

Global long-range transport and lung cancer risk from polycyclic aromatic hydrocarbons shielded by coatings of organic aerosol

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Polycyclic aromatic hydrocarbons (PAHs) have toxic impacts on humans and ecosystems. One of the most carcinogenic PAHs, benzo(a)pyrene (BaP), is efficiently bound to and transported with atmospheric particles. Laboratory measurements show that particle-bound BaP degrades in a few hours by heterogeneous reaction with ozone, yet field observations indicate BaP persists much longer in the atmosphere, and some previous chemical transport modeling studies have ignored heterogeneous oxidation of BaP to bring model predictions into better agreement with field observations. We attribute this unexplained discrepancy to the shielding of BaP from oxidation by coatings of viscous organic aerosol (OA). Accounting for this OA viscosity-dependent shielding, which varies with temperature and humidity, in a global climate/chemistry model brings model predictions into much better agreement with BaP measurements, and demonstrates stronger long-range transport, greater deposition fluxes, and substantially elevated lung cancer risk from PAHs. Model results indicate that the OA coating is more effective in shielding BaP in the middle/high latitudes compared with the tropics because of differences in OA properties (semisolid when cool/dry vs. liquid-like when warm/humid). Faster chemical degradation of BaP in the tropics leads to higher concentrations of BaP oxidation products over the tropics compared with higher latitudes. This study has profound implications demonstrating that OA strongly modulates the atmospheric persistence of PAHs and their cancer risks.

polycyclic aromatic hydrocarbons | organic aerosols | climate model | viscous aerosol shield | heterogeneous chemistry

Polycyclic aromatic hydrocarbons (PAHs) are unavoidable by-products of any kind of combustion process involving organic matter. Exposure to PAHs is associated with cancer and other health risks (1–3). Among the many PAHs, benzo(a)pyrene (BaP) is one of the most highly carcinogenic agents. BaP is used as an indicator of cancer risk from exposure to PAH mixtures (4, 5), and is also a criteria pollutant in many countries.

PAHs are known for their persistence in the atmosphere and their long-range transport far from sources (6–8). Despite decades of research, the mechanisms responsible for the observed atmospheric persistence and long-range transport of PAHs are not well understood, mainly due to incomplete knowledge of gas–particle partitioning and chemical loss rates of PAHs (9). Although gas-phase BaP is rapidly degraded by oxidants such as OH radicals (10–12), most of the gaseous BaP rapidly partitions to atmospheric particulate matter due to its low volatility (12). Therefore, heterogeneous chemical degradation of particle-bound BaP is an important loss mechanism (13–19). Laboratory measurements show that BaP adsorbed on the surface of elemental carbon, solid organic carbon, or ammonium sulfate particles reacts quickly with ozone, and its oxidation lifetime varies from several minutes to a few hours (15, 18, 19). However, field measurements demonstrate that BaP persists longer in the atmosphere and is therefore transported far

from its sources (20). Consistently, chemical transport models have suggested that BaP needs to undergo much slower heterogeneous loss to match observations (11, 21, 22). In this study, we demonstrate a key missing link based on recent measurements that mechanistically reconciles model predictions with laboratory and field measurements.

Combining laboratory, field, and modeling results, we develop approaches to represent how temperature- and relative humidity (RH)-dependent variations in effective viscosity of organic aerosol (OA) affect the heterogeneous chemistry of BaP in the atmosphere. We propose three major amendments to the currently inadequate conceptual framework for describing BaP evolution, by (i) including laboratory-observed heterogeneous oxidation of particle-bound BaP coated with OA, (ii) representing slowing, or complete shutoff of BaP oxidation in cool and/or dry conditions due to shielding by OA coatings, and (iii) including the heterogeneous oxidation products of BaP, which are assumed to remain particle-bound rather than being lost, so that they can be transported in the atmosphere and removed by deposition. Although previous measurements mostly focused on uncoated particle-surface-adsorbed BaP directly exposed to ozone, it has been recently shown that coatings of highly viscous secondary organic aerosol (SOA) material can significantly slow the oxidation of particle-bound BaP adsorbed on ammonium sulfate aerosols (19). Coatings of solid organic eicosane have been shown to stop BaP oxidation

Significance

Polycyclic aromatic hydrocarbons (PAHs) adversely impact human health and ecosystems and are known to persist in the atmosphere. Despite decades of research, the mechanisms by which these PAHs persist are not well understood. Here, we combine theory and laboratory and field measurements within a global climate model to produce new insights into mechanisms that are responsible for the observed persistence of PAHs. We show that temperature- and humidity-dependent variations in effective viscosity of organic aerosol (OA) shield PAHs from chemical degradation. This OA shielding results in higher PAH concentrations at both near-urban and remote locations, leading to a fourfold increase in global lung cancer risk. Our study represents new research frontiers in terms of connecting climate-relevant OA with health-relevant PAHs.

