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Type II Natural Killer T (NKT) Cells And Their Emerging Role In Health And Disease

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Abstract

Natural killer T (NKT) cells recognize lipid antigens presented by a class I MHC-like molecule CD1d, a member of the CD1 family. While most of the initial studies on NKT cells focused on a subset with semi-invariant T cell receptor (TCR) termed iNKT cells, majority of CD1d-restricted lipid-reactive human T cells express diverse TCRs and are termed as type II NKT cells. These cells constitute a distinct population of circulating and tissue-resident effector T cells with immune-regulatory properties. They react to a growing list of self- as well as non-self lipid ligands, and share some properties with both iNKT as well as conventional T cells. Emerging body of evidence points to their role in the regulation of immunity to pathogens/tumors and in autoimmune/metabolic disorders. Improved understanding of the biology of these cells and the ability to manipulate their function may be of therapeutic benefit in diverse disease conditions.

Introduction

It is becoming clear that in addition to conventional MHC-restricted T cells, a diverse repertoire of unconventional T cells are present in both mice and humans and play an important role in immunity against infection, tumors and in autoimmunity. These cells are characterized by higher frequency, faster response and limited TCR diversity. They are often enriched in different tissues and can respond to a distinct molecular pattern or biochemical class of antigenic ligands. Some examples of such T cells include, CD1- and MHC class Ib- restricted T cells, $\gamma \delta$ T cells and MR-1-restricted mucosal associated invariant T cells $(MAIT)(1)$.

Natural killer T (NKT) cells are an important subgroup of such unconventional T cells that recognize lipid antigens presented by a class I MHC-like molecule CD1d, a member of the CD1 family. It is noteworthy that while mice only express CD1d, other members CD1a, CD1b and CD1c also bind lipid molecules and present them to human T cells(2). The remaining member CD1e remains intracellular and only contributes to antigen processing and loading. Two broad categories of CD1d-restricted NKT cells exist: type I or invariant iNKT cells that express an invariant TCRα chain (TRAV11 and TRAJ18 in mice and

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TRAV10 and TRAJ18 in humans) and a limited number of non-invariant TCRβ chains (Table 1). Type II NKT cells (also called diverse NKT or dNKT) do not use invariant TCRα chain and use diverse TCRα and β chains. Since type II NKT cells are reactive to diverse lipid antigens derived from self or microbes and are more abundant than type I NKT cells in humans(3), it is important to understand their physiological role. In this brief review we will primarily focus on lipid-reactive CD1d-restricted TCRαβ+ type II NKT cells and their emerging role in health and in disease.

Antigenic targets of type II NKT cells

Type II NKT cells are reactive to both glycolipids and phospholipids derived from self as well as microbes (Figure 1). Mass spectrometry based approaches have identified diverse lipid species capable of binding to human CD1d (4, 5). However one of the major differences in the two NKT cell subsets is in the recognition of α – vs β-anomeric linkage of a carbohydrate moiety to a lipid tail in glycolipids. For example, while type I NKT cells recognize their prototypic ligand αGalCer, type II NKT cells are not reactive to αGalCer or other α-linked glycolipids examined (1, 2, 6–8). The first antigen defined for a subset of murine type II NKT cells was sulfatide, a sulfated glycolipid enriched in membranes of various tissues, e.g. myelin of central nervous system (CNS), pancreas, kidney and liver (9). Subsequently sulfatide and lysosulfatide-reactive CD1d-restricted NKT cells have been identified in humans as well (10, 11). Recently sulfatide reactive CD1d-restricted T cells in humans have been also shown to express $\gamma \delta$ TCR (12, 13). Other self-glycolipids, including βGlcCer and βGalCer can also activate murine type II NKT cells (14, 15). Consistently, Nair and co-workers also showed that two major sphingolipids accumulating in Gaucher disease (GD), βglucosylceramide 22:0 and glucosylsphingosine, are recognized by human and murine type II NKT cells (16). The lysoforms of glycolipids lacking the fatty acid chain or with longer chain (C18–C24) are more potent in activating the type II NKT cells. Interestingly, glycosphingolipids derived from microbial sources have not yet been shown to activate type II NKT cells.

