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Cancer and Venous Thromboembolic Disease: A Review

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Disclosures of potential conflicts of interest may be found at the end of this article.

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ABSTRACT _

Venous thromboembolism (VTE), including deep-vein thrombosis and pulmonary embolism, represents a major cause of morbidity and mortality in cancer patients. Patients with cancer are six times more likely to develop VTE than their noncancer counterparts, and VTE is the second leading cause of death in cancer patients. Despite the publication of major consensus guidelines setting out recommendations for thromboprophylaxis in cancer patients, there remains a gulf between these guidelines and clinical practice. In general, thromboprophylaxis is recommended for most patients hospitalized with active cancer. Furthermore, outpatient thromboprophylaxis may be used in carefully selected high-risk ambulatory patients. Certain areas of controversy still remain. Although low-molecular-weight heparin has been shown to be superior to vitamin K antagonists in cancer patients, the role of direct oral anticoagulants is still uncertain. Moreover, recurrent thromboembolism, bleeding, and thrombocytopenia are frequently seen in cancer patients. Optimal anticoagulation in such instances presents a major challenge to clinicians. Modern computed tomography techniques have resulted in an increase in the detection of "incidental" VTE. Despite a growing body of evidence promulgating standard anticoagulant treatment in such cases, these cases present further challenges for members of the multidisciplinary team. **The Oncologist** 2017;22:199–207

Implications for Practice: This article discusses venous thromboembolism (VTE) in patients with malignancy. Practical guidance is offered on how to prevent, diagnose, and treat VTE in cancer patients. The management of "challenging" cases of VTE is also discussed.

INTRODUCTION .

Since Armand Trousseau first described thrombophlebitis as a sign of visceral malignancy more than 150 years ago, the effect of cancer on blood coagulation has remained a major challenge for health care providers. Derangements in the coagulation cascade, which can manifest as thrombosis, bleeding, and disseminated intravascular coagulation, are common in patients with cancer and have significant ramifications on treatment, prognosis, and quality of life [1].

Thromboembolic events frequently complicate the course of malignancy and can involve both the venous and arterial systems. Venous thromboembolism (VTE), including deep-vein thrombosis (DVT) and pulmonary embolism (PE), is the more common of these complications and may precede or coincide with a diagnosis of cancer. VTE can complicate surgery, hospitalizations, and systemic chemotherapy and significantly increases the cost associated with cancer treatments and hospitalizations. Patients with cancer are six times more likely to develop VTE than their noncancer counterparts and account for more than 20% of all newly diagnosed cases of VTE [2]. Indeed, VTE is the second-leading cause of death in cancer patients after cancer itself [3]. Incidentally diagnosed VTE, commonly involving the splanchnic veins, further contributes to the thrombotic burden in patients with cancer. Arterial events, such as stroke and myocardial infarction, are also more commonly seen in patients with cancer than in the general population.

Thrombosis in cancer is associated with increased morbidity and mortality and a high economic burden. Diagnosis and management of thrombotic events interrupt essential cancer therapies and carry a risk for serious bleeding complications. Moreover, approximately 25% of patients with malignancy require readmission due to bleeding or VTE recurrence [4]. In this article, we discuss the mechanisms underlying the prothrombotic phenotype in patients with malignancy, as well as the risk factors, risk assessment, primary prevention, and treatment of cancer-associated VTE.

PATHOPHYSIOLOGY

Cancer cells exert a prothrombotic effect on their microenvironment through direct and indirect mechanisms, which can manifest systemically and present clinically as thrombosis. The

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Figure 1. Mechanisms underlying the cancer-associated procoagulant state. Cancer-mediated hypercoagulability occurs as a consequence of direct activation of procoagulant pathways by cancer cells (mediated by aberrant tumor cell TF expression, release of tumor cell-derived, TF-expressing microparticles, cancer procoagulant, and other cell surface proteases) or from indirect systemic effects of cancer on a variety of cell types, including leucocyte, endothelial cells, and platelets. In various malignancies, neutrophils are "primed" to release their contents in the form of NETs, resulting in direct activation of procoagulant pathways, platelet activation, and inhibition of naturally occurring anticoagulant pathways, including tissue factor pathway inhibitor. As a consequence of these various direct and indirect mechanisms, patients with cancer have an elevated risk for venous thromboembolism.

