


Systematic evaluation of the impact of stimulation intensity on neuroplastic after-effects induced by transcranial direct current stimulation

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Key points

- Applications of transcranial direct current stimulation to modulate human neuroplasticity have increased in research and clinical settings.
- However, the need for longer-lasting effects, combined with marked inter-individual variability, necessitates a deeper understanding of the relationship between stimulation parameters and physiological effects.
- We systematically investigated the full DC intensity range (0.5–2.0 mA) for both anodal and cathodal tDCS in a sham-controlled repeated measures design, monitoring changes in motor-cortical excitability via transcranial magnetic stimulation up to 2 h after stimulation.
- For both tDCS polarities, the excitability after-effects did not linearly correlate with increasing DC intensity; effects of lower intensities (0.5, 1.0 mA) showed equal, if not greater effects in motor-cortical excitability.
- Further, while intra-individual responses showed good reliability, inter-individual sensitivity to TMS accounted for a modest percentage of the variance in the early after-effects of 1.0 mA anodal tDCS, which may be of practical relevance for future optimizations.

Abstract Contemporary non-invasive neuromodulatory techniques, such as transcranial direct current stimulation (tDCS), have shown promising potential in both restituting impairments in cortical physiology in clinical settings, as well as modulating cognitive abilities in the healthy population. However, neuroplastic after-effects of tDCS are highly dependent on stimulation parameters, relatively short lasting, and not expectedly uniform between individuals. The present study systematically investigates the full range of current intensity between 0.5 and 2.0 mA on left primary motor cortex (M1) plasticity, as well as the impact of individual-level covariates on explaining inter-individual variability. Thirty-eight healthy subjects were divided into groups of anodal and cathodal tDCS. Five DC intensities (sham, 0.5, 1.0, 1.5 and 2.0 mA) were investigated in separate sessions. Using transcranial magnetic stimulation (TMS), 25 motor-evoked potentials (MEPs) were recorded before, and 10 time points up to 2 h following 15 min of tDCS. Repeated-measures ANOVAs indicated a main effect of intensity for both anodal and cathodal tDCS. With anodal tDCS, all active intensities resulted in equivalent facilitatory effects relative to sham while for cathodal tDCS, only 1.0 mA resulted in sustained excitability diminution. An additional experiment conducted to assess intra-individual variability revealed generally good reliability of 1.0 mA anodal tDCS ($ICC(2,1) = 0.74$ over the first 30 min). A *post hoc* analysis to discern sources of inter-individual variability confirmed a previous finding in which individual

TMS SI_{1mV} (stimulus intensity for 1 mV MEP amplitude) sensitivity correlated negatively with 1.0 mA anodal tDCS effects on excitability. Our study thus provides further insights on the extent of non-linear intensity-dependent neuroplastic after-effects of anodal and cathodal tDCS.

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Abbreviations ADM, abductor digiti muscle; M1, primary motor cortex; MEP, motor-evoked potential; SI_{1mV} , stimulus intensity for 1 mV MEP amplitude; tDCS, transcranial direct current stimulation; TMS, transcranial magnetic stimulation.

Introduction

Development of non-invasive methods of modulating neuroplasticity is a major ambition in clinical and cognitive neuroscience. In the last decades, tools based on electric and magnetic stimulation such as paired associative stimulation (PAS), repetitive transcranial magnetic stimulation (rTMS), theta-burst stimulation (TBS), and transcranial direct current stimulation (tDCS) have shown potential to induce neuroplastic changes in the human motor cortex (Pascual-Leone *et al.* 1994; Jennum *et al.* 1995; Nitsche & Paulus, 2000; Stefan *et al.* 2000). The latter has especially surged in recent years, owing to the non-invasive and painless method of delivering weak direct currents to induce cortical plasticity via subthreshold neuronal membrane polarization (Nitsche & Paulus, 2001). Neuroplastic after-effects of tDCS, as reported in most cases when in a relaxed state, are polarity dependent: anodal stimulation results in facilitation of motor cortical excitability whereas cathodal tDCS diminishes it (Nitsche & Paulus, 2000, 2001; Nitsche *et al.* 2003*b*). Primary studies on tDCS focused on methodological and physiological aspects, uncovering the role of the *N*-methyl-D-aspartate (NMDA) receptor and calcium channel dependency in achieving effects on motor cortical plasticity (Liebetanz *et al.* 2002; Nitsche *et al.* 2003*a*, 2008; Stagg & Nitsche, 2011). Recent studies have implemented tDCS in a variety of research and clinical settings, and have shown its ability to modulate cognitive functions and improve a range of neurological and psychiatric impairments (Kuo & Nitsche, 2012; Flöel, 2014; Kuo *et al.* 2014; Shin *et al.* 2015; Woods *et al.* 2015).

A parallel objective has been optimizing tDCS for enhanced and prolonged effects. For anodal tDCS, our earliest studies indicated that stronger and longer stimulation tend to induce greater effects (Nitsche & Paulus, 2000, 2001). Clinical studies have since used stimulation durations up to 20–30 min with current intensities up to 2.0 mA and have achieved positive results (Boggio *et al.* 2009; Brunoni *et al.* 2013; Shekhawat *et al.* 2013). In the healthy population, we have shown that increasing stimulation duration or intensity have

not produced concomitant physiological effects in each case. When anodal tDCS was prolonged to 26 min, after-effects were converted into excitability diminution (Monte-Silva *et al.* 2013). However, when two 13 min blocks of anodal tDCS were spaced by 20 min, after-effects were present for up to 24 h, suggesting involvement of late-phase long-term potentiation (LTP) plasticity (Monte-Silva *et al.* 2013). When 20 min cathodal tDCS was increased from 1.0 to 2.0 mA, after-effects were reversed (Batsikadze *et al.* 2013), and in other studies, anodal tDCS at varying current intensities also resulted in a non-linear pattern of after-effects (Bastani & Jaberzadeh, 2013; Kidgell *et al.* 2013). Importantly, however, the entire range of DC intensities has not been systematically investigated, particularly in a within-subject repeated measure design, which could uncover dosage-dependent insights on mechanistic properties while also reliably accounting for individual effects. The need for these studies is further underscored by reported findings of inter-individual variability (López-Alonso *et al.* 2014; Wiethoff *et al.* 2014; Chew *et al.* 2015; Strube *et al.* 2015), possibly due in part to relevant individual covariates such as demographics, genetics, cortical anatomy, attention and/or sensitivity to stimulation (Kuo *et al.* 2006; Ridding & Ziemann, 2010; Labruna *et al.* 2015; Opitz *et al.* 2015).

In the following study, we systematically investigated the effects of anodal and cathodal tDCS at five current intensities (sham, 0.5, 1.0, 1.5 and 2.0 mA) on motor-cortical plasticity, measured via changes in TMS-induced motor evoked potentials (MEP). We hypothesized that current intensity has a non-linear modulatory effect on neuroplasticity, as has been observed with other stimulation parameters (Monte-Silva *et al.* 2010, 2013; Batsikadze *et al.* 2013). Moreover, we investigated a possible cause of the inter-individual variability in response to different tDCS intensities, correlating the efficacy of tDCS with the baseline sensitivity to TMS, which was recently found to be a significant covariate (Labruna *et al.* 2015). Our study thus aims to advance the methodological parameters and considerations of tDCS, which are important for achieving prolonged physiological effects.

Methods

Ethical approval

The study conformed to the *Declaration of Helsinki* and was approved by the Medical Ethics Committee of the University of Göttingen. Each subject provided written informed consent before beginning the study, and was compensated for participation.

Subjects

Thirty-eight healthy, non-smoking participants (17 males, 21 females, mean age 25.80 ± 4.41 years) were recruited for the study. All subjects were right-handed as assessed by the Edinburgh handedness inventory (Oldfield, 1971). Prior to taking part, participants underwent a medical screening to verify no history of neurological disease, medication, metal implants, and pregnancy. Each subject first took part in a preliminary TMS session to become acquainted with experiencing stimulation and understanding the study protocol. Subjects were instructed not to consume caffeine, alcohol, or engage in strenuous physical activities 24 h prior to each session to ensure a stable level of motor-cortical excitability. Subjects were randomly allotted to receive either anodal or cathodal stimulation only over the course of the five pseudo-randomized (uniformly distributed) experimental sessions of different intensities (sham, 0.5, 1.0, 1.5, and 2.0 mA), which were separated by at least 7 days to avoid carry-over effects. Subjects were blinded to their group (polarity) and session (intensity) and 33 out of the 38 subjects were naïve to tDCS.

