

***OTX1* and *OTX2* as possible molecular markers of sinonasal carcinomas and olfactory neuroblastomas**

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Abstract

OTX Homeobox genes are involved in embryonic morphogenesis and in the development of olfactory epithelium in adult. Mutations occurring in the *OTX* genes are reported to be associated to tumorigenesis in human. No reports correlate the expression of *OTX* genes and neoplasms of the nasal cavity. Thus, through immunohistochemical and Real-time PCR analysis we investigated *OTX1* and *OTX2* expression in the more frequent types of nasal and sinonasal tumours. Variable expression of both genes were found in normal sinonasal mucosa and in tumours. Interestingly, no expression of both *OTX* genes were detected in sinonasal intestinal-type adenocarcinomas; only *OTX1* was found in non-intestinal-type adenocarcinomas and *OTX2* was selectively expressed in olfactory neuroblastomas. In conclusion, *OTX1* and *OTX2* genes might have a role in the pathogenesis of different types of sinonasal neoplasms.

Introduction

OTX Homeobox (*HB*) genes are a family of regulatory genes encoding for transcription factors, which play a crucial role in embryonic morphogenesis. In particular, *OTX2* is involved in rostral head development, including olfactory, auditory and

visual systems¹ whereas *OTX1* is mainly involved in brain and sensory organ development, including the olfactory placode.² Interestingly, in the adult, *OTX1* is expressed in sense organs: it is involved in the formation of the ciliary body, in the anterior part of the retina, in the inner ear morphogenesis and it is expressed in the olfactory bulb. Due to crucial role of the *OTX*, mutations occurring in these genes are associated to human congenital, somatic or metabolic defects. In particular, the gain or loss of *OTX* genes promotes tumorigenesis as a consequence of their inappropriate effects on growth and differentiation. The deregulated expression of *OTX* genes has been described in leukemia and lymphomas³ and in many solid tumours, such as medulloblastomas,⁴ aggressive non-Hodgkin lymphomas,² breast carcinomas,⁵ colorectal cancers,⁶ and retinoblastoma.⁷ Recent advances in phenotypic and molecular markers in certain solid tumours have led to the introduction of novel new factors for the diagnosis, the biological classification and the treatment of several tumours including nasal and sinonasal neoplasms.⁸ Literature searches showed no reports of *OTX1* and/or *OTX2* expression in neoplasms of the nasal cavity, paranasal sinuses and nasopharynx. Although the nasal cavity and paranasal sinuses occupy a relatively small anatomical space, they are the site of origin of some of the most complex, histologically different group of tumours in the entire human body. These include neoplasms derived from mucosal epithelium, glands, soft tissues, bone, cartilage, neural/neuroectodermal tissue and hematolymphoid cells. Thus, we investigated *OTX1* and *OTX2* expression in the more frequent types of nasal and sinonasal tumours.

Materials and Methods

All analyses were performed on formalin-fixed paraffin-embedded archival samples collected from the Department of Pathology of the "Ospedale di Circolo" in Varese from 1985 to 2012. Normal sinonasal mucosae associated with pituitary adenomas were used as control samples. Analysed tumours were classified according to the WHO classification of Head and Neck Tumor⁹ in: inverted papilloma (IP), sinonasal intestinal-type adenocarcinoma (ITAC), non-intestinal-type adenocarcinoma (NITAC), adenoid cystic carcinoma (ACC), pleomorphic adenoma (PA), olfactory neuroblastoma (ON), poorly differentiated neuroendocrine carcinoma (PDNEC)

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Key words: Homeobox genes; sinonasal neoplasms; immunohistochemistry; Real-time PCR; tumour marker.

Contributions: CP, AMC, study planning and execution, results analysis and manuscript preparation; AM, AR, AC, AP, study execution; FL, FP, PC, interpretation of data; CC, GP, study planning, manuscript preparation.

