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Survival and proliferation of neural progenitor derived glioblastomas under hypoxic stress is controlled by a CXCL12/CXCR4 autocrine positive feedback mechanism

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Abstract

Purpose—One likely cause of treatment failure in glioblastoma is the persistence of glioma stem-like cells (GSLCs) which are highly resistant to therapies currently employed. We found that CXCL12 has highest expression in glioma cells derived from neural progenitor cells (NPCs). The development and molecular signature of NPC derived GBMs were analyzed and the therapeutic effect of blocking CXCL12 was tested.

Experimental Design—Tumors were induced by injecting DNA into the lateral ventricle of neonatal mice, using the Sleeping Beauty transposase method. Histology and expression of GSLC markers were analyzed during disease progression. Survival upon treatment with pharmacologic (Plerixafor) or genetic inhibition of CXCR4 was analyzed. Primary neurospheres were generated and analyzed for proliferation, apoptosis and expression of proteins regulating survival and cell cycle progression.

Results—Tumors induced from NPCs display histological features of human GBM and express markers of GSLC. *In vivo*, inhibiting the CXCL12/CXCR4 signaling axis results in increased survival of tumor-bearing animals. *In vitro*, CXCR4 blockade induces apoptosis and inhibits cell cycle progression, downregulates molecules regulating survival and proliferation and also blocks the hypoxic-induction of HIF-1 α and CXCL12. Exogenous administration of CXCL12 rescues the drug-induced decrease in proliferation.

Conclusions—This study demonstrates that the CXCL12/CXCR4 axis operates in GBM cells under hypoxic stress via an autocrine positive feedback mechanism, which promotes survival and cell cycle progression. Our study brings new mechanistic insight and encourages further

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Conflict of interest

The authors declare that they have no conflict of interest or any financial interest linked to the data and statements presented in this manuscript.

exploration of the use of drugs blocking CXCL12 as adjuvant agents to target hypoxia-induced GBM progression, prevent resistance to treatment and recurrence of the disease.

Keywords

GBM progression; tumor microenvironment; CXCL12/CXCR4; Glioma Stem-Like Cells

Introduction

Major questions in the pathogenesis of glioblastoma (GBM) include: the identity of the cell of origin (1, 2) therapeutic targeting of glioma stem-like cells (GSLCs), which are resistant to chemo- and radiotherapy (3), responsible for promoting tumor vasculature (4, 5) and disease recurrence. Accumulating data support three possible cellular origins for GBM: astrocytes, neural stem cells (NSC) and oligodendrocyte precursors (2, 6). The hierarchical relationship between the cell(s) of origin and GSLCs is still unknown. Nonetheless, GSLCs share many characteristics of NSCs (7), including: high proliferative potential, association with blood vessels, telomerase activity (8, 9), high motility, diversity of progeny and similar expression profiles: Nestin, CD133 (10), Olig2 (11), integrin- α 6, CD15 (SSEA), L1CAM, A2B5 and Sox2.

In the postnatal mammalian brain, the largest pool of NSCs is present in the subventricular zone (SVZ) of the lateral ventricles and is represented by B cells, radial glia-like cells with astrocyte characteristics, giving rise to rapidly dividing intermediate progenitor cells (C cells), which generate migrating neuroblasts (A cells) (12) (Fig. 1A). A subset of B cells communicates directly with the CSF via a unique cilium (13), which passes medially in between ependymal cells. B cells extend a long process laterally, making contact with the vasculature of the SVZ (14). Within the tumor, GSLCs are found in specialized hypoxic microenvironments in close proximity to blood vessels or regions of necrosis, in which they proliferate and induce the formation of new blood vessels (15). Within the SVZ there is high expression of CXCL12 (16). In the context of GBM, CXCL12 is secreted in response to hypoxic stimuli (17) and localizes in regions of necrosis and proliferating micro-vessels (18). Hypoxia promotes proliferation and maintenance of GSLCs (19, 20) through induction of HIF1- α , which has also been shown to induce CXCL12 (17). CXCL12 is thus a chemokine present in the NSC as well as in the GSLC niche where it may regulate common features of both GSLCs and NSCs.

CXCL12 and its cognate signaling receptor: CXCR4, were first identified as regulators of hematopoiesis and lymphocyte trafficking (21) and also have critical roles in CNS development (22, 23). The CXCL12/CXCR4 axis modulates numerous physiological and pathological processes and promotes the survival, growth and metastasis of various cancers (24). In preclinical models of GBM, CXCR4 blockade has been shown to inhibit the growth of some human xenografts (25), to be responsible for neovascularization following radiation (26) and to promote migration of human GSLCs towards the SVZ (16), emphasizing the role of the CXCR4 receptor in glioma progression. Expression of CXCR4 is elevated in human high grade glioma, correlates with a more invasive phenotype of the disease and worse prognosis (27, 28). Levels of CXCR4 are also enhanced in human CD133+ GSLCs (29).

In a microarray screen of several glioma cells we identified CXCL12 to have highest expression in GBM cells derived from the malignant transformation of NPCs from the SVZ. In this study we demonstrate that NPC-derived GBMs recapitulate the histological features of human GBM, express CXCL12, markers of GSLCs and respond to treatment with the CXCR4 inhibitor Plerixafor, which increases survival of tumor-bearing animals. *In vitro*, Plerixafor induces apoptosis and decreases cell-cycle progression of GSLCs through a CXCL12 dependent autocrine positive feedback mechanism acting upon proteins downstream of several critical GBM signaling pathways regulating survival (pAkt, Bcl-XL) and cell-cycle progression (Cyclin D1, cdk4/cdk6). Importantly, we show that Plerixafor inhibits the hypoxic induction of HIF-1 α , in both mouse and human GBM cells. HIF-1 α plays a pivotal role in the maintenance of normal NSCs and cancer stem-like cells, induction of tumor angiogenesis, genomic instability, metabolic shift, survival, migration and metastasis (30). We propose that blocking the CXCL12 pathway can be therapeutically beneficial to target hypoxia-induced GBM progression.

Materials and Methods

Animals, generation of NPC-derived tumors and analysis by histology and immunohistochemistry

All animal protocols were approved by the University of Michigan Committee of Use and Care of Animals. NPC-derived tumors were generated and characterized by histology and immunohistochemistry as previously described (31) and elaborated in the Supplementary Methods. *In vivo* treatment with AMD3100 is detailed in the Supplementary Methods.

GBM cell lines

M7 (NRAS, SV-40LgT) and OL61 (shp53/PDGFB/NRAS) were a gift from Dr. John Ohlfest, Department of Pediatrics, University of Minnesota (3); they were not further authenticated by us. U87MG cells (ATCC® HTB-14™) were purchased from ATCC and were not further authenticated by us. U251 cells were a gift from Dr John L. Darling, Institute of Neurology, London, England; they have not been further authenticated by us. HF2303 cells were provided by Dr. Tom Mikkelsen (7) (Department of Neurology, Henry Ford Hospital, Detroit, MI) and were not further authenticated by us. M1, M4, M5 were generated as described (2) with NRAS and SV-40 LgT, characterized in this manuscript and were not further authenticated by us. Culture conditions are detailed in the Supplementary Methods.

***In vitro* analysis of apoptosis, proliferation and cell cycle progression, Western Blot Analysis and Quantitative Real-Time PCR** were performed using standard methods. Experimental details, antibodies and primers used are presented in the Supplementary Methods.

Statistical Analysis was performed using GraphPad Software with either ANOVA or t-test as specified in the figure legends. Kaplan-Meier survival curves were analyzed using the Mantel-Cox log-rank test.

Results

Gliomas induced by transforming NPCs in neonatal mice with oncogenic DNA express markers characteristic of NSCs and GSLCs

The SVZ of the postnatal mammalian brain is the home of a population of NSCs, which continue to proliferate and generate new neurons, astrocytes and oligodendrocytes throughout life (12, 32). NSCs give rise to intermediate progenitor cells (type C), a subset of which expresses bHLH, a transcription factor for Olig2. Expression of Olig2 is prominent in oligodendrogliomas and also in GBM (33). Olig2 regulates proliferation of NPCs and of CD133+ GSC (11). We made use of the proliferating capacity of NSCs and of the Sleeping Beauty transposase system (31, 34) to generate endogenous GBMs by injecting oncogenic DNA (NRAS and SV40-LgT) into the lateral ventricle of one day old (P1) CXCL12^{dsRed} knock-in mice (35), which express dsRed under the control of the CXCL12 promoter, and analyzed the expression of CXCL12, Nestin, GFAP and Olig 2 over the course of tumor progression. Ten days after injection (10dpi), transformed cells, identified by expression of SV-40 Large-T (LgT), are present as small proliferating clusters in regions bordering the lateral ventricles (Fig.1) associated (Fig.1D) or not (Fig.1C) with blood vessels expressing CXCL12. These cells express Nestin (Fig.1 N–P) as well as GFAP (Fig.1 K–M) and Olig2 (Fig.1 H–J) and some cells, notably the ones in the proximity of endothelial cells express CXCL12 (Fig.1 E–G).