Abbreviations: FXa, factor Xa; NET, neutrophil extracellular trap; TF, tissue factor.

prothrombotic state characteristic of the malignant phenotype is the result of the interplay between the factors pertaining to Virchow's triad: hemostasis results from bed rest and vascular compression by the tumor mass; vessel injury is caused by intravasation of cancer cells, intravascular devices, and systemic therapies; and hypercoagulability results from the intertwined relationship among clinical risk factors, the tumor cell, and the host response [5].

Cancer is associated with thrombin and fibrin formation directly through the release of procoagulants by neoplastic cells (e.g., tissue factor, cancer procoagulant, and, to a lesser extent, tumor mucins) and indirectly through the release of cytokines and the production of factor X-activating cysteine proteases, mucinous glycoproteins, and circulating tissue factor-bearing microparticles, which lead to the activation of platelets, leukocytes, and endothelial cells (Fig. 1) [6]. Furthermore, a growing body of evidence supports the idea that the same genetic mechanisms responsible for malignant transformation (including activation of oncogenes, such as *RAS* and *PTEN*, and inactivation of tumor suppressor genes, such as *p53* and *PTEN*) drive the expression of genes controlling hemostasis [7, 8].

The fibrin scaffold subsequently formed potentially confers cancer cells with a selective advantage, allowing for tumor cell anchorage and invasion [9].

A bidirectional relationship exists between cancer and thrombosis. An increased tumor burden results in a higher risk for VTE, and VTE, in turn, is a marker of tumor aggressiveness and poor prognosis. In a study from the Dutch Cancer Registry, cancer patients with VTE had a 2.2-fold increase in mortality compared with matched cancer patients without VTE [10].

RISK FACTORS

The risk for cancer-associated VTE is highest in patients receiving systemic chemotherapy and in those hospitalized on surgical and medical floors. Clinical risk factors for VTE in patients with malignancy can be divided into patient-related, cancerrelated, and treatment-related risk factors (Table 1). Patientrelated risk factors include advanced age; obesity; black race; and comorbidities such as infection, anemia, and renal and pulmonary disease [11]. Conversely, Asian-American ethnicity appears to confer some protection against VTE [12].

Furthermore, the risk for VTE varies considerably according to the primary site and histologic subtype of the cancer. The highest rates of VTE have been demonstrated in patients with primary brain tumors (47%) and pancreatic (19.2%), stomach (15.8%), and lung (13.9%) cancer [13, 14]. Those with hematologic malignancies, particularly lymphoma, are also at increased risk. The natural history of cancer also plays an important role, with studies demonstrating that patients are at greatest risk for VTE within 3 months of the initial diagnosis [15–17].

The use of systemic chemotherapy increases the risk for VTE two- to six-fold [18]. The risk for VTE is also increased by the use of central catheters [19]. Furthermore, antiangiogenic agents, such as bevacizumab, a monoclonal antibody against vascular endothelial growth factor, increases the risk for both arterial and venous events, with one study demonstrating a relative risk for arterial thromboembolic events of 1.44 in patients receiving bevacizumab compared with controls (95% confidence interval [CI], 1.08–1.91; p = .013) [20, 21]. Thalidomide and lenalidomide also increase the risk for VTE when combined with dexamethasone [22, 23]. The tyrosine kinase inhibitors

Table 1.	Risk	factors	for	venous	throm	boeml	bolism	in
patients	with	cancer						

Area	Risk factor
Patient-related	Age, ethnicity, comorbidities (e.g., obesity, infection, renal and pulmonary disease)
Cancer-related	Primary site, histologic subtype, natural history of cancer
Treatment-related	Indwelling catheters, systemic chemotherapy, supportive therapies (e.g., erythropoiesis-stimulating agents, red blood cell, and platelet transfusions)