DC Stimulation of the motor cortex

Following baseline measurements of cortical excitability, participants were given 15 min of direct current stimulation through a pair of saline soaked sponges placed on the scalp and delivered through a constant-current battery powered stimulator (neuroConn, Ilmenau, Germany). A 15 min stimulation is in the range of stimulation protocols producing polarity-specific long-term effects with 1 mA stimulation, without inducing late phase or converted effects, which might limit the observability of an altered impact of stimulation with larger intensity (Monte-Silva *et al.* 2010, 2013). A 35 cm² target electrode was fixed over the motor-cortical position of the right abductor digiti muscle (ADM) as identified by TMS (electrode rotated 45 deg towards the midline, with the cable leaving from the middle of the right edge). Another larger 100 cm² electrode was placed contralaterally over the right orbit in order to reduce the current density and also unwanted effects under this region (Nitsche *et al.* 2007). For all subjects, the distance on the scalp between the edges of the electrodes was

at least 6 cm. To further reduce any discomfort due to the higher intensities of stimulation, a topical anaesthetic cream (EMLA, 2.5% lidocaine + 2.5% prilocaine) was pre-applied to the scalp under the electrodes, which has been shown to effectively reduce perception of the stimulation and ensure adequate blinding (McFadden *et al.* 2011; Guleyupoglu *et al.* 2014). Based on the randomized group and session condition, anodal or cathodal tDCS at an intensity of 0.5, 1.0, 1.5 or 2.0 mA was delivered for 15 min with a 10 s ramp at the beginning and end of stimulation. For the sham condition, stimulation was delivered at 1 mA for 30 s, with a 20 s ramp. Using this procedure, subjects are not able to distinguish between real and sham tDCS (Gandiga *et al.* 2006; Ambrus *et al.* 2012). After 15 min, electrodes were removed and corticospinal excitability was monitored with TMS.

EMG monitoring of motor cortical excitability from TMS

Single pulse monophasic TMS at 0.25 Hz was delivered by a Magstim 200 magnetic stimulator (Magstim, Whiteland, UK) through a figure-8 magnetic coil held 45° to the midline, with current flowing posterior–anterior (diameter of one winding = 70 mm, peak magnetic field = 2.2 T). Electromyography was recorded from Ag–AgCl electrodes attached to the ADM of the right hand in a belly–tendon montage. Signals were sampled at 5 kHz (CED 1401, Cambridge, UK), amplified and bandpass filtered at 2 Hz–2 kHz (Digitimer, Welwyn Garden City, UK). All EMG measures were recorded with Signal software (CED) and analysed with in-house scripts written in Python v2.7 (*stimfit* library, version 0.11.5; <http://www.stimfit.org/>).

Experimental procedure

Experiment 1. Participants were seated comfortably in a reclined chair, with a pillow resting under the right arm. At the beginning of each session, baseline cortical excitability was measured by first inducing MEPs over the left M1 to identify the region which produced the largest MEP of the target muscle. The region was then marked and subsequent pulses for the duration of the session were delivered from this optimal position. The stimulator's intensity was adjusted to reach a peak-to-peak MEP amplitude of 1 mV (SI_{1mV}), which was then used for the remaining measurements. Following a baseline measurement of 25 MEPs, 15 min of anodal or cathodal stimulation was delivered as previously described. After removal of tDCS electrodes, MEP measurements were taken immediately again in epochs of every 5 min up to 30 min after the stimulation, and then every 30 min up to 2 h after stimulation (11 total epochs) (Fig. 1).

Experiment 2. An additional control experiment was conducted to assess intra-individual variability of 1.0 mA anodal tDCS, using the same procedures. Seven participants from the original cohort participated in two additional sessions, in which 1.0 mA tDCS was delivered for 15 min, and motor-cortical excitability was monitored for up to 2 h following the end of stimulation. Data acquisition and subsequent analysis was repeated in the exact same manner as Experiment 1.

Data analysis and statistics

Baseline measures. To determine if individual baseline measures differed between session, SI_{1mV} and Baseline MEP were entered as dependent variables in a repeated-measures ANOVA with session as a within-subject factor.

Experiment 1. The peak-to-peak amplitude of the 25 MEPs for each time epoch was calculated and averaged together. To obtain a time series of a subject's change in excitability over the session, the mean MEP amplitude for each measurement time epoch was normalized to the session's baseline (a quotient of the mean from the baseline mean) resulting in values representing either increased (> 1.0) or decreased (< 1.0) excitability. The normalized MEPs from each epoch were then entered as dependent variables into a two-way repeated-measures ANOVA,

with the independent variables of intensity (5 levels) and time (10 levels) as within-subject factors. Mauchly's test of sphericity was conducted, and Greenhouse-Geisser correction was applied when necessary. Statistical analysis was repeated in the same manner for cathodal stimulation. In the case of significant effects, follow-up *post hoc* Student's paired t tests (two-tailed) were conducted to examine if an active intensity resulted in a significant difference relative to sham or baseline.

Experiment 2. For Experiment 2, analysis proceeded in the same manner as Experiment 1. The baseline-normalized time series for each individual across the three repeated sessions was then grand-averaged over two time bins: the first 30 min, relating to the early plasticity changes, and over 60–120 min, relating to the later excitability changes. Finally, intra-individual variability was calculated for these time bins using the intra-class correlation coefficient, ICC(2,1), to assess the absolute agreement of individual responses (Shrout & Fleiss, 1979).

Inter-individual variability analysis

As a *post hoc* analysis, we investigated sources of inter-individual variability in our dataset, which has recently been reported as a relevant issue from similar studies (López-Alonso *et al.* 2014; Wiethoff *et al.* 2014;

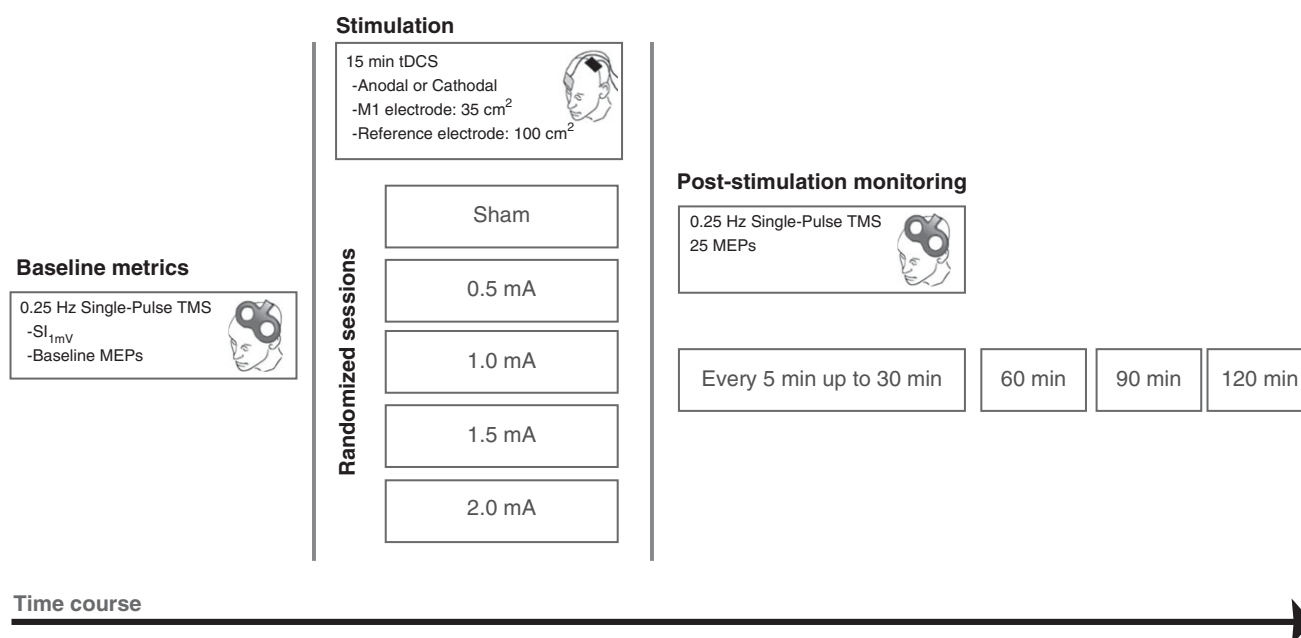


Figure 1. Course of study

Participants were randomly divided into two groups for tDCS polarity (Anodal: $n = 20$; Cathodal: $n = 18$). Each participant took part in five randomized sessions during which either sham, 0.5, 1.0, 1.5 or 2.0 mA stimulation with the respective polarity was applied. Prior to receiving stimulation, baseline MEP amplitude and SI_{1mV} was measured over the determined motor cortical 'hotspot' which produced the largest MEP from the right ADM muscle. Next, DC stimulation for 15 min was delivered, and MEP measurements were taken again from the hotspot immediately after stimulation, as well as every 5 min up to 30 min, and then every 30 min up to 2 h after stimulation.