At 19dpi several larger tumors developed, some still in contact with the lateral ventricles (S. 1 B and G) and some within the brain parenchyma (S.1 F and H). At this time, all transformed cells still express Nestin (S.1 F) and Olig2 (S.1 H) whereas only some of the cells within the tumor area express GFAP (S.1 G). The most intense expression of GFAP is noted in the brain surrounding the tumor, an area of reactive astrogliosis (S.1 G and Fig.1 EE–GG). In tumors from moribund animals, transformed cells continue to express Nestin (Fig.1 AA–DD), Olig2 (Fig.1 HH–JJ); some of the Nestin+ and LgT + cells also express CXCL12 (Fig.1 AA–DD). Notably, at this stage, tumor cells have lost GFAP expression (Fig.1 EE–GG).

Mature tumors also harbor the histological hallmarks of human GBM: large multinucleated transformed cells (S.2 a), atypical mitoses (S.2 f), vascular proliferation (S.2 b), pseudo-pallisading necrosis (S.2 c.), perivascular and diffuse invasion (S.2 d,e) and hemorrhages (S. 2 e). These tumors are devoid of patent vascularization, as evidenced upon injection with fluorescent dextrans showing that, blood vessels within the tumor, lose the glia limitans (GFAP=magenta) and balloon into large cavities with leaky endothelia through which the dextrans diffuse into the surrounding tissue (S.2 B).

Functional evidence of GSC identity is the ability to generate tumors upon intracranial transplantation which recapitulate the cellular heterogeneity present in the parental tumor (36). We have shown that Sleeping Beauty derived cells self-renew in culture and grow as neurospheres in serum free conditions (31, 37) and hereby demonstrate that newly generated neurospheres implanted orthotopically in mice generate aggressive tumors which recapitulate molecular and histological features of the original tumor and render animals moribund in less than 30 days (S.3 A). In addition, neurospheres generated from these

tumors express on their surface the GSC marker, CD133 (prominin) (S.3 B), the first marker used to identify GSCs in human GBM (38); widely employed to isolate GSCs from human GBM.

Ependymal cells, the cells lining the lateral ventricles, equipped with motile cilia that contribute to the flow of CSF, are less likely to take up the injected plasmids as they do not proliferate postnatally (39). To test if ependymal cells are transformed in the present model, we analyzed expression of Myosin-VIIa, an actin binding molecular motor protein, in relation to GFP-expressing cells transduced with transposons encoding a short hairpin p53-GFP, PDGF and NRAS(SPN) (34). We show that transformed tumor cells do not express Myosin-VIIa, and are thus unlikely to originate from ependymal cells, are immediately adjacent to them and display radial glia morphology (S.3 C). Since we cannot distinguish if these cells are NSCs (type B) or transient amplifying cells (type C), we conclude that, in this model, tumors are induced through the transformation of neural progenitor cells (NPCs) and that transformed cells harbor molecular and functional characteristics of GSLC.

The pleiotropic chemokine CXCL12 and its cognate receptor CXCR4 are expressed in multiple cell types within the tumor and normal brain

Accumulating data highlight the importance of secreted factors within the GSC niche in maintaining the identity and malignancy of GSLCs (40). To identify chemokines secreted by NPC derived GBM cells we analyzed gene expression in **M7** neurospheres, generated with NRAS and SV-40 LgT (34) and compared it to two other GBM cell lines: **GL26**, generated from intra-cranial tumors chemically induced with methyl-cholantrene, propagated in animals and in culture for more than half a century (41) and a cell line derived from GL26 cells (**GL26A1**), modified to express shp53, NRAS and PDGF (SPN), genetic modifications relevant for human GBM. Details of this microarray experiment are described in the Supplementary Methods. This analysis yielded 5866 probe sets differentially expressed between M7 and GL26, 5270 between M7 and GL26A1 and 470 between GL26A1 and GL26 (Supplementary Table 1). Several chemokines showed highest expression in the M7 cells, notably CCL2, CXCL1, CCL7 and CXCL12 (Supplementary Figure S.4 A). We validated these changes in expression with PCR and QPCR (S.4 B, C). Increased expression of CXCL12 in NPC-derived GBM cells was of interest, since this chemokine has been shown to regulate neural and hematopoietic stem cell niches (16, 35).

We analyzed by QPCR expression of CXCL12 and of its cognate receptor CXCR4 in dissected tissue from either the tumor or normal brain of moribund tumor -bearing animals with newly generated tumors induced with either NRAS/LgT or SPN (S.4 D). Data show that expression of *cxcl12* is higher in the tumor and brain from animals with NRAS/LgT induced tumors, compared to SPN induced tumors (S.4 D). This could reflect the developmental decrease in expression of this cytokine (42), as NRAS/LgT injected animals become moribund within their first month of life. We have previously shown that SPN injected animals have a median survival (MS) of 62.5 days, twice as long as NRAS/LgT (MS=30 days) (31). Expression of *cxcl12*, on a per cell basis, is similar between the tumor and the brain, however considering the high cellularity of the tumor area, we reason that overall, expression of *cxcl12* is higher in tumor bearing animals. Expression of *cxcr4* is

increased in the tumor compared to the normal brain (S.4 D). IHC revealed high expression of CXCR4 within the numerous tumor infiltrating mononuclear cells identified with CD45 (S.4 E). QPCR analysis showed maximal expression of *cxcr4* in immune infiltrating myeloid cells (CD45⁺CD11b⁺Gr1⁺) (S.4 F), indicating that the observed increase of *cxcr4* is likely due to the increase in immune infiltrating cells. Analysis of CXCR7, the other receptor which can bind CXCL12 (43), revealed expression 20–30 fold lower than CXCR4 in NPC derived tumor cells (data not shown), reason for which we focused our further analyses solely on CXCR4.

Immuno-histochemical analysis of CXCL12 and CXCR4 showed that both the chemokine and its receptor are ubiquitously expressed within the tumor and normal brain (Fig.2). CXCL12 is expressed in multiple cells including neurons and astrocytes within the cortex and the hippocampus (Fig.2 Bb and Cc), in vascular endothelial cells in the normal brain and tumor (Fig.2 D, F). High expression of CXCL12 is found within areas in the center of the tumor, possibly areas of hypoxia (Fig.2 F, G), as well as in invading streams of tumor cells at the tumor border (Fig. 2 D, E). CXCR4 expression is predominant in vascular endothelial cells within the normal brain and tumor (Fig.2 BBbb, EEee) and also in regions of necrosis, highly infiltrated with immune cells (Fig. 2 EEee, FFff, GGgg). Expression of CXCR4 is also found in neurons of the hippocampus and large multinucleated tumor cells, some of which come in contact with CXCR4 expressing monocytes (Fig. 2 DDdd).

Taken together this data illustrates the ubiquitous presence of both CXCL12 and CXCR4 within the tumor and the brain, expression congruent with the pleiotropic functions of this signaling axis, involved in development, chemotaxis, migration, proliferation and survival of neurons, glia, tumor and hematopoietic cells (44, 45).

Treatment of NPC derived GBM neurospheres with the CXCR4 antagonist: AMD3100 induces apoptosis and decreased cell cycle progression

It was reported that AMD3100 (Plerixafor) inhibits proliferation and induces apoptosis of U87MG human GBM cells *in vitro* and *in vivo* (25). Another study using U251 and also U87MG human GBM cells demonstrated that AMD3100 by itself had no effect on the initial tumor progression, however it improved survival of tumor bearing animals by inhibiting the infiltration of CD11b⁺ cells into the radiated tumor bed and prevented tumor recurrence, attributed to decreased vasculogenesis after irradiation (26).

To test whether AMD3100 modulates cell death in primary NPC-derived GBM cells, we analyzed apoptosis in a N/LgT cell line (M7) over a time-course of 5 days. Results show an increase in early apoptosis after 72h and 120h of treatment (Fig. 3A). Proliferation analysis, in 3 different N/LgT (**M1, M4 and M7**) and shp53-NRAS-PDGF (**OL61**) neurosphere cultures demonstrate that blocking CXCR4 markedly inhibits their proliferation upon prolonged exposure to the drug (more than 72h) (Fig. 3B). AMD3100 treatment showed a consistent decrease in proliferation in all neurosphere cultures tested, ranging between 34–59% of control after 96h of treatment and 23–53% at 120h (Supplementary Table 2).

