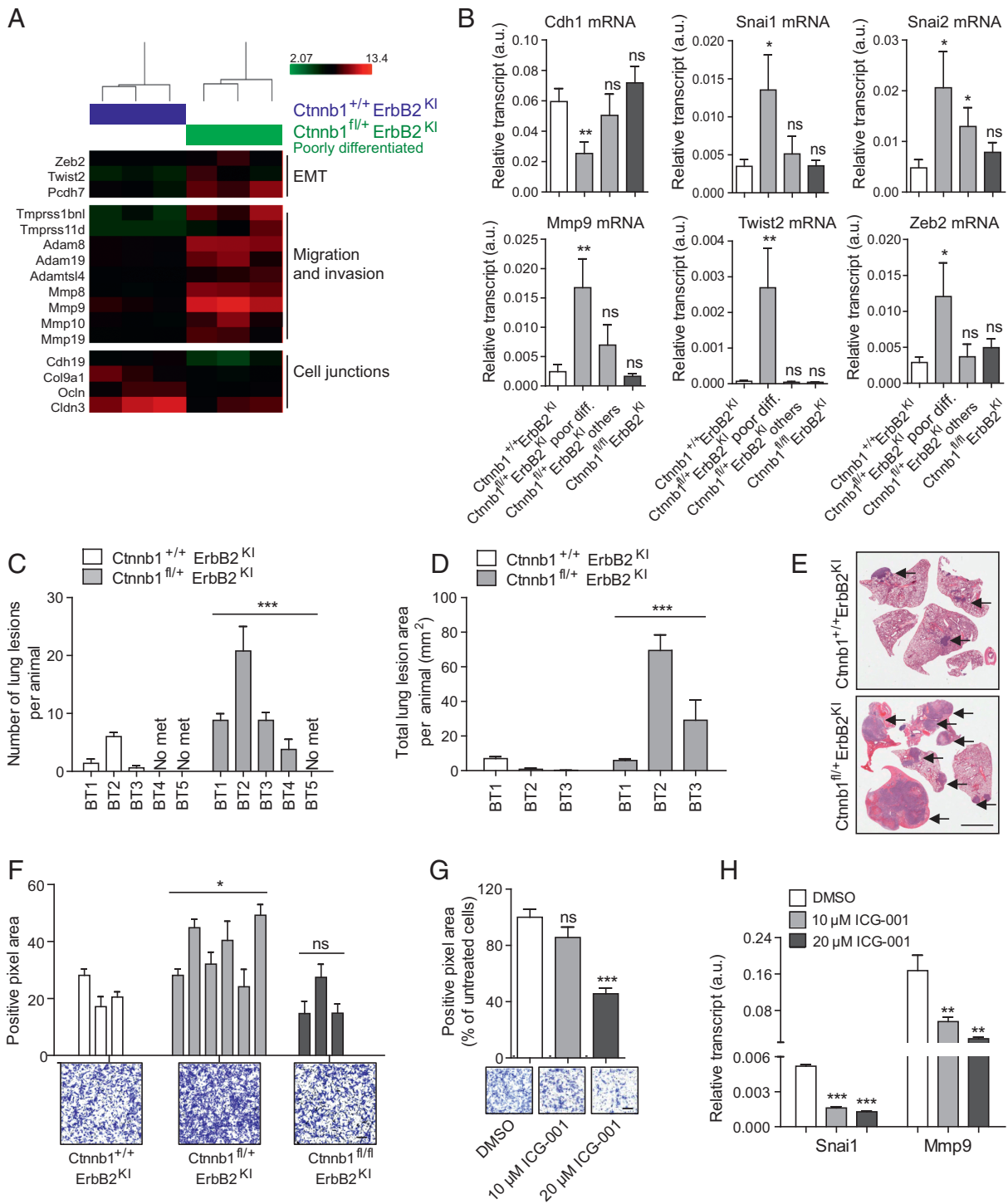
## Discussion

Dysregulation of the canonical Wnt/ $\beta$ -catenin signaling pathway has been implicated as a major driving event in various human malignancies (8). Using a transgenic approach, we found that mammary-specific ablation of  $\beta$ -catenin in luminal and basal GEMMs of ErbB2-positive breast cancer had little impact on ErbB2 mammary tumor progression. In both GEMMs,  $\beta$ -catenin function was compensated by a corresponding up-regulation of the closely related plakoglobin. In the luminal ErbB2 model (MMTV-NIC), which lacks activation of the canonical Wnt/ $\beta$ -catenin signaling pathway, up-regulation of plakoglobin was strictly involved in restoring adherens junctional complexes. However, in the basal ErbB2 model (ErbB2<sup>KI</sup>), where Wnt/ $\beta$ -catenin signaling pathway is engaged, plakoglobin functionally compensated for both the adherens junctional and transcriptional functions of  $\beta$ -catenin. In contrast to the complete ablation of  $\beta$ -catenin, deletion of a single  $\beta$ -catenin allele in the basal ErbB2 GEMM resulted in a dramatic acceleration in tumor onset. The majority of haploid-insufficient  $\beta$ -catenin ErbB2<sup>KI</sup> tumors exhibited a poorly differentiated phenotype that further correlated with disruption of adherens complexes and nuclear  $\beta$ -catenin transcriptional activity that resulted in an EMT phenotype. These results argue that alteration of  $\beta$ -catenin levels can have a dramatic impact on the balance between junctional and nuclear pools of  $\beta$ -catenin, resulting in accelerated tumor development.