Table 1. Baseline measurements and demographic factors

Experiment	Experimental session	Subjects				
		<i>n</i>	Sex (M/F)	Age (years)	SI _{1mV} (%)	Baseline MEP (mV)
Anodal stimulation	Sham	20	10/10	25.7 ± 4.66	46.9 ± 10.01	1.03 ± 0.22
	0.5 mA	20	10/10	25.7 ± 4.66	48.28 ± 9.67	0.90 ± 0.23
	1.0 mA	20	10/10	25.7 ± 4.66	46.76 ± 9.64	0.98 ± 0.22
	1.5 mA	20	10/10	25.7 ± 4.66	47.67 ± 10.83	0.94 ± 0.17
	2.0 mA	20	10/10	25.7 ± 4.66	48.19 ± 11.30	0.95 ± 0.27
Cathodal stimulation	Sham	18	7/11	26.2 ± 4.72	44.61 ± 8.75	0.99 ± 0.14
	0.5 mA	18	7/11	26.2 ± 4.72	44.16 ± 8.77	0.99 ± 0.13
	1.0 mA	18	7/11	26.2 ± 4.72	45.01 ± 9.01	0.91 ± 0.16
	1.5 mA	18	7/11	26.2 ± 4.72	44.89 ± 9.49	1.00 ± 0.24
	2.0 mA	18	7/11	26.2 ± 4.72	44.05 ± 8.96	0.98 ± 0.20

The number of subjects for each experimental condition is listed, along with the gender distribution, the mean age, and baseline TMS metrics (\pm SD). SI_{1mV} refers to the stimulus intensity required to produce an average motor evoked potential (MEP) of 1 mV. Baseline MEP refers to the average amplitude of the 25 baseline recordings. No factor differed significantly between session and experimental group.

Table 2. Main effects analysis from ANOVAs

Experiment	Measurement	Factor	d.f.	<i>F</i> value	<i>P</i> value
Anodal stimulation	Baseline MEP	Session	4	1.608	0.181
	SI _{1mV}	Session	4	1.508	0.208
	MEP	Intensity	4	3.25	0.016*
	MEP	Time	4.37	5.603	< 0.001*
	MEP	Intensity × time	9.74	1.384	0.193
Cathodal stimulation	Baseline MEP	Session	4	0.826	0.513
	SI _{1mV}	Session	4	0.683	0.606
	MEP	Intensity	4	3.135	0.020*
	MEP	Time	3.252	1.790	0.156
	MEP	Intensity × time	7.532	0.792	0.603

First, a one-way ANOVA was calculated for inter-session differences of the average baseline motor evoked potential (MEP) amplitude as well as the TMS stimulus intensity for 1 mV amplitude (SI_{1mV}). A two-way repeated-measures ANOVA was calculated for main effects of stimulation intensity and post-stimulation time on MEP size. *Significant results (where $P < 0.05$). Baseline MEP and SI_{1mV} did not significantly differ across session for either experimental group. There was a main effect of intensity for both anodal and cathodal stimulation, and a main effect of time for anodal stimulation.

Strube *et al.* 2015). We first investigated subject-specific baseline sensitivity to TMS (defined as percentage of maximum stimulator output (%MSO) required for the SI_{1mV} MEP amplitude), which was recently identified as a contributing covariate that may influence subject-level response to tDCS (Labruna *et al.* 2015). For direct comparison, we replicated the statistical methods by first median-splitting our subject pool into two groups by average SI_{1mV} (SI_{1mV} Low and High) and conducting between-group comparisons of the grand averaged first 30 min (MEP_{Early epoch}) and final 60–120 min (MEP_{Late epoch}). Averaged data of each subject were entered into separate ANOVAs (five intensities), with intensity as a within-subject factor, SI_{1mV} group (High and Low) as a between-subject factor, and MEP_{Early epoch} and

MEP_{Late epoch} as dependent variables. For significant effects and interactions, follow-up tests were conducted using Student's unpaired *t* test (two-tailed). In addition, we also calculated correlation coefficients for each intensity, using SI_{1mV} as one variable and the grand-average MEP as a second variable. Finally, factors of gender and age were also investigated, as these have also been previously identified in relevant brain stimulation studies (Kuo *et al.* 2006; Bashir *et al.* 2014; Wiethoff *et al.* 2014). These factors were analysed with the same steps, using separate ANOVAs to model the covariate interaction. Note that for the case of 1.0 mA anodal tDCS, only the first session data for each individual was used, in order to maintain homogeneity of the randomized sampling.

Statistical analysis was performed with SPSS (IBM Corp. Version 22.0). Inference testing for *post hoc* tests was set to a *P* value of 0.05 (not corrected for multiple comparisons). Pairwise effect sizes are presented as Cohen's *d*. Note that effect sizes were computed based on the difference from baseline, in order to represent the relative change in post-stimulation excitability.

Results

All subjects tolerated all intensities of stimulation, including the highest intensity of 2.0 mA. Some subjects reported an itching/tingling sensation during the beginning of the stimulation, which eventually faded away after a few minutes. In a few subjects, we observed reddening of the skin under the scalp electrode, which did not persist for longer than 60 min. Reliability of the blinding was not quantitatively assessed in the present study, although in a previous pilot study from our group, most participants were unable to distinguish between the current intensities investigated here (Ambrus *et al.* 2010), and local anaesthetic cream relevantly reduces tDCS-induced sensory perceptions (McFadden *et al.* 2011). No other adverse effects were reported.

Descriptive statistics of demographics as well as baseline measures of motor-cortical excitability are summarized in Table 1. An overall ANOVA indicated that baseline MEP and SI_{1mV} did not significantly differ across sessions for either group (all values of *P* > 0.05; Table 2).

Intensity-dependent effects of cortical excitability

Anodal stimulation. The overall ANOVA indicated an effect of intensity ($F = 3.25$, d.f. = 4, $P = 0.016$), and time ($F = 5.603$, d.f. = 4.37, $P < 0.001$), but no intensity \times time interaction ($F = 1.384$, d.f. = 9.74, $P = 0.193$; Table 2). *Post hoc* comparisons to sham revealed that all active intensities of anodal tDCS resulted in a significant post-stimulation increase in cortical excitability across most of the early (0–30 min) time epochs, but between active intensities there were no significant differences (Fig. 2A). Sham stimulation did not result in a change of cortical excitability. A comparison of the effect sizes between the active intensities and sham across the two time bins (0–30 min and 60–120 min) revealed a generally non-linear pattern whereby the lowest intensity of 0.5 mA and the highest intensity of 2.0 mA led to marginally greater effects during both early and late time points compared to 1.0 mA and 1.5 mA ($d = 0.74$ and 0.80 for 0.5 mA and 2.0 mA, respectively; Fig. 2B).

Cathodal stimulation. ANOVA results indicated an effect of intensity ($F = 3.315$, d.f. = 4, $P = 0.020$), but no effect of time ($F = 1.790$, d.f. = 3.252, $P = 0.156$) or intensity \times time interaction ($F = 0.792$, d.f. = 7.532, $P = 0.603$; Table 2). Interestingly, we did not observe a linear trend of cortical excitability diminution; 1.5 and 2.0 mA intensities tended to return excitability to