Among phospholipids, lysophosphatidylcholine (LPC) has been shown to be recognized by both human and murine type II NKT cells (17–20). Notably while LPC can be recognized by a few human type I NKT cell clones it is not recognized by murine type I NKT cells (20– 23). Since the endogenous levels of lysophospholipids can be altered following phospholipid hydrolysis during inflammation (24, 25), it has been suggested that lysophospholipidreactive type II NKT cells play a role in the regulation of inflammation-induced pathology or autoimmunity. Similarly, glycolipids from Mycobacterium tuberculosis or Corynebacterium glutamicum (26) and phosphatidylglycerol, from Listeria monocytogenes (27) have been shown to be ligands for type II NKT cells. This is consistent with the finding that phosphatidylglycerol, diphosphatidylglycerol and phosphatidylinositol from both microbial and mammalian sources can stimulate type II NKT cell hybridomas.

Antigen recognition mechanism of type II NKT cells use features of both conventional T cells and type I NKT cells

The CD1d binding groove consists of two deep binding pockets, the A' and the F', in which lipid antigens dock. The crystal structure of the cis-tetracosenoyl sulfatide/CD1d complex at 1.9 A^0 resolution (28) clearly showed that while the fatty acid chain in sulfatide molecules occupy the large A' pocket, the sphingosine chain was docked in the smaller F' pocket. Interestingly and in contrast to the α-linked glycosyl head group in αGalCer, the β-linked head group in sulfatide was found to be projected away from the binding groove on CD1d. First clue that antigen-specific type II NKT cells may use TCR recognition mechanism different from type I NKT cells came from the analysis of the TCR repertoire of cistetracosenoylsulfatide/CD1d-tetramer+ cells from naïve B6 mice. Thus sulfatide-reactive type II NKT cells express an oligoclonal TCR repertoire predominantly using the Vα3/Vα1- Jα7/Jα9 and the Vβ8.1/Vβ3.1-Jβ2.7 TCR gene segments (29). Additionally, in contrast to type I NKT TCR, while the CDR3α regions were quite variable, the CDR3β region used conserved amino acid residues suggesting its binding to the antigen, similar to some of the conventional MHC-restricted T cells(29). Furthermore this enables TCRs from type I vs. type II NKT cells to dock differently using distinct antigen recognition mechanism as subsequently confirmed by the crystal structure of the trimolecular complex. Sulfatidereactive TCR molecule was found to be docked over the A' pocket of CD1d and primarily use the CDR3β loop to contact the antigen while the CDR3α loops associated with the CD1d (30–33). Thus the type II NKT-TCR, unlike the type I NKT-TCR, docks above the A' pocket of CD1d in an anti-parallel fashion resembling the situation with conventional T cells (Figure 2). Also interesting is the fact that lysosulfatide lacking the fatty acyl chain still binds to the same A' pocket of CD1d as occupied by the cis-tetracosenoyl sulfatide. It is not yet known whether all other type II NKT TCRs will dock onto CD1d in a similar fashion. It is noteworthy that a similar docking position has been found even for a $\gamma\delta$ type II NKT TCR (13). An oligoclonal nature of antigen-reactive type II NKT cell subset has also recently been shown in case of sphingolipids accumulating in Gaucher disease (16). Thus TCR repertoire and antigen recognition mechanisms in type II NKT cells possess features of both conventional T cells and type I NKT cells.

Development of type II NKT cells

The development of both types of NKT cell subsets is dependent on the antigen-presenting molecule CD1d expressed on the cells of both non-hematopoietic and hematopoietic origin; although the developmental pathways in type II NKT cells are much less studied than for iNKT cells (34, 35). Immature CD1d+ thymocytes are likely major players in positive selection and CD1d+ antigen presenting cells likely contribute to negative selection. The identity of non-hematopoietic cells remain less defined. Unlike the conventional T cells, transcriptional program in NKT cells is driven by promyelocytic leukemia zinc finger (PLZF), and an adaptor molecule called SAP (signaling lymphocyte activation-molecule associated protein) and Gata-3 (36) (37). $Ja18-/-$ mice lack type I NKT cells but develop type II NKT cells and have been extensively utilized to study these cell types. It is interesting to note that in the $Ja18-/-$ IL-4 GFP reporter mice, TCRβ+GFP+ cells respond