Cell-cycle analysis was performed on 3 different N/LgT neurosphere cultures and results show that AMD3100 significantly reduced the number of cells entering S-phase in all three

neurosphere cultures tested (Fig. 3C), indicating that blocking CXCR4 inhibits cell-cycle progression retaining most of the cells in G2/M.

The tumor suppressor retinoblastoma (Rb) pathway is altered in more than 70% of human GBMs (46). Decreased Rb function due to mutations, alterations in the Rb pathway or due to the binding and inactivation of Rb by transforming proteins, like the SV40 LgT (47), leads to the release of inhibition of the E2F transcription factors and subsequent cell-cycle progression and predisposition to malignancies (48). We analyzed the expression of Rb and phosphorylated Rb^{S807/811} in lysates from M1 and M7 cells treated or not with AMD3100 over a time-course of 120h. We show that AMD3100 elicited a decrease in Rb and pRb after 72h and 120 h time points (Fig. 4A), which correspond with increased apoptosis in the NPC derived GBM cells (Fig. 3 A).

Akt is a serine/threonine signaling kinase downstream of RTKs, which signal through PI3K to promote cellular growth and survival. Large scale genomic analyses of GBM have shown increased activation of Akt, as evidenced by increased levels of phosphorylated Akt (pAkt) in the majority of GBM samples and cells (49), this being attributed to both over-activation of multiple RTKs (EGFR, PDGFRA, FGFR1, VEGFR2) and also to the functional loss of the tumor suppressor Phosphatase and Tensin Homologue: PTEN, a strong negative regulator of Akt (50, 51). We analyzed expression of pAkt^{S476} in NPC-derived GBM neurospheres, and hereby show that Plerixafor decreased expression of pAkt^{S476} (Fig.4 A).

CXCL12 promotes survival after injury of cortical neurons by regulating the ratio of pro- and anti-apoptotic members of the Bcl-2 family of proteins (52). Also, resistance to TRAIL induced apoptosis in GBM has been linked to the increase in the anti-apoptotic protein Bcl-XL (53). Expression analysis of Bcl-XL following treatment with Plerixafor shows a decrease after 120h (Fig.4 A). These data suggests that Plerixafor promotes apoptosis of GBM cells through modulating the PI3K/PIP2, 3/Akt pathway, and also by inhibiting anti-apoptotic molecules of the Bcl-2 family, like Bcl-XL.

Expression of Cyclin D1 and of its binding partners: *cdk4* and *cdk6* were analyzed by Western blot and QPCR. Results demonstrate that Plerixafor inhibits transcription of cyclin D1, *cdk4* and *cdk6* (Fig.4 B), critical for cell cycle progression in early G1, but has no effect on the expression of cyclin E or p21 (data not shown).

CXCL12/CXCR4 signaling operates in NPC-derived GBM cells under hypoxic stress via an autocrine positive feedback loop

CXCL12 is induced by hypoxia following irradiation (17, 26) and this is thought to be caused by TGF β derived from glioma cells (54). Since we observed an anti-proliferative effect of AMD3100 only after prolonged exposure to the inhibitor, we analyzed changes in expression of *cxcl-12*, *cxcr-4*, *hif1- α* and *tgf- β* within these cells during the 5-day time-course. Results show that at 96h there is a ~6-fold increase of *cxcl-12*, which correlates with an increase in *hif1- α* and *tgf- β* (Fig.4 B). Expression of *cxcr4* does not change (data not shown). The increase in *tgf- β* and *cxcl12* is completely abrogated by the treatment with AMD3100, which had no effect on *hif1- α* mRNA. Furthermore, addition of exogenous CXCL12 partially rescued the decrease in proliferation induced by AMD3100, while it had

no additive effect on proliferation in the absence of AMD3100 (Fig.4C). These data suggest that the CXCL12/CXCR4 signaling pathway operates in NPC-derived GSLC cells via an autocrine feedback loop, regulating their survival and proliferation.

Neurosphere cultures derived from N/LgT tumors show similar molecular characteristics with human glioma cells

Infection with SV-40 is not considered to cause development of GBM; there are however reports showing expression of SV40 T antigen in GBM patients (55, 56). Nonetheless, the transforming actions of LgT result in many molecular changes encountered in human GBM. Also, activations of RTK/RAS/PI3K pathways are very commonly (88%) observed in human GBM (46). Activation of Ras has been used in preclinical models of GBM (37, 57–59) demonstrating that it represents a suitable genetic driver for induction of mouse GBM, which recapitulate the salient features of human disease. We hereby show that mouse GBM neurospheres induced with N/LgT exhibit similar molecular characteristics with human GBM cells.

Expression of several molecular players, known to be important in human GBM was compared in N/LgT derived neurospheres and in the human GBM cell lines: U251 and U87, commonly used in preclinical models of GBM and HF2303, a primary GSLC line derived from a gliosarcoma patient (60). pAkt^{S476} is elevated in N/LgT neurospheres when compared to primary mixed glia cells (MG) isolated from the brain of 3day old mice (Fig. 5A **left panel**). Similarly, pAkt^{S476} is increased in human glioma cells over normal human astrocytes (NHA) (Fig.5A **right panel**). GFAP-expression is low in N/LgT-derived neurospheres as well as in human glioma cells grown in serum free conditions. Expression of PTEN is absent in U251 glioma cells and reduced in U87, and also decreased in N/LgT-derived cells, whereas expression of the pERK1/2 is more variable when compared to MG and NHA respectively (Fig.5A).

Treatment with AMD3100 reduces the expression of HIF1 α in NPC- derived glioma neurospheres and human glioma cells

We analyzed the three human cell lines for their proliferation in the presence of AMD3100 and for their expression of CXCL12 and CXCR4. Results show that, AMD3100 decreased proliferation of the human U251 (Fig. 5B) and HF2303 GSCL cells (Fig. 5C) grown in serum-free media, after long term exposure to the inhibitor, albeit to a much lesser degree than the mouse NPC-derived neurospheres, and that it had no effect on the growth of U87 cells (data not shown). Both U251 and HF2303 cells express CXCL12 and CXCR4, whereas U87MG cells express CXCR4, but not CXCL12 (QPCR data, not shown).

We had shown that after 96h in culture *hif-1 α* mRNA (Fig. 4B) is increased in M7 cells which grow robustly and form large neurospheres. In contrast, U251 and HF2303 cultured in serum-free conditions grow slower, as a mixture of small neurospheres and adherent cells. To test if Plerixafor changes the expression of survival and cell cycle progression signaling molecules in human GBM cells, as we have shown for mouse NPC derived GBM cells (Fig. 4A), we employed a method to induce hypoxic stress with cobalt chloride (CoCl₂) in both mouse (M7) and human GBM cells cultured in serum-free conditions. We show that

incubation with CoCl_2 induces the expression of HIF-1 α in both mouse and human cells and this induction is strongly inhibited by the addition of Plerixafor in M7, U251 and also in HF2303 (Fig. 5D). Similar to the long-term time-course analysis in M7 cells, Plerixafor also inhibits pAkt, pRb, Rb, Cyclin D1 and Bcl-XL in M7 cells, and to a lesser degree in U251 cells (Fig. 5D).

Animals bearing *de novo* GBMs induced from NPCs treated with AMD31000 survive longer

To test whether treatment with Plerixafor is beneficial for tumor bearing animals we induced tumors with N/LgT in P1 day mice and monitored tumor formation with bioluminescence. At 21–24 days after injection (dpi), when macroscopic tumors developed, animals were implanted with osmotic pumps containing either saline or AMD3100 (15mg/kg/day). Animals were sacrificed after 5 days of treatment. Six hours prior to sacrifice, animals were pulsed with BrdU to analyze *in vivo* cell-cycle progression. Data show a decrease in BrdU uptake by tumor cells after 5 days of AMD3100 treatment. Analysis in tumor area, at the site of maximum tumor diameter, showed a decrease in size, which however didn't reach statistical significance, due likely to the variability of the tumors when using this model (Fig. 6 A). Tumors in animals treated with AMD3100 showed a decrease in spread, being mainly confined to one hemisphere (S. 5), indicating a possible role of CXCL12 in promoting invasion of these GBM cells. The MS of animals treated with AMD3100 osmotic pumps (53 days) was significantly increased ($p < 0.0001$, Log-rank) when compared with non-treated animals (30 days), indicating a slower progression of the disease with CXCR4 blockade (Fig.6B).

