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# Predictors of medical and mental health care use in patients with irritable bowel syndrome in the United States

Gregory D. Gudleski<sup>a</sup>, Nikhil Satchidanand<sup>c</sup>, Laura J. Dunlap<sup>d</sup>, Varnita Tahiliani<sup>a</sup>, Xiaohua Li<sup>b</sup>, Laurie Keefer<sup>e</sup>, Jeffrey M. Lackner<sup>a,\*</sup>, and Representing the IBSOS Outcome Study Research Group

<sup>a</sup>Department of Medicine, University at Buffalo School of Medicine, SUNY, Buffalo, NY, United States

<sup>b</sup>Department of Biostatistics, School of Public Health and Health Professions University at Buffalo, Buffalo, NY, United States

<sup>c</sup>Department of Family Medicine, University at Buffalo, SUNY, Buffalo, NY, United States

dBehavioral Health Economics, RTI International, Research Triangle Park, NC, United States

<sup>e</sup>Department of Medicine, Northwestern University Feinberg School of Medicine, Chicago, IL, United States

# Abstract

Because health care demand among IBS patients imposes a heavy economic burden, identifying high utilizers has potential for improving quality and efficiency of care. Previous research has not identified reliable predictors of utilization of IBS patients. We sought to identify factors predictive of health care utilization among severe IBS patients. 291 IBS patients completed testing whose content mapped onto the Andersen model of health care utilization. 2-stage hurdle models were used to determine predictors of health care use (probability and frequency). Separate analyses were conducted for mental health and medical services. Whether patients used any medical care was predicted by diet and insurance status. Tobacco use, education, and health insurance predicted the probability of using mental health care. The frequency of medical care was associated with alcohol use and physical health status, while frequency of mental health services was associated with marital status, tobacco use, education, distress, stress, and control beliefs over IBS symptoms. For IBS patients, the demand for health care involves a complex decision-making process influenced by many factors. Particularly strong determinants include predisposing characteristics (e.g., dietary pattern, tobacco use) and enabling factors (e.g., insurance coverage) that impede or facilitate demand. Which factors impact use depends on whether the focus is on the decision to use care or how much care is used. Decisions to use medical and mental health care are not simply influenced by symptom-specific factors but by a variety of lifestyle (e.g., dietary pattern, education, smoking) and economic (e.g., insurance coverage) factors.

<sup>&</sup>lt;sup>\*</sup>Corresponding author. Behavioral Medicine Clinic, Department of Medicine, University at Buffalo School of Medicine, SUNY, ECMC, 462 Grider Street, Buffalo, NY, 14215, United States. lackner@buffalo.edu (J.M. Lackner).

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#### Keywords

Diet; Utilization; Comorbidity; Disease management; Psychosocial factors; Cost

Irritable bowel syndrome (IBS) is a functional gastrointestinal (GI) disorder characterized by abdominal pain associated with diarrhea and/or constipation. As one of the most common diagnoses seen by gastroenterologists and primary care physicians (Mayer, 2008), IBS exacts substantial economic costs (Spiegel, 2013) estimated at \$15 billion annually (Sandler et al., 2002). A significant source of these costs is the demand for health care services which is higher among IBS patients than those with other GI diseases or healthy individuals (Chang, 2004). Given its economic burden, a better understanding of the factors influencing health care use is needed to help identify patients at increased risk for poorer outcomes. Early identification of such patients may facilitate earlier implementation of targeted behaviorally-oriented disease management strategies that improve the quality of care (Longstreth et al., 2003) for symptoms that do not adequately respond to medical treatments.

Previous efforts to explain health care use of IBS patients have presumed that the factors that modify the IBS symptom experience (e.g. abdominal pain intensity, quality of life impairment, bowel type) also influence their decision to use health care resources (Kanazawa et al., 2004; Koloski, Talley, & Boyce, 2001; Talley, Boyce, & Jones, 1997; Talley, Gabriel, Harmsen, Zinsmeister, & Evans, 1995; Williams et al., 2006). A conceptual approach that emphasizes symptom factors has yielded few consistent predictors of health care use (Koloski et al., 2001). The notion that IBS patients seek health care because of the severity of GI symptoms is not well established (Koloski, Talley, Boyce, 2001). Neither the severity (Ringstrom, Abrahamsson, Strid, & Simren, 2007), duration (Lydeard & Jones, 1989), nor nature of GI symptoms (e.g., predominant bowel habit) reliably predicts treatment seeking behavior (Talley, Zinsmeister, & Melton, 1995). Psychological factors provide no more conclusive link to heath care use. Ringstrom et al. (2007) found that psychological factors such as fear of GI symptoms, anxiety, depression, quality of life impairment, and coping style predicted health care use, whereas Talley et al. (1997) found no relationship between health care and psychological factors. This pattern of data prompted Talley et al. to assert "other unknown factors are much more important" (Talley et al., 1997, p. 397) in understanding patterns of health care use among IBS patients.

Potential clues come from the Andersen model (Andersen, 1995) of health care utilization which is a widely accepted conceptual framework for understanding why people access health care services. The model holds that the decision to use health care is influenced by three classes of factors: the predisposition to use services, the ability to use services, and the need for services. Predisposing factors are demographic and socioeconomic characteristics such as age, gender, race/ethnicity, marital status, health beliefs, and education level (Ringstrom et al., 2007). Even if individuals are predisposed to use health care services, certain characteristics must be in place for them to access them. These "enabling" factors represent the logistical aspects of obtaining care and include having health insurance, income, social support, and characteristics of the health care system. Without the ability to access health care, a predisposition to use these services does not necessarily lead to

utilization. Last, for an individual to use health care, they must, of course, have a clinically meaningful health problem that registers a need for services. Need variables are often inferred from severity of an illness, its impact on activities of daily living or well-being, or duration. Fig. 1 provides a diagrammatic representation of the Andersen model.

Further, the choice to use health care involves a two-stage decision making process. At the first stage, the individual makes the decision whether or not to contact a health care provider. At the next stage, the individual, working with their provider, determines the amount of treatment services to use. In other words, while the individual initiates the decision to contact a health care provider, the decision regarding intensity of treatment involves both the individual and the provider (Pohlmeier & Ulrich, 1995). Predisposing, enabling and need factors make up the context in which these decisions are made, each of which influences subsequent usage. The specific combination of factors that impact the decision of IBS patients to access health care is unknown.