Table 2. Khorana score

Patient characteristic	Score		
Site of cancer Very high risk (stomach, pancreas) High risk (lung, lymphoma, gynecologic, genitourinary excluding prostate)	2 1		
Platelet counts \geq 350,000 per mm ³	1		
Leukocyte counts >11,000 per mm ³	1		
Hemoglobin ${<}10$ g/dL or use of ESAs			
BMI \geq 35 kg/m ²			

Abbreviations: BMI, body mass index; ESA, erythropoiesis-stimulating agent.

sunitinib and sorafenib also increase the risk for arterial thromboembolic events, suggesting a class effect for antiangiogenic therapy (relative risk [RR], 3.03; 95% Cl, 1.25–7.37; p = .015) [24]. Supportive therapies, including the use of erythropoiesisstimulating agents, red blood cell, and platelet transfusions, further contribute to the risk for VTE [25].

Candidate biomarkers, such as P-selectin, D-dimers, and tissue factor, are under investigation as predictive tools in identifying patients at greater risk for events. To date, results of studies assessing the predictive utility of these biomarkers are conflicting [26, 27]. Elevated prechemotherapy leukocyte and platelet counts have also been shown to be associated with a higher incidence of VTE. One study found that VTE occurred in 4% of patients with prechemotherapy platelet counts \geq 350,000 per mm³ versus 1.25% in those with prechemotherapy counts <200,000 per mm³ [28].

RISK ASSESSMENT

Current consensus guidelines discourage the use of individual risk factors in dictating clinical decision-making. Instead, the American Society of Clinical Oncology (ASCO) guidelines, as well as the National Comprehensive Cancer Network and European Society for Medical Oncology guidelines promulgate the use of a validated risk assessment tool to identify patients at highest risk for VTE (Table 2). This risk score (the Khorana score) was initially derived from a development cohort of 2,701 patients and subsequently internally validated in an independent cohort of 1,365 patients [29]. The score has since been externally validated prospectively by the Vienna Cancer and Thrombosis Study consortium as well as numerous retrospective and prospective cohort studies [30, 31]. Risk assessment can be used for education, screening, and prophylaxis.

Cancer patients are woefully unaware that they are at risk for cancer-associated thrombosis, and updated ASCO guidelines specifically call for greater education regarding this complication. An excellent demonstration of using the risk score for education has been conducted by a Canadian institution that incorporated use of the risk score into an electronic medical record [32]. Patients found to be at intermediate or high risk had a message sent to their providers to incorporate a discussion of warning signs and symptoms of VTE. Furthermore, 11% of patients in the intermediate- to high-risk group developed VTE during the follow-up period, further validating the risk score [32].

Screening

A second use of the risk score has been to identify patients with occult VTE. In a single-institution prospective study, 35 high-risk patients (Khorana score \geq 3) were screened for VTE with monthly ultrasonography and computed tomography (CT) for restaging for 16 weeks. More than 9% of patients had occult DVT at baseline, suggesting that the Khorana score may be of value in identifying appropriate patients to screen for VTE [33]. This rate was confirmed in a multi-institution randomized trial of prophylaxis in the same population recently reported at the American Society of Hematology meeting [34]. Current guide-lines have not yet addressed these new data, but this appears to be an important future use of this or subsequent risk assessment tools.

Prophylaxis

The role of the Khorana score in identifying patients suitable for thromboprophylaxis has been demonstrated in subgroup analyses of two large randomized controlled trials (RCTs). VTE in high-risk (Khorana score \geq 3) patients enrolled in the PRO-TECHT trial occurred at a rate of 11.1% in the placebo arm and 4.5% in the nadroparin arm (number needed to treat [NNT], 15 vs. 77 for low- and intermediate-risk patients) [35]. Similarly, in a per-protocol subgroup analysis of SAVE-ONCO, the NNT was 23 for high-risk patients compared with 333 for low-risk patients. There was no significant difference in bleeding risk between the low- and high-risk patients [36]. On the basis of these findings, thromboprophylaxis may be considered in high-risk patients. Ongoing trials are addressing the role of prophylaxis with direct oral anticoagulants in patients with Khorana score \geq 2, a lower cutoff. These include CASSINI, which is using rivaroxaban, and Apixiban for the Prevention of Venous Thromboembolism in Cancer Patients (AVERT), which is using apixaban. Results are expected in 2018.