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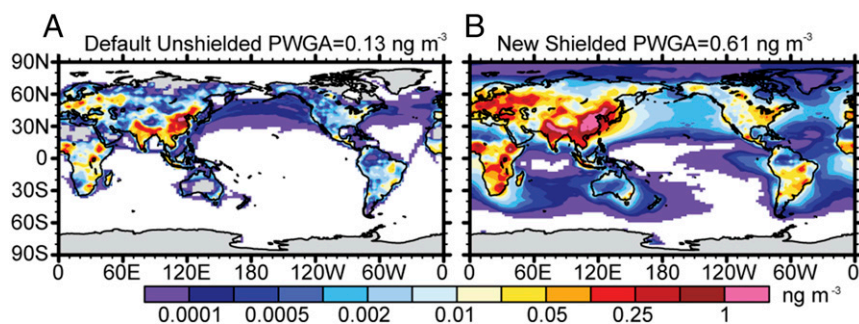


Fig. 3. Simulated (nondownscaled) global near-surface 2008–2010 average particle-bound BaP concentrations (nanograms per cubic meter) predicted by (A) the default unshielded and (B) the new shielded BaP modeling formulations. White areas are grid cells with BaP concentrations $< 10^{-5}$ $\text{ng}\cdot\text{m}^{-3}$. PWGA (at top of each plot) are population-weighted global average concentrations.

measured BaP concentrations (red circles), whereas the new shielded model shows much better agreement (blue circles are closer to the 1:1 line). Consistently, the default formulation shows a large negative modified normalized mean bias (predicted values $\sim 77\%$ lower than observed), whereas the bias in the new model is only $\sim 13\%$ lower than observed. However, the coarse-grid global model significantly underestimates concentrations in near-urban regions (*SI Appendix, Fig. S2*). A better estimate of model-predicted concentrations near urban sites is achieved by downscaling calculated concentrations to $0.1^\circ \times 0.1^\circ$ based on emission density, wind speed, frequency, and direction, and gas- and particle-phase BaP oxidation rates (22) (*SI Appendix, Global Model Downscaling Formulation*). Fig. 2B compares measured and model-predicted concentrations at 294 nonbackground sites (mostly located in cities) around the world after downscaling the near-surface simulated concentrations. Even at these nonbackground sites, the default formulation shows a large negative bias, with simulated values $\sim 76\%$ lower than observed on average, whereas the new model shows better agreement with measurements (simulated values $\sim 33\%$ lower than observed). Importantly, most of the larger blue circles are closer to the 1:1 line in Fig. 2B, indicating that the new model agrees much better with longer-term (i.e., more reliable) observations.

Fig. 2C indicates that, compared with the monthly observed data at 18 sites in Asia (green boxes), the new model (blue line) captures both the magnitude and seasonal variations of BaP concentrations. Both simulated and observed BaP concentrations peak during the winter (December through February), with minima during summer (June through August). Predicted seasonality in BaP concentrations is due to seasonality in both BaP emissions and BaP oxidation rates. Residential biofuel and fossil fuel emissions peak during wintertime in this region, and contribute 78% to BaP emissions during winter and 56% during summer (30). Also, in the new model, lower wintertime temperatures favor complete shielding of BaP due to higher viscosity of OA coating at cooler temperatures (blue shaded area in *SI Appendix, Fig. S3B*), whereas BaP is not shielded by OA that is more liquid-like during the summer (orange shaded area in *SI Appendix, Fig. S3B*). The default formulation (red line) shows a similar seasonal cycle, but it greatly underpredicts BaP concentrations throughout the year.

Fig. 2D compares the measured and simulated (gas-plus-particle-phase) BaP concentrations at Mount Bachelor Observatory, located in the Cascade Range of the northwestern United States about 200 km from the Pacific coast (44°N , 121.7°W , 2,763 m above sea level). This site is impacted by episodic trans-Pacific atmospheric transport during the winter and spring, and is chosen for evaluation of long-range and regional transport of BaP. Fig. 2D shows that the new model agrees much better with the measured (34) BaP concentrations at this site during the springtime (March through May), compared with the default. The median simulated new model BaP concentration of 5.7×10^{-3} $\text{ng}\cdot\text{m}^{-3}$ is same as the observed median of 5.7×10^{-3} $\text{ng}\cdot\text{m}^{-3}$, whereas the default formulation predicts a factor of 4.3 lower median BaP concentration compared with the observed value.