This study sought to clarify the set of factors that impact health care utilization among patients with more severe IBS. Because of the two-stage nature of the decision-making process, it makes sense to examine these two aspects of health care use (probability of seeking help, frequency of use) which, to our knowledge, have not been simultaneously explored in patients with functional GI disorders. Because symptom factors have not emerged from previous research (Ringstrom et al., 2007; Talley et al., 1997; Williams et al., 2006) as a reliable predictor of health care use among IBS patients, we expected that enabling and predisposing factors may have a more robust impact on health care use as their influence is not disorder specific but cuts across the range of medical or mental health problems for which patients access care. Thus, we predicted that the magnitude of the relationship between health care use and both predisposing and enabling factors would be greater than that with need factors, such as symptoms and related distress.

# 1. Materials and methods

#### 1.1. Participants

Participants were 291 consecutively enrolled IBS patients recruited at two tertiary academic medical centers in Buffalo, NY and Chicago, IL as part of an NIH-funded clinical trial, the details of which can be found elsewhere (Lackner et al., 2012). Patient characteristics are presented in Table 1. Participants were enrolled primarily through local media coverage, community advertising and physician referral. To be eligible for the study, all participants must have met the Rome III diagnostic criteria (Drossman, Corazziari, Talley, Thompson, & Whitehead, 2006) as determined by a board-certified gastroenterologist. Because this study was conducted as part of a clinical trial for moderately to severely affected patients with IBS (Lackner et al., 2012), participants must have also reported IBS symptoms of at least moderate intensity (i.e., symptom frequency of at least twice weekly for a minimum duration of 6 months and causing life interference). Participants with a comorbid organic GI illness that would adequately explain GI symptoms; mental retardation; current or past diagnosis of schizophrenia or other psychotic disorders; current diagnosis of depression with suicidal ideation; current diagnosis of psychoactive substance abuse were excluded. Institutional review board approval (UB, May 19, 2009; NU, December 19, 2008) Signed

informed consent for each subject was obtained before s/he enrolled in the study. The study was completed in full compliance with the Declaration of Helsinki.

#### 1.2. Procedure

After a brief telephone interview to determine eligibility, participants underwent a medical examination administered by a board-certified gastroenterologist to confirm diagnosis of IBS based on Rome III criteria (Longstreth et al., 2006) and testing drawn from a battery of psychometrically validated measures detailed below. We categorized the measures into one of three domains of the Andersen model on the basis of prior research.

#### 1.3. Measures

#### 1.3.1. Predisposing factors

**1.3.1.1. Dietary pattern:** Participants completed a 10-item (7 questions about fruit and vegetable intake, 3 questions about foods high in fiber) measure called the Block Fruit/ Vegetable/Fiber Screener (BFF-S: Block, Gillespie, Rosenbaum, & Jenson, 2000). The BFF-S asks respondents to characterize over the past 12 months their usual consumption of fruits and vegetables, fiber, and micro-nutrients found in fruits and vegetables using a 7-point frequency scale ranging from "never" to "twice a day or more". Responses are summed, yielding a total score ranging from 10 to 70 with higher scores reflecting higher dietary intake. The screener has been validated against a "gold standard" 100-item, Block Food Frequency Questionnaire (Block et al., 1986).

**1.3.1.2. IBS control beliefs:** The IBS Locus of Control Scale (IBS-LOC: Lackner et al., 2007) is a 33-item, 5-point scale (1 = strongly disagree, 5 = strongly agree) that measures patients' beliefs that their IBS symptoms are internally controlled (e.g., "my bowel symptoms are beyond all control"). Patients with higher internal locus of control beliefs see themselves as responsible for controlling their GI symptoms and take action to manage them. Internal consistency for the IBS-LOC in this sample was high ( $\alpha = 0.82$ ).

**1.3.1.3. Neuroticism:** The personality trait of neuroticism (chronic anxiety) was measured using the abbreviated Trait scale of the State Trait Anxiety Inventory (STAI: Spielberger, 1995). In responding to the 10 items of the STAI (e.g., "I worry too much over something that really doesn't matter" and "I am content; I am a steady person"), participants indicate how they generally feel by rating the frequency of their feelings of anxiety on a 4-point scale ranging from 1 (almost never) to 4 (almost always). This scale is a well-validated measure whose items are calculated by averaging responses to this scale (after reverse scoring negatively-worded items). The trait anxiety scale exhibited good reliability in the current sample ( $\alpha = 0.88$ ).

**1.3.1.4. Anxiety sensitivity:** Anxiety sensitivity was measured using the 16-item Anxiety Sensitivity Inventory (ASI: Peterson & Reiss, 1993) which reflects fear of anxiety (e.g., "It scares me when I am anxious"), arousal-related bodily sensations (e.g., "It scares me when my heart beats rapidly"), and their consequences (e.g., "When I notice my heart is beating rapidly, I worry that I might have a heart attack"). Items are rated on a 6-point scale (0 = very little, 5 = very much) and yield a range of scores ranging from 0 to 64 with higher

scores signifying greater fear of anxiety/arousal symptoms. The scale showed good internal consistency in the present sample ( $\alpha = 0.89$ ).

**1.3.1.5. Stress:** The four-item version of the Perceived Stress Scale (PSS: Cohen & Williamson, 1988) measures the degree to which individuals perceive situations in their life as uncontrollable, unpredictable, and overloading. These three factors are regarded as core aspects of the stress experience. Items are rated on a 5-point Likert scale ranging from 0 (never) to 4 (very often). Scores range from 0 to 16. Norms for the 4 item version of the PSS from a United States probability sample were 4.49 (Cohen & Williamson, 1988). The PSS exhibited good internal consistency in the current sample ( $\alpha = 0.83$ ).

**1.3.2. Catastrophizing**—Catastrophizing was measured using the two item version of Catastrophizing subscale of the Coping Strategies Questionnaire (Jensen, Keefe, Lefebvre, Romano, & Turner, 2003). Items ask patients to rate the frequency with which they, during an episode of pain, engage in various beliefs thought to index catastrophizing (e.g., "When I am in pain ... I feel I can't stand it anymore; It is terrible and I feel it is never going to get any better"). Respondents rate how characteristic each item is of them using a 6-point Likert scale ranging from 0 (never do) to 6 (always do). The two item measure correlates strongly (r = 0.91) with the full scale version of the Catastrophizing scale (Jensen et al., 2003) and showed good internal consistency in the present sample ( $\alpha = 0.81$ ).

Other predisposing factors assessed included age, number of children, body mass index, number of alcoholic drinks per week, gender, race/ethnicity, level of education, marital status, disability status, and current tobacco use (Yes/No).