CURRENT GUIDELINES

Prevention

Thromboprophylaxis in Hospitalized Patients with Cancer

Despite the introduction of consensus guidelines encouraging thromboprophylaxis in hospitalized patients with malignancy, it remains underused in this patient population [37–39]. Indeed, one study from the United States DVT Registry found that hospitalized patients with malignancy are actually less likely to receive VTE prophylaxis than their noncancer counterparts

(28% vs. 35%) [38]. However, practitioners are frequently limited by relative contraindications to pharmacologic thromboprophylaxis, including thrombocytopenia, active hemorrhage, and high risk for hemorrhage. In a recent study assessing thromboprophylaxis in hospitalized patients with cancer, 31.9% had relative contraindications to anticoagulation. Of these patients, the most common contraindication was thrombocytopenia (65.2%), followed by active hemorrhage (17.4%) [40].

There is a paucity of studies looking exclusively at thromboprophylaxis in inpatients with cancer, and most of the data on this topic has been extrapolated from randomized trials that included only a minority of cancer patients [41]. A recent subgroup analysis of three placebo-controlled randomized trials comparing the rates of VTE events in hospitalized patients with cancer demonstrated no significant benefit of thromboprophylaxis (RR, 0.91, 95% confidence interval, 0.21–4.0; $l^2 = 68\%$), likely due to inadequate power and small sample size. Furthermore, none of these trials reported the rates of symptomatic VTE or major bleeding [41]. Given the known high incidence of VTE in this population, however, current consensus guidelines recommend the following:

- 1. Hospitalized patients with active malignancy and acute medical illness or reduced mobility should receive pharmacologic thromboprophylaxis in the absence of contraindications.
- 2. In the absence of additional risk factors, hospitalized patients with cancer may be considered for VTE prophylaxis.
- 3. There is currently insufficient evidence to support VTE prophylaxis in patients admitted for minor procedures or a short infusion of chemotherapy or those undergoing stem cell or bone marrow transplant [42].

Thromboprophylaxis in Ambulatory Patients with Cancer

"Ambulatory" refers to the period during which a patient is not hospitalized for surgery or receiving end-of-life care but is in the community receiving anticancer therapy as an outpatient. One older study from the early 1990s suggested that low-dose warfarin in women with metastatic breast cancer receiving chemotherapy was associated with an 85% relative risk reduction in the rate of VTE without a significant increase in the risk for bleeding compared with the placebo arm [43]. More recently, multiple studies have focused on prophylaxis in the ambulatory cancer population. The larger trials have enrolled broad populations, with multiple malignancies; in contrast, other investigators have taken a more focused approach on specific high-risk malignancies, such as pancreatic cancer or myeloma. The PROTECHT (Prophylaxis Thromboembolic Events Chemotherapy) trial randomly assigned patients with metastatic or locally advanced lung, breast, gastrointestinal, ovarian, or head and neck cancer to receive daily nadroparin (3,800 U) or placebo and demonstrated a significant reduction in the composite endpoint of arterial and venous thrombosis. Rates of VTE in high-risk patients were 11.1% in the placebo arm compared with 4.5% in the nadroparin group (NNT, 15 vs. 77 in low- and intermediate-risk patients) [35].

Similarly, the SAVE-ONCO trial randomly assigned patients with metastatic or locally advanced solid tumors commencing chemotherapy to receive either the ultralow-molecular-weight heparin semuloparin or placebo. Despite the relatively low incidence of VTE in the control arm (3.4%), the study demonstrated a significant reduction in the incidence of VTE in patients receiving semuloparin (1.2%), with no apparent increase in the incidence of major bleeding [44]. A per-protocol subgroup analysis of the SAVE-ONCO trial demonstrated an NNT of 25 for high-risk patients compared with 333 for low-risk patients, with no significant difference in the rates of bleeding between the two groups [36]. These studies highlight the necessity to risk-stratify before initiating thromboprophylaxis in ambulatory cancer patients.