Conflict of interest: the authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Acknowledgments: this work was supported by Centro Grandi Strumenti Università dell'Insubria, Fondazione Comunitaria del Varesotto, Fondazione del Monte di Lombardia, and Fondazione Anna Villa e Felice Rusconi. AR and AC are Ph.D. students of the School in Biological and Medical Sciences, Program in Biotechnology, University of Insubria, Varese, Italy.

Received for publication: 27 September 2016.
Accepted for publication: 13 December 2016.

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European Journal of Histochemistry 2017; 61:2730
doi:10.4081/ejh.2017.2730

and neuroendocrine tumour (NET). The whole study was carried out in accordance with the Declaration of Helsinki (1975).

Immunohistochemistry

Immunohistochemical studies were performed on 3 µm formalin-fixed, paraffin-embedded sections deparaffinised and rehydrated through alcohol series to water. Endogenous activity was blocked with 3% aqueous hydrogen peroxide for 12 min. Antigen retrieval was performed with a 10 min microwave treatment in 10 mM Citrate Buffer (pH 6) and after washing in TBS-Triton Buffer (pH 7.4). Sections were incubated overnight at 4°C with goat anti-human *OTX2* antibody (R&D System, Minneapolis, MN, USA; 1:100 dilution) which recognizes also *OTX1* due to the homology between the two proteins. The sections were then incubated with biotiny-

lated rabbit anti-goat antibody for 1 h (Vector Laboratories, Burlingame, CA, USA) followed by ABC-peroxidase complex (Vector Laboratories) according to manufacturer's protocol. The immunoreaction was developed with 3,3'-diaminobenzidine tetrahydrochloride (DAB) (Sigma Aldrich, St. Louis, MO, USA) as chromogen. Nuclei were counterstained with Harris hematoxylin and finally sections were dehydrated and embedded in Pertex (Kalteck Srl, Padua, Italy). Statistical analysis were performed by chi-square and/or Fisher's exact test.

Real-time PCR

RNA was isolated using the RecoverAll Total RNA Isol Kit FFPE (Applied Biosystems, Foster City, CA, USA) according to the protocol and cDNA was obtained by the High Capacity cDNA Kit (Applied Biosystems). Quantitative Real-time analysis (qRT-PCR) were performed by TaqMan technology using ABI Prism 7000 apparatus (Applied Biosystems). Gene expression analysis were carried on with TaqMan® Assays-on-Demand containing primers and fluorescent probe mix (Applied Biosystems). PCR reaction mix contained 12.5 μ L of TaqMan Universal PCR Master Mix, no AmpErase UNG (Applied Biosystems), 1.25 μ L Assays-on-Demand, 50 ng of cDNA and nuclease-free water up to 25 μ L of total volume. Thermocycler program consisted of an initial hot start cycle at 50°C for 2 min and 90°C for 10 min, followed by 40 cycles at 95°C for 15 sec and a final cycle at 60°C for 1 min. For all tests, reactions were performed in triplicate. Human *ACTB* gene was used as endogenous control to normalize gene expression levels through comparative cycle threshold (Δ Ct) method. Statistical analysis were performed by Student's *t*-test. Results were statistically significant with ($P < 0.05$).

Results

Here, we reported only preliminary data statistically significant. In normal mucosae, immunohistochemistry showed strong and homogeneous nuclear immunoreactivity for OTX both in the ciliated pseudostratified respiratory-type epithelium and in the underlying submucosal glandular cells (Figure 1A); at the same time, Real-time PCR analysis demonstrated the expression of both *OTX* genes (Figure 2 A,B). Different immunoreactivity and molecular expression of OTX were found in all cases of the different types of analysed tumours (*data not showed*). Of particular interest were results