#### 1.3.3. Enabling factors

**1.3.3.1.** Social support: The short form of the Interpersonal Support Evaluation List (ISEL-12: House & Kahn, 1985) consists of a 12 statements concerning the perceived availability of social support. Items are rated on a 4-point scale with anchors ranging from "definitely true" to "definitely false". A total score of all items (after reverse coding 6 items) yields an index of the patient's general perception of social support. Psychometric studies support the ISEL-12 as a brief, internally consistent instrument with adequate convergent validity (Mosley et al., 1996) and exhibited good internal consistency in the current sample ( $\alpha = 0.86$ ).

Additional enabling factors assessed in the study include income, employment status, and health insurance coverage, if any, all of which were assessed during intake evaluation.

#### 1.3.4. Need factors

**<u>1.3.4.1. IBS symptom severity:</u>** The Irritable Bowel Syndrome Symptom Severity Scale (IBS-SSS: Francis, Morris, & Whorwell, 1997) is a 5-item instrument used to measure severity of abdominal pain, frequency of abdominal pain, severity of abdominal distension, dissatisfaction with bowel habits, and interference with quality of life on a 100-point scale. Items are summed with total scores ranging 0 to 500 with higher scores signifying more

severe symptoms. Rome III has recommended the IBSSS as a global measure of symptom severity (Irvine et al., 2006) Alpha for the IBS-SSS was .55.

**1.3.4.2. Physical health:** The 12-item Short Form (SF-12: Ware, Kosinski, & Keller, 1996) assesses self-reported physical health status across 6 domains: physical functioning, role functioning physical, bodily pain, general health, energy/fatigue (vitality), and role functioning. These domains yield a physical health status index called the Physical Component Summary (PCS). The Physical Component Summary of the SF- 12 has shown good reliability and validity (Ware et al., 1996) and had high internal consistency in the present sample ( $\alpha = 0.84$ ).

**1.3.4.3. Mental health:** Global psychological distress was assessed using the 18-item version of the Brief Symptom Inventory (BSI-18: Derogatis, 2000). The BSI-18 requires respondents to indicate on a 5-point scale (0 = not at all, 4 = extremely) their level of distress of 18 somatic and psychological symptoms for three types of problems (i.e., anxiety, somatization, depression). The average intensity of all items yields a composite index of psychological distress (Global Severity Index [GSI]). The GSI has been used extensively to measure global psychological distress in patients with IBS (Dorn et al., 2007). Internal consistency for the GSI in this sample was excellent ( $\alpha = 0.92$ ).

**1.3.4.4. Medical comorbidities:** Medical comorbidity was assessed using a comorbidity checklist that covers 112 medical conditions common to IBS patients (Lackner et al., 2013). Respondents were asked whether a doctor had ever diagnosed them with a condition and, if so, whether the condition was present in the past 3 months. Persons were counted as current cases if the diagnosed condition was reported as present in the last 3 months. Number of medical comorbidities yields a total comorbidity score.

Additional need variables included the duration IBS symptoms and predominant bowel habit (diarrhea-, constipation-predominant, alternating) based on Rome classification.

#### 1.3.5. Dependent measures

**1.3.5.1. Health care use:** Medical and mental health care use was assessed through a semi structured interview called the IBSOS Economic Form (IBSOS EF, Lackner & Dunlap, 2009). The IBSOS EF was modelled after the Form 90 Economic Data (Form 90 ED) which is an psychometrically validated (Bray et al., 2007; Miller and Del Boca, 1994; Scheurich et al., 2005; Tonigan, Miller, and Brown, 1997) instrument used to capture economic data including the quantity of health care utilization across different care settings (e.g., hospitalizations, emergency services, physician and mental health visits). In addition to these services, the IBSOS EF collected use data for services deemed relevant for IBS: rehabilitative care (e.g., physical therapy, chiropractic), alternative and complementary services (e.g., acupuncture, massage), laboratory services, surgical procedures, as well as devices (e.g. eye glasses). Using this form, subjects were first asked whether or not they had accessed specific medical or mental health care services in the past 3 months. For those individuals who used a given health care service, we then obtained information regarding the number of encounters (e.g., physician visits, diagnostic tests, admissions, emergency room

visits) over the previous 3 months. Because the form collects data separately for medical care and mental health care services, we modeled medical care and mental health care separately for our study. Medical care was operationalized as any non-mental health encounter used for the management, assessment, and care of a disease or disorder. These medical care encounters were collapsed into one (medical) care category for ease of interpretation/analyses and because of the distribution of observations across categories. Additionally, a medical vs mental care categorization typifies the bifurcated way health care encounters were collapsed into pretation typifies the bifurcated way health care and the set of the distribution of the distributi

organizations organize health care use. For both medical and mental health care, the dependent variable representing any service use equals 1 if the individual used any services in the 3 month period and zero otherwise. The dependent variable representing frequency of use (among those who had any use) equals the individual's reported number of encounters for the relevant category (medical care or mental health care) in the 3 month period.

**1.3.6. Statistical strategy**—Patient demographic and clinical characteristics were analyzed using measures of central tendency. Frequencies were calculated for categorical variables and means and standard deviations were calculated for continuous variables. Race was excluded from all further analyses due to lack of variance. Next, bivariate analyses were performed to test for associations between the independent variables and health care use probability. Student's t-tests were performed for continuous independent variables and chi-square analyses for categorical independent variables. The alpha level for these analyses was set at 0.05.

Finally, because a sizable proportion of individuals typically do not to use health care services, utilization data often contain a significant number of zeroes. This calls for a twostage model to identify predictors of both the probability (logistic regression) and, conditional on use, the frequency (negative binomial regression) of health care use. The hurdle model assumes that the statistical process governing individuals with zero counts and individuals with one or more counts may differ. That is, the decision on whether to use any care and the decision on the frequency of usage may be subject to different decision making processes. As noted above, the patient is responsible for making the initial decision to contact the health care professional (HCP), while the patient works with the HCP to determine the amount of treatment services to use. At both stages, predisposing, enabling and need factors may affect the subsequent usage decision, but how these factors or combination thereof affect the decision may differ across the two stages. An approach that recognizes the complexity of health care and its determinants across multiple domains has the potential to identify where and why differences in health services use occur. In addition, the hurdle model is methodologically attractive and appropriate because it accounts for prevalence of zeroes due to non-users (Jiménez-Martín, Labeaga, & Martínez-Granado, 2002; Pohlmeier & Ulrich, 1995). The alpha level for these two-stage models was set at 0.05.