Although event rates in broad populations have been low, studies addressing individual tumors have demonstrated a profound benefit to anticoagulation. For instance, FRAGEM (A Phase II Randomized Study of Chemo-Anticoagulation [Gemcitabine-Dalteparin] Versus Chemotherapy Alone [Gemcitabine] for Locally Advanced and Metastatic Pancreatic Adenocarcinoma) focused on patients with pancreatic cancer and demonstrated a reduction in the rate of VTE from 23% in the placebo arm to 3.4% in the thromboprophylaxis arm (p = .002; NNT, 6) [45]. The PROSPECT-CONKO 004 trial also addressed patients with pancreatic cancer and found a reduction in the rate of VTE from 9.87% to 1.25% at 3 months and from 15.13% to 5% at 12 months [46]. In patients with newly diagnosed multiple myeloma treated with lenalidomide, aspirin and low-molecular-weight heparin (LMWH) have demonstrated similar benefit in reducing the incidence of VTE [47]. Multiple myeloma is the only malignancy in which aspirin thromboprophylaxis is recommended. ASCO recommendations include the following:

- Routine pharmacologic thromboprophylaxis is not recommended in unselected ambulatory cancer patients. However, LMWH may be considered in high-risk outpatients with solid tumors receiving systemic chemotherapy.
- Thalidomide and lenalidomide are associated with an increased risk for VTE when used in combination with dexamethasone. As a result, patients with multiple myeloma receiving either regimen should receive thromboprophylaxis with aspirin or LMWH in low-risk patients and LMWH in high-risk patients [42].

Thromboprophylaxis in Surgical Patients with Cancer

Compared with non-cancer patients, those with malignancy have a 2- to 3-fold higher risk for VTE during the perioperative period [48–50]. The ideal duration of thromboprophylaxis in patients with malignancy during the perioperative period has been a source of debate. The ENOXACAN II (Enoxaparin and Cancer II) trial randomly assigned patients with cancer undergoing laparotomy to receive short-duration (7-10 days) or extended-duration (28 days) VTE prophylaxis with enoxaparin (40 mg, once daily). Patients underwent screening venography at the end of the study period; the frequency of thromboembolic events was decreased from 12% in the short-duration arm to 4.8% in the extended duration group [51]. A further study compared short-duration (7 days) and extended-duration (27 days) prophylaxis with dalteparin in patients undergoing laparotomy and found a 55% reduction in the rates of VTE, from 16.3% in the short-duration group to 7.3% in the extendedduration group [52].



A recent study randomly assigned patients with colorectal cancer undergoing surgery to receive nadroparin or enoxaparin preoperatively. Despite a reduction in the rates of major bleeding in the nadroparin group, there was no significant difference in symptomatic or asymptomatic VTE occurrence [53].

A variety of mechanical thromboprophylactic methods have also been evaluated in surgical patients with cancer, but in general, they are less effective than pharmacologic prophylaxis [54, 55]. Current recommendations for thromboprophylaxis during the perioperative period include the following:

- All patients with malignancy undergoing major surgical intervention should be considered for pharmacologic thromboprophylaxis with unfractionated heparin (UFH) or LMWH unless contraindications exist. VTE prophylaxis should commence preoperatively.
- 2. Moreover, it is recommended that pharmacologic thromboprophylaxis be continued for 7–10 days in all patients, with the exception of "patients undergoing major abdominal or pelvic surgery for cancer who have high-risk features such as restricted mobility, obesity, history of VTE, or with additional risk factors," in whom VTE prophylaxis should be continued for up to 4 weeks [42].