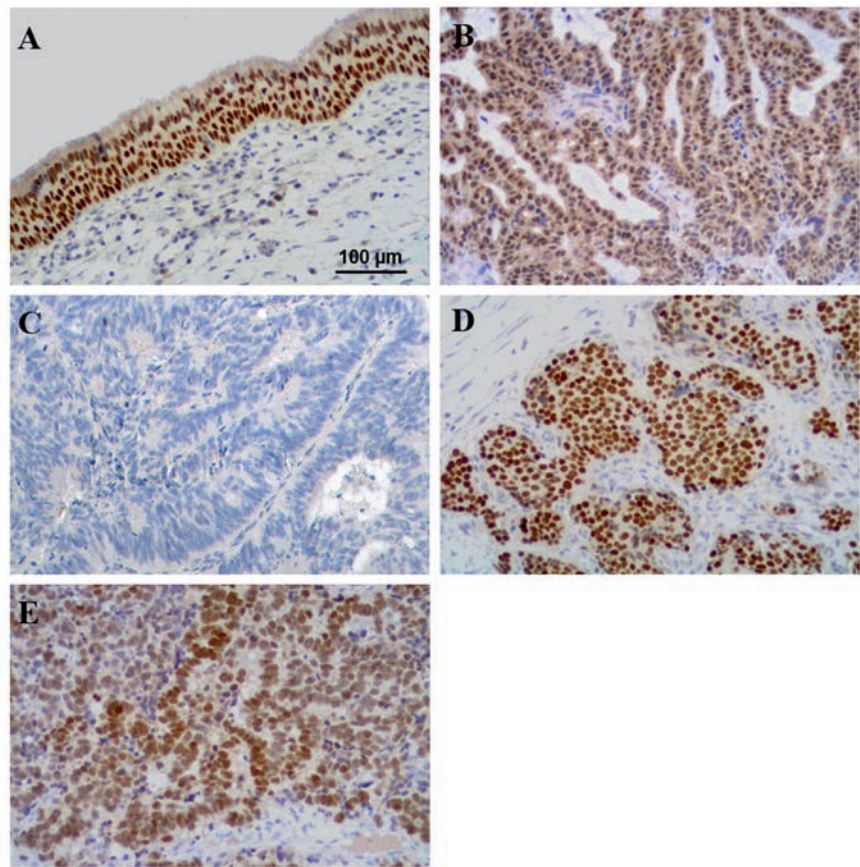


Figure 1. Representative images of *OTX* immunohistochemical expression in normal (5 analysed cases) (A) and neoplastic nasal tissues. B) Non-intestinal-type adenocarcinomas (7 analysed cases). C) Intestinal-type adenocarcinomas (23 analysed cases). D) Olfactory neuroblastomas (7 analysed cases). E) Poorly differentiated neuroendocrine carcinomas (11 analysed cases). DAB-Hematoxylin; original magnification: 200x.

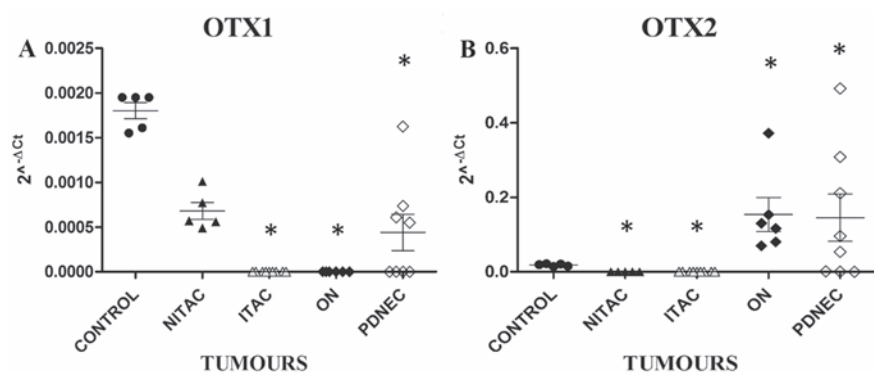


Figure 2. Molecular expression of *OTX1* (A) and *OTX2* (B) genes in normal and neoplastic nasal tissues. Normal mucosae (control) (5 analysed cases), non-intestinal-type adenocarcinomas (NITAC) (5 analysed cases), intestinal-type adenocarcinomas (ITAC) (9 analysed cases), olfactory neuroblastomas (ON) (6 analysed cases), poorly differentiated neuroendocrine carcinomas (PDNEC) (8 analysed cases). The X axis indicates the type of tumour, while the Y axis represents the $2^{-\Delta C_t}$ values. The asterisk indicates data statistically significant ($P < 0.05$) between the control and tumours obtained by Student's *t*-test.

