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Colorectal Cancer in the Very Young: A Comparative Study of Tumor Markers, Pathology and Survival in Early Onset and Adult Onset Patients

Sajid A. Khan, MD^{a,b}, Melinda Morris, PhD^c, Kamran Idrees, MD^b, Mark I. Gimbel, MD^b, Shoshana Rosenberg, BS^b, Zhaoshi Zeng, MD^b, Fangyong Li, MPH^f, Geliang Gan, MPH, PhD^f, Jinru Shia, MD^e, Michael P LaQuaglia, MD^d, and Philip B. Paty, MD^{b,*}

^aDepartment of Surgery, Section of Surgical Oncology, Yale University School of Medicine, New Haven, CT

^bColorectal Service, Department of Surgery, Memorial Sloan-Kettering Cancer Center, New York, New York

^cSchool of Surgery and Pathology, University of Western Australia, Nedlands, Western Australia, Australia

^dPediatric Service, Department of Surgery, Memorial Sloan-Kettering Cancer Center, New York, New York

^eColorectal Service, Department of Pathology, Memorial Sloan-Kettering Cancer Center, New York, New York

^fYale Center for Analytic Sciences, Yale University School of Medicine, New Haven, CT

Abstract

Introduction—Colorectal cancer (CRC) diagnosed before age 30 is a fatal disease whose biology remains poorly understood. To understand its pathogenesis, we compared molecular and clinical data in surgically treated early-age onset and adult onset patients.

Materials and methods—Clinical data and tumor tissue were collected retrospectively for 94 patients with early-age onset CRC (age 30) and compared to 275 adult CRC patients (age 50). Tumor morphology, microsatellite instability (MSI) and stability (MSS), *KRAS* and *BRAF* mutations, and mismatch repair (MMR) expression (*MSH2*, *MLH1*, *MSH6*, *PMS2*) were assessed.

Results—Early-age CRC was distinguished from adult CRC by advanced stage presentation ($P < 0.001$), frequent high grade cancers ($P < 0.001$), and poor prognosis ($P < 0.001$). MSI was associated with favorable survival and MMR loss in both groups. Compared to adults, MSI in early-onset CRC was more prevalent ($P < 0.01$), not tightly linked to *MLH1/PMS2* loss, and never

*Correspondence to: Sajid A. Khan, MD, Yale Surgery – Gastrointestinal/Oncology, PO Box 208062, New Haven, CT 06520-8062, Fax: 203-737-4067, sajid.khan@yale.edu.

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associated with *BRAFV600E* mutations ($P<0.01$). MSS/*BRAFV600E* genotype had poor prognosis and was more prevalent in early-age CRC (9% vs. 3%).

Discussion—Specific genetic subtypes are found at different frequencies in early-age onset and adult onset CRC. Complete absence of the indolent MSI/*BRAFV600E* genotype and enrichment in the unfavorable MSS/*BRAFV600E* genotype help explain the poor prognosis of early onset CRC.

Keywords

early onset colorectal cancer; MSI; BRAF

1. Introduction

Colorectal cancer (CRC) is one of the most common adult malignancies in the United States (US) with a median age at diagnosis of 64 years. It occurs only rarely in young adults and children. Based on population-based data from the Surveillance, Epidemiology, and End Results database, the age-specific incidence of CRC per 100,000 individuals in patients age 25–29 is 1.6 compared to 241.2 for patients age 75 and greater [1]. When CRC occurs in young patients, the prognosis is poor. Reports from several treatment centers around the world have shown that young patients present at a more advanced stage and as a group have a low survival rate [2, 3, 4, 5, 6, 7, 8, 9, 43, 44]. Whereas in the adult population approximately 50% of patients are cured of cancer, in early onset patients, the overall survival rate ranges from 15–25% [2, 3, 5, 6, 7, 9].

The reasons underlying the poor outcomes of early onset CRC are not well understood. Diagnostic delay due to low suspicion of cancer and failure to work up symptoms in a timely manner probably accounts for some of the survival difference. However, differences in tumor biology are also important. For example, high grade cancers and signet ring-cell carcinomas are much more common among early onset patients [10, 11]. Metastatic spread to regional lymph nodes is common. This suggests early onset CRCs often behave aggressively and may have unique biological features.

There are only a limited number of studies evaluating genetic markers in early onset CRC. In 1991, Dunlop and colleagues studied 50 cases of CRC diagnosed before age 30 and reported that 14 of these patients possessed a mutation in the *MLH1* or *MSH2* mismatch repair gene [12, 13]. In 2000, our group reported clinical and molecular findings in a group of patients with CRC diagnosed at or before age 21 [14]. In addition to the overall poor prognosis, the striking findings were the high frequency of non-familial cases and enrichment of microsatellite unstable tumors. Although microsatellite instability (MSI) was common, very few cases had classical clinical features of Hereditary Non-Polyposis Colorectal Cancer (HNPCC) despite the strong prevalence (40%) of MSI.

To better understand the unique clinical and biologic features of early onset CRC, we assembled a study group of cases with the assistance of the Surgical Committee of the Children's Oncology Group. Archival tumor samples and clinical data were collected for a cohort of patients diagnosed with CRC before the age of 30. MSI, *KRAS* codon 12/13

mutations, and *BRAFV600E* mutations were assessed. Clinical presentation, tumor pathology, genetic alterations, and outcomes were compared to a control group of adult onset CRC patients diagnosed after age 50. The goal of the study was to search for distinguishing genetic features, unique patient subsets, and other clues to explain the poor survival seen in early onset CRC.