to βGlcCer but not to sulfatide or phospholipids. The Th1-like type I NKT cells, associated primarily with liver and spleen, also express T-bet, while the Th17-like type I NKT cells associated with lymph nodes, lungs and skin, express RORγt instead. Murine liver and splenic iNKT cells are also capable of making Th2 cytokines(38). The Th2-like type I NKT cells seem to operate in a Th2 like manner in the lungs and intestine because of a lack of coexpression of transcription factors T-bet or RORγt. It is not yet known whether type II NKT cells can also be Th1-, Th-17 or Th2-like with respect to cytokine secretion and the expression of specific transcription factors. Further studies are needed to test whether type II NKT cells in different tissues have distinct cytokine profiles, particularly in humans. It is noteworthy that the presence of sulfatide is not required for the development of type II NKT cells, as self-reactive NKT cells are present in $CST-/-$ and $CGT-/-$ mice, that are genetically deficient in the cerebroside sulfotransferase (CST) and UDP-galactose ceramide galactosyl transferase (CGT) respectively, key enzymes in the generation of the sulfatides (9,

Activation of type II NKT cells

29, 39).

One of the important features of NKT cells is their ability to rapidly become effector cells and thereby producing cytokines and in some cases, cytotoxic activity within minutes to hours following antigen encounter on CD1d+ antigen-presenting cells. Accordingly, nature of the antigenic ligand, cytokine milieu, APC populations and tissue environment should play important role in their activation and function. Type I NKT cells can be activated either directly through TCR stimulation or indirectly without TCR signaling by cytokines (IL-12, IL-18, or type I IFN) produced through Toll-like receptor (TLR)-mediated signaling in DCs (40–42). It seems that the main pathway for type II NKT cell activation is via TCR signaling following recognition of lipid/CD1d complex (15, 26). Consistently in many experimental conditions in which type I NKT cells are activated by TLR signaling in APCs, type II NKT cells remain un-activated (43). Even during HBV infection, lysophospholipid-reactive type II NKT activation does not depend upon the presence of IL-12 (44). Interestingly, while IL-18R expression did not vary significantly in two subsets, IL-12rβ1 gene expression was several fold lower in type II NKT cells in comparison to that in type I NKT (45). Type II NKT cells also express lower levels of retinoic acid receptor γ (RAR γ), and accordingly are less susceptible to inhibition by RAR γ agonist (46). More critical studies are needed to examine whether lower expression of receptors for these molecules may explain a stricter requirement via TCR-signaling for the activation of type II NKT cells. It is also possible that the avidity of the lipid ligand for the type II NKT TCR and tissue microenvironment can also have a major impact on activation and cytokine secretion of type II NKT cells and may explain in general their pathogenic or protective role in inflammatory or autoimmune diseases (47).

As with type I NKT cells, activation of murine type II NKT cells has a major impact on antigen-presenting cells, including dendritic cells and B cells (Figure 3). Type II NKT cells can leads to activation of pDC but the tolerization of mDC. Thus CD1d expression was significantly upregulated in liver CD11c^{int}B220+/PDCA-1+ (pDC) but not of myeloid DCs (CD11chighCD11b+) following sulfatide-mediated activation of type II NKT cells(48). Although human pDCs express little CD1d and it will be interesting to investigate whether

CD1d expression is upregulated following type II NKT activation. Myeloid DC tolerized following instruction by sulfatide-reactive NKT cells can adoptively transfer tolerance and protect recipients from inflammatory or autoimmune disease (49). It was concluded that type II NKT cells influence B cell responses as alum-induced antibody response were more compromised in CD1d-deficient mice as compared to Jα18-deficient mice, although this conclusion is limited as a role for type II NKT cells was only indirectly assessed (50).

Immune-regulatory role of type II NKT cells

The enrichment of self-lipid ligands for type II NKT cells such as sulfatides or LPC in different tissues and during inflammation as well as the ability of type II NKT cells to influence other immune cells may have important consequences for immunity. Additionally, type II NKT cells have also been shown to be enriched in the target tissues—thus sulfatide/ CD1d-tetramer+ cells are enriched in the CNS, pancreas and kidney during disease (9, 51). Broadly murine type II NKT cells inhibit the pro-inflammatory functions of type I NKT cells, conventional T cells and dendritic cells (8, 43, 52, 53). However, in gut immunity these cells may have a proinflammatory role in both in mice and in humans (10, 54).