Numerous studies in both ES cells and adult tissues have reported this compensatory capacity of plakoglobin to replace  $\beta$ -catenin in adherens junctions (17, 18). Our data, together with those studies, argue that, when  $\beta$ -catenin expression is ablated, a compensatory induction of the closely related plakoglobin can functionally replace the loss of both the nuclear and junctional pools of  $\beta$ -catenin. In  $\beta$ -catenin null ErbB2<sup>KI</sup> tumors, plakoglobin exhibited nuclear localization (Fig. 3*A* and *E*), a hallmark for its activation akin to  $\beta$ -catenin. This activation is consistent with the observation that plakoglobin was able to maintain the transcription of  $\beta$ -catenin's target genes (Fig. 4). For example, expression of *c-Myc*, a target gene of both  $\beta$ -catenin and plakoglobin (22), was significantly increased on the complete loss of  $\beta$ -catenin (Fig. 4*A*). In addition to its role in maintaining  $\beta$ -catenin-dependent transcription, our data also indicate a role for plakoglobin in regulating the *ErbB2* amplicon (Fig. 5*A* and *B*). Remarkably, in human breast cancers, expression of plakoglobin is strongly correlated with the expression of all components of the *ErbB2* amplicon (Fig. S5). Knockdown and pharmacological inhibition of plakoglobin proved that active plakoglobin signaling was required for proliferation in  $\beta$ -catenin null ErbB2<sup>KI</sup> tumors (Fig. 5*A* and *B*). Collectively, our study shows that, on  $\beta$ -catenin loss, plakoglobin is engaged to activate Wnt/ $\beta$ -catenin target genes and modulate the *ErbB2* amplicon.

Unlike a homozygous deletion of  $\beta$ -catenin, deletion of a single  $\beta$ -catenin allele resulted in multifocal metastatic mammary tumors with an accelerated onset. This haploid-insufficient  $\beta$ -catenin tumor phenotype was further correlated with the disruption of adherens junctions, disturbance of a mammary progenitor population, and an EMT transcriptional program. Surprisingly, reduced  $\beta$ -catenin levels through a single-allele loss in these tumors did not interfere with its signaling activity (Fig. 4*A* and *B*) but rather, resulted in highly disrupted adherens junctions (Fig. 3*A* and *B*). Concomitant with disrupted adherens junctions, the remaining pool of  $\beta$ -catenin

efficiency and show the effect of plakoglobin inhibition on its target gene (Cyclin D1) and the *ErbB2* amplicon (ErbB2 and Grb7). (E) Cells derived from a poorly differentiated Ctnnb1<sup>fl/+</sup>ErbB2<sup>KI</sup> tumor were treated with ICG-001, and proliferation was assessed by MTS assay. Data were averaged from three independent experiments. Protein lysate was collected at day 3 of ICG-001 treatment and subjected to immunoblot analyses for the indicated proteins. Error bar: SD. ns, Not significant. \* $P < 0.05$  (Student's *t* test); \*\* $P < 0.005$  (Student's *t* test).