To ascertain that the phenotype observed is due to the signaling through CXCR4, we have also pheno-copied the *in vitro* and *in vivo* effects seen with AMD3100 treatment by two genetic means. First, we used the Cre/LoxP system, crossing mice expressing Cre recombinase under the control of the Nestin promoter, with mice which have the exon2 of the CXCR4 gene flanked by loxP sites. We injected neonatal animals from the Nestin-Cre/CXCR4^{loxP/loxP} \times CXCR4^{loxP/loxP} crosses with N/LgT, monitored tumor formation and sacrificed the animals at the first signs of tumor burden. Tumors were dissected, neurospheres generated and grown in serum free media to expand. QPCR analysis shows that Cre-expressing cells have lost expression of CXCR4 (S.6 Aa) and cell proliferation analysis demonstrates that these cells have a decreased growth rate and that the response to AMD3100 is abrogated (S.6 Ab, Ac).

Secondly, to determine the effect of CXCR4 knockdown on tumors generated with the Sleeping Beauty model shp53, NRAS and PDGF, we used a lentiviral-mediated transduction of two short hairpin RNAs targeted against CXCR4 (sh1 and sh2) and a scramble, non-target (NT) shRNA to modify the OL61 cells. QPCR and Western analysis show a reduction of 50% in the expression of CXCR4 in these cells (S. 6 Ba, b, c) and analysis of cell proliferation indicates a lower rate of proliferation and a decreased response to AMD3100 treatment when compared to the NT- shRNA transfected cells (OL61-NT) (S. 6 Bd, e). Furthermore, when orthotopically implanted into C57BL/6/J mice, OL61-sh2 cells generated tumors, significantly smaller in size when compared to OL61-NT at 10–11 days post-

injection (dpi), and animals bearing OL61-sh2 tumors exhibited longer survival than the ones implanted with OL61-NT (S.6 C).

Discussion

In this study we identified CXCL12 to have highest expression in the most aggressive tumors induced using the Sleeping Beauty model generated with NRAS and SV40 LgT (31). We show that CXCL12 regulates the survival and proliferation of NPC derived GSLC cells through an autocrine positive feedback loop initiated by hypoxic stimuli which also leads to enhanced expression of TGF β , a potent immune suppressor. Treatment with Plerixafor reduces both TGF β and CXCL12. TGF β has been proposed to induce expression of CXCL12, upstream of Hif-1 α when controlling migration of hematopoietic stem and progenitor cells towards glioma cells (17). In the GSLC model presented herein, long term treatment with Plerixafor reduces both expression of CXCL12 and TGF- β , suggesting that the crosstalk between TGF- β and CXCL12 may be more complex and that inhibition of CXCL12 also down-regulates TGF- β . Cyclin D1, cdk4 and cdk6 showed a similar pattern of expression with CXCL12 and TGF- β during the time-course of Plerixafor treatment linking these cell cycle regulating molecules to the autocrine signaling pathways downstream of CXCL12. Similarly, in malignant peripheral nerve sheath tumors, CXCL12 has been demonstrated to regulate expression of *cyclin D1* and *cdk4* and to a lesser extent of *cdk6*, and it has been shown that knockdown of CXCR4 prevents transition into S phase arresting most cells (~60%) in the G0/G1 phase of the cell cycle (61). Interestingly, treating GSCs with Plerixafor also inhibits their progression into S phase; however most cells (60–70%) are retained in G2/M (Fig.3C), suggesting an effect on microtubule dynamics during mitosis. In a model of treatment resistant pancreatic cancer, in which the last avenue of chemotherapy is represented by docetaxel (DTX), an agent which binds microtubules and causes G2/M mitotic arrest with subsequent induction of apoptosis, it has been shown that CXCL12 induces resistance to DTX by counteracting the DTX induced microtubule stabilization (62). The arrest in G2/M of GSCs cells following treatment with Plerixafor suggests that in these cells, blocking CXCR4, in addition to the effect on the transcription and translation of cell cycle regulating proteins, also inhibits cell cycle progression by stabilizing microtubules during mitosis. Paclitaxel, another member of the taxol family of microtubule stabilizing chemotherapeutic agents is currently being tested for the treatment of GBM in nanoparticle formulations with promising results (63). Combinatorial therapeutic approaches for GBM with taxol compounds and CXCR4 inhibitors may improve the efficacy of the drugs and prevent resistance to treatment by counteracting the deleterious effect of CXCL12 on microtubule reorganization.

The present study also provides evidence that blocking CXCR4 induces apoptosis of NPC derived GBM neurospheres and this correlates with a decrease in pAkt, Rb and Bcl-XL. The decrease in Rb was surprising as it was accompanied with a decreased cell cycle progression, usually associated with increased Rb. An important tumor suppressive function of Rb is thought to be maintaining the quiescence in stem cells, which is necessary for tissue homeostasis (64). In our study, in GBM cells under hypoxic conditions treated with Plerixafor, we observe a decrease in proliferation and a downregulation of the cell cycle regulatory proteins Cyclin D1, cdk4 and cdk6, accompanied by a decrease in Rb and pRb.

One possibility is represented by a role of Rb in the survival of NPC derived GBM neurospheres under hypoxic stress, similar to its role in promoting neuronal survival under toxic stress (65). Given that loss of Rb function is present in human GBM, hindering the ability of some pharmacological cell cycle inhibitors to inhibit proliferation (66), the availability of drugs which can inhibit the cell cycle progression independent of Rb would be a useful addition to the anti-glioma therapeutic toolbox.

In addition, we demonstrate that treatment with Plerixafor inhibits the hypoxic induction of HIF1- α in mouse and human GBM cells. It is known that hypoxia plays a pivotal role in the pathogenesis of cancers inducing angiogenesis, genomic instability, metabolic shift, survival, migration and metastasis, these effects being mainly controlled by the increase of HIF-1 α (30). Hypoxic conditions maintain GSCs and promote the cancer stem cell phenotype (67). Our data, showing inhibition of HIF1- α when blocking CXCR4 supports the existence of an autocrine CXCL12/CXCR4 signaling pathway within GBM cells regulating their response to hypoxic stress, bringing new mechanistic insights on the importance of this signaling axis in GBM pathogenesis.

Treatment of GBM bearing animals with AMD3100 alone did not yield long-term survivors in this very aggressive tumor model, which recapitulates many of the salient features of the human GBM, including heterogeneity of tumors with respect to their size and location, regions of hemorrhage and necrosis, as well as vascular proliferation with the formation of glomeruloid blood vessels with poor blood perfusion. In this model we show that, as the tumors increase in size, blood vessels entering the tumor balloon into large cavities with leaky endothelia (S.2 B). The interior of the tumor remains poorly perfused. Thus, tumor cells located in these regions may not be exposed to the drug. Also, as these tumors are highly invasive (S.2 A); tumor cells migrate and escape the hypoxic environment. These limitations related to drug bioavailability and characteristics of the tumor microenvironment, in which the drug is effective, need to be considered when testing agents for the treatment of GBM. Our study brings new mechanistic insight and encourages further exploration of the use of drugs blocking CXCL12 signaling as adjuvant agents to target hypoxia-induced GBM progression, prevent resistance to treatment and recurrence of the disease.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Statement of translational relevance

Treatment failure in glioblastoma (GBM) patients is thought to be due to the heterogeneous nature of the disease, the presence of the blood brain barrier, the lack of efficacious targeted chemotherapeutics and the persistence of glioma stem-like cells (GSLCs). This study demonstrates that, in Neural Progenitor Cells-derived GBM cells under hypoxic conditions, CXCL12/CXCR4 signaling elicits an autocrine positive feedback mechanism, which promotes survival and cell cycle progression. Our study brings new mechanistic insights which warrant the use of drugs blocking CXCL12 as adjuvant agents to target hypoxia-induced GBM progression, prevent resistance to treatment and recurrence of the disease.