Immune regulatory mechanism involving cross-regulation of type I NKT cells by type II NKT cells

As mentioned above while studying sulfatide or LPC-mediated activation of type II NKT cells in mice, we found that there is a rapid IL-12 and MIP2-dependent accumulation of type I NKT cells into liver but these cells were anergized and accordingly treated mice were protected from Concanavalin A-induced liver injury, ischemic injury, alcoholic liver disease and CCL4-induced fibrosis (19, 48) (55) (46). Anergy induction in type I NKT cells induced following lipid-mediated activation of type II NKT cells is different than that following chronic administration of type I ligand, αGalCer (56). Thus αGalCer- but not sulfatide- or microbial-mediated anergy in type I NKT cells require programmed death-1 (PD-1)/ PD ligand (PDL)-1 signaling (57, 58). Another apparent difference is that type I NKT cells are activated before anergy induction with αGalCer but not with sulfatide which is not a ligand for them. Therefore type I and type II cross-regulatory interactions are important and may be crucial for their functional manipulation in disease. It is noteworthy that type II NKTmediated inactivation of type I NKT cells eventually results in a significant decrease in the accumulation of pro-inflammatory myeloid cells and neutrophils, and consequently inhibited inflammation and liver injury. Sulfatide-mediated immune regulatory mechanism was also demonstrated in murine models of ischemic-reperfusion injury in kidney and in asthma (11, 59).

In addition to antigen-mediated activation of a specific subset of type II NKT cell population, several studies have used bulk population from $Ja18-/-$ mice to indirectly examine their role in different experimental conditions. For example, in a murine model of graft versus host disease (GVHD) both IFN-γ and IL-4-producing type II NKT cells provide protection using different mechanisms (60). Thus, IFN γ producing type II NKT cells induced apoptosis of donor cells, while IL-4-secreting type II NKT cells skewed the response towards a Th2-phenotype. Interestingly, in another study it was found that IL-25

treatment had a beneficial effect in high fat diet-induced obesity and caused infiltration of innate cells into adipose tissue including type II NKT cells. Furthermore adoptive transfer of type II NKT cells (defined as NK1.1+ T cells from sulfatide injected mice) in obese mice improved weight loss and stabilized glucose homeostasis in recipients (61). Recent studies have shown that the defect in TCR repertoire in $Ja18 -/-$ mice is broader than just iNKT cells(62), which may impact interpretation of studies relying simply on comparing the phenotype in CD1d −/− mice (that lack both type I and II NKT cells) and Jα18−/− mice and may benefit from utilization of newly developed strains to study iNKT cells(63).

Role in autoimmune and inflammatory diseases

The first demonstration of an immune regulatory role for a subset of sulfatide-reactive type II NKT cells was described in experimental autoimmune encephalomyelitis (EAE). Thus sulfatide/CD1d- but not aGalCer/CD1d-tetramer+ cells are enriched in the CNS during disease and their activation protected mice from EAE in a CD1d-dependent fashion (9). As mentioned above, type II NKT-mediated regulatory mechanism involves the tolerization of conventional DCs, microglia in the CNS, and the inhibition of the effector function of the encephalitogenic myelin protein reactive CD4 T cells (9, 49). Interestingly, ICOS (inducible co-stimulator) and PD-1 or IL-10 secretion by DC is involved in the type II NKT-mediated regulation of diabetes in NOD mice (64, 65). While still not clear, consistent with our preliminary data in case of murine EAE, IL-4 secretion by type II NKT cell has been shown to be protective (66). Since commensal microbiota has a major impact on NKT cells, it is likely that this may influence the activity of type II NKT cell-mediated protection of spontaneous diabetes (67). Recent studies in human Gaucher disease and its mouse model suggest a pathogenic role for type II NKT cells reactive to a novel ligand lysoglucosylceramide (LGL-1) (16). Similarly, a colitogenic role for an autoreactive type II NKT cell population of yet unknown specificity has been suggested (54). Consistently, in patients with ulcerative colitis (UC), lysosulfatide/CD1d-tetramer+ and IL-13-secreting cells from the lamina propria were thought to have a proinflammatory or colitogenic role (10).