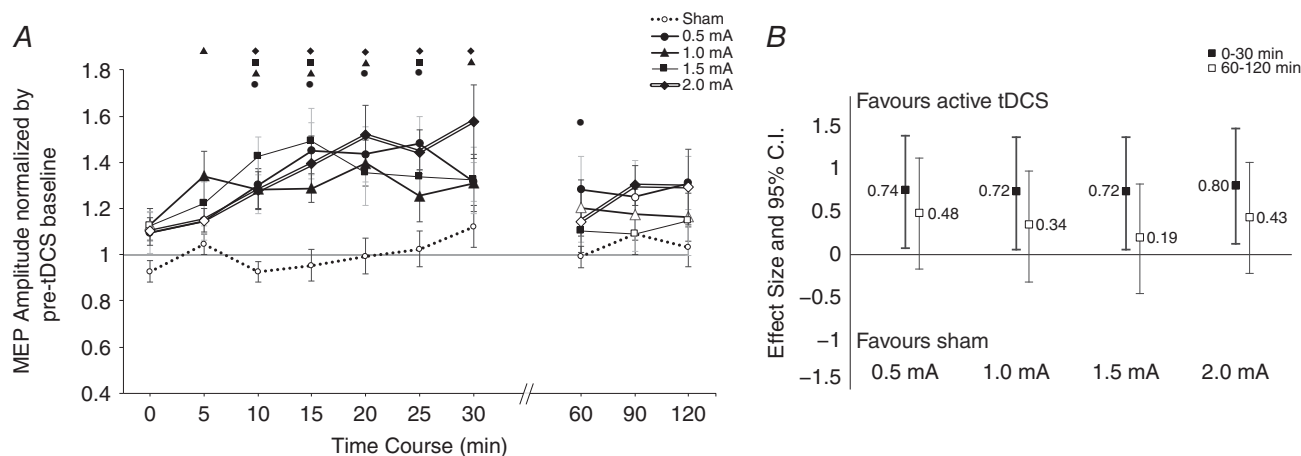


Figure 2. Intensity-dependent effects in motor-cortical excitability following anodal tDCS

A, after-effects of cortical excitability following 15 min of anodal stimulation at intensities ranging from sham to 2.0 mA on the mean MEP amplitude. Error bars represent standard error. Filled symbols indicate a significant difference in cortical excitability against the respective baseline (Student's paired *t* test, two-tailed, $P < 0.05$). Floating symbols (●, 0.5; ▲, 1.0; ■, 1.5; ◆, 2.0 mA) indicate a significant difference between the active intensity and sham stimulation (paired *t* test, two-tailed, $P < 0.05$). Anodal stimulation over all active intensities resulted in significant increases of excitability lasting up to 30 min. Sham stimulation did not induce any significant change in cortical excitability. B, effect sizes and 95% confidence intervals of active tDCS intensities *versus* sham. MEP amplitudes were averaged into two time bins of early (0–30 min) and late (60–120 min) excitability changes, followed by calculation of Cohen's effect size *d*. Error bars represent 95% confidence intervals based on the pooled variance. Differences between active intensities were generally not discernable in the first 30 min; however, 0.5 and 2.0 mA resulted in slightly larger effects, especially in the time window 60–120 min.

baseline values after just a few minutes following stimulation (Fig. 3A). Sham stimulation resulted in no effect. *Post hoc* tests indicated only a significant effect of 1.0 mA stimulation when compared to both baseline values as well as against sham. The magnitude of the effects of 1.0 mA cathodal tDCS relative to sham was greater in the later epoch (60–120 min) compared to earlier recordings (all values of $P < 0.05$; $d = 0.61$ and 0.69 for pooled early and late time bins, respectively). Overall, only the lower intensities of 0.5 mA and 1.0 mA appeared to account for any sizable variance in MEP amplitude in the whole group analysis (Fig. 3B).

Reliability and intra-individual variability

To assess whether variability among the MEPs collected within each time point changed with tDCS intervention, we repeated the previous analysis, this time operationalizing the standard error of the mean (SEM) over the 25 MEPs at each of the 11 measurements in the session. For both anodal and cathodal tDCS, a repeated-measures ANOVA indicated no significant effect of intensity (anodal: $F = 0.372$, d.f. = 2.293, $P = 0.720$; cathodal: $F = 1.364$, d.f. = 4, $P = 0.256$), time (anodal: $F = 2.009$, d.f. = 10, $P = 0.154$; cathodal: $F = 2.839$, d.f. = 10, $P = 0.076$), or intensity \times time interaction (anodal: $F = 1.166$, d.f. = 10.597, $P = 0.314$; cathodal:

$F = 0.797$, d.f. = 40, $P = 0.811$). These results indicate that within each measurement time point, variance in the collected MEPs did not significantly change as a result of tDCS or time. To assess the reliability and intra-individual variability of the post-stimulation cortical excitability modulation, we repeated 1.0 mA anodal tDCS in two additional sessions within a subgroup of 7 subjects. For the early epoch (first 30 min), the grand-averaged post-tDCS MEP response between the three sessions resulted in $\text{ICC}(2,1) = 0.738$, suggesting good agreement (Cicchetti, 1994). Reliability in the average MEP amplitude modulation during the late epoch (60–120 min) was also considered good, $\text{ICC}(2,1) = 0.642$. ICC values were also obtained for each individual time point, for means of comparison to Lopez-Alonso *et al.* (2015), and in general accordance, the greatest reproducibility in cortical excitability modulation was observed during the period 15–25 min following tDCS (Fig. 4).

Dependency of cortical excitability after-effects on baseline sensitivity to TMS

Anodal stimulation. We conducted a *post hoc* subgroup analysis to determine if a participant's baseline sensitivity to TMS (measured as $\text{SI}_{1\text{mV}}$) may explain the inter-individual variability in the post-stimulation response to anodal and cathodal tDCS. We first calculated,

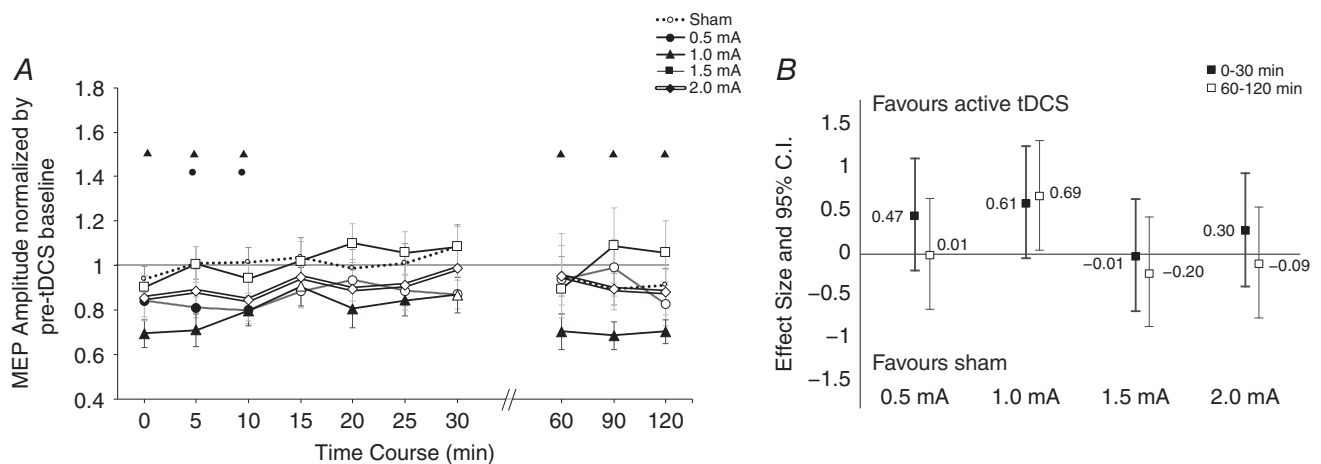


Figure 3. Intensity-dependent effects in motor-cortical excitability following cathodal tDCS

A, after-effects of cortical excitability following 15 min of cathodal stimulation at intensities ranging from sham to 2.0 mA on the mean MEP amplitude. Error bars represent standard error. Filled symbols indicate a significant difference in cortical excitability against the respective baseline (paired t test, two-tailed, $P < 0.05$). Floating symbols (\bullet , 0.5; \blacktriangle , 1.0; \blacksquare , 1.5; \blacklozenge , 2.0 mA) indicate a significant difference between the active intensity and sham stimulation (paired t test, two-tailed, $P < 0.05$). Only 0.5 mA and 1.0 mA cathodal stimulation resulted in significant differences from baseline, and only 1.0 mA was significantly different from sham during the early time bins. Higher intensities such as 1.5 and 2.0 mA (the latter is highlighted with double lines) tended to return to baseline values after about 10 min. Sham stimulation did not induce any significant change in cortical excitability. B, effect sizes and 95% confidence intervals of active tDCS intensities *versus* sham. MEP amplitudes were averaged into two time bins of early (0–30 min) and late (60–120 min) excitability changes, followed by calculation of Cohen's effect size d . Error bars represent 95% confidence intervals based on the pooled variance. Note that larger effects correspond to greater reduction of excitability from baseline (see Methods). Greatest differences are again seen with lower intensities of 0.5 and 1.0 mA whereas higher intensities did not result in marked changes.