Treatment and Secondary Prevention

Choice and Duration of Treatment

VTE in patients with malignancy is associated with high rates of complications, including a 12% annual risk for bleeding complications and up to a 21% annual risk of recurrence [56]. Cancerassociated VTE has traditionally been treated with vitamin K antagonists (VKAs) despite a body of evidence suggesting that cancer-associated VTE may be resistant to warfarin. Indeed, data from the SWIVTER (Swiss Venous Thromboembolism) and MASTER registries demonstrated that a majority of patients with cancer-associated VTE undergo treatment with warfarin (51% and 62%, respectively) [57, 58]. LMWH has been shown to be superior to VKAs as a mainstay of treatment for VTE in cancer patients. The CLOT (Randomized Comparison of Low-Molecular-Weight Heparin versus Oral Anticoagulant Therapy for the Prevention of Recurrent Venous Thromboembolism in Patients with Cancer) study randomly assigned cancer patients with VTE to receive dalteparin initially, followed by 6 months of therapeutic dalteparin or warfarin with a target international normalized ratio (INR) of 2.5. This study demonstrated an 8percentage point absolute risk reduction (9% vs. 17%) and a 55% relative risk reduction of recurrent VTE in patients treated with dalteparin [59]. A Cochrane review of treatment of cancerassociated VTE further highlighted the superiority of LMWH over warfarin [60].

More recently, the CATCH (Comparison of Acute Treatments in Cancer Haemostasis) study randomly assigned 900 patients with active cancer to receive the LMWH tinzaparin at a dose of 175 IU/kg once daily for 6 months or initial tinzaparin for 5–10 days, overlapped and followed by 6 months of warfarin therapy with a target INR of 2–3. The incidence of VTE decreased from 10% in the warfarin group to 6.9% in the tinzaparin group (hazard ratio, 0.65; 95% CI, 0.41–1.03; p = .07),

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although it did not reach statistical significance; symptomatic DVT rates were significantly lower with tinzaparin. No significant difference in major bleeding was observed, while the rates of clinically relevant nonmajor bleeding events were significantly lower in the tinzaparin group (11% and 16%, respectively; p = .03) [61].

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The ideal duration of anticoagulation has not been assessed, but there is a consensus that anticoagulation should be continued for a minimum of 6 months. Thereafter, the need for ongoing anticoagulation should be re-evaluated by assessing risk factors, including metastatic or progressive disease and ongoing systemic chemotherapy. In such patients, the risk for recurrent VTE is sufficiently high to justify extending treatment beyond 6 months.

Managing "Challenging" Cases of Cancer-Associated Thrombosis. As mentioned previously, use of thromboprophylaxis in hospitalized patients with cancer is frequently limited by contraindications to anticoagulation. The International Society of Thrombosis and Hemostasis (ISTH) recently published guidelines on the management of "challenging" cases of cancer-associated thrombosis, including those with thrombocytopenia, those with recurrent VTE despite anticoagulation, and patients who are actively bleeding. Recurrent thrombosis is a frequently encountered problem in patients receiving anticoagulation with 10%-17% of patients treated with warfarin and 6%-9% of patients treated with LMWH experiencing recurrent VTE during follow-up [59, 62, 63]. In patients treated with warfarin, the guidelines recommend switching to LMWH for those who develop recurrent VTE. In those treated with LMWH, it is recommended to increase the dose by 25% (or therapeutic, weight-adjusted doses in those receiving lower doses) should they experience recurrence. Patients should be reassessed at 5–7 days. Those with symptomatic improvement should continue at the same dose, whereas those without symptomatic improvement should have their peak antifactor Xa level checked to dictate the dose of the next escalation [64].

Thrombocytopenia is a common reason for withholding anticoagulation in patients with cancer. The guidelines recommend that patients with a platelet count of $\geq 50 \times 10^9$ per L should receive full-dose anticoagulation without a concurrent platelet transfusion. In patients with a platelet count $<50 \times 10^9$ per L, platelet transfusions should be given with full therapeutic anticoagulation to achieve a platelet count $>50 \times 10^9$ per L. If platelet transfusion is not possible, consideration should be given to insertion of a retrievable inferior vena cava

(IVC) filter with removal of the filter and commencement of anticoagulation when the platelet count recovers [64].