With regard to the second stage (frequency), the regression coefficients obtained are not directly interpretable due to the negative binomial distribution being nonlinear. Therefore, the coefficients were transformed into incident rate ratios (IRRs) by computing their exponentials (i.e.,  $e^{\beta}$ ). The IRRs describe the change in an independent variable as a

Because the participants were recruited as part of another larger study, a priori sample size/ power calculations were not conducted. However, post-hoc power analyses indicate that for the logistic regression models, with the given medical care visit rate of 80% and the mental health care visit rate of 28%, to detect a significant odds ratio of 2 at an alpha level of 0.05, our sample size of 291 can reach the power of 70% for a binary predictor and the power of 98% for a continuous variable with 1 SD change. The sample size of 291 would also detect significant moderate effect sizes with relatively sufficient power for other scenarios considered in post-hoc power analysis.

# 2. Results

#### 2.1. Characteristics of the sample

Table 1 presents the demographics and clinical characteristics of the sample, which was mostly female, white, educated, and chronically ill. Fifty-five percent of the patients were childless and half of the sample had BMIs 30. Patients drank an average of 3 alcoholic drinks per week and most (89%) did not use tobacco products. Levels of neuroticism and stress were above average for the sample based on normative data for the STAI-T and PSS. Sixty-eight percent of the sample worked at least 30 h per week; most (80.7%) reported having private health insurance; and more than half (58%) reported having a family income of greater than \$50,000 per year. In general, patients reported having at least one person they counted on for social support.

**2.1.1. Bivariate analysis**—Table 2 compares the means and percentages of the predisposing, enabling, and need variables between health care users and non-users. Out of the 291 patients in the sample, 234 (80.4%) sought medical care within the past 3 months. The predisposing variables that distinguished users and non-users of medical care were gender and dietary intake of fruits, vegetables, and fiber. Female patients and patients who reported less daily intake of these foods were more likely to have used medical care. With regard to enabling variables, patients having health insurance (public or private) were more likely to have utilized health care services than those patients with no insurance. Finally, with regard to need variables, patients with more severe IBS symptoms and worse physical health were more likely to have utilized medical care.

Eighty-one (27.8%) patients in the sample utilized mental health care within the past 3 months of their initial evaluation. For predisposing variables, patients higher in neuroticism and stress, and with stronger fears of arousal symptoms were more likely to access mental health services. Also, patients with stronger beliefs in their ability to control IBS symptoms (IBS-LOC) and those who used any tobacco products had a greater probability of using mental health services. Among enabling factors, patients who had public health insurance were more likely to have been treated for mental health issues than those with private or no insurance. With respect to need variables, patients with more physical comorbidities and psychological distress were more likely to have utilized mental health care.

#### 2.2. Two-stage model

**2.2.1. First stage: probability of health care utilization**—Separate binary logistic regressions were run for medical care and mental health care utilization. Results are shown in Table 3. For medical care utilization, significant independent predictors were dietary intake and type of health insurance. As mentioned in the bivariate analyses, patients who reported a relatively lower intake of fruits, fiber, and vegetables were more likely to have utilized medical care. Furthermore, patients with public health insurance were over 10 times more likely to have used medical care than those with no insurance, whereas patients with private health insurance were almost eight times more likely to have used medical care than those with no insurance.

With regard to mental health care utilization, education level, type of health insurance, and current tobacco use emerged as significant predictors. Patients with a graduate degree were 2.6 times more likely to have used mental health care than those who have an undergraduate degree or less education. As with medical care, patients with any type of health insurance were more likely to have utilized treatment for mental health issues than those with no insurance. Finally, patients who used any type of tobacco products were almost four times more likely to have utilized mental health services than those who did not use tobacco.

**2.2.2. Second stage: frequency of health care utilization**—Results for the negative binomial regressions analyzing the frequency of health care utilization are shown in Table 4. For frequency of medical care utilization, the number of alcoholic drinks per week and physical health status were significant predictors. The number of medical encounters significantly declined as patients' alcohol intake increased. Each additional drink per week was associated with an estimated 5% decrease in medical care visits. For example, an additional 10 drinks per week ( $0.95 \land 10 = 0.599$ ) was associated with a 40% decline in frequency of utilizing medical care. As for physical health, a one-unit decrease on the SF12-PCS was associated with about a 3% increase in medical care visits. The average number of medical visits for those patients who utilized medical care was 3.4 (SD = 3.2).

A number of factors emerged as significant independent predictors of the frequency of mental health care visits. Patients with graduate degrees had approximately a 180% higher rate of mental health care visits than patients with a college degree. There was no significant difference between college graduates and patients who had a high school diploma or less education. Unmarried patients had about 38% more mental health care visits than married patients, and patients using tobacco products had an estimated 99% more visits than nonusers of tobacco. Finally, mental health care visits were greater in patients who reported more stress, distress, and had stronger beliefs in their ability to control IBS symptoms. A one-unit increase on each measure was associated with increased relative rate of frequency in visits of 12%, 4%, and 3%, respectively. Of patients who utilized mental health services, the average number of mental care visits was 6.1 (SD = 5.6). Data for two-stage model are diagrammatically represented in Fig. 2.

## 3. Discussion

We sought to identify predictors of health care use in a sample of IBS patients. To this end, we adopted the Andersen model which holds that the decision to use health care is a function of three main elements: the demographic and socioeconomic qualities that predispose patients to use services, enabling factors that impede or facilitate access to services, and patient needs (e.g. illness severity). In our subset of IBS patients, the decision to use any medical care was associated with the predisposing factor of dietary intake (frequency of fruit, fiber, and vegetable intake). Patients who consumed more of these foods were less likely to utilize medical care. While the IBS symptom relieving benefits of dietary changes is unclear (Brandt et al., 2009), they may have an independent impact on health care use that, to our knowledge, has been unexplored. Consuming higher levels of fruit, fiber and vegetables may be part of a healthier lifestyle that is manifested behaviorally in less health care use than patients who eat less fruits, fiber and vegetables. Only a handful of studies have investigated the impact of dietary intake on health care utilization (Collins, Patterson, & Fitzgerald, 2011; Lo, Wahlqvist, Chang, Kao, & Lee, 2013). Our data extends the general pattern of these data to the problem of functional GI disorders and warrant replication with a more fine grained dietary intake measure than the screener we used.

Of predisposing factors, both tobacco use and educational attainment were significant independent predictors of the probability of using mental health care. Our data echo the broader literature showing that smokers consume more mental health services (Heiligenstein & Smith, 2006; Pahl, Brook, Zhang, & Brook, 2014). Similarly, education has been found to predict health care use, even after controlling for confounding factors such as income and health insurance (Burgard & Hawkins, 2014; Fleury, Grenier, Bamvita, & Caron, 2014; Kanazawa et al., 2004; Sialubanje, Massar, Hamer, & Ruiter, 2014; Williams et al., 2006). More educated persons may be more aware of health problems and available resources and therefore more likely to use health care services to maintain their health.