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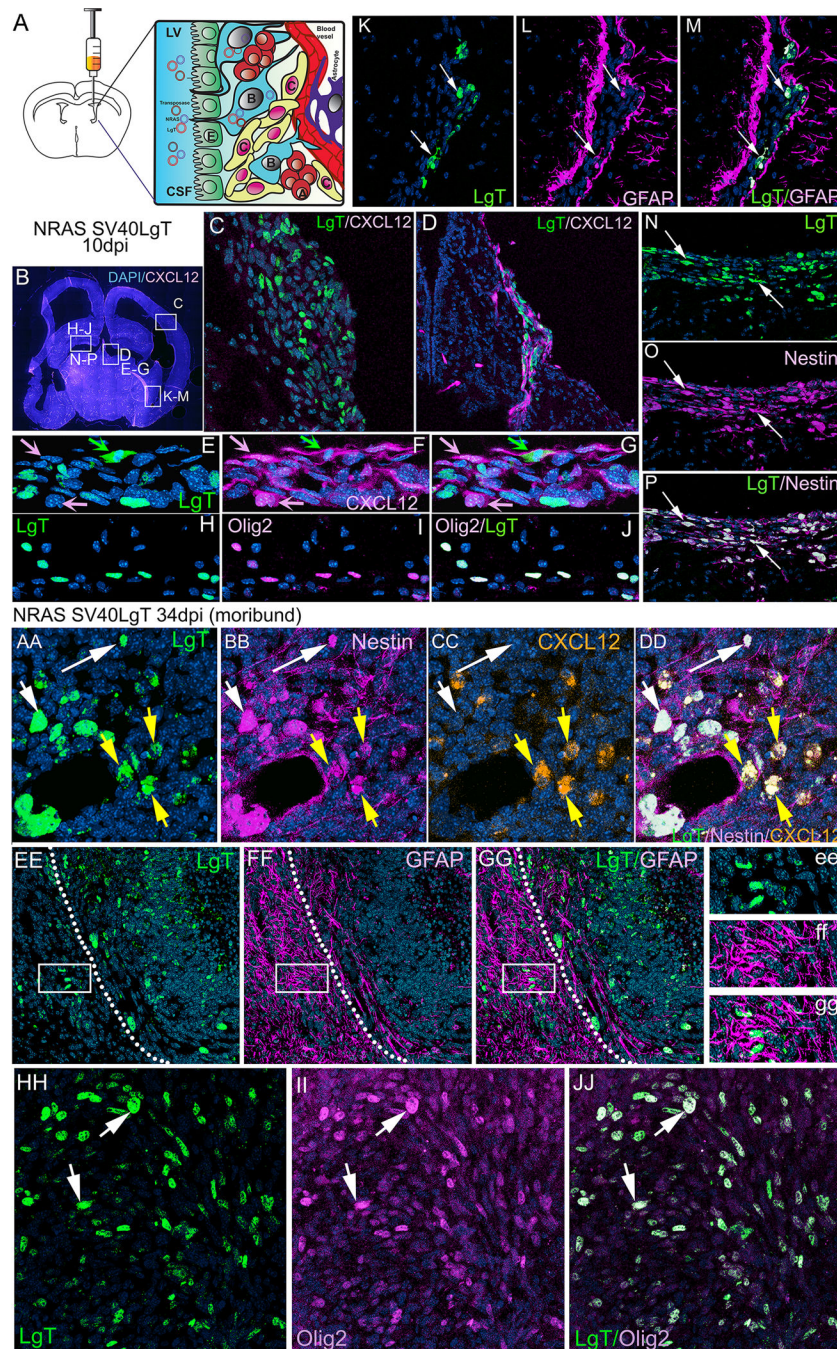


Figure 1. Gliomas induced by transforming NPCs in neonatal mice with oncogenic DNA express markers characteristic of neural stem cells and glioma stem-like cells: Nestin, GFAP and Olig2
A. Schematic representation of the method used to induce NSC derived GBM. Plasmids encoding the Sleeping Beauty transposase and oncogenic DNA, flanked by transposable elements, are injected into the lateral ventricle of postnatal day one mice. The DNA is taken up by neural stem cells (**B** cells), in direct contact with the lateral ventricle through their cilium, which protrudes in between the ependymal cells (**E**) lining the ventricle. Normally, B cells will give rise to transient amplifying cells (**C** cells) which then differentiate into

migrating neuroblasts (**A cells**). Uptake and transposon mediated genomic integration of oncogenic DNA into NSC cells gives rise to transformation of proliferating C cells into tumor cells and their guided division and migration alongside blood vessels (**D**) or diffusely through the forebrain parenchyma (**C**) to generate tumors with GBM characteristics. **B.10 days post injection (dpi)** a coronal section through the brain of an 11 day old mouse (CXCL12^{dsRed}) injected at P1 with NRAS and SV-40 LgT. White rectangles represent areas depicted in panels **C, D, E-G, H-J, K-M** and **N-P**. **C**. Region of diffuse tumor cell proliferation ventral to the cortex and bordering the enlarged ventricle shows expression of LgT in transformed cells invading into the brain parenchyma. **D**. Region of tumor cell migration (green, LgT positive) alongside CXCL12 positive endothelial cells (magenta), close to the midline choroidal plexus in the lateral ventricle. Some cells are double positive for CXCL12 and LgT. **E-G**. Enlarged area from panel **D** illustrating co-localization of LgT (green) and CXCL12 (magenta) in some cells (green arrow), whereas most CXCL12 positive cells (vascular endothelial cells) do not express LgT (pink arrows). **H-J** Region bordering the lateral ventricle where LgT positive cells (green) are shown to express the glioma stem cell marker Olig2 (magenta). **K-M** Region of the lateral ventricle at the ventral interface between the hippocampus and cortex where LgT expressing tumor cells (green) are shown to co-express GFAP (white arrows). Visible to the left and right of the transformed cells are the rows of GFAP+ neural stem cells of the SVZ. **N-P**. Region of tumor cell proliferation ventral to the hippocampus illustrating co-localization of all LgT positive cells (green) with the NSC marker nestin (magenta) (white arrows). Note the stream of tumor cells invading away from the ventricle into the brain parenchyma. The nuclear stain DAPI (blue) was used in all panels.

AA-NN. After 19 days NRAS and SV40-LgT transformed cells proliferated to form several incipient tumors aggregated alongside ventricles (**BB-EE, II-KK**) or within the septal region (**FF-HH**) and continue to express Nestin, GFAP and Olig2. **AA-JJ Tumor cells induced with NRAS and SV40-LgT from moribund animal (34dpi) continue to express the neural stem cell marker Nestin and the glioma stem cell marker Olig2 but lose expression of GFAP**. **AA-DD**. Magnified view of a region within the core of the tumor showing LgT positive cells (green) expressing Nestin (magenta) and some cells also express CXCL12 (orange). White arrows in **AA-DD** point to cells co-expressing LgT and Nestin, whereas yellow arrows point to cells expressing all three markers: LgT, Nestin and CXCL2. Panels **EE-GG** illustrate a region at the margin of the tumor (dotted white line) showing extensive staining with GFAP outside the tumor border (magenta), indicative of a massive reactive gliosis. LgT positive cells (green) do not express GFAP, not even the invasive cells migrating outwards from the tumor (white rectangle in **E-G** and **ee-gg**). **HH-JJ**. Tumor cells from moribund animals (LgT+ green) continue to express the glioma stem cell marker Olig2 (magenta). All LgT+ cells are Olig 2 positive.