Materials and methods

2.1 Patient Selection

The study was comprised of two patient groups. The first included 275 male and female patients 50 years of age at diagnosis (median=67; range 50–90) who presented at Memorial Sloan-Kettering Cancer Center (MSKCC) between 1991 and 2005 for surgical treatment of primary colorectal adenocarcinoma with or without synchronous metastases to the liver, lung, peritoneal cavity, or other distant sites. Cases were accrued prospectively to a tissue collection protocol. Tissue was available as frozen, OCT embedded blocks and archival paraffin blocks. The second group included 94 male and female patients diagnosed 30 years of age (median=24.7; range 11–30) treated by colectomy between 1971 and 2005. Availability of paraffin embedded tissue adequate for DNA extraction and immunostaining was required for enrollment. Cases were anonymized and assigned research codes prior to molecular testing and data analysis. Clinical information was collected by chart review at each participating institution. Data documenting type of operation, adjuvant therapy and inflammatory bowel disease was not available. All work was approved by Institutional Review Boards (IRB).

2.2 Review of Pathology Slides

Hematoxylin and eosin stained sections were reviewed by an expert pathologist (J.S.) and scored as previously described [15].

2.3 Tumor Microdissection and DNA Extraction

Three to five 10-micron paraffin sections were cut with microtome for tumor and matched normal colonic mucosa. Tumor sections were microdissected to exclude normal mucosa, stroma, and necrotic tissue. For snap frozen tissues, microdissection was guided by a hematoxylin stained section taken from OCT blocks using cryotome. Phenol-based technique was used to extract DNA [16].

2.4 Microsatellite instability analysis and KRAS and BRAF mutation detection

MSI analysis, and detection of codon 12/13 *KRAS* mutations and *BRAFV600E* mutations, has been described previously [17, 18, 19].

2.5 Mismatch Repair Gene Immunohistochemistry (IHC)

Intratumoral expression of *MSH2*, *MLH1*, *MSH6*, *PMS2* was assessed on 4 micron paraffin sections using established protocol [20].

2.6 Statistics

Analysis of proportions was accomplished by chi-square test, survival displayed by Kaplan-Meier method, and survival differences assessed by log rank test. Stratified test was used to adjust for single covariate. Multivariate Cox regression was used for more adjustments. The analyses were performed using SAS 9.3 (Cary, NC). Significance level was set as $P < 0.05$, two-sided.

3. Results

A comparison of clinical, pathological and molecular features of the two patient groups revealed several clear differences (Table 1). Early onset patients were more likely to present with CRC of advanced TNM stage (76% vs. 50%, $P < 0.0001$). Survival of early onset patients was far worse than for adult patients (Figure 1a, 5-year disease-specific survival 48% vs 78%, $P < 0.001$). Early onset patients had a higher proportion of poorly differentiated tumors (37% vs. 12%, $P < 0.0001$). This difference was especially notable for signet ring-cell carcinomas (13% vs. <1%, $P < 0.00001$) indicating a large over-representation of this histological subtype in early onset cases.

The other clinical feature distinguishing the early onset group was a higher frequency of a positive family history for CRC (43% vs 26%, $P < 0.10$) (Table 1). However, more than half of early onset patients reported no family history of CRC. Furthermore, very few patients (5%) in the early onset group fulfilled Amsterdam II criteria for HNPCC. In multivariate Cox regression analysis, the hazard ratio of early onset versus adult group is 1.96 (95% CI 1.29 to 2.98, $p = 0.002$) after adjusting for significant survival predictors based on univariate analysis (Table 2).

From genetic analysis we found no difference in the overall prevalence of *BRAFV600E* and *KRAS* codon 12/13 mutations between age groups (Table 1). However, there was greater than a 2-fold increase in the prevalence of MSI tumors in the early onset group (27% vs. 13%, $p < 0.01$). Given the large proportion of MSI tumors in the early onset group, we were interested to know if MSI identifies a subset of patients with unique clinical features. Among the 275 adult onset cases, MSI genotype strongly correlated with clinical characteristics previously associated with MSI biology: right sided tumor location, early stage of disease, high proportion of poorly differentiated cancers, and favorable disease-specific survival (DSS) (Table 2, Figure 1b) [21, 22]. Interestingly, these clinical characteristics were not evident among early onset MSI cancers. Tumor location, tumor grade, and tumor stage at presentation were no different in MSI versus MSS patients in the early onset group (Table 2). In the early onset patients, MSI cancers did have improved survival compared to MSS cancers (Figure 1c, $P = 0.045$). However, survival of MSI patients in the early onset group was still far lower than MSI genotype in adult onset cases. In an adjusted Cox model (Table 2), MSI/MSS was a significant predictor independent to age of onset (HR: 0.42; 95% CI 0.22 to 0.83, $P = 0.01$).

To explore potential differences in MSI biology in each age group, we tested all MSI cancers with sufficient archival tumor tissue for intra-tumoral expression of four MMR genes using IHC. Adult onset MSI cases revealed that loss of MMR gene expression was almost

completely restricted to *MLH1* (79%) and *PMS2* (16%), supporting the conclusion that nearly all represent sporadic MSI tumors. In contrast, MSI cancers in the early onset group showed a pattern of MMR gene loss that was distributed over all four MMR genes (*MLH1*=50%, *MSH2*=29%, *MSH6*=7%, *PMS2*=14%). This finding provides strong evidence that MSI in early onset patients is due to the presence of germ line mutations in corresponding MMR genes.