for each subject, the average SI_{1mV} over the five sessions (note that SI_{1mV} did not statistically differ between sessions; $SD = 1.99$, $P > 0.05$) and then split the sample by the median (anodal: 48, $n = 10$ per group; cathodal: 46, $n = 9$ per group). For the early epoch (0–30 min), an ANOVA revealed main effects for the factor intensity ($F = 3.971$, d.f. = 4, $P = 0.006$) as well as the intensity \times group interaction ($F = 2.820$, d.f. = 4, $P = 0.031$; Table 4). Comparisons between groups indicated a significant between-group difference with 1.0 mA anodal tDCS ($P = 0.038$, $d = 0.91$; Fig. 5A and B). For the late epoch (60–120 min), we did not observe a significant effect for either a factor of intensity or an intensity \times SI_{1mV} group interaction (Table 3). We also investigated the entire data set in a continuous manner, and calculated a correlation coefficient between the SI_{1mV} and the average MEP of the early epoch. Similarly, we observed that with 0.5 mA, the correlation between an individual's SI_{1mV} and his/her grand-average response to

stimulation tended to be negative (Pearson's $r = -0.182$, $P = 0.442$), and at 1.0 mA, the correlation was significantly negative ($r = -0.474$, $P = 0.035$). At 1.5 mA and 2.0 mA, the correlation tended to be positive, however not statistically significant ($r = 0.405$, $P = 0.076$ and $r = 0.081$, $P = 0.773$, respectively; Fig. 7).

Cathodal stimulation. No significant effects were detected for the late epoch. For the early epoch, the ANOVA indicated a significant factor of intensity ($F = 2.765$, d.f. = 4, $P = 0.035$), but no significant intensity \times SI_{1mV} group interaction ($F = 0.732$, d.f. = 4, $P = 0.573$). When comparing individual intensities, we did not detect any clear pattern of an intensity-dependent relationship (Fig. 5C). Similarly, when the data were analysed as a continuum, Pearson's correlation coefficient was not statistically significant at any intensity of active stimulation (Table 4). A comparison of the relative effect sizes indicated that the largest between-group differences were seen with

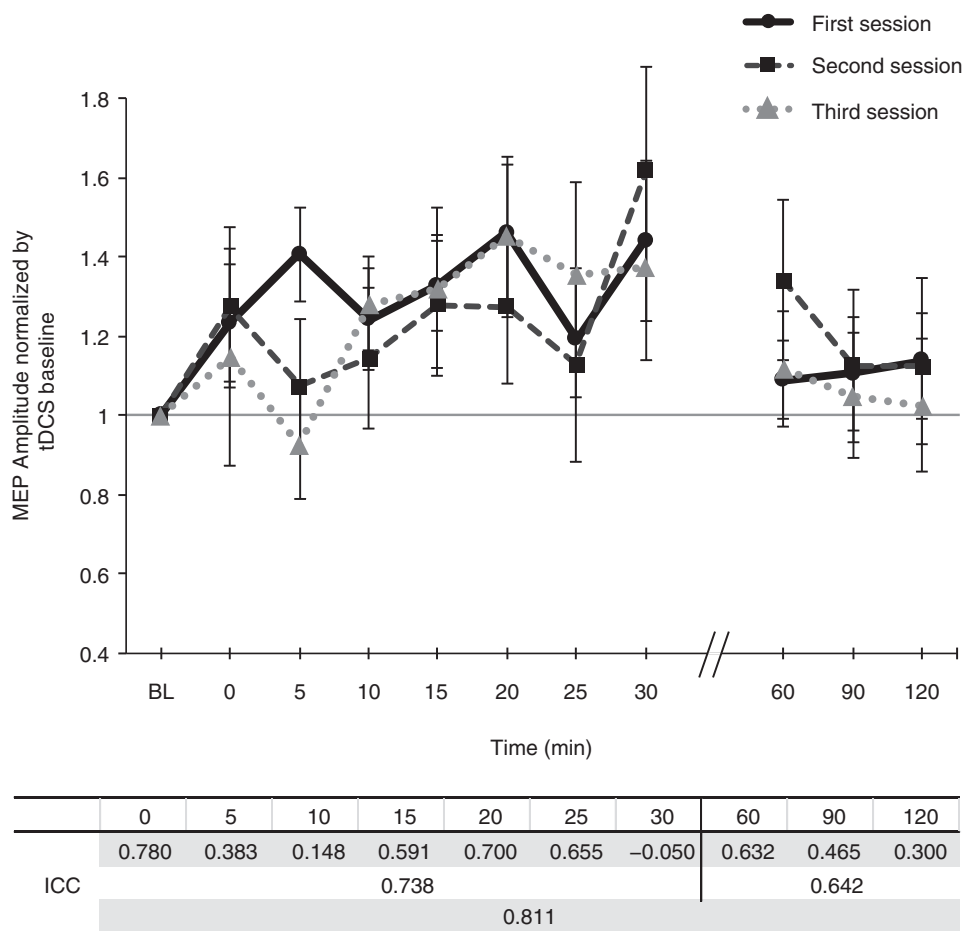


Figure 4. Intra-individual response to 1.0 mA anodal tDCS

Time courses of cortical excitability, measured as MEP amplitudes normalized to baseline, in a subgroup of 7 participants across three separate sessions are shown. Error bars represent standard error. In the table below, the intra-class correlation coefficient (ICC(2,1)) was used to assess the strength of the reliability and reproducibility of 1.0 mA anodal tDCS, at each time point (first row), as well as over first 30 min and final 60 min (second and third row, respectively).

0.5 mA (early time bins favouring the Low SI_{1mV} group; $d = 0.32$) and 2.0 mA (both early and late time bins favouring the High SI_{1mV} group; $d = -0.68$ and -0.57 , respectively; Fig. 5D).

No dependency of cortical excitability on age or gender

We also investigated if variance of the post-stimulation after-effects may be explained by differences in gender or

age by including these factors as covariates in separate ANOVAs. No interaction was observed for either age or gender (Table 3).

Discussion

In the present study, we systematically evaluated anodal and cathodal current intensities and observed generally non-linear intensity-dependent effects on motor cortical