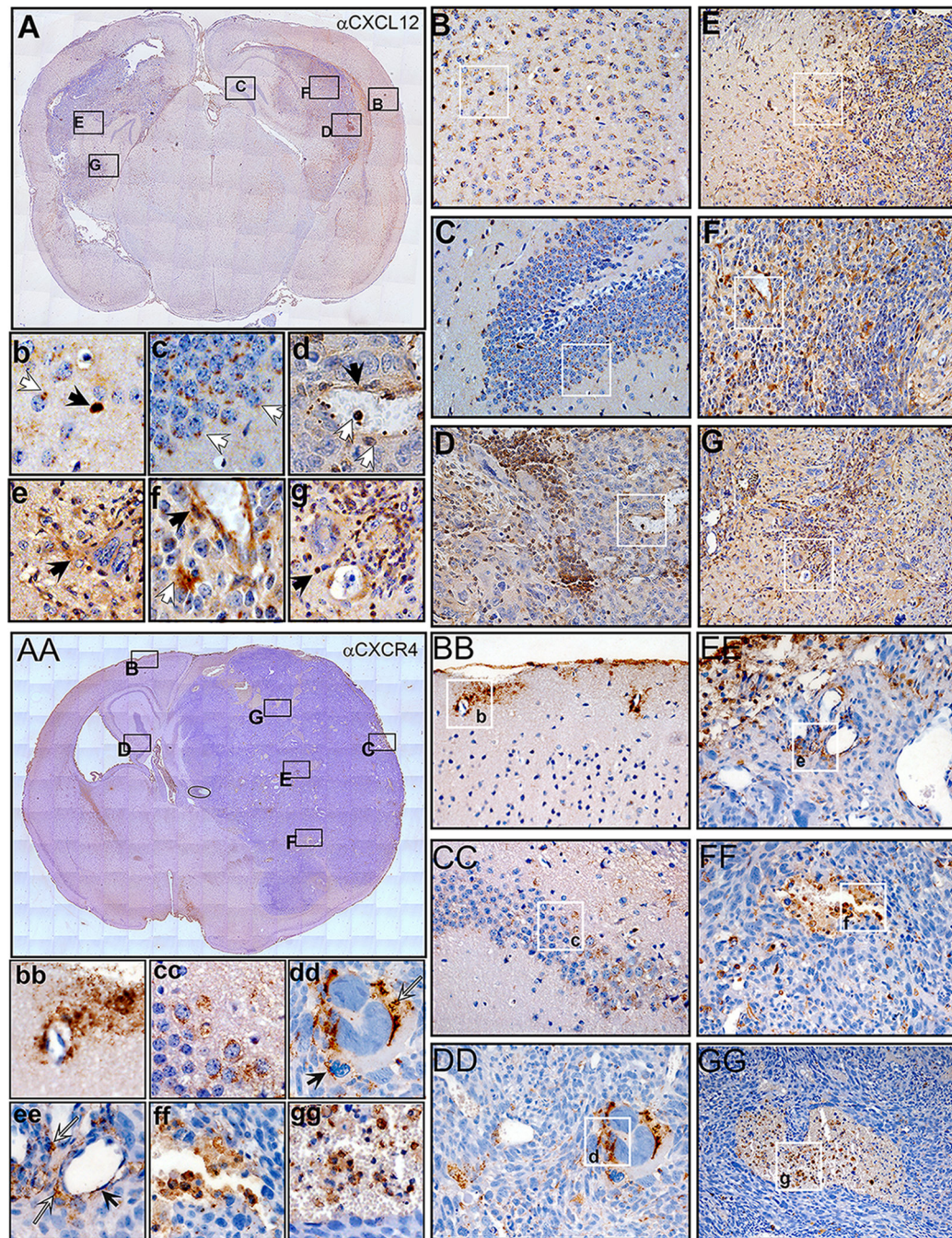


Figure 2. CXCL12 and CXCR4 are expressed in multiple cell types within the tumor and normal brain

A–G Immunohistochemistry for CXCL12. **A.** Coronal section through the brain of a mouse harboring a tumor induced with NRAS and SV40 LgT. Rectangles represent areas depicted in the larger panels: B-cortical region, C-hippocampus, D and F tumor core, E and G tumor margin. **B/b** In the cortex neurons (white arrow in b) and glia (black arrow in b) express low and high levels of CXCL12 respectively. **C/c** In the hippocampus granule cells show polarized expression of CXCL12. **D/d** within the tumor CXCL12 is present in

endothelial cells black arrow in **d and f**, in infiltrating immune cells (white arrows in **d**) and in invasive streams of tumor cells (**D and E/e**). Regions within the tumor show cells with high expression of CXCL12 (**D, G**, white arrow in **f**, black arrow in **g**). **AA–GG**

Immunohistochemistry for CXCR4. AA. Coronal section through the brain of a moribund mouse harboring a tumor induced with NRAS and SV40LgT. Rectangles represent areas depicted in the larger panels: BB-cortical region, CC- hippocampus, DD, FF, and GG tumor core and EE the brain and tumor margin. **BB/bb.** In the cortex expression of CXCR4 is mainly evident within and surrounding vascular walls. **CC/cc.** In the hippocampus granule cells show low expression of CXCR4. **DD/dd.** Within the tumor CXCR4 is present in some tumor cells and in tumor infiltrating mononuclear cells. White arrow (**dd**) points to a large multinucleated tumor cell expressing CXCR4 and black arrow (**dd**) points to a CXCR4 expressing monocyte/macrophage. **EE/ee.** At the edge of the tumor and brain enlarged vessels show expression of CXCR4 within the endothelia (black arrow in **ee**) and also in tumor infiltrating immune cells (white arrows in **ee**). **FF/ff. and GG/gg.** Within the tumor core enlarged vessels turn into necrotic regions in which numerous immune infiltrating cells are expressing high levels of CXCR4.

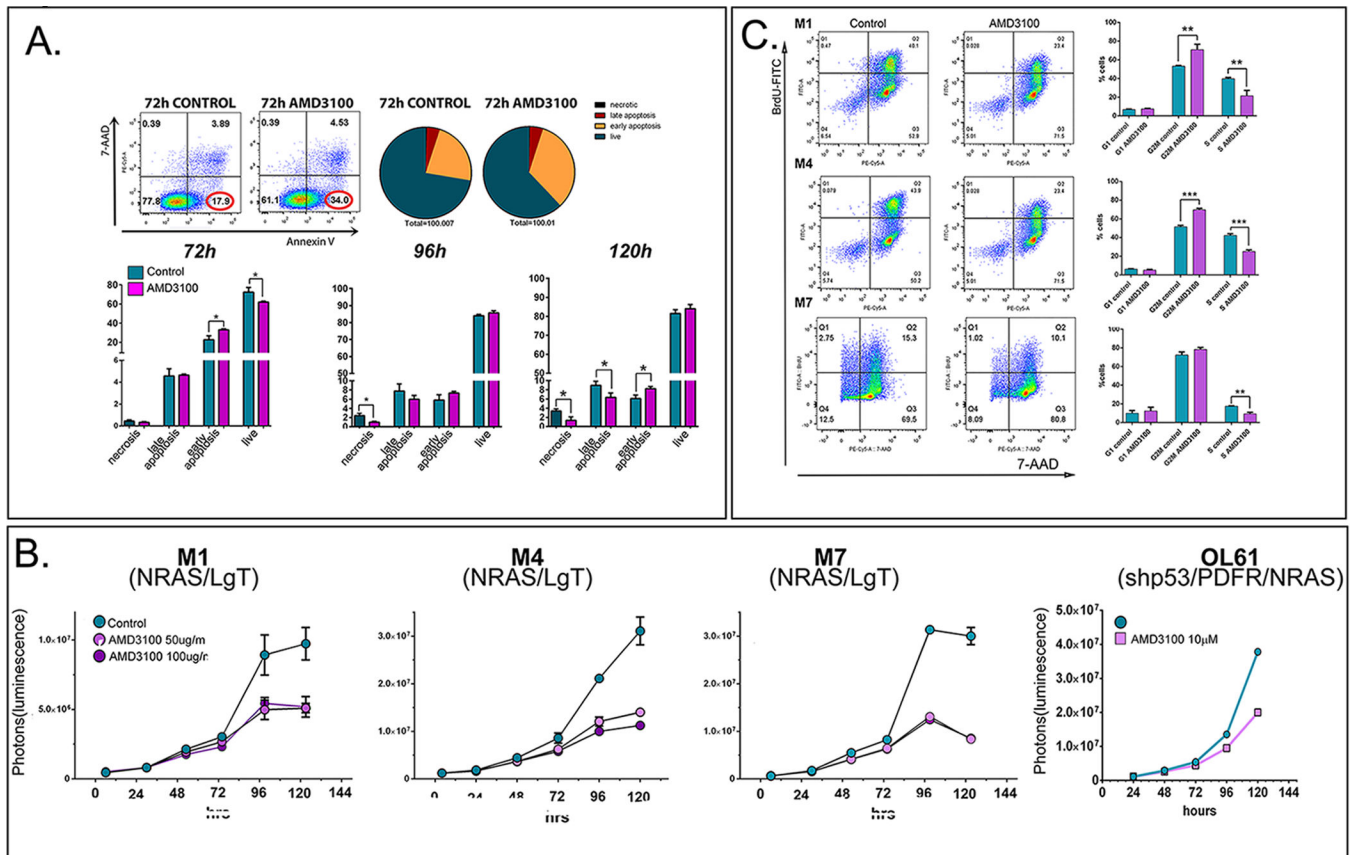


Figure 3. Treatment of NPC derived GSCs with AMD3100 (Plerixafor) induces apoptosis, decrease in cell proliferation and arrests cells in the G2M phase of the cell cycle

A. Representative dot-plots of M7 (NRAS/LgT) cells treated or not with AMD3100 for 72h and stained with Annexin V- FITC (horizontal axis) and the fluorescent DNA dye 7-amino-actinomycin D (7-AAD, vertical axis). Pie-charts represent the quantitative distribution of cells within each treatment group: live cells (Annexin V negative and 7AAD negative, hunter-green), early apoptotic cells (Annexin V positive, 7-AAD negative, orange), late apoptosis (Annexin V positive, 7-AAD positive, red), necrotic (Annexin V negative, 7-AAD positive, black). Lower panels represent quantitative analysis of the distribution of live, necrotic and apoptotic cells during the 5 day treatment with AMD3100. **B.** Proliferation analysis of four different GBM cell lines induced with NRAS and LgT (M1, M4, M7) or shp53/PDGF/NRAS (OL61) during a time-course of 120 hours treated or not with AMD3100. **C.** Cell cycle analysis of M1, M4 and M7 after 96h of treatment with AMD3100 and a one-hour pulse of BrdU. Cells were stained for BrdU-FITC and 7-AAD and analyzed by flow-cytometry. Representative dot plots are presented to the left and quantitative analysis of cell cycle phase distribution is presented to the right (G1: BrdU negative, 7-AAD low, G2/M: BrdU negative, 7-AAD high, and S phase: BrdU positive) (unpaired, two-tailed t-test * p<0.05, ** p<0.01, *** p<0.001).