We next explored the role of *BRAFV600E* mutations (mut) in relation to MSI/MSS status (Table 3). When we looked at the relationship of *BRAF* mutations with respect to MSS tumors, we found the MSS/*BRAFV600Emut* genotype was enriched 4-fold in the early onset relative to the adult onset group (12% vs. 3%, $p<0.01$) (Table 3). When this genotype was assessed relative to stage, we found that in both age groups this disease presented with stage III/IV disease in 100% of cases. A trend of worse survival was also observed ($p=0.23$, Figure 1d).

The prevalence of the MSI/*BRAFV600Emut* genotype in the adult group was 5%. When this genotype was further examined in adults with respect to clinical features of cancer, we found presence of MSI/*BRAFV600Emut* was associated with stage I/II cancer in >90% of cases and 100% 5-year DSS. However, when the prevalence for this clinically favorable genotype was sought in the early onset group, we found it to be strikingly absent in the entire MSI cohort ($p<0.001$). Furthermore, although the early onset MSI subgroup had worse survival outcome (Figure 1e, $p=0.01$), the significance disappeared after adjusting for the presence of the MSI/*BRAFV600Emut* ($p=0.12$)

Adult onset, proximal tumors were enriched in MSI ($p<0.0001$) and *BRAF* ($p=0.0006$) mutations, relative to distal tumors (Table 4). These statistically significant findings were not evident in the early onset, proximal tumors. Early onset, distal tumors were enriched in *KRAS* mutations in contradistinction to the adult group, where there was no difference. Notably there was a higher incidence of MSI genotype in early onset compared to adult onset, distal tumors (24% vs 7%, $P<0.05$), reflecting the importance of MSI biology in all early onset CRC, irrespective of primary tumor location.

In the early onset group, there were no significant differences in advanced stage, median OS or 5-year OS based on primary tumor location (Table 4). This suggests clinical presentation and prognosis is similar despite location of the primary tumor. Differences in clinical outcome was found in adult vs early onset cases, with respect to tumor location. Early onset compared to adult onset, proximal tumors had a worse 5-year OS (40% vs 70%, $P<0.001$). A similar trend was found for distal tumors (48% vs 81%, $p<0.0001$).

4. Discussion

Colorectal cancer is among the most common malignancies diagnosed in the adult population, yet much of our knowledge about its biology comes from studying disease diagnosed in the young [23, 24, 25, 26, 27, 31, 45, 46]. Perhaps the best example of this is seen in the study of Familial Adenomatous Polyposis (FAP) and HNPCC. These two genetic syndromes, characterized by early onset disease, have been the subject of numerous

investigations reported in the literature that have yielded considerable insight into the molecular biology of CRC. Interestingly, although the literature is replete with investigations in patients less than age 50, most studies of CRC susceptibility syndromes have focused on disease diagnosed after age 30 [22, 28, 29, 30, 47, 48]. Thus, the very young (i.e., age <30) have not been extensively studied. The first large clinical study to examine CRC in the very young was reported in 1992 by LaQuaglia and colleagues [2]. These investigators examined 29 patients diagnosed before age 21 and found a majority had advanced stage presentation (82%) and a dismal 3-year survival (28%). Several smaller studies have also found similar clinical findings [3, 4, 5, 6, 7, 8, 9, 48, 49]. In this study, we looked at nearly 100 patients diagnosed with CRC age 30 and found the majority had aggressive clinical disease, confirming poor prognosis is common to those afflicted at such a young age.

To explore the biology of early onset CRC, we analyzed key molecular markers and compared them to those found in adult onset disease. The most obvious finding was the important role of the MSI pathway. Although it was associated with a favorable DSS in both age groups (Figures 1b, 1c), the MSI genotype was enriched >2-fold in the early onset group which had worse survival compared to the adult onset group. Our additional findings shed a light on this paradox, as discussed below.

Defining characteristics of adult MSI, such as early stage presentation, right-sided lesions and high-grade tumors, were not prevalent in the early onset group (Table 2). There was also a stark difference in the pattern of genetic alterations between both groups, and this is exemplified by the MMR gene distribution data. The adult MSI population was characterized by a high prevalence for *MLH1* gene loss (i.e., 79%). Other studies have also found the *MLH1* gene to be deficient in most adult MSI tumors, and this pattern of MMR inactivation is driven by methylation silencing [32, 33, 34]. On the other hand, MSI tumors in the age 30 group had a wide distribution of MMR gene loss suggesting different tumor biology. In fact, the pattern of MMR gene loss found in the age 30 group closely resembled what is seen in HNPCC tumors.

Another molecular feature distinguishing early onset from adult onset MSI was the prevalence of *BRAF* mutations (Table 3). Not a single MSI patient in the age 30 group possessed the MSI/*BRAFV600Emut* genotype, while in adults this was present in 38% of MSI cases. Interestingly, in addition to distinguishing both groups, absence of this genotype characterizes HNPCC tumors [35, 36, 37]. These molecular findings, in addition to the MMR gene distribution data, not only distinguish early onset MSI from the adult variant, but also strongly suggest that HNPCC may account for up to 25% of CRCs diagnosed age 30. Though HNPCC accounts for many early onset cases, our study suggests that additional mechanisms of tumor biology account for this rare disease presentation.