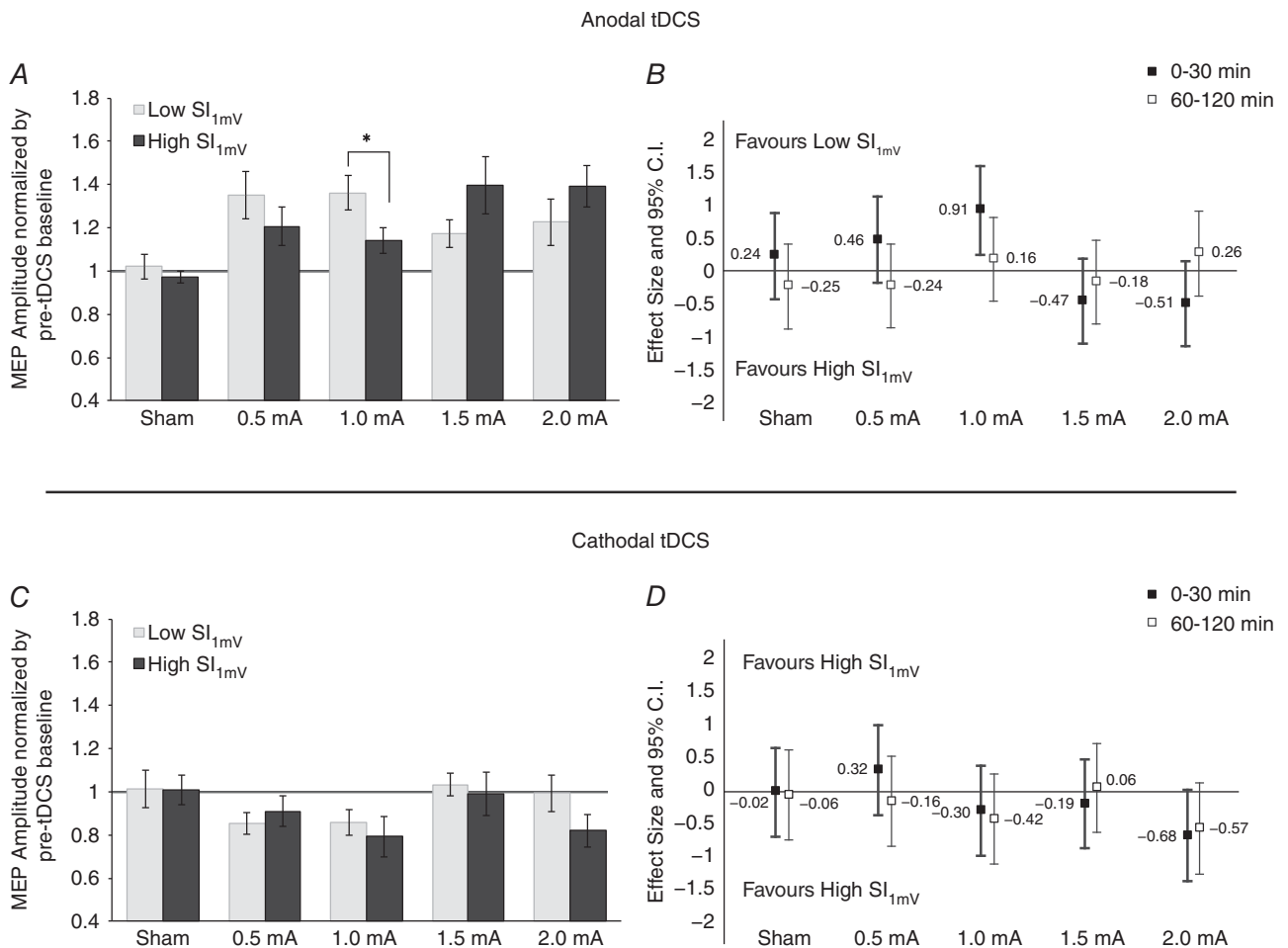


Figure 5. Differences in anodal and cathodal tDCS-induced excitability between subjects with low and high sensitivity to TMS

The sample was median-split (anodal: 48%; cathodal: 46%) with ‘Low SI_{1mV} ’ consisting of participants who required less than the median value to achieve an MEP amplitude of 1 mV and ‘High SI_{1mV} ’ consisting of the rest. Pairwise comparisons (panels A and C) between subgroups of the pooled average from the first 30 min were conducted using Student’s unpaired, two-tailed t test. Error bars represent the standard error. *Significant differences between the groups ($P < 0.05$). Effect size comparisons (panels B and D) were conducted by calculating Cohen’s d . Error bars represent 95% confidence intervals of the pooled variance. A, subjects with Low SI_{1mV} showed significantly greater increases in 1.0 mA anodal tDCS compared to subjects with High SI_{1mV} . Note that within the sub-groups, 1.0 mA was not significantly better than 1.5 mA ($P = 0.081$) for subjects with Low SI_{1mV} and 1.5 mA was not significantly better than 1.0 mA ($P = 0.073$) for the subjects with High SI_{1mV} . B, intensity effects for the first 30 min appear to follow a trend-wise pattern, whereby lower intensities favour subjects with Low SI_{1mV} while higher intensities favour subjects with High SI_{1mV} . C, a subgroup comparison of cathodal tDCS does not reveal any significant pairwise difference during the first 30 min. D, effect size comparisons (where larger effects equate to greater reduction of cortical excitability) show a marginal intensity-dependent tendency for the lowest (0.5 mA) and highest (2.0 mA) intensity only, which also follows the same pattern as anodal tDCS.

Table 3. Impact of known covariates on grand-averaged MEP amplitudes.

Experiment	Measurement	Factor	d.f.	F value	P value	
SI _{1mV}	Anodal stimulation	MEP _{Early epoch}	Intensity	4	3.971	0.006*
		MEP _{Early epoch}	Intensity × SI _{1mV}	4	2.820	0.031*
	Cathodal stimulation	MEP _{Late epoch}	Intensity	4	1.216	0.311
		MEP _{Late epoch}	Intensity × SI _{1mV}	4	1.453	0.226
		MEP _{Early epoch}	Intensity	4	2.765	0.035*
		MEP _{Early epoch}	Intensity × SI _{1mV}	4	0.732	0.573
		MEP _{Late epoch}	Intensity	2.581	2.318	0.098
		MEP _{Late epoch}	Intensity × SI _{1mV}	2.581	1.056	0.371
Age	Anodal stimulation	MEP _{Early epoch}	Intensity	4	3.750	0.008*
		MEP _{Early epoch}	Intensity × age	4	1.659	0.169
		MEP _{Late epoch}	Intensity	4	1.594	0.185
		MEP _{Late epoch}	Intensity × age	4	1.264	0.292
	Cathodal stimulation	MEP _{Early epoch}	Intensity	4	0.174	0.951
		MEP _{Early epoch}	Intensity × age	4	0.151	0.962
		MEP _{Late epoch}	Intensity	2.508	1.843	0.132
		MEP _{Late epoch}	Intensity × age	2.508	1.998	0.105
Gender	Anodal stimulation	MEP _{Early epoch}	Intensity	4	3.529	0.011*
		MEP _{Early epoch}	Intensity × gender	4	0.504	0.733
		MEP _{Late epoch}	Intensity	4	1.178	0.328
		MEP _{Late epoch}	Intensity × gender	4	0.840	0.504
	Cathodal stimulation	MEP _{Early epoch}	Intensity	4	2.432	0.056
		MEP _{Early epoch}	Intensity × gender	4	1.195	0.321
		MEP _{Late epoch}	Intensity	2.456	1.881	0.125
		MEP _{Late epoch}	Intensity × gender	2.456	0.537	0.625

Repeated-measures ANOVAs with the repeated factor of intensity were calculated for subject-specific covariates of subject average baseline SI_{1mV} (stimulus intensity for 1 mV amplitude), age, and gender, against grand averaged MEPs from time bins of either the first 0–30 min (Early epoch) or the final 60–120 min (Late epoch) after tDCS stimulation. *Significant effects (where $P < 0.05$). A main interaction effect was only observed for the factor of Intensity × SI_{1mV} for the anodal stimulation group.

Table 4. Correlation between SI_{1mV} and MEP_{Early epoch}

		Pearson coefficient r	P value
Anodal stimulation	Sham	−0.142	0.551
	0.5 mA	−0.182	0.442
	1.0 mA	−0.474	0.035*
	1.5 mA	0.405	0.076
	2.0 mA	0.081	0.773
Cathodal stimulation	Sham	−0.032	0.900
	0.5 mA	0.354	0.150
	1.0 mA	−0.042	0.869
	1.5 mA	−0.287	0.248
	2.0 mA	−0.277	0.267

Pearson's correlation coefficient was calculated for each intensity using each subject's respective SI_{1mV} as one variable and the grand-averaged, normalized MEP from the early epoch (0–30 min post stimulation) as the second variable. A significant negative correlation was observed for 1.0 mA anodal stimulation only.

plasticity. Further, we observed that individual sensitivity to TMS may be an important covariate for anodal tDCS efficacy. Below, we discuss possible underlying mechanisms behind our main findings in light of previous studies in the field.

No differences between anodal stimulation intensities

Overall, higher anodal intensities did not significantly differ from lower intensities over the whole group and time course, which is in accordance with two reported studies. Kidgell *et al.* (2013) observed that 0.8 mA, 1.0 mA and 1.2 mA (25 cm² electrodes, 10 min stimulation) resulted in identical excitability after-effects as measured by MEP size as well as short-latency intracortical inhibition (SICI), suggesting that inhibitory neurons may be non-differentially involved in facilitating cortical excitability. Here, intracortical measures were not obtained and the intensity range was much larger; thus, the extent to which inhibitory circuits may play a role

at these intensities is unclear and may be of interest in further studies. Bastani & Jaberzadeh (2013) reported uniform effects of 0.3 and 2.0 mA anodal tDCS on cortical excitability after effects (10 min duration, 24 cm²/35 cm² target/reference electrodes), and proposed the role of voltage-gated calcium channels driving the effect at lower current intensities, since these channels have lower voltage-dependent thresholds compared to NMDA or α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors, which, along with calcium channels, have been identified to be relevant for plasticity induction via tDCS (Liebetanz *et al.* 2002; Nitsche *et al.* 2003a, 2004). Over the whole group, anodal stimulation at higher intensities did not result in significantly greater effects than lower intensities, which lends credence to the hypothesis of homeostatic counter-regulation limiting

over-excitation as observed in similar human and animal studies (Rioutl-Pedotti *et al.* 2007; Pozo & Goda, 2010; Krause *et al.* 2013; Monte-Silva *et al.* 2013). However, the lack of clearly marked differences in DC amperage in the present exploratory study should not be interpreted to mean amperage has no effect. Other tDCS parameters, such as stimulation duration and electrode montage may potentially interact with each other, possibly resulting in non-linear effects. With regard to the electrode montage, the present study employed the conventionally used 'M1-contralateral superior frontal orbit' arrangement, with an enlarged reference electrode (100 cm²) as it was previously shown to reduce unwanted physiological effects, at least up to a 1.0 mA setting (Nitsche *et al.* 2007). Further studies would be required to compare the conventional montage with other montages which use