Role in microbial immunity

Type II NKT cells have also been implicated in regulating immunity to diverse viral, bacterial and parasitic infections. In a murine model of hepatitis B infection, type II NKT cells accumulated in the liver and mediated tissue injury in a CD1d and NKG2D-dependent manner(68). Sulfatide-reactive NKT cells were shown to inhibit HIV-1 replication and enhance hematopoiesis in a SCID-Hu HIV model(69). Zeissig et al. demonstrated a protective role for type II NKT cells in a mouse model of hepatitis B utilizing HBVexpressing adenoviral particles(44). Type II NKT cells were also shown to mediate protective immunity in a mouse model of diabetogenic encephalomyocarditis virus(70). Thus type II NKT cells can both mediate protective immunity as well as contribute to immune-mediated tissue damage. In humans, type II NKT cells expressing interferon-γ were identified in liver tissue infected with hepatitis C, although the impact of these cells in regulating viral immunity is not known(71).

Although bacterial lipids can serve as antigens for type II NKT cells, the role of these cells in host defense against bacterial pathogens remains to be clarified. NKT cells do not seem to

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be essential for host defense against *Staphylococcus aureus*, although activation of sulfatidereactive type II NKT cells led to reduction in pathogen-induced cytokine storm and improved survival(72). Phosphatidylglycerol (PG) and diphosphatidylglycerol(DPG) derived from mycobacterium tuberculosis (MTb) were identified as ligands for a subset of type II NKT cells(26). Interestingly, bacterial lipids may be more potent antigens than mammalian counterparts. As an example, Listeria-derived phosphatidylglycerol led to greater activation of type II NKT cells compared to mammalian counterpart (27) . Structurally, these lipids contain distinct short fully saturated fatty acid tails, which may enhance binding to CD1d. The nature of bacterial lipids that are recognized by human type II NKT cells needs further study and may provide insights into host response to bacteria.

The balance of type I and II NKT cells may also be important for regulating host defense against parasitic infections. For example, type II NKT cells were shown to promote inflammation and mortality in the setting of Trypanosoma cruzi infections(73). Similarly during murine Schistosoma mansoni infections, type II NKT cells promoted Th2 response, which is a prominent feature of disease-associated pathology(74, 75).

Collectively, these studies show that type II NKT cells can impact the biology of diverse viral, bacterial, or parasitic infections, and can either promote protective innate and adaptive immune responses or contribute to pathogen-mediated tissue injury. Most of these studies have only been performed in the setting of mouse models and therefore there is a need to better characterize human T cells against bacterial lipids and their alteration during bacterial infections and bacteria-mediated pathology.

Role in tumor immunity

In contrast to protective effect of type I NKT cells in most models of tumor immunity and immune-surveillance, several studies suggest a suppressive effect of type II NKT cells on tumor immunity. Initial studies by Terabe and colleagues provided indirect evidence that type II NKT cells were sufficient to suppress tumor immunity in several murine tumor models(76, 77). A suppressive role for sulfatide-reactive type II NKT cells was shown as administration of sulfatide led to increased tumor growth in a CD1d-dependent manner and thereby suggesting mutual antagonism between type I and II NKT cells in regulating tumor immunity (78). Type II NKT-mediated suppression of tumor immunity involved IL13 mediated signaling through the IL4R and STAT6 pathway, which together with TNF-α, led to increase in the production of TGF-β by CD11b+Gr1+ population of myeloid suppressor cells(79). MDSCs were also implicated in type II NKT-mediated suppression of immune surveillance in a lymphoma model(80). Thus type II NKT cells may suppress tumor immunity through several mechanisms that involve cross-talk with other immune-regulatory cells. The effects of type II NKT cells on tumor immunity may however be context dependent, as a recent study implicated type II NKT cells in contributing to tumor immunity in response to CpG in a B16 melanoma model(37).