For both medical care and mental health care, IBS patients with health insurance had a higher probability of using medical or mental health care than those patients without insurance. This finding echoes broader research showing that having health insurance is one of strongest determinants of utilization. Kasper, Giovannini, and Hoffman (2000) found that adults who had insurance after a lapse in coverage accessed more health care services while those who lost their health insurance reported a reduction in access to medical services. While intuitive, the relationship between financial resources like insurance and health care use to our knowledge has not been empirically validated in IBS patients and has implications for practitioners and health care organizations who are seeking to identify those at high risk for utilization and link them to resources and interventions that help them manage more effectively symptoms unresolved through conventional medical options.

Our results support the notion that the decision to use any health care and the further decision of how much health care to use are different decision making processes subject to different explanatory influences. Whereas dietary intake was associated with the decision to use any medical care, it was unrelated to how much medical care was used. Significant predictors of the level (frequency) of medical care use were alcohol consumption and

physical health, neither of which predicted the initial decision of whether to seek any medical care. Patients who either consumed more alcohol or reported better physical health consumed fewer health care resources. We expected that alcohol consumption would show a similar relationship to health care use as tobacco use. We found the opposite. While somewhat counterintuitive, an inverse relationship between alcohol consumption and medical health care use echoes findings of several epidemiological studies showing that nonproblem drinking was associated with less health care use (hospital visits, hospitalizations and medical emergencies) (Klatsky, Armstrong, & Friedman, 1989; Longnecker & MacMahon, 1988; Rice & Duncan, 1995). Further study is necessary to clarify this relationship and its underlying mechanism(s). It is possible that non-problem alcohol drinkers use less health care because they make healthier lifestyle choices in general and not simply because they consume alcohol.

Different variables predicted the frequency of mental vs medical health services use. Frequency of mental health care increased with marital status (unmarried), education level, and tobacco use. Additional predictors of mental health care use included emotional distress, patients' beliefs they had greater control over their IBS symptoms (internal locus of control beliefs), and stress. The association between mental health care use and internalized control beliefs is notable. We expected that patients with stronger control beliefs would be more likely to manage symptoms on their own and as a result use (Talley et al., 1997) fewer health care resources. While "internals" may be empowered to control their health on their own, they also may be more likely to seek out health care resources when their own symptom self-management efforts fall short. Having stronger illness control beliefs, like being better educated, may not necessarily be a prescription for reducing health care use.

In our study, predisposing factors predictive of medical care use were limited to consumption of fruits, fiber and vegetables and alcohol. The relatively homogenous composition of our sample may have reduced variability of other predisposing factors such as race, age, and income level. If so, a larger more diverse sample may yield different results. Like others (Ringstrom et al., 2007; Talley et al., 1997), we found that the severity of GI symptoms – what the Andersen model classifies as a "need" factor – was not a significant predictor of overall health care use. These data do not diminish the clinical importance of GI symptoms. They are, after all, the most direct influences of care for GI disorders. In understanding patterns of health care use in general, their influence should be put in context of a larger set of enabling and predisposing factors that cuts across different health problems.

With relatively few exceptions, the behavioral literature has given short shrift to health care utilization as an outcome measure. To our knowledge, health care use is not a standard part of assessment battery of empirically supported treatments (e.g., Agras, Walsh, Fairburn, Wilson, & Kraemer, 2000; DeRubeis et al., 2005) This is disappointing given Kazdin and Wilson (1978)'s prescient exhortation 38 years ago that breadth of change is an important dimension for gauging the therapeutic value of psychological treatments that emphasize symptom self-management. They did not simply see breadth of change as simply an useful secondary outcome measure (Kazdin & Wilson, 1978) but fundamental to evaluating how well a treatment worked. Breadth of change was inextricably linked to efficacy and was no less important than durability of improvement, magnitude of treatment effect, or clinical

significance of therapeutic gain. In an increasingly competitive value-based health care environment, health care use is primed to become an increasingly important measure for gauging the clinical value of interventions of any denomination. Understanding patterns of health care use and their determinants is fundamental to laying a foundation for establishing the efficacy profile of novel treatments like CBT and, for that matter, other dietary, exercise and pharmacological treatments for IBS and other disorders that are a public health threat. This paper outlines an innovative, potentially impactful conceptual and methodological framework for studying health care use that behavioral and non-behavioral clinical trialists alike can apply to their work.

While the study improves upon aspects of prior research in a number of ways, it is not without limitations. Because data were collected via self-report, they are subjective and vulnerable to varying degrees of error, distortion, and bias. While the breadth of our testing battery is a strength of this study, it was not designed as an exhaustive investigation of the universe of factors that may impact utilization. Our data are cross sectional and therefore cannot establish causal relationships between predictor variables and health care use. At best, our data outline a working model of health care use of more severely affected IBS patients. Additionally, our findings are based on a subset of treatment-seeking individuals who voluntarily enrolled in a randomized controlled trial of a behavioral treatment for a physical health problem. For this reason, our subjects may be more psychologically minded patients and therefore more likely to access mental health services. Because the sample was predominantly White, middle class, and female, results may not necessarily generalize to more heterogeneous samples. Nor do our data necessarily extend to community populations (i.e., non-users) who represent the majority of individuals with symptoms of IBS (Spiller et al., 2007). It is possible that a more diverse sample yields alternative predictive models highlighting different influences on health care utilization. Because this study was based on a clinical trial for IBS, we did not have a control group that would have allowed us to determine the specificity of findings. We did not collect actual claims data. While this was not the intended objective of the study, cost data would have enriched our understanding of utilization as an outcome and the impact these costs have on our health care system. Our application of the Andersen model focused on individual level factors, and not on community and system level delivery factors which are particularly important in managed care environments (de Boer, Wijker, & de Haes, 1997). We acknowledge that a limitation with relying on the number of encounters is that it obscures the qualitative differences between health services accessed (e.g., preventive services, annual checkups vs. emergency room visits, reassurance seeking physician visits). We only collected data for use of outpatient mental health care visits. We are unable to discern whether the demand for medical care was due to mental health problems (e.g., anxiety of noncardiac chest pain triggering ER visit, primary care visits for depression). Because our study lacked a control group, we do not know whether the pattern of data is specific to IBS. In some cases, marginal group differences were statistically significant. Where these differences are clinically significant is unclear. It is possible that a small, seemingly trivial unit change in behavior amounts to larger impact on health care (Marteau, Ogilvie, Roland, Suhrcke, & Kelly, 2011). Finally, the number of comparisons renders us vulnerable to Type I error and therefore our results should be interpreted judiciously. That said, this study was not designed

as a definitive exploration of the predictors of health care use but an explorative test of two different types of health care use from the perspective of the Andersen model. Further research with a larger sample size can make more definitive conclusions.