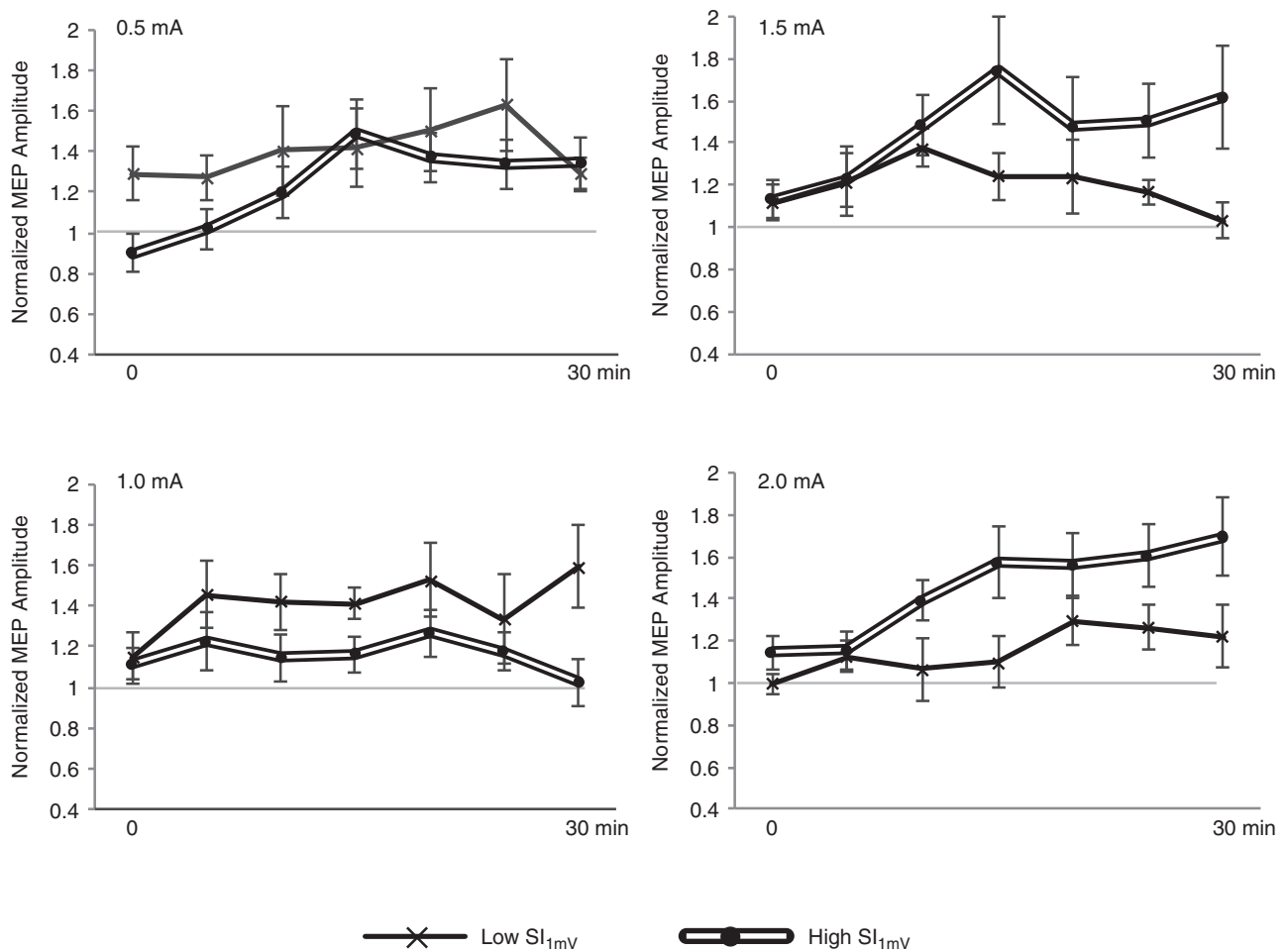


Figure 6. Inter-individual differences in cortical excitability modulation following anodal tDCS
 Post anodal tDCS time course (0–30 min) differences and trends between median-split groups of low and high thresholds to TMS, based on the stimulus intensity for 1 mV amplitude (SI_{1mV}). Error bars represent standard error. At lower intensities of 0.5 mA and 1.0 mA, subjects with lower SI_{1mV} showed greater effects in cortical excitability facilitation whereas with higher intensities of 1.5 mA and 2.0 mA, subjects with higher SI_{1mV} responded with a greater change in excitability compared to the Low SI_{1mV} subjects. Notice that an upward trend of excitability facilitation was observed for subjects with higher SI_{1mV} which was more pronounced at higher intensities, although this three-way interaction could not be inferred as significant: $F_{(18,1045)} = 1.281, P = 0.198$.

multiple small electrodes in concentric ring arrangements, as these have been shown to induce a more focused electric field, and also result in slightly enhanced effects in motor cortical excitability (Datta *et al.* 2009; Kuo *et al.* 2013).

Reproducibility and variability of tDCS effects on cortical excitability

A recent study by Chew *et al.* (2015) investigating cortical excitability after M1 anodal tDCS (10 min duration, 16 cm² target/reference electrodes) did not observe a main effect of intensity, although no sham condition was tested. Moreover, intra-individual reliability of 0.5 mA over the 30 min following stimulation was reported to be poor ($ICC(2,1) = -0.50$), and it was further reported that participants responded strongly to either 0.2 mA or 2.0 mA, only. A study from Lopez-Alonso *et al.* (2015) investigating 1.0 mA anodal tDCS (13 min duration, 35 cm² target/reference electrodes) reported good intra-individual reliability of anodal 1.0 mA tDCS over the first 30 min ($ICC(2,1) = 0.565$), although measurements obtained during the 30 min afterwards showed poorer reliability ($ICC(2,1) = -0.028$). The present findings of intra-individual reliability in 1.0 mA anodal tDCS show stronger reliability, both over early and late measurement periods ($ICC(2,1) = 0.74$ and 0.64 , between 0–30 and 60–120 min, respectively). The discrepancy between the present results and previous reports may possibly be due to the smaller sample size tested here ($n = 7$). However, we note that whereas the previous studies assessed re-test reliability over two sessions, the present study collected data over three sessions, and over a longer period of monitoring (120 min). Previous studies have identified various possible sources of intra-individual variability in the induced response to stimulation protocols, which include such factors as

attention level, time of the day, and hormonal fluctuations (see Ridling & Ziemann, 2010 for a review). Most of these factors, however, can be controlled for with adequate sample sizes or factored into the statistical analysis if appropriately documented and reported. Another possible reason for low reliability may be due in part to elevated anxiety associated with participants naive to stimulation inducing protocols which may affect cortical excitability (Wassermann *et al.* 2001) (for example, due to the loud sounds and novel sensations of the stimulation, similar to elevated heart rates during the start of MRI investigations; van Minde *et al.* 2013). In an attempt to control for these factors, all participants in the study first attended a preliminary session to experience sensation of TMS test pulses. To further ensure stability in the motor-cortical excitability, participants were seated in a relaxed manner in the laboratory for at least 10 min before the start of the experiment. However, the full extent of the within-subject variation in cortical excitability, and whether our additional testing conditions were effective in reducing the non-stability, is unknown and remains to be further probed in future studies.