The substantially better agreement of our new modeling formulation compared with the default is mainly due to complete shielding by OA at cool and/or dry conditions (*SI Appendix, Temperature- and RH-Dependent Shielding of BaP by OA*). To further investigate the sensitivity of simulated BaP to the shielding assumptions of the new formulation, we conducted an additional limited-shielding simulation where we applied the room-temperature laboratory-measured oxidation kinetics of SOA-coated BaP over a wider temperature range, i.e., down to 276°K where measurements are lacking (*SI Appendix, Limited-Shielding Treatment and Fig. S3C*), compared with 296°K and above in the new modeling formulation. This limited-shielding treatment also showed a large negative model measurement bias, similar to the default formulation (*SI Appendix, Fig. S4B*). This result suggests that more complex and aged atmospheric SOA, which is highly viscous at cool/dry conditions (24, 26, 29), is expected to be highly effective in shielding BaP from oxidation, as is assumed in our new modeling formulation.

Global distributions of 2008–2010 annual average near-surface BaP concentrations from the default (unshielded) and new (shielded) modeling formulations are shown in Fig. 3A and B, respectively. Simulated BaP concentrations vary widely, with concentrations exceeding 0.1 $\text{ng}\cdot\text{m}^{-3}$ (red and pink areas in Fig. 3) over major source regions in Asia, Europe, Russia, and Africa. The World Health Organization (WHO) suggests a human health-based guideline of 0.1 $\text{ng}\cdot\text{m}^{-3}$, and indicates that a lifetime exposure to 0.1 $\text{ng}\cdot\text{m}^{-3}$ of BaP (as an indicator of the total PAH concentration) would theoretically lead to one extra cancer case in 100,000 exposed individuals (4). Fig. 3 indicates that, unlike the default (unshielded) formulation, the new model frequently produces concentrations that exceed the WHO guideline for BaP, especially over parts of East and South Asia, Africa, and Europe. Also, at several locations, the new (shielded) model predicts an order-of-magnitude-higher BaP concentration compared with the default (unshielded) formulation. Downscaling global BaP concentrations increases global population-weighted average BaP concentrations by a factor of ~ 2 (*SI Appendix, Fig. S5*), with larger increases regionally.

Lung Cancer Risk Assessment. BaP can be used as an indicator of risk due to exposure to all PAH mixtures (not just BaP), using a method based on epidemiological data (4). We calculate an unbiased best estimate of ILCR as described by Shen et al. (22) (*SI Appendix, Incremental Lifetime Cancer Risk*), due to exposure to PAHs using globally downscaled BaP concentrations (*SI Appendix, Fig. S5*). Fig. 4 shows that the new shielded formulation predicts a global population-weighted average ILCR of 2×10^{-5} , which exceeds the acceptable limit of 1×10^{-5} (i.e., 1 death per 100,000 individuals), whereas the default unshielded formulation predicts a global ILCR of 0.6×10^{-5} that is within the acceptable risk levels due to PAH exposure. Consistent with our new model predictions, another global modeling study (22) predicted a global population-weighted ILCR of 3×10^{-5} , but that study completely omitted the heterogeneous oxidation kinetics of BaP. Heterogeneous oxidation