Notwithstanding these limitations, our findings bring into sharper focus the factors that may govern the decisions among IBS patients to access health care. Health care use is not simply an outcome. It is the product of a complex cognitive process about which we do not fully understand. Conventional wisdom that health care is necessarily driven solely by symptoms that define the disorder for which patients seek one type of treatment is not wholly accurate. The decision to use health care and the frequency of use are qualitatively different decisionmaking processes subject to different influences. Their relative impact depends on whether the focus is on medical or mental health care. If there is a unifying theme of our data, it is the importance of behavioral factors in explaining patterns of health care use. What patients eat, the activities they think they can and cannot do, their beliefs about symptoms and their expected impact on their lives, and their lifestyle choices (e.g. use of tobacco) have a particularly strong impact on the demand for health care. Understanding their specific impact on health care use has the potential to support the development of disease management strategies tailored to specific utilization drivers to optimize health care practices and outcomes. In the end, this approach can help identify vulnerable patients and triage them to more patient-centered interventions such as CBT (Lackner et al., 2008) earlier in the course of their illness with the goal of improving the quality and efficiency of care, quality of life, and satisfaction for patients and providers.

# 4. Coda

Thirty-one years has passed since I (JML) received my doctorate from Rutgers. I had the fortune of working with Terry both at the Alcohol Research Lab on research parsing out the pharmacological vs expectancy effects of alcohol and the Eating Disorders Clinic running clinical trials on CBT for bulimia. While bulimia and alcoholism are outside my clinical or research focus, the lessons I learned under the supervision of Terry Wilson have left a strong and enduring imprint on me, one that shapes my work research on brain-gut interactions underlying functional GI disorders. The conceptual and practical value of theory, methodological rigor, statistical sophistication, and clarity of communication were lessons that I took from my years under Terry. As editor of BRAT, supervisor, and an independent researcher, Terry sees the value of a good study on the basis of its ability to raise as many questions as it answers. If the study "shook these up" in the process, it had even more value and impact potential. It is in this spirit that this study was conducted.

# Acknowledgments

We would like to thank members of the IBSOS Research Group (Rebecca Firth, Darren Brenner, Leonard Katz, Susan Krasner, Christopher Radziwon, Michael Sitrin, Jim Jaccard, Chang-Xing Ma, Ann Marie Carosella) for their assistance on various aspects of the research reported in this manuscript.

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# Abbreviations

IBS	Irritable Bowel Syndrome
GI	Gastrointestinal
BFF-S	Block Fruit Fiber Screener
IBS-LOC	IBS-Specific Locus of Control
STAI	State-Trait Anxiety Inventory
ASI	Anxiety Sensitivity Inventory
PSS	Perceived Stress Scale
ISEL	Interpersonal Support Evaluation List
IBS-SSS	Irritable Bowel Syndrome Symptom Severity Scale
PCS	Physical Component Summary
SF-12	Short Form-12
BSI	Brief Symptom Inventory
GSI	Global Severity Index
IBSOS EF	IBS Outcome Study Economic Form
STAI-T	State-Trait Anxiety Inventory Trait anxiety
IRRs	Incident rate ratios
Μ	Mean
SD	Standard Deviation
ANOVA	Analysis of variance

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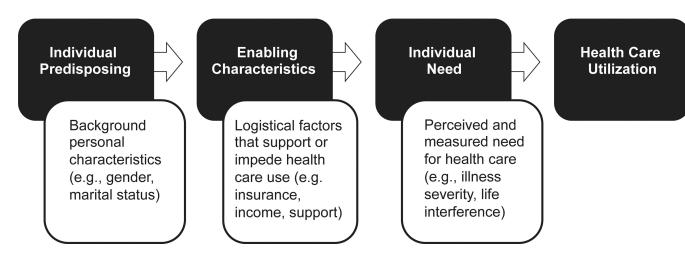
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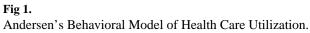
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# Andersen's Behavioral Model of Health Care Utilization





# **Predictors of Health Care Use**

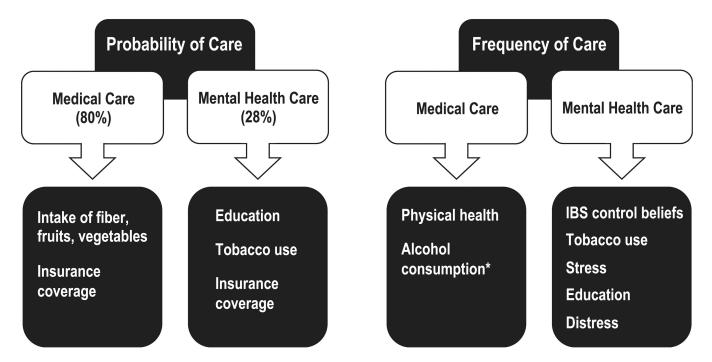


Fig 2.

Predictors of Health Care Use. Note. \* Denotes inverse relationship.

## Table 1

Means and percentages of the predisposing, enabling, and need variables for entire sample (N = 291).

1 0		
Variable	Mean ± SD	N(%)
Predisposing		
Age	$40.85\pm14.64$	
Number of Children	$0.96 \pm 1.32$	
Body Mass Index	$26.43 \pm 6.03$	
ETOH drinks/week	$2.81 \pm 4.88$	
Dietary Pattern	$34.02\pm7.50$	
IBS Control Beliefs	$37.69 \pm 8.05$	
Neuroticism	20.57 + 6.11	
Anxiety Sensitivity	24.15 + 11.95	
Stress	6.92 + 3.29	
Catastrophizing	2.60 + 1.65	
Gender (Female)		231 (79.4)
Race (White)		260 (89.3)
Married		118(40.6)
Disabled		27 (9.3)
Use Tobacco		31 (10.7)
Education		
High school or less		71 (24.4)
College or associate degree		136 (46.7)
Graduate degree		84 (28.9)
Enabling		
Social Support	24.15 + 11.95	
Income	71.1 K + 49.3K	
Employment		
Not working		93 (32.0)
Working <30 h/week		52 (17.8)
Working >30 h/week		146 (50.2)
Health Insurance		
No insurance		18 (6.2)
Public insurance		38 (13.1)
Private Insurance		235 (80.7)
Need		
IBS Symptom Severity	285.72 + 74.72	
Physical Health Status	43.71 + 9.23	
Medical Comorbidities, #	5.66 + 5.30	
Duration of IBS symptoms (years)	16.15 + 13.74	
Global Distress	14.10 + 11.95	
IBS Subtype		
Mixed		79 (27.2)

Variable	Mean ± SD	N(%)
Constipation		83 (28.5)
Diarrhea		129 (44.3)

Table 2

Comparisons of means and percentages between users and non-users of health services in the past 3 months.