The DSS of the entire early onset group was far worse than the adult group (Figure 1a). Although this survival difference may be attributable to a delay in diagnosis in the young, there have been several studies implying this factor does not play a major role [22, 38, 39]. In fact, our data suggests that cancer biology may explain the differing clinical characteristics. This difference in biology is manifested by the different histology between

both groups – high grade tumors were enriched >4 times, and signet ring-cell tumors >13 times in the early onset group.

A careful evaluation of different genetic subgroups lends further support to the notion that tumor biology plays a major role in the poor prognosis of the age < 30 group. As opposed to adult group, in the entire age < 30 group, there was a striking absence of the MSI/*BRAFV600Emut* genotype (Table 3). Greater than 90% of adults possessing this genotype presented with early stage disease and 100% of patients had a 5-year DSS. Other investigators have also shown this marker to be associated with a favorable cancer prognosis [40, 41, 42]. While the MSI early onset subgroup had significantly worse survival compared to the adult onset subgroup, adjusting for *BRAFV600Emut* resulted in loss of significance. This suggested that *BRAFV600Emut* is important to the tumor biology that impacts the DSS outcome. Another distinct genetic finding was a 4-fold enrichment in the MSS/*BRAFV600Emut* genotype in the early onset compared to the adult onset group. This genotype, both in our study and others, is associated with an unfavorable prognosis [41, 42]. The importance of activating mutations in *BRAFV600E* in the tumor biology of MSI CRC has been demonstrated by other investigators showing its importance in prognosis, tumor invasion and apoptosis [49, 50]. Our study shows both age groups with MSS/*BRAFV600Emut* genotype presented with advanced stage disease 100% of the time, and that in the early onset group, patients had a dismal 5-year DSS at 16% (Figure 1c).

The retrospective nature of this study does have limitations. One includes weaknesses inherent in all retrospective studies. Further, our study examines a relatively small number of patients in the early onset group. Though this is the largest study to date that examines molecular and clinicopathologic factors such as MSI, *BRAF* and *KRAS* in CRC age < 30, one must be cautious in drawing definitive conclusions. However, important information can still be learned about the tumor biology of early onset CRC.

5. Conclusions

In conclusion, to our knowledge this is the largest series to date that has examined clinical, histologic and molecular features of individuals diagnosed with CRC < age 30. CRC in the very young is a rare disease that is driven by the same genes and genetic pathways as adult cases, yet the overall cancer biology is more aggressive. We have shown there to be distinct genetic groups defined by different molecular markers. A high proportion of aggressive genotypes and an absence of indolent genotypes may partly account for the poor survival seen in these patients. Furthermore, our study also suggests that HNPCC may account for up to 25% of cases, but the biology of other groups remains unknown. Deeper genetic profiling of these cancers may reveal new insights into CRC susceptibility, histogenesis and tumor progression.