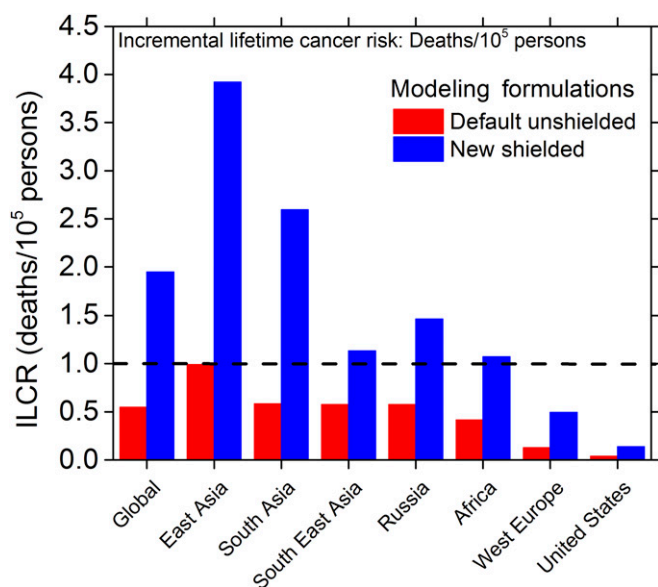


Fig. 4. Global and regional population-weighted ILCR for PAH mixtures calculated for the default unshielded (red) and new shielded (blue) modeling formulations using $0.1^\circ \times 0.1^\circ$ downscaled BaP concentrations, as described in *SI Appendix*. Bars that are higher than the dashed line represent significant lung cancer risks of humans due to exposure to PAH mixtures. BaP is used as a reference for total PAH ILCR calculations.

is an important global sink of BaP in both the default and new modeling formulations in this study, consistent with measurements, and also has important implications as discussed in *Heterogeneous Oxidation Products of BaP*. The new modeling formulation also predicts the highest ILCR in East Asia (4×10^{-5}), and elevated ILCR in other regions including South Asia, most of which is in India (2.6×10^{-5}), Russia (1.5×10^{-5}) and Africa (1.1×10^{-5}). In contrast, the default formulation suggests a factor of ~ 3 to 4 lower cancer risk in these regions, which is below the threshold of 1×10^{-5} for acceptable risk (Fig. 4). Both formulations predict low and insignificant ILCR in the United States, which only accounts for 2% of the global BaP emissions (30).

Lifetime and Long-Range Transport of BaP. The default unshielded formulation predicts a very short lifetime of BaP (~ 2 h), due to heterogeneous oxidation by ozone (calculated as ratio of global burden of BaP to its heterogeneous oxidation sink), and also greatly underpredicts global measurements of BaP (Fig. 2). In

contrast, the new shielded formulation predicts a much longer BaP oxidation lifetime of ~ 5 d, which is similar to the removal timescale for BaP associated with wet and dry removal processes. This increase in oxidation lifetime from hours to days results in much stronger atmospheric long-range transport.

To demonstrate the consequences of atmospheric long-range transport of BaP, we conduct several additional simulations in which BaP emissions from different source regions are turned on in the model, one at a time. Fig. 5 compares the long-range transport of BaP emitted from three major regions, East Asia, Western Europe, and Africa, which together comprise 63% of global BaP emissions. The new shielded model (Fig. 5, *Top*) clearly shows much farther long-range transport of BaP compared with the default unshielded formulation (Fig. 5, *Bottom*). For example, BaP emitted from East Asia travels thousands of miles over the Pacific Ocean, reaching the west coast of the United States, consistent with previous observational studies that identified trans-Pacific atmospheric transport of PAHs (34–36). Similarly, BaP emitted from Western Europe travels to the east, and BaP emitted from South Africa travels over the South Atlantic Ocean, reaching South America. In sharp contrast, the default unshielded formulation (Fig. 5, *Bottom*) predicts much weaker long-range transport, and most BaP is localized over respective emissions source regions. Similar differences in the long-range transport potential of BaP between the new shielded and default unshielded modeling formulations are also seen for other source regions, including South Asia (including India), Southeast Asia, and Russia (*SI Appendix*, Fig. S6).

Although BaP emissions are the same in the new and default models, the new model predicts a factor of ~ 9 larger deposition flux of BaP (i.e., combined wet and dry deposition to Earth's surface), compared with the default (*SI Appendix*, *Dry and Wet Deposition of BaP* and Fig. S7) because, in the default model, BaP undergoes much faster chemical degradation. In both formulations, a major fraction of BaP (77 to 90%) is deposited over land (*SI Appendix*, Fig. S7), but significantly more BaP persists, and is transported and deposited in oceans in the new shielded (22%), compared with the default (10%), modeling formulation, which could have ecological implications (37).

Heterogeneous Oxidation Products of BaP. Previous modeling studies have primarily assumed that PAHs that undergo heterogeneous oxidation in the atmosphere are completely degraded (11, 21, 38). However, recent experimental studies show that several oxidized PAHs could remain particle-bound, and often appear as higher molecular weight peaks in particle mass spectra (25, 39). Some oxidized BaP species have been shown to be toxic (40), and some are direct-acting mutagens (39); therefore, it is important to quantify their atmospheric exposure. Here, we track the oxidation