	<u>Medical care visit</u>	sit		Mental health care visit	are visit	
	Yes $(n = 234)$	No (n = 57)	p-value	Yes (n = 81)	No $(n = 210)$	p-value
Predisposing						
Age	$40.71 \pm 14.43$	$41.42 \pm 15.57$	0.742	$41.77 \pm 14.24$	$40.50\pm14.8$	0.508
Number of Children	$1.04 \pm 1.38$	$0.64 \pm 1.00$	0.017	$1.03\pm1.27$	$0.93\pm1.34$	0.591
Body Mass Index	$26.48\pm6.10$	$26.23 \pm 5.76$	0.779	$26.89 \pm 6.80$	$26.25\pm5.71$	0.420
ETOH drinks/week	$2.49\pm3.93$	$4.14 \pm 7.54$	0.115	$1.95 \pm 3.58$	$3.15 \pm 5.27$	0.027
Dietary Pattern	$33.54 \pm 7.67$	$35.96 \pm 6.44$	0.025	$33.96 \pm 7.62$	$34.04 \pm 7.47$	0.939
IBS Control Beliefs	$37.39\pm8.03$	$38.91\pm8.07$	0.202	$39.90 \pm 7.16$	$36.84 \pm 8.22$	0.004
Neuroticism	$20.71\pm6.23$	$20.02\pm5.60$	0.454	$23.51 \pm 6.75$	$19.41 \pm 5.43$	0.001
Anxiety Sensitivity	24.10 + 11.95	$24.38 \pm 12.02$	0.876	<b>27.35 ± 12.52</b>	<b>22.90 ±11.50</b>	0.005
Stress	$7.00\pm3.35$	$6.68 \pm 2.97$	0.522	$8.59 \pm 3.39$	$6.29 \pm 3.01$	0.001
Catastrophizing	$2.65 \pm 1.64$	$2.39\pm1.70$	0.276	$2.74 \pm 1.70$	$2.55\pm1.63$	0.367
Gender(%)			0.008			0.129
Male	41 (68.3)	19 (31.7)		12 (20.0)	48 (80.0)	
Female	193 (83.55)	38 (16.45)		69 (29.9)	162 (70.1)	
Married (%)			0.125			0.307
Married	100 (84.8)	18 (15.2)		29 (24.6)	89 (75.4)	
Not married	134 (77.5)	39 (22.5)		52(30.1)	121 (69.9)	
Disabled (%)			0.513			0.264
Disabled	23 (85.2)	4(14.8)		10 (37.0)	17 (63.0)	
Not disabled	211 (79.9)	53 (20.1)		71 (26.9)	193 (73.1)	
Use Tobacco (%)			0.973			0.001
Yes	25 (80.7)	6 (19.3)		15 (48.4)	16 (51.6)	
No	209 (80.4)	51 (19.6)		66 (25.4)	194 (74.6)	
Education (%)			0.191			0.287
High school or less	58 (81.7)	13 (18.3)		18 (28.1)	46 (71.9)	
College/associate degree	114(83.8)	22 (16.2)		31 (22.8)	105 (77.2)	
Graduate degree	62 (73.8)	22 (26.2)		30 (35.7)	54 (64.3)	

	<u>Medical care visit</u>	it		Mental health care visit	are visit	
	Yes (n = 234)	No $(n = 57)$	p-value	Yes (n = 81)	No (n = 210)	p-value
Enabling		-				
Social Support	$39.66 \pm 6.55$	$39.33 \pm 6.43$	0.734	$38.65\pm6.90$	$39.97\pm6.34$	0.126
Income	$71.8 \text{ K} \pm 49.2 \text{ K}$	$67.8~\mathrm{K}\pm49.7~\mathrm{K}$	0.587	70.5 K± 51.2 K	71.32 K± 48.6 K	0.914
Employment (%)			0.574			0.114
Not working	74 (79.6)	19 (20.4)		31 (33.3)	62 (66.7)	
Working <30 h/week	40 (76.9)	12 (23.1)		15 (28.9)	37(71.1)	
Working >30 h/week	120 (82.2)	26 (17.8)		35 (24.0)	111 (76.0)	
Health Insurance (%)			0.001			0.011
No insurance	7 (38.9)	11 (61.1)		2 (11.1)	16 (88.9)	
Public insurance	33 (86.8)	5 (13.2)		16 (42.1)	22 (57.9)	
Private Insurance	194 (82.6)	41 (17.4)		63 (26.8)	172 (73.2)	
Need						
IBS Symptom Severity	$290 \pm 75.85$	$267.56 \pm 67.41$	0.035	$297.05 \pm 63.44$	$281.29 \pm 78.40$	0.079
Physical Health Status	$43.16 \pm 9.33$	$46.02 \pm 8.50$	0.036	$41.99 \pm 9.53$	$4439 \pm 9.05$	0.041
Medical Comorbidities, #	$5.81\pm5.36$	$5.05\pm5.09$	0.346	$\textbf{7.55} \pm \textbf{6.00}$	$4.93 \pm 4.83$	0.005
Duration of IBS symptoms	$16.43 \pm 13.73$	$15.04 \pm 13.83$	0.496	$18.83\pm15.30$	$15.12 \pm 12.98$	0.078
Global Distress	$14.27 \pm 12.15$	$13.38 \pm 11.14$	0.621	$20.16 \pm 14.33$	$11.72 \pm 9.94$	0.001
IBS Subtype (%)			0.502			0.837
Mixed	67 (84.8)	12 (15.2)		22 (27.8)	57 (72.2)	
Constipation	66 (79.5)	17 (20.5)		25 (30.1)	58 (69.9)	
Diarrhea	101 (78.3)	28 (21.7)		34 (26.4)	95 (73.6)	
Note. Bolded numbers are statistically significant at 0.05 (unadjusted).	tistically significant	t at 0.05 (unadjusted				
	,	~				

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#### Table 3

Factors predicting the probability of utilizing medical and mental health care.