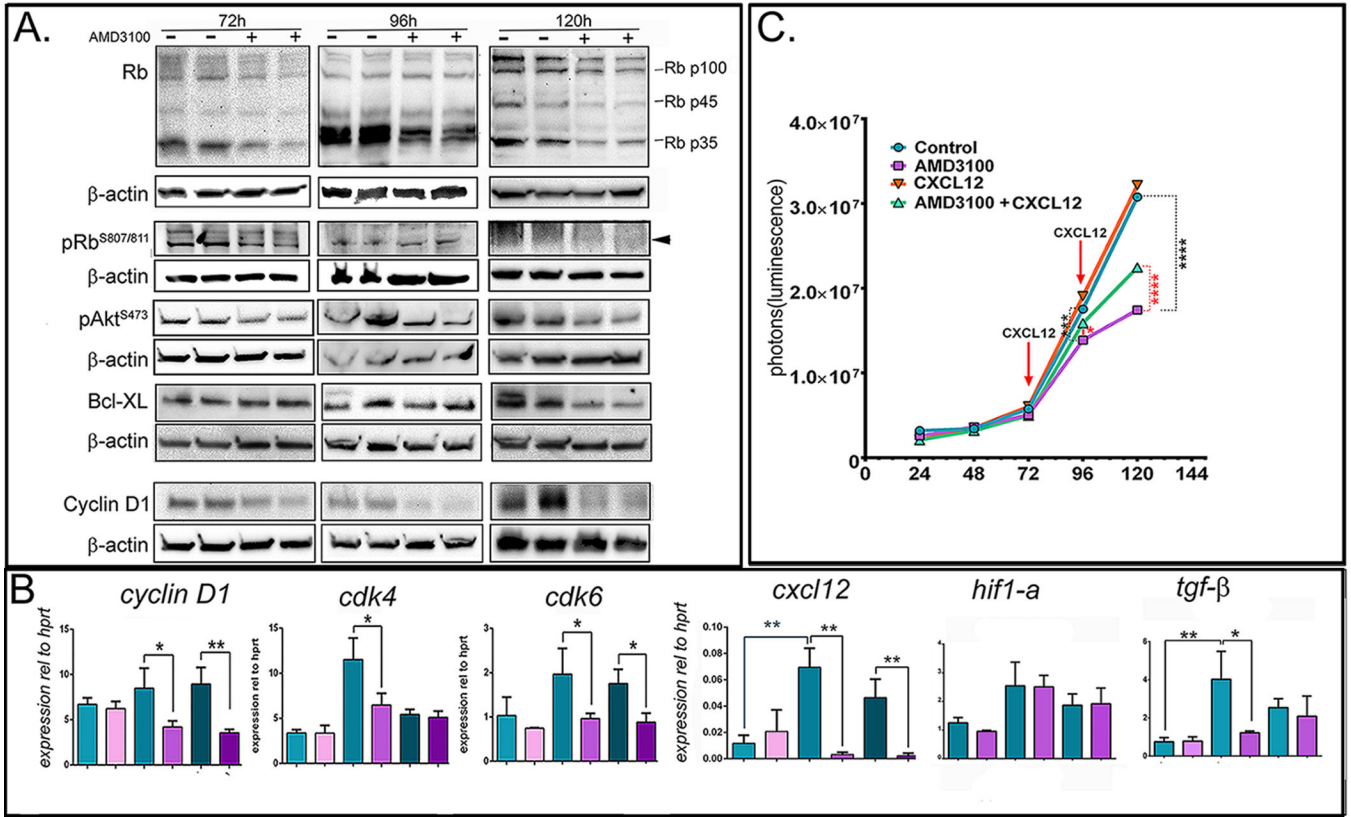


Figure 4. Treatment of NRAS/LgT derived GBM cells with AMD3100 (Plerixafor)

A. Western blot analysis of M7 (NRAS/LgT) cells probing with antibodies against retinoblastoma (Rb and phospho-Rb^{S807/811}), pAkt, Bcl-XL and Cyclin D1 during a 5 day time-course of AMD3100 treatment. Lanes represent duplicate treatment flasks with (+) or without (-) AMD3100. β -actin was used as a loading control. **B.** QPCR analysis of gene expression in cells treated or not with AMD3100, during a 5 day time-course, to analyze expression of *cyclin D1*, *cdk4* and *cdk6*, *cxcl12*, *hif1- α* and *tgf- β* represented graphically as relative expression compared to *hprt*. Error bars represent SEM of triplicate treatment wells. **C.** Cell proliferation analysis of M7 (NRAS/LgT) cells treated or not with AMD3100 (10 μ M) and CXCL12 (20nM) showing that exogenous CXCL12 rescues the AMD3100 decrease in proliferation, but does not increase proliferation in the absence of AMD3100. Statistical test: two-way ANOVA with Boferroni correction for multiple comparisons. (* p<0.05, ** p<0.01, *** p<0.001, **** p<0.0001).