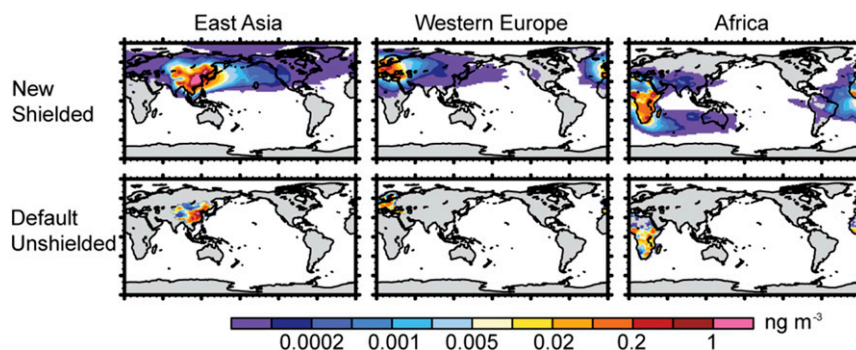


Fig. 5. Simulated near-surface 2008-annual average concentrations of BaP from three major source regions: East Asia (*Left*), Western Europe (*Center*) and Africa (*Right*) for new shielded (*Top*) and the default unshielded (*Bottom*) modeling formulations, as indicators of long-range transport potential. BaP emissions are only turned on for the respective source regions with emissions over the rest of the globe turned off. White areas are grid cells with BaP concentrations $< 10^{-5}$ $\text{ng}\cdot\text{m}^{-3}$.

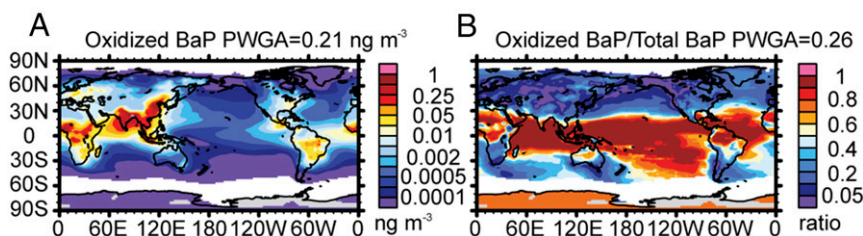


Fig. 6. New shielded model-predicted BaP oxidation products. (A) Near-surface 2008–2010 annual average concentrations of BaP oxidation products. (B) Fraction of total (fresh+oxidized) BaP that is oxidized. PWGA (above each plot) are population-weighted global averages. White areas are grid cells with oxidized/total BaP concentrations $< 10^{-5}$ $\text{ng}\cdot\text{m}^{-3}$.

products of particle-bound BaP using a separate oxidized BaP tracer species in the model. This oxidized BaP tracer is assumed to be particle-bound, nonvolatile, and nonreactive, and represents the sum of all potential oxidation products of BaP, most of which are not routinely measured. The new (shielded) formulation predicts that oxidized BaP concentrations could be as high as fresh BaP concentrations over several regions globally (Figs. 6A and 3B), and could be important for BaP exposure assessment. Fig. 6B shows that, on a global population-weighted basis, oxidized BaP constitutes $\sim 26\%$ of total (fresh+oxidized) BaP. However, over the tropics (30°S to 30°N), more than 80% of the total particle-bound BaP is converted to oxidized BaP due to heterogeneous oxidation by ozone (Fig. 6B). The tropics are characterized by high temperature and high RH conditions (*SI Appendix, Fig. S8*), which reduce the effectiveness of shielding by OA (*SI Appendix, Fig. S3B*), because, under these conditions, OA becomes less viscous (liquid-like) (27, 28). Model-predicted spatial differences in the ratio of oxidized to total BaP between the tropics and high/middle latitudes (Fig. 6B) reflect differences in the shielding effects of OA, consistent with semisolid (highly viscous) particles observed over boreal forests and liquid particles (less viscous) observed over tropical forests (41, 42). In comparison with the new formulation, the default unshielded formulation results in fast heterogeneous oxidation of particle-bound BaP, because BaP is always available to react with ozone (*SI Appendix, Fig. S3A*). Therefore, in the default formulation, most of the BaP ($\sim 85\%$) is rapidly oxidized and converted to oxidized BaP throughout the globe (*SI Appendix, Fig. S9B*), but this is inconsistent with fresh BaP measurements, as discussed earlier (Fig. 2).