Acknowledgments

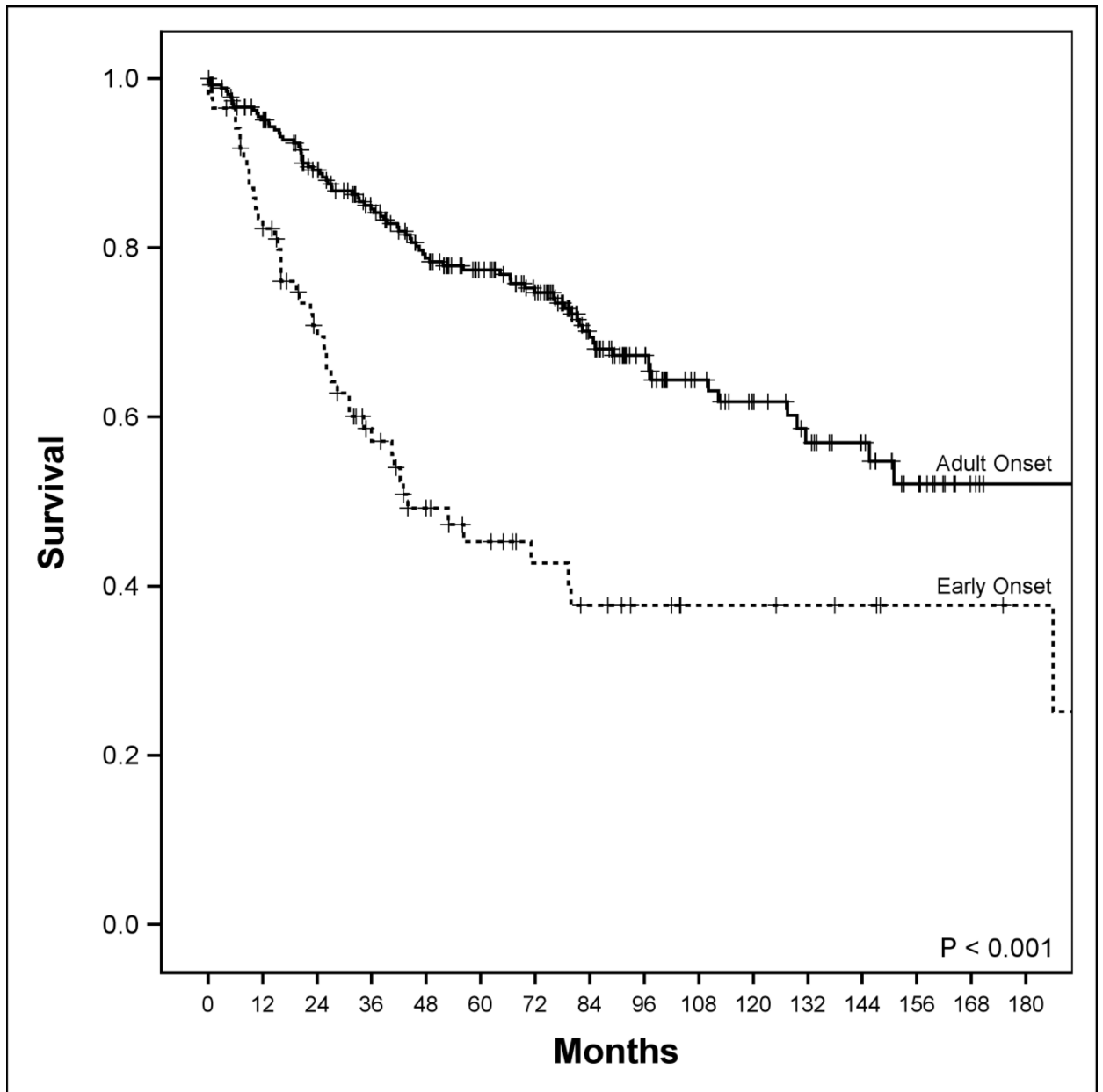
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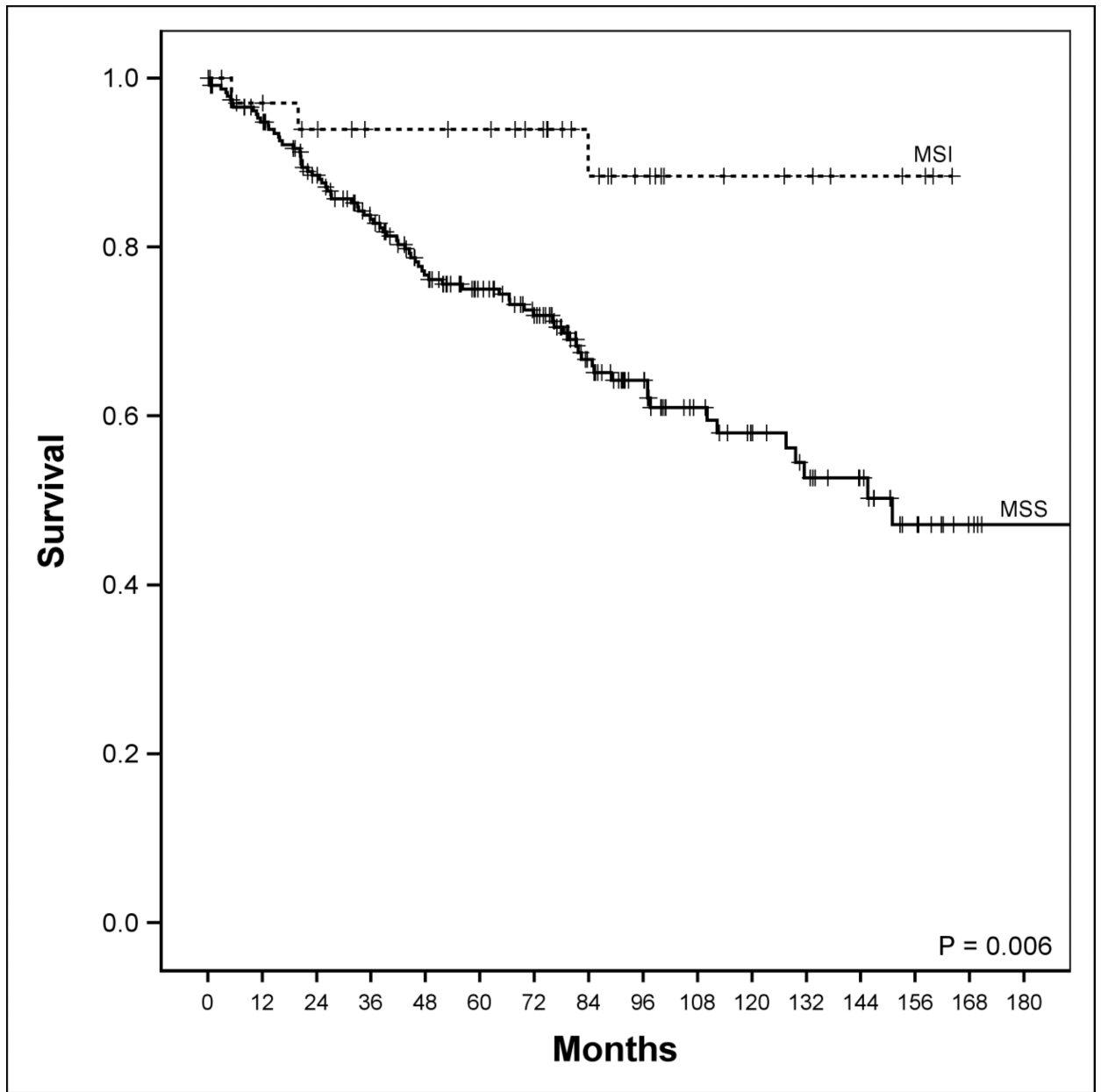
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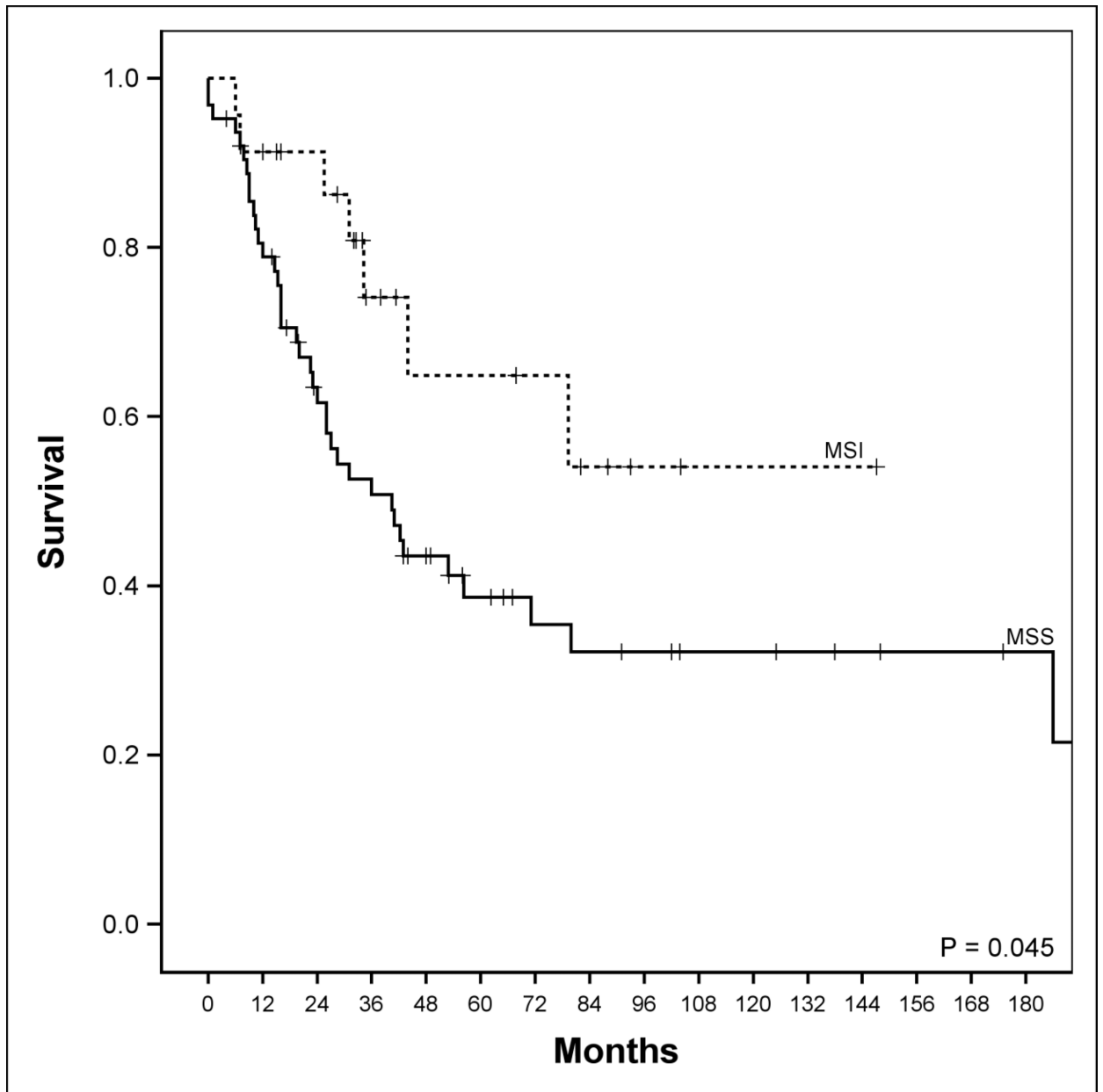