Studies in human myeloma and Gaucher disease (GD) patients also suggest a regulatory role for type II NKT cells. Chang et al observed an increase in LPC-reactive type II NKT cells in patients with advanced myeloma(81). Marked increase in LPC levels have been previously described in myeloma sera(82). As myeloma patients also have a decline in iNKT cell

function(83), altered balance of type I versus II NKT cells may be common occurrence in human myeloma and a potential target for therapeutic manipulation(84, 85). GD is an inherited metabolic disorder characterized by marked alteration in βglucosylceramide and glucosylsphingosine (LGL1). Interestingly the risk of myeloma is markedly increased in GD patients and disease activity correlates with increased frequency of LGL1-reactive type II NKT cells (16, 86). Also both murine and human LGL1-specific type II NKT cells express markers of T follicular helper (TFH) cells. LGL1-specific human T cells also promote plasma cell differentiation in human T-B co-cultures(16). Clonal Ig in most patients with GD-associated monoclonal gammopathy and a subset of sporadic MM was found to be lipid-reactive, and the underlying plasma cell clone in GD models responded to reduction of underlying antigen(87). Progression to clinical myeloma is also associated with downregulation of CD1d(88). Together, these studies support a model wherein type II NKT cells likely activated due to abnormal accumulation of lipid antigens (as in GD) or other mechanisms provide help for chronic lipid-mediated B cell activation, and set the stage for the development of increased risk of plasma cell tumors observed in the setting of GD. It is notable that many of the lipid ligands (such as LPC) recognized by type II NKT cells are commonly increased in the setting of inflammation and cancer. Therefore systematic analysis of changes in type II NKT cells in the blood and tissues in different clinical conditions is needed.

Conclusions and Major unanswered questions

In this review, we have summarized current evidence supporting the emerging role for type II NKT cells in immune regulation of diverse states including immunity to pathogens, tumors and in metabolic disorders and autoimmune states. These cells not only biologically differ in several aspects from the better-studied subset of iNKT cells, they also constitute the majority of CD1d-restricted T cells in humans(3, 71, 81). Therefore there is an unmet need to better understand the biology of these cells and alterations of these cells in the setting of disease, particularly in human tissues (Table 2). Strategies to manipulate the levels of lipid ligands recognized by these cells are now entering the clinic(87, 89). Identification of antigenic ligands recognized by these cells will also enable new approaches to manipulate the level of these cells in vivo, with broad implications for regulation of immune responses.

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Figure 1.

Antigenic targets for type II NKT cells. Sulfatide was the first and remains the best characterized ligand for type II NKT cells. More recently several other antigens including lysolipids such as lysophosphatidylcholine and Lyso-GL-1 have been shown to be recognized by type II NKT cells.

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Figure 2.

Differences in the mechanisms of TCR docking in CD1d-restricted lipid-reactive type I and II NKT cells and MHC I-restricted peptide-specific specific conventional T cells. Figure shows a top down view of the MHC-peptide or CD1d-lipid complex. Circles represent the orientation of the CDR3α and CDR3β region. In contrast to type I NKT cells, type II NKT sulfatide-reactive TCR uses the CDR3β to contact the antigen and docks in an anti-parallel fashion similar to the situation for conventional T cells.

Figure 3.

Cross-talk between type II NKT cells and other immune cells including DCs, conventional T cells, B cells and type I NKT cells. An important role of type II NKT cells in the immune system may be their ability to interact with several other cell types to modulate their function. Most of these interactions have to date only been studied in the context of murine type II NKT cells and sulfatide-reactive T cells in particular.

Type I versus II NKT cells

Table 2

Some examples of major unanswered questions relating to type II NKT cells and their role in health and disease

• Is there tissue specificity or bias in the cytokine secretion profiles or transcription factors involved in type II NKT cells similar to that associated with Th1/Th2/Th17?

• What are the factors critical for the physiological activation of type II NKT cells in inflammatory conditions in both mice and in humans?

• What are the conditions that favor type I versus type II NKT cell activation during disease and whether they can be selectively targeted to control autoimmunity or to enhance anti-tumor immunity?

• Do alterations in Type II NKT cells correlate with disease pathology? Do changes in the level of underlying lipid antigen lead to alteration in these cells in disease?

• Are microbial lipids recognized by human type II NKT cells? What are the functional consequences of recognition of self versus microbial lipids by human CD1d-restricted T cells? Is there TCR degeneracy or TCR promiscuity in recognition of self vs. foreign lipids?

• Do all Type II NKT cells express NK markers?

• How self-lipids are transported in vivo to antigen-presenting cells and get presented?