**Fig. 6.** Poorly differentiated *Ctnnb1*<sup>fl/fl</sup>;*ErbB2*<sup>KI</sup> tumors exhibit an EMT signature and are more metastatic. (A) Heat map of selected genes differentially expressed between *Ctnnb1*<sup>+/+</sup>;*ErbB2*<sup>KI</sup> and poorly differentiated *Ctnnb1*<sup>fl/fl</sup>;*ErbB2*<sup>KI</sup> tumors. (B) A panel of EMT genes (*Cdh1*, *Mmp9*, *Snai1/2*, *Twist2*, and *Zeb2*) were validated by RT-PCR. *Ctnnb1*<sup>+/+</sup>;*ErbB2*<sup>KI</sup>, *n* = 8; *Ctnnb1*<sup>fl/fl</sup>;*ErbB2*<sup>KI</sup> poor differentiation, *n* = 6; *Ctnnb1*<sup>fl/fl</sup>;*ErbB2*<sup>KI</sup> others, *n* = 5. Error bar: SEM. \**P* < 0.05 (Student's *t* test); \*\*\**P* < 0.0005 (Student's *t* test). (C and D) Freshly dissociated cells ( $0.5 \times 10^3$ ) from five independent primary tumors per genotype were injected into the tail vein of groups of five athymic mice. Lungs were harvested 4 wk postinjection, and the number and area of lung lesions were scored. Error bar: SEM. \*\*\**P* < 0.0005 (two-way ANOVA). (E) Representative images of lungs collected 4 wk post tail vein injection. Arrows point to lung metastases. (Scale bar: 0.4 mm.) (F, Upper) Primary tumor cells were subjected to a Boyden transwell invasion assay in the presence of matrigel. Average positive pixel areas for each cell line were calculated from crystal violet-stained membranes. Data were averaged from three independent experiments. Error bar: SD. \**P* < 0.05 (Student's *t* test). (F, Lower) Images show representative crystal violet-stained membranes. (Scale bar: 200 μm.) (G) Cells derived from a poorly differentiated *Ctnnb1*<sup>fl/fl</sup>;*ErbB2*<sup>KI</sup> tumor were pretreated with ICG-001 or DMSO for 36 h before being subjected to a Boyden transwell invasion assay. Data were averaged from three independent experiments. Error bar: SD. (Scale bar: 300 μm.) \*\*\**P* < 0.0005 (Student's *t* test). (H) Total RNA was extracted from *Ctnnb1*<sup>fl/fl</sup>;*ErbB2*<sup>KI</sup> cells (derived from a poorly differentiated tumor) treated with ICG-001 or DMSO for 3 d, and transcript levels of  $\beta$ -catenin target genes *Snai1* and *Mmp9* were assessed by RT-PCR. Data were averaged from three independent runs and normalized to *Gapdh*. Error bar: SD. ns, Not significant. \*\**P* < 0.005 (Student's *t* test); \*\*\**P* < 0.0005 (Student's *t* test).



localized predominantly to the nucleus (Fig. 1C). Intriguingly, in the luminal ErbB2 model (MMTV-NIC), which lacks active Wnt/ $\beta$ -catenin signaling, deletion of a single  $\beta$ -catenin allele did not disrupt adherens junctions or promote nuclear  $\beta$ -catenin redistribution (Figs. S1C and S4). Our observation supports the idea that, in cancer cells with an active Wnt/ $\beta$ -catenin pathway, down-regulation of adherens junctions allows nuclear  $\beta$ -catenin redistribution and therefore, sustains its signaling activity (5–7). By contrast, another class of haploid-insufficient  $\beta$ -catenin tumors retained adherens junctions and exhibited a differentiated phenotype (Fig. 3A–C). Like the  $\beta$ -catenin null ErbB2<sup>K1</sup> tumors, this latter category of ErbB2-positive tumors exhibited a compensatory increase in plakoglobin levels (Fig. 3A, C, and D), indicating that restoration of the balance between adherens and nuclear  $\beta$ -catenin pools could reverse this poorly differentiated phenotype.

Consistent with our observations, inactivation of p120-catenin or  $\alpha$ -catenin also promotes disruption of adherens junctions (28–30). Adherens junction proteins, such as E-cadherin and p120-catenin, play tumor-suppressive roles through their functions in cell adhesion. For example, germ-line loss of function mutation of E-cadherin (*Cdh1*) is a frequent genetic alteration in invasive lobular breast cancer (31). KO of E-cadherin in transgenic mice leads to aggressive mammary tumor formation (32). Invasive lobular tumors arising in this mouse model also exhibit poorly differentiated features (32). Similarly, inactivation of p120-catenin also promotes tumor metastatic progression (33). Together, these data argue that the stoichiometry of the different core components of adherens complex can have a profound impact on tumor progression. Our observations, together with many previously published studies, emphasize the critical requirement of cell adhesion for proper tissue homeostasis, especially in tissues of epithelial origin. Tissue disruption through the loss of adherens junctions, tight junctions, and cell polarity can lead to the initiation of tumor progression and metastasis (34, 35). Consistent with our data in mouse models, a significant proportion of breast cancer patients (27%) have a heterozygous deletion of  $\beta$ -catenin (*CTNNB1*), which is strongly correlated with poor overall survival (Fig. S7A). These cases also exhibit a decrease in *CTNNB1* mRNA levels as well as an increase in *SNAIL1* mRNA levels (Fig. S7B), recapitulating our observations in the ErbB2 mouse models. It would, however, be interesting to determine whether those cases with a *CTNNB1* heterozygous loss also have an activation of  $\beta$ -catenin signaling. These observations highlight a complex interplay between the two important roles of  $\beta$ -catenin as a cell adhesion molecule and a transcription factor during ErbB2-driven tumorigenesis. Modulation of  $\beta$ -catenin levels or disruption of its cell adhesion role is correlated with aggressive tumorigenesis in basal ErbB2-driven mammary tumors with preexisting  $\beta$ -catenin activation. The disruption of adherens junctions may serve as a mechanism to maintain the nuclear activity of the remaining  $\beta$ -catenin pool. Our data also emphasize the tumor suppressor role of  $\beta$ -catenin, similar to other adherens junction proteins, in maintaining junctional integrity during tumor progression.