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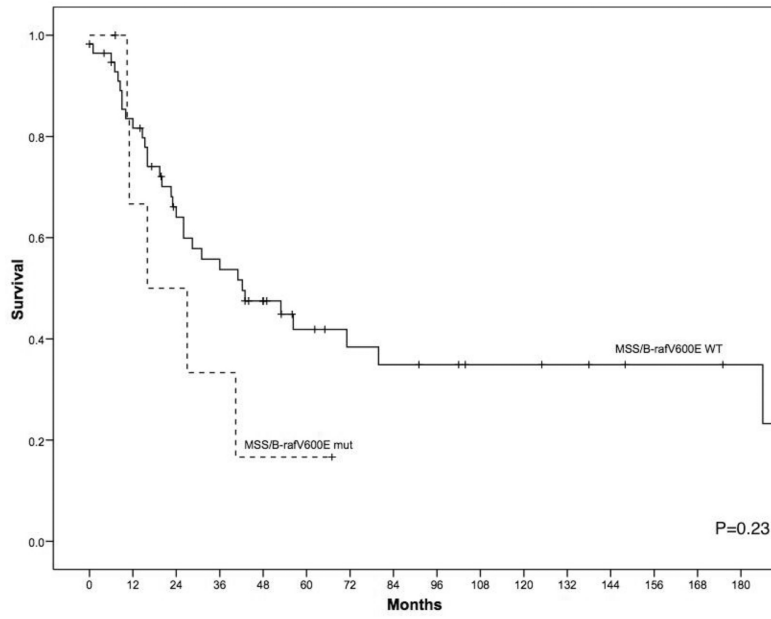
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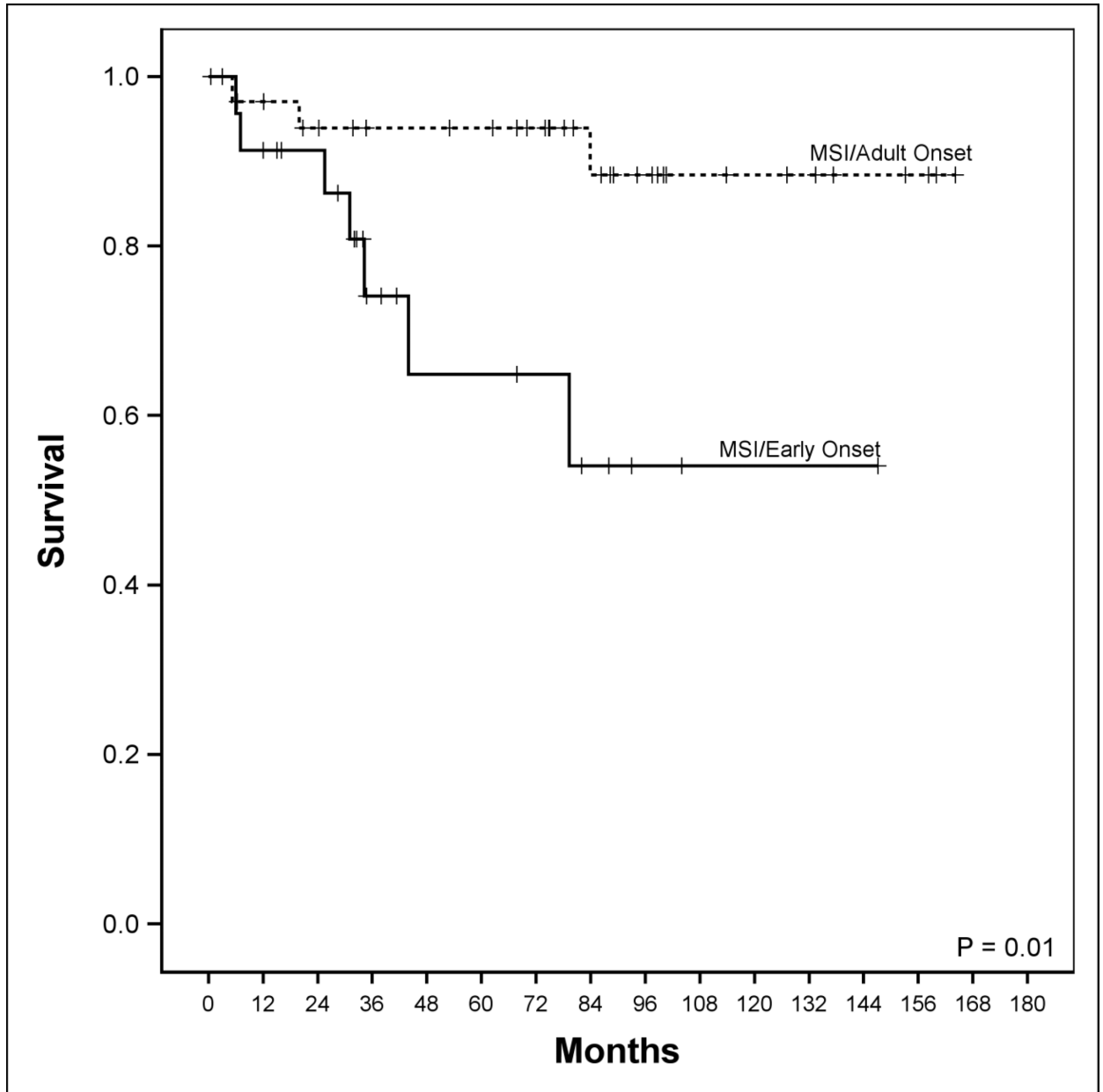
b