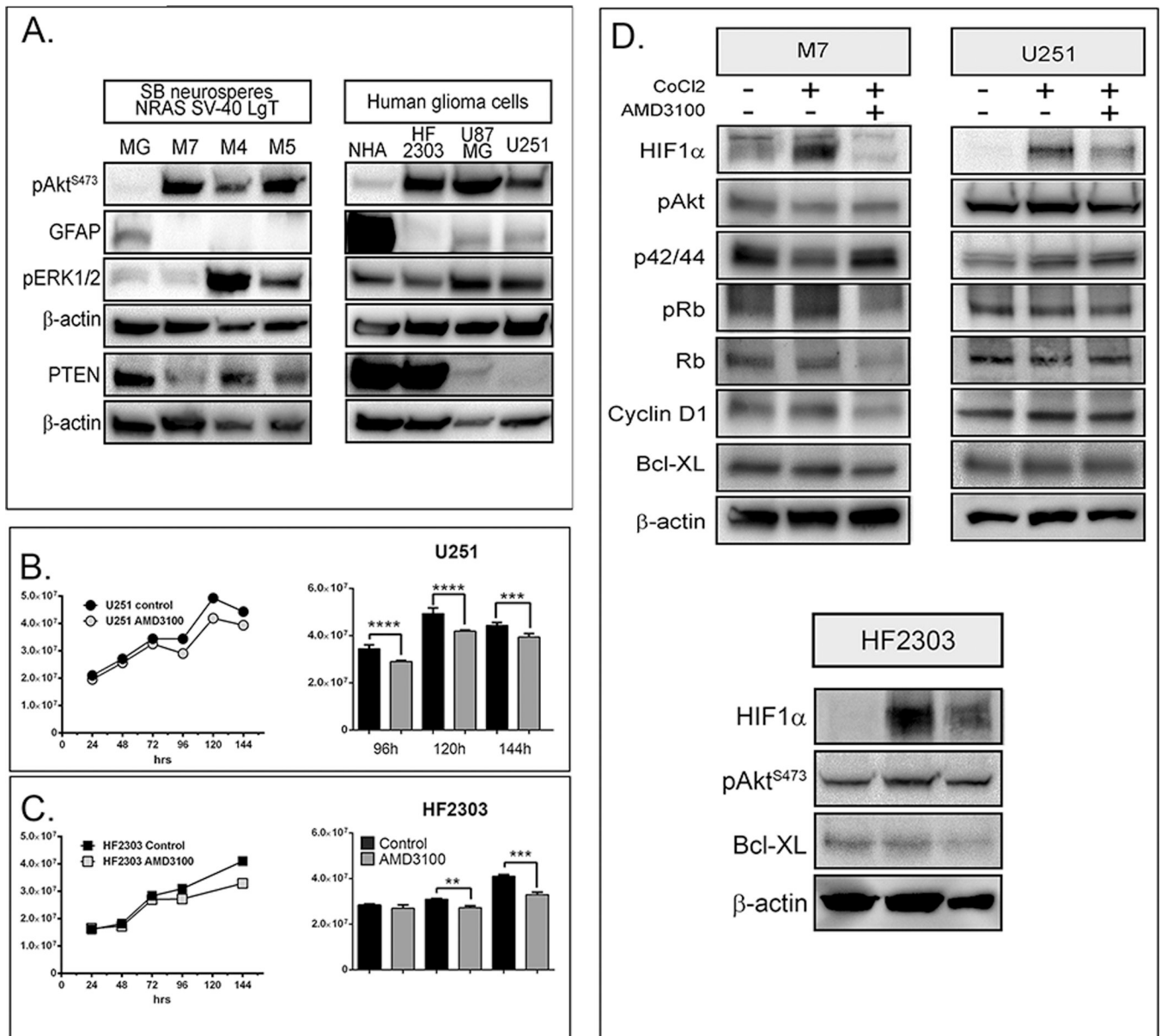


Figure 5. A. Neurosphere cultures derived from NRAS/LgT tumors show similar molecular characteristics with human glioma cell lines

Left panel represents a Western Blot analysis showing expression of pAkt^{S473}, GFAP, pERK1/2, PTEN and β-actin in NRAS/LgT NPC derived GBM neurospheres (M7, M4, M5) and mixed glia (MG) isolated from the brain of postnatal day 3 mice. Right panel represents a Western blot analysis of the same proteins in human GBM cells (HF2303, U87MG and U251) compared to normal human astrocytes (NHA). **B. and C. AMD3100 decreases proliferation of human GBM cells after long term culture in NSC media.** Growth curves of U251 (**B**) and HF2303 (**C**) human glioma cells and bar-graph comparisons in control and AMD3100 (10μM) treated cells over a 6 day (144h) time-course. Significant differences as analyzed by two-way ANOVA with Boferronni correction for multiple comparisons are represented by asterix (** p<0.01, *** p<0.001, **** p<0.0001) **D. Top panel: Treatment**

with AMD3100 reduces the expression of HIF-1 α in M7 neurospheres and human glioma cells U251. Western Blot analysis of M7 and U251 GBM cells after treated or not with CoCl₂ and AMD3100 for 2h showing expression of pAkt, p42/44, pRb, Rb, CyclinD1, Bcl-XL and β -actin. **Bottom panel:** treatment with AMD3100 reduces the expression of Hif-1 α , pAKT and Bcl-XL in human HF2303 cells.

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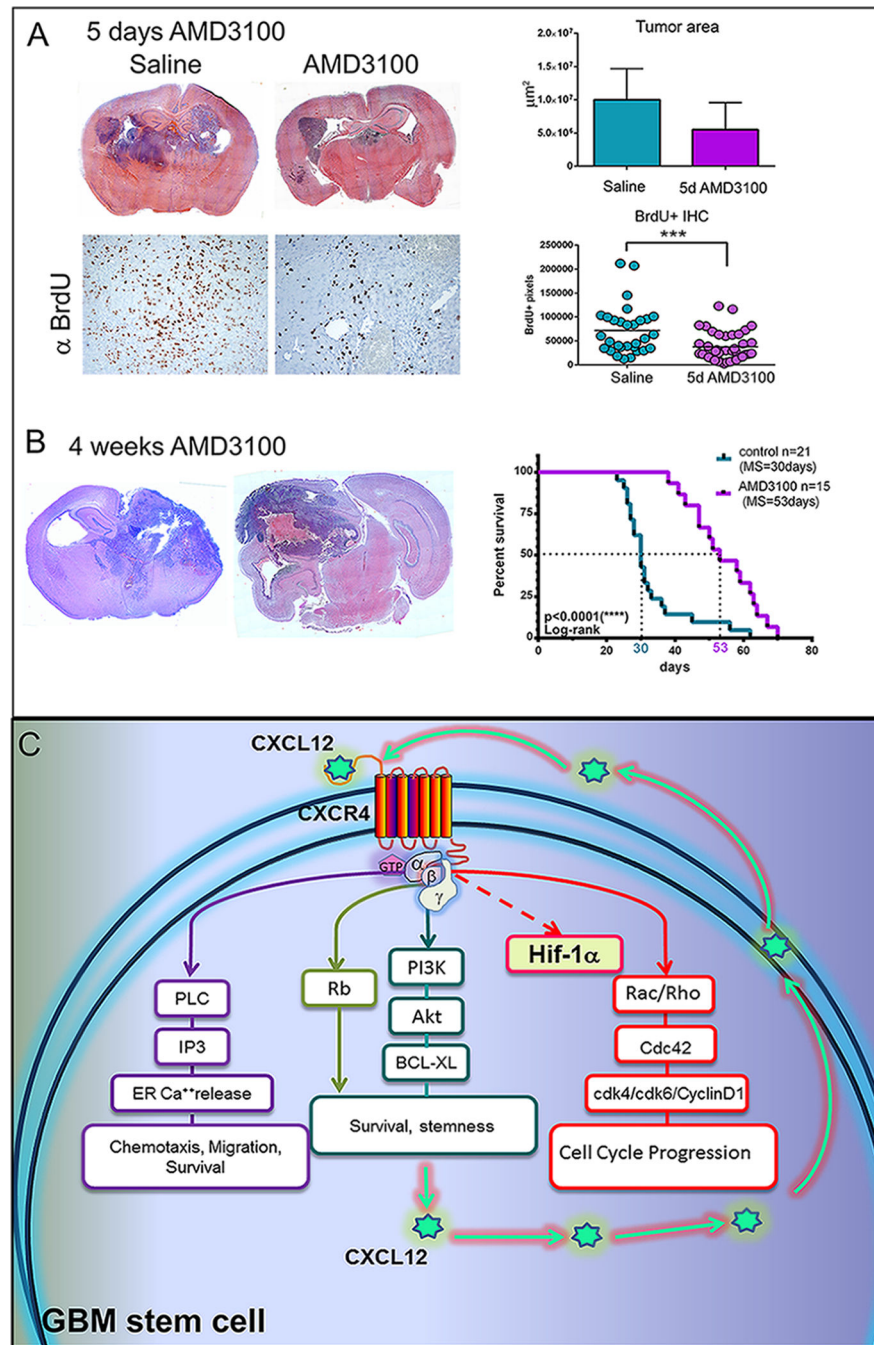


Figure 6. A. Treatment of tumor-bearing mice with AMD3100 increases their survival and decreases cell cycle progression of tumor cells

Upper panel illustrates hematoxylin and eosin stained coronal brain sections from animals treated for 5 days with saline or AMD3100 and quantitation of tumor areas (S7) at their largest diameter (n=4 per group). Lower panel are representative micrographs of immunohistochemistry detecting BrdU and quantitation of BrdU uptake in the tumors from animals treated with saline or AMD3100 (10 images per animal taken at 20 \times magnification). Unpaired, two-tailed t-test was performed with GraphPad Prism (***)p<0.001). **B.**

Treatment with AMD3100 increases survival of animals bearing tumors induced with

NRAS and SV40LgT. To the left are representative coronal sections stained with hematoxylin and eosin from brains of moribund animals treated or not with AMD3100. Presented to the right is the survival curve comparing the median survival (MS=53 days) of 15 animals treated with AMD3100 osmotic pumps with the survival of 63 untreated controls (MS=30 days). Median survival was compared using the Log-rank (Mantel-Cox) test shows significant difference ($p < 0.0001$, ****). **C. Schematic representation of the proposed mechanism of action of the CXCL12/CXCR4 signaling axis.** CXCL12 produced by the tumor cells acts upon the GPCR receptor CXCR4 to activate multiple pathways. Activation of PI3K leads to the increase in pAkt and BCL-XL promoting survival and stemness. Activation of the Phospholipase C also leads to release of Calcium from intracellular stores and triggers chemotaxis and migration and promotes survival. Activation of the Rho family of GTPases Rac/Rho and Cdc42 leads to microtubule reorganization, increased transcription and translation of Cyclin D1, CDK4 and CDK6 and to cell cycle progression. Under hypoxic conditions CXCL12 may induce the expression of HIF-1 α .