## Materials and Methods

**Transgenic Mice.** Generation of ErbB2<sup>K1</sup>, MMTV-NIC, and murine mammary tumor virus-Cre mice was described previously (13, 14). Mice with floxed

*Ctnnb1* alleles were obtained from The Jackson Laboratory and are described elsewhere (15). All mice were maintained in or backcrossed to a pure FVB background. Nulliparous female mice were monitored weekly for tumor formation by physical palpation. Mice were housed and handled in accordance with McGill University Animals Ethics Committee guidelines.

**Immunohistochemistry/IHF, Imaging, and Fluorescent Intensity Analysis.** Primary tumors and lungs were harvested at end-point burden (about 6 cm<sup>3</sup>). Tissues were fixed for 24 h in 10% (vol/vol) formalin (Leica), embedded in paraffin, and sectioned at 5  $\mu$ m. Tissue sections were stained with H&E by Histology Services at McGill University and scanned using the Scanscope XT Digital Slide Scanner (Aperio). Tumor pathology was examined by comparative pathologists (R.D.C. and O.H.A.).

Antigen retrieval was performed in boiling 10 mM citrate buffer (pH 6.0). Tissues were blocked for 10 min with Universal Blocking Agent (Biogenics). For immunohistochemistry (IHC), tissues were further treated with 3% (vol/vol) H<sub>2</sub>O<sub>2</sub>. Immunohistochemical labeling was performed using the Vectastain Elite ABC Kit (Vector Laboratories). Primary and secondary antibodies (detailed in *SI Materials and Methods*) were prepared in 2% (wt/vol) BSA in PBS. IHC images were acquired using an Aperio-XT Slide Scanner (Aperio Technologies). Immunofluorescence images were taken using an LSM510 Confocal Microscope (Carl Zeiss) and analyzed using Zen software. For nuclear  $\beta$ -catenin quantification, a line is drawn across a nucleus to generate intensity peaks over the nucleus length. Nuclear intensity was then calculated as a total of intensity values over this distance. Data were averaged from fluorescent intensities measured from at least 30 nuclei per at least eight random fields per tumor.

**Isolation and Culture of Mouse Mammary Tumor Cells.** Primary cells were isolated from mouse mammary tumors as described (36) and cultured in DMEM supplemented with FBS [5% (vol/vol)], EGF (5 ng/mL), bovine pituitary extract (35  $\mu$ g/mL), insulin (5  $\mu$ g/mL), and hydrocortisone (1  $\mu$ g/mL) for a short term (fewer than six passages).

**Microarray Data Acquisition and Analysis.** Total RNA extraction and quantification were done as described above. Total RNA was quantified using the NanoDrop Spectrophotometer ND-1000 (NanoDrop Technologies, Inc.), and its integrity was assessed using the 2100 Bioanalyzer (Agilent Technologies). Sense-strand cDNA was synthesized from 100 ng total RNA, and fragmentation and labeling were performed to produce ssDNA with the Affymetrix GeneChip WT Terminal Labeling Kit according to the manufacturer's instructions (Affymetrix). After fragmentation and labeling, 3.5  $\mu$ g DNA target was hybridized on the GeneChip Mouse Gene 2.0 ST Array (Affymetrix) and incubated at 45 °C in the GeneChip Hybridization Oven 640 (Affymetrix) for 17 h at 60 rpm. GeneChips were then washed in a GeneChips Fluidics Station 450 (Affymetrix) using the Affymetrix Hybridization Wash and Stain Kit according to the manufacturer's instructions (Affymetrix). The microarrays were finally scanned on the GeneChip Scanner 3000 (Affymetrix). All procedures were performed by service at the Genome Quebec Innovation Center, McGill University. Raw data were first processed to perform gene-level normalization and quality control using Affymetrix Expression Console software (Affymetrix). Processed data were next subjected to gene-level differential expression analysis using Affymetrix Transcriptome Analysis Console software with filter criteria as following: linear fold change < -2 or > 2 and ANOVA *P* value (condition pair) < 0.05. Microarray data were deposited in the Gene Expression Omnibus database under the accession number GSE89498.

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