c



d



e

Figure 1.

Disease-specific survival according to age, microsatellite genotype and microsatellite genotype in relation to *BRAF* mutational status. **a.** Disease-specific survival of early-age onset colorectal cancer compared to adult onset colorectal cancer patients. 5-year disease-specific survival in the early-age onset group is worse compared to the adult onset group (48% vs. 78%, $P < 0.001$). **b.** Disease-specific survival in adult-age onset colorectal cancer patients according to microsatellite instability (MSI) and microsatellite stability (MSS). MSI genotype was associated with a favorable 5-year disease-specific survival (93% vs. 73%,

P=0.006). **c.** Disease-specific survival in early-age onset colorectal cancer patients according to microsatellite instability (MSI) and microsatellite stability (MSS). MSI genotype was associated with a favorable 5-year disease-specific survival (65% vs. 39%, P=0.048). **d.** Disease-specific survival in early-age onset group with microsatellite stability (MSS) phenotype stratified according to BRAF mutational status. 5-year disease-specific survival trended worse in patients possessing the *MSS/BRAFV600Emut* genotype compared to the *MSS/BRAF* wild-type (WT) genotype (16% vs. 42%, p=0.23). **e.** Disease-specific survival of adult onset-MSI genotype compared to early-age onset-MSI genotype in colorectal cancer patients. Both adult and early-age onset MSI were associated with favorable survivals, though adult-MSI genotype was associated with a more favorable 5-year disease-specific survival (93% vs. 65%, P=0.01).