Predictors	Medical care		Mental health	care
	OR (95% CI)	p-value	OR (95% CI)	p-value
Predisposing				
Age	0.99 (0.95-1.03)	0.505	0.99 (0.97–1.04)	0.930
Number of Children	1.17 (0.79–1.73)	0.436	1.24 (0.90–1.70)	0.189
Body Mass Index	1.01 (0.94–1.09)	0.783	0.99 (0.93–1.05)	0.636
ETOH drinks/week	0.95 (0.88-1.03)	0.197	0.91 (0.82–1.01)	0.086
Dietary Pattern	0.94 (0.89-0.99)	0.022	1.01 (0.96–1.06)	0.728
IBS Control Beliefs	1.01 (0.96–1.07)	0.633	1.05 (0.99–1.10)	0.071
Neuroticism	1.10 (0.99–1.23)	0.093	1.06(0.97-1.16)	0.196
Anxiety Sensitivity	0.97 (0.93-1.02)	0.190	0.99 (0.95–1.04)	0.788
Stress	0.94(0.80-1.11)	0.487	1.14(0.99–1.31)	0.079
Catastrophizing	0.98 (0.73-1.30)	0.866	0.83 (0.64–1.07)	0.154
Gender	1.30 (0.50–3.43)	0.590	1.33 (0.50–3.54)	0.575
Married	1.73 (0.64-4.68)	0.284	0.51 (0.21-1.23)	0.133
Disabled	0.72 (0.14-3.59)	0.684	0.79 (0.18-3.43)	0.750
Use Tobacco	1.82 (0.48-6.89)	0.380	3.94 (1.34–11.61)	0.013
Education				
College vs. High School	0.72 (0.26–2.00)	0.521	0.62 (0.24-1.60)	0.319
College vs. Grad degree	0.50 (0.16-1.08)	0.071	2.63 (1.20-5.76)	0.016
Enabling				
Social Support	1.01 (0.94–1.07)	0.987	1.01 (0.94–1.07)	0.963
Income	1.02 (0.98-1.05)	0.687	0.99(0.96-1.01)	0.345
Employment				
Full-time vs. Part-time	1.14 (0.38–3.47)	0.814	1.53 (0.49–4.77)	0.464
Full-time vs. None	1.99 (0.71–5.53)	0.190	0.83 (0.33-2.10)	0.699
Health Insurance				
None vs. Private	8.32 (1.94-35.66)	0.004	10.03 (1.03-23.99)	0.033
None vs. Public	10.33 (1.79–59.75)	0.009	17.71 (1.09-38.70)	0.015
Need				
IBS Symptom Severity	1.01 (0.99–1.04)	0.303	1.01 (0.99–1.03)	0.620
Physical Health Status	0.94 (0.89–1.08)	0.076	1.03 (0.98–1.08)	0.306
Medical Comorbidities, #	1.05 (0.94–1.17)	0.408	1.05 (0.96–1.14)	0.316
Duration of IBS symptoms	0.99 (0.97–1.03)	0.914	1.01 (0.98–1.04)	0.442
Global Distress	0.97 (0.92–1.03)	0.367	1.04 (0.99–1.09)	0.105
IBS Subtype	. ,		. ,	
Mixed vs. Constipation	0.58 (0.20-1.74)	0.337	1.65 (0.63-4.33)	0.313
Mixed vs. Diarrhea	0.57 (0.21–1.58)	0.285	1.35(0.54-3.41)	0.523

Note. Bolded numbers are statistically significant.

OR = Odds Ratio.

#### Table 4

Factors predicting the frequency of utilizing medical and mental health care services.

Predictors	Medical care		Mental health ca	re
	IRR (95% CI)	p-value	IRR (95% CI)	p-value
Predisposing				
Age	0.99 (0.98–1.02)	0.905	0.98 (0.94–1.02)	0.186
Number of Children	1.02 (0.88–1.18)	0.817	1.99 (0.93–1.45)	0.132
Body Mass Index	0.99 (0.96–1.02)	0.393	0.97(0.93-1.01)	0.128
ETOH drinks/week	0.95 (0.92-0.98)	0.033	0.96 (0.91–1.07)	0.234
Dietary Pattern	0.99 (0.98–1.02)	0.678	0.97 (0.92–1.04)	0.527
IBS Control Beliefs	0.99 (0.98–1.02)	0.864	1.03 (1.01–1.08)	0.021
Neuroticism	1.01 (0.96–1.05)	0.949	1.03 (0.98–1.08)	0.174
Anxiety Sensitivity	1.01 (0.98–1.03)	0.611	1.02 (0.98–1.10)	0.199
Stress	1.01 (0.94–1.07)	0.977	1.12 (1.02–1.21)	0.015
Catastrophizing	1.05 (0.94–1.16)	0.384	1.09 (0.95–1.25)	0.126
Gender	1.12(0.71-1.75)	0.645	1.21 (0.89–1.93)	0.502
Married	0.91 (0.61–1.37)	0.663	0.62 (0.37-0.92)	0.031
Disabled	1.03 (0.55–1.93)	0.924	1.20 (0.54–2.64)	0.663
Use Tobacco	1.20 (0.69–2.08)	0.511	1.99 (1.15-3.49)	0.014
Education				
College vs. High School	1.20 (0.85–2.21)	0.397	1.16(0.76-1.85)	0.284
College vs. Grad degree	1.06 (0.86–1.24)	0.742	2.88 (1.80-4.62)	0.001
Enabling				
Social Support	0.99 (0.97-1.02)	0.616	0.99 (0.96–1.02)	0.958
Income	0.98 (0.96–1.03)	0.778	0.98 (0.95-1.03)	0.769
Employment				
Full-time vs. Part-time	1.32 (0.84–2.12)	0.231	1.43(0.79-2.61)	0.232
Full-time vs. None	1.30 (0.83–2.03)	0.253	1.66(0.95-2.91)	0.113
Health Insurance				
None vs. Private	1.86 (0.80-4.29)	0.148	1.27 (0.52–3.14)	0.602
None vs. Public	1.90 (0.77-4.67)	0.162	1.51 (0.49-4.62)	0.475
Need				
IBS Symptom Severity	1.01 (0.98–1.03)	0.976	1.01 (0.99–1.06)	0.539
Physical Health Status	0.97 (0.95-0.99)	0.026	1.02 (0.99–1.05)	0.098
Medical Comorbidities, #	1.03 (0.99–1.08)	0.105	1.03 (0.98–1.09)	0.210
Duration of IBS symptoms	0.99 (0.97–1.01)	0.223	0.99 (0.98–1.02)	0.414
Global Distress	1.01 (0.98–1.03)	0.603	1.04 (1.01–1.09)	0.005
IBS Subtype				
Mixed vs. Constipation	0.96 (0.63–1.47)	0.849	0.78 (0.45–1.33)	0.369
Mixed vs. Diarrhea	0.89 (0.59–1.33)	0.560	0.79 (0.49–1.37)	0.409

Note. Bolded numbers are statistically significant.

IRR = Incident Rate Ratio.