Discussion

This work has several implications for understanding the atmospheric persistence, long-range transport, deposition, and health impacts of PAHs. Most previous models including heterogeneous oxidation of BaP (11, 20, 21) have noted large discrepancies between modeled and measured BaP concentrations. Our study shows that shielding of particle-bound BaP by OA coatings can reconcile these discrepancies, producing a realistic and physically consistent picture of BaP evolution. Our study suggests that this mechanistic interaction between climate-relevant OA and health-relevant PAHs should be explicitly represented in PAH chemical transport models. We show that the OA coating is likely more effective in shielding PAHs in the middle/high latitudes compared with the tropics because of differences in OA properties [semisolid when cool/dry (42–44) vs. liquid-like when warm/humid, as shown by OA measurements (27, 28)]. Thus, the effectiveness of shielding depends on the viscosity of OA that varies with temperature, RH, and the atmospheric aging of complex OA coatings. This viscosity-dependent shielding needs to be better constrained by future laboratory and field measurements.

Another important implication of the variable effectiveness of shielding by OA is reflected in the predicted atmospheric concentrations of BaP oxidation products, which, previously, have not

been explicitly modeled. The larger fraction of BaP oxidation products over the tropics is a direct consequence of reduced shielding by OA at these high temperature/high humidity locations.

Methods

Model Setup. We develop and incorporate new PAH modules into the global CAM5, and perform simulations at a grid spacing of $1.9^{\circ} \times 2.5^{\circ}$ with 30 vertical levels between the surface and 3.6 hPa. Horizontal winds and temperature are nudged toward the European Centre for Medium-Range Weather Forecasts reanalysis-Interim (ERA-Interim) reanalysis data (45), with relaxation times of 6 h and 24 h, respectively. Simulations are conducted for 2007–2010, with the first year used for initialization and model spin-up. We use the Model for Ozone and Related Chemical Tracers (MOZART-4) gas-phase chemistry mechanism and the three-mode version of the Modal Aerosol Model (MAM3), with changes to both SOA and its precursor gases (*SI Appendix, Temporal and Vertical Profiles of BaP Emissions, BC, POA and SOA Precursor Gas Emissions*), as described in detail by Shrivastava et al. (31).

BaP Emissions. We use the $0.1^{\circ} \times 0.1^{\circ}$ global PAH emissions inventory (30) for BaP, available at www.ues.pku.edu.cn/inventory/home.html. This inventory provides total annual BaP emissions within each grid cell, without any vertical/temporal distribution information. We assign BaP emissions to fossil fuel and biofuel sectors and distribute them temporally and vertically in the model using OC emissions from respective sectors, as discussed in *SI Appendix, Temporal and Vertical Profiles of BaP Emissions*.

BaP Gas–Particle Partitioning. Gas–particle partitioning of PAHs is described by various theoretical/empirical models based on single-parameter or poly-parameter linear free energy relationships (sp- or pp-LFER) (46). The sp-LFER models, which relate the partitioning constant for PAH to OA or BC to just one thermodynamic property (e.g., subcooled liquid vapor pressure of PAHs), have often been used in regional and global atmospheric chemistry models to predict gas–particle partitioning of PAHs (11, 22). In comparison, the pp-LFER models relate partitioning constant to more than one property, and thus account for all significant interactions between solute and sorbent (46). In this study, we implement the pp-LFER model to calculate partitioning of PAHs by adsorption to BC and absorption into OA, similar to Shahpoury et al. (46).

We also develop a new algorithm to treat gas–particle partitioning of PAHs simultaneously to various aerosol modes of MAM3 (47) in CAM5 (*SI Appendix, Algorithm for Gas–Particle Partitioning of PAHs to Modal Aerosols*).

BaP Gas–Phase Reactions. Gas-phase reaction of BaP with the hydroxyl radical (OH) is included. The second-order rate coefficient for reactions of BaP with OH is set as 5×10^{-11} cm^3 per molecule per second (11, 12).

BaP Particle-Phase Heterogeneous Reactions. We also include heterogeneous reactions of particle-phase BaP with ozone and OH radicals (12, 18, 19). We assume a constant second-order heterogeneous reaction rate constant with OH radicals (2.9×10^{-13} cm^3 per molecule per second) (48). Note that this reaction rate is about two orders of magnitude slower than the gas-phase reaction rate of BaP with OH radicals. However, heterogeneous oxidation kinetics of BaP with ozone can be much faster under certain conditions. Ozone reaction kinetics for BaP are included using the Langmuir–Hinselwood mechanism, indicating a surface reaction between particle-borne BaP and ozone (18, 19). In addition, as described in *SI Appendix*, shielding of BaP by OA can reduce or, under certain conditions, completely stop the BaP particle-phase heterogeneous reactions.

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