TABLE 1

Clinical and molecular features of early onset and adult onset colorectal cancer

Characteristics	Early Onset (N=94)	Adult Onset (N=275)	P
Median age, years	27	67	---
Sex: Males	45 (48)	146 (55)	NS
Family History of Colorectal Cancer	40 (43)	74 (27)	NS
Amsterdam II	5 (5)	2 (<1)	NS
Location: Proximal	32 (34)	96 (35)	NS
Stage: III/IV	71 (76)	140 (46)	<0.0001
Histology: <i>Signet ring-cell</i>	12 (13)	3 (1)	<0.0001
<i>Poorly differentiated</i>	35 (37)	22 (8)	<0.0001
5-year disease-specific survival	48%	78%	<0.0001
MSI	25 (27)	36 (13)	<0.01
<i>BRAFV600E</i> mutation	8 (9)	22 (8)	NS
<i>KRAS</i> codon 12/13 mutation	26 (28)	99 (36)	NS

MSI - Microsatellite instability

Data are expressed as No. (%) unless otherwise noted

TABLE 2

Cox regression

	Unadjusted Analyses				Adjusted Analyses			
	Hazard Ratio	95% Lower limit	95% Upper limit	P Value	Hazard Ratio	95% Lower limit	95% Upper limit	P Value
Early onset vs. Adult onset	2.52	1.75	3.65	<0.001	1.96	1.29	2.98	0.002
Muc or SRC Status				0.04				0.73
Not SRC or Muc vs. Muc	1.22	0.69	2.14	0.49	1.12	0.63	2.00	0.70
SRC vs. Muc	3.05	1.23	7.60	0.02	1.58	0.51	4.85	0.42
Stage Status: I/II vs. III/IV	0.16	0.10	0.25	<0.001	0.18	0.11	0.29	<0.001
Grade Status: PD vs. MD or WD	1.75	1.11	2.75	0.02	1.20	0.67	2.15	0.54
KRAS status: WT vs. Mut	0.59	0.41	0.83	0.003	0.57	0.40	0.83	0.003
MSS vs. MSI	0.43	0.23	0.83	0.01	0.42	0.22	0.83	0.01
BRAF status: WT vs. Mut	0.82	0.40	1.69	0.59				
Location status: Prox vs. Dis	1.15	0.79	1.67	0.48				
Family history (Yes vs. No)	1.38	0.90	2.11	0.14				
Sex status: Male vs. Female	1.17	0.83	1.67	0.37				

MSI - microsatellite instability, MSS - microsatellite stability, Muc - mucinous, SRC - signet ring cell, PD - poor differentiation, MD - moderate differentiation, WD - well differentiation, WT - wild type, Mut - mutation, Prox - proximal colon, Dis - distal colon

TABLE 3Genetic subgroups defined by MSI and *B-rafV600E* status

Genotype	Early Onset (N=94)	Adult Onset (N=275)	P
MSI	<i>BRAF</i> mut 0 (0%) <i>Stage III/IV</i> : - <i>5-yr DSS</i> : -	14 (38%) <i>Stage III/IV</i> : 93% <i>5-yr DSS</i> : 100%	<0.001
	<i>BRAF</i> WT 25 (100%) <i>Stage III/IV</i> : 72% <i>5-yr DSS</i> : 65%	23 (62%) <i>Stage III/IV</i> : 65% <i>5-yr DSS</i> : 90%	
MSS	<i>BRAF</i> mut 8 (12%) <i>Stage III/IV</i> : 100% <i>5-yr DSS</i> : 16%	8 (3%) <i>Stage III/IV</i> : 100% <i>5-yr DSS</i> : 75%	<0.01
	<i>BRAF</i> WT 61 (88%) <i>Stage III/IV</i> : 72% <i>5-yr DSS</i> : 42%	230 (97%) <i>Stage III/IV</i> : 53% <i>5-yr DSS</i> : 75%	

MSI – microsatellite instability, MSS – microsatellite stability

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TABLE 4

Clinical, histologic and molecular features in relation to primary tumor location

Age group	Location (%)	N	Sex: M/F	Advanced Stage (%)	Well/Moderate Differentiated (%)	MSI (%)	BRAF (%)	KRAS (%)	Median OS ³	5-year overall survival
Early Onset	Proximal	30	13/17	23 (77%)	16 (53%)	9 (30%)	3 (10%)	6 (20%)	43 month	40% ⁶
	Distal	58	27/31	43 (74%)	38 (66%)	14 (24%)	4 (7%)	19 (33%)	56 month	48% ⁷
Adult Onset	Proximal	96	56/40	49 (51%)	78 (81%)	24 (25%) ¹	15 (16%) ²	35 (36%)	NA ⁴	70% ⁶
	Distal	179	94/85	85 (47%)	156 (87%)	13 (7%) ¹	7 (4%) ²	64 (36%)	NA ⁵	81% ⁷

¹ Chi-square p <0.0001;

² p=0.0006;

³ both p=0.13 for comparing proximal to distal in each age group;

⁴ 25% failure time was 45 month;

⁵ 25% failure time was 80 month;

⁶ Chi-square p <0.09 comparing proximal location in early onset vs adult onset;

⁷ Chi-square p<0.0001 comparing distal location in early onset vs. adult onset