In addition to intra-individual sources of variability, it is also important to consider between-individual sources, as they may contribute the most to the total variance (López-Alonso *et al.* 2015). A previous study by Wiethoff *et al.* (2014) reported a correlation between anodal tDCS efficacy and the MEP latency difference between monophasic anterior–posterior induced currents and latero-medial induced currents. The researchers thus proposed the role of early I-wave recruitment in facilitating tDCS response, which appears to be evident in other brain stimulation protocols, such as TBS (Hamada *et al.* 2013). In the present study, we observed that sensitivity to TMS (SI_{1mV}) tended to correlate with anodal tDCS efficacy in an intensity-dependent manner. With lower intensities,

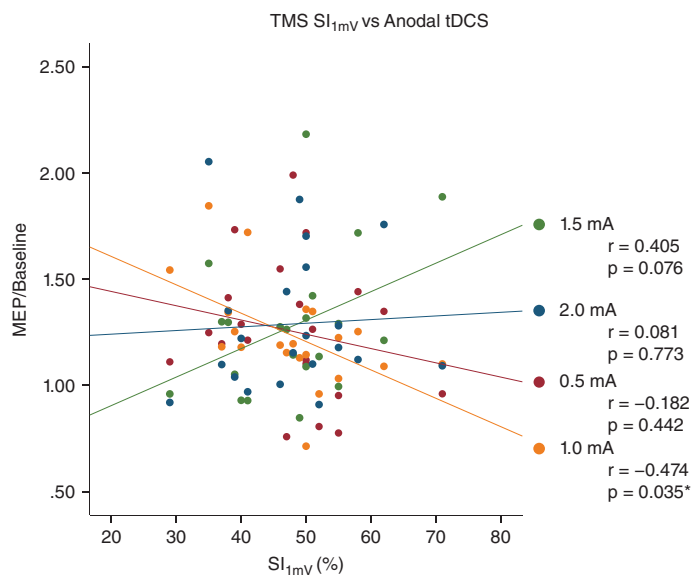


Figure 7. Relationship between individual TMS SI_{1mV} sensitivity and efficacy of anodal tDCS on cortical excitability

For each active anodal tDCS intensity, each individual's grand-averaged response over 0–30 min following stimulation was plotted as a function of his/her baseline TMS SI_{1mV} (stimulus intensity for 1 mV amplitude). A negative correlation was observed with 1.0 mA anodal tDCS ($r = -0.474$, $P = 0.035$). [Colour figure can be viewed at wileyonlinelibrary.com]

participants who had relatively higher sensitivity to TMS tended to respond with greater after-effects in excitability, a finding which was also observed in a previous report (Labruna *et al.* 2015). In comparison, our analysis showed the largest effect in sensitivity with 1.0 mA where the split-group effect size was $d = 0.91$ and the absolute correlation between SI_{1mV} and 1.0 mA after-effects was $r = -0.47$ (i.e. 22.1% of the estimated variance explained by SI_{1mV} , uncorrected $P = 0.035$; Fig. 7) which is marginally higher than the findings from Labruna *et al.* (2015) of 36 subjects where the 1.0 mA correlation $r = -0.20$ (accounting for 4% of estimated variance). One explanation for these findings could be anatomical variability affecting the path of the current into the skull. Previous TMS studies found that the TMS motor threshold correlated with the coil-to-cortex distance (Kozel *et al.* 2000; McConnell *et al.* 2001; Herbsman *et al.* 2009) and modelling studies have shown that higher tDCS intensities should induce higher electric fields in the cortex, although these may be nuanced by skull thickness and composition (Datta *et al.* 2012; Opitz *et al.* 2015). With higher tDCS intensities over 1.0 mA, it may be possible that mechanisms of homeostatic counter-regulation may have limited the effects of tDCS for subjects with lower SI_{1mV} , whereas subjects with higher SI_{1mV} were in the necessary intensity range for positive effects. This hypothesis is partially supported from a group-wise comparison of the first 30 min post-stimulation time series of excitability changes: subjects with lower SI_{1mV} tended to reach maximum excitability approximately 15–20 min post stimulation and then returned to baseline (in the case of 1.5 mA) or plateaued (2.0 mA) for the remainder of the monitoring (Fig. 6C and D). Subjects with higher SI_{1mV} , however, tended towards steadily increasing excitability. The precise mechanisms behind this delayed but increasing effect, also observed in previous reports with 2.0 mA tDCS (Batsikadze *et al.* 2013; Kuo *et al.* 2013) remain unknown and should be investigated further.

A clear association between TMS sensitivity and cathodal tDCS was not observed, possibly due to the limited range of intensities required to induce cortico-spinal excitability diminution.

Cathodal stimulation at higher intensities reduced after-effects

Increasing cathodal intensities did not yield greater effects. DC intensities of 0.5 mA and 1.0 mA led to excitability diminution, which was not achieved by 1.5 mA and 2.0 mA. The effects of the lower intensities are replications of results of respective previous studies of 1.0 mA cathodal tDCS (9 min duration, 35 cm² electrodes: Nitsche *et al.* 2003b; 18 min duration: Monte-Silva *et al.* 2010; 20 min duration, 35 cm²/100 cm² target/reference electrodes: Batsikadze *et al.* 2013) as well as one study of

0.3 mA (20 min duration 3 cm²/12 cm² target/reference electrode: Vaseghi *et al.* 2015). Notably, intensities higher than 1.0 mA did not result in a reduction of cortical excitability, as has also been recently reported in similar studies. Batsikadze *et al.* (2013) showed that 20 min of 2.0 mA cathodal tDCS (35 cm²/100 cm² target/reference electrodes) shifted cortical plasticity from diminution to facilitation. Wiethoff *et al.* (2014) investigated 10 min of 2.0 mA cathodal stimulation (35 cm² electrodes), finding variable and ultimately non-conclusive effects. However, another 10 min, 2.0 mA cathodal tDCS study observed a reduction in cortical excitability, where the peak effects were observed after 30–60 min (Kuo *et al.* 2013). In a study on adolescents, 10 min of 0.5 mA cathodal tDCS (35 cm² electrodes) significantly decreased cortical excitability but 1.0 mA cathodal tDCS led to an increase (Moliadze *et al.* 2015). This study supports the general concept of non-linear intensity-dependent effects of cathodal tDCS. The different turning point in this specific population, as compared to adults, might be caused by anatomical or physiological differences between these age groups, which could result in a more effective stimulation of the developing brain with identical stimulation intensity. Putative hypotheses for the reversed or negated effects, which have also been observed for other brain stimulation protocols (e.g. theta-burst stimulation: Doeltgen & Ridding, 2011; tACS, and tRNS: Moliadze *et al.* 2012) have pointed to the bi-directional effects of calcium influx caused by stimulation, whereby low postsynaptic calcium causes long-term depression and larger calcium concentrations (e.g. due to stronger stimulation intensity) result in long-term potentiation (Cho *et al.* 2001; Lisman, 2001). Our findings support this concept and suggest that intensities around 1.0 mA might be optimal in inducing the strongest inhibition of motor-cortical excitability in healthy adults.

Conclusion

Our main finding that stimulation at higher intensities does not yield correspondingly greater after-effects partially confirms previous studies. Anodal stimulation intensities from 0.5 to 2.0 mA and cathodal stimulation of 1.0 mA resulted in significant after-effects in excitability facilitation and diminution, respectively. Whether higher current intensities or longer stimulation duration, perhaps following intervals (Monte-Silva *et al.* 2010, 2013) would produce greater or prolonged corticospinal excitability effects cannot be concluded, and should be the topic of future studies. Moreover, whether effects were localized to only the target region cannot be concluded as tDCS over the motor cortex also affects functionally connected cortical and sub-cortical areas (Polanía *et al.* 2011a,b). Obtaining a more intricate physiological understanding of tDCS, especially when TMS may not be

particularly suitable, may require other available means of investigation, such as within the neuroimaging or cognitive neuroscience domains. We also observed that inter-individual differences in sensitivity to TMS may be an important covariate factor of anodal tDCS, but our findings require further replications with more extensive measurements. For example, our measure of sensitivity was the SI_{1mV} , which corresponds to approximately 130–140% of the resting motor threshold (Groppa *et al.* 2012). A better understanding of the relationship between TMS susceptibility and tDCS efficacy would require further studies that assess the correlation of the slope as well as different points on the TMS–MEP recruitment curve with tDCS efficacy, and at different current intensities. Finally, we recognize that while the prospect of individualized stimulation protocols, based on TMS latency, sensitivity or similar metric is an intriguing concept, it is not self-evident that the findings here, observed on a cohort of healthy and young adults, translate one-to-one to elderly or clinical populations. Given the results of a cathodal tDCS titration study in children and adolescents (Moliadze *et al.* 2015), as well as significant differences in neurotransmitter availability and corticospinal excitability across various neuropsychiatric states (Bunse *et al.* 2014), this is not just a theoretical limitation and requires important consideration for future studies.

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Additional information

Competing interests

The authors declare no competing financial interests.

Author contributions

The experiments were conducted at the University Medical Centre, Department of Clinical Neurophysiology, Georg-August University, Göttingen. M.A.N., W.P., G.B. and H.I.K. contributed to the conception and design of the experiment. A.J., H.I.K., G.B., L.L., A.H. and M.A.N. contributed to the collection, analysis and interpretation of the data. A.J. drafted the paper and M.A.N., G.B., H.I.K., L.L., A.H. and W.P. revised it critically for important intellectual content. All authors approved the final version of the manuscript and agree to be accountable for all aspects of the work. All persons designated as authors qualify for authorship, and all those who qualify for authorship are listed.

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