obtained comparing ITAC and NITAC and ON and PDNEC tumours. Homogeneously distributed nuclear OTX expression was present in all NITACs (Figure 1B), whereas no immunoreactivity or immunoreactivity restricted to very few scattered cells was observed in ITAC cases (Figure 1C). In fact, *OTX1* gene was expressed in all NITACs but it was completely absent in ITACs (Figure 2A). No *OTX2* expression was observed both in all ITACs and in all NITACs (Figure 2B). Contrarily, intense OTX immunoreactivity was present in all ONs (Figure 1D); in all these tumours *OTX1* gene was completely absent (Figure 2A) whereas *OTX2* was over-expressed (Figure 2B). Among PDNECs OTX expression varied in intensity and percentage of positive cells (Figure 1E). Also the expression of both the *OTX* genes varied in PDNECs. Immunohistochemical statistical analysis demonstrated that OTX immunoreactivity was significantly absent in ITAC compared to all the tumour types ($P < 0.001$). As regards the OTX genes expression, Student's *t*-test confirmed data statistically significant (*) ($P < 0.05$) for *OTX1* in controls vs ITACs, controls vs ONs, controls vs PDNECs and for *OTX2* in controls vs NITACs, controls vs ITACs, controls vs ONs, controls vs PDNECs.

Discussion

For the first time, in this study, we demonstrated the presence of OTX1 and OTX2 proteins in normal sinonasal mucosa and in different epithelial and neuroectodermal nasal neoplasms of adult subjects. Although both *OTX1* and *OTX2* have been reported as expressed in the epithelia of the developing nasal cavities of mouse embryos,¹ very few studies report localization of OTX proteins in human nasal mucosa. Here, variable expression of both genes was found in normal sinonasal mucosa, no expression of *OTX* were detected in ITACs, only *OTX1* was found in NITACs, and *OTX2* was selectively expressed in ONs and PDNECs. Concerning to nasal neoplasms, the absence of expression of both *OTX1* and *OTX2* genes in ITACs can be related to the loss of the respiratory type epithelial phenotype, with acquisition of histological and immunophenotypical similarity to colorectal carcinomas. On the other side, the over-expression of *OTX2* in ONs is in line with the role played by OTX2 protein for the

very existence of the olfactory placods, from which ON seem to be derived.^{10,11} *OTX2* overexpression in ONs has not been previously reported. These results are in contrast with the accepted notion that *OTX2* is mainly silenced in all adult tissues, including the central nervous system, with the exception of the retina (Cancer Genomic Anatomy Project data at <http://cgap.nci.nih.gov/SAGE/AnatomicViewer>).¹² The biological function of *OTX2* is still unknown, but is particularly interesting because knockdown of *OTX2* expression in medulloblastoma cell lines reduced cell proliferation and tumour progression.^{13,14} Moreover, overexpression of *OTX2* in PDNECs, in some cases associated with *OTX1* expression, indicates that *OTX* genes, and particularly *OTX2*, may be involved both in neuroendocrine (PDNECs) and neuroectodermal (ONs) differentiation of nasal cancers. In conclusion, the present study described the molecular and immunohistochemical expression of OTX1 and OTX2 in a wide-ranging series of epithelial and neuroectodermal sinonasal neoplasms, including all different histological subtypes. This provides an initial comprehensive survey comparing *OTX1* and *OTX2* expression in human primary sinonasal neoplasms and normal sinonasal mucosa. Taken together the findings of a significant modulation in the expression of *OTX1* and/or *OTX2* in neoplastic tissue, compared with normal tissue, suggest that the activation/inactivation of OTX factors is a significant event in the pathogenesis of different types of sinonasal neoplasms and may suggests that they could represent a potentially attractive diagnostic, prognostic and/or therapeutic targets.

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