Furthermore, the management of central venous port (CVP) related VTE frequently presents a conundrum for clinicians. Hohl Moinat et al. recently conducted a prospective observational cohort study of 1,097 patients undergoing CVP insertion. They defined catheter-related VTE as DVT in the arm with or without PE, or isolated PE after CVP insertion. In this study, the incidence of CVP-associated VTE was 5.9% at 3 months (95% CI, 4.4%–7.3%) and 11.3% (95% CI, 9.4%–13.2%) at 12 months. A high Khorana score and lung cancer were significant predictors of VTE at 3 months [65]. Recent randomized trials have not shown benefit for thromboprophylaxis in patients with central catheters and so anticoagulation is not routinely recommended.

A high Khorana score and lung cancer were significant predictors of VTE at 3 months. Recent randomized trials have not shown benefit for thromboprophylaxis in patients with central catheters and so anticoagulation is not routinely recommended.

Anticoagulation in patients with advanced disease and near the end of life represents a further challenging area. Studies informing the management of cancer-associated thrombosis have largely excluded patients with advanced disease and a short life expectancy. Clinicians may find themselves in situations in the palliative care setting in which anticoagulation seems inappropriate. There is currently no evidence guiding anticoagulation in these patients. In a review article, Noble and Johnson advocate a pragmatic approach, suggesting that patients near the end of life with anticipated deterioration attributed to VTE may be managed with appropriate end-of-life medications. Conversely, they suggest that patients who are not imminently dying may benefit from anticoagulation for relief of symptoms attributable to VTE [66].

Direct Oral Anticoagulants. The direct oral anticoagulants (DOACs), including the direct factor IIa inhibitor dabigatran and the factor Xa inhibitors apixaban, rivaroxaban, and edoxaban, are being investigated for use in cancer patients [67]. These agents offer many benefits over traditional anticoagulants, including no requirement for laboratory monitoring, feasibility of oral administration, and a reduced risk for food-drug interactions. All three agents have received regulatory approval for the treatment of acute VTE in the general population, but there remains a paucity of data on the efficacy and safety of these agents in patients with cancer and European regulatory authorities have advised against the use of apixaban for the treatment of cancer-associated VTE.

The EINSTEIN-DVT study randomly assigned patients with acute DVT to receive rivaroxaban (15 mg twice daily for 3 weeks, followed by 20 mg once daily) or conventional treatment with LMWH followed by warfarin and showed similar rates of VTE recurrence and nonmajor bleeding events in the two groups. A subgroup analysis of the EINSTEIN-DVT study exploring the safety and efficacy of rivaroxaban in patients with active malignancy demonstrated no significant difference in VTE recurrence or bleeding complications between the two groups [68]. Similarly, the RE-COVER trial highlighted the noninferiority of dabigatran compared with standard therapy with LMWH and warfarin in patients with acute symptomatic DVT. A subgroup analysis of the RE-COVER study looking at acute symptomatic DVT in patients with active cancer showed a nonsignificant difference in the rates of recurrence between the dabigatran and conventional therapy groups (3.1% in the dabigatran group vs. 5.3% in the standard treatment group) [69].

A major limitation of the aforementioned studies is that they used warfarin in the control arm rather than LMWH, the standard of therapy for cancer-associated VTE. A recent metaanalysis evaluated 9 RCTs and included 2,310 patients treated with DOACs. This analysis demonstrated a reduction in recurrent VTE in patients treated with LMWH compared with those receiving warfarin (RR, 0.52; 95% CI, 0.36-0.74). Conversely, compared with warfarin, DOACs were not associated with a significant reduction in recurrent VTE (RR, 0.66; 95% Cl, 0.39-1.11). In this study, LMWH was associated with a nonsignificant increase in major bleeding (RR, 1.06; 95% Cl, 0.5-2.23), whereas DOACs showed a nonsignificant decrease (RR, 0.78; 95% CI, 0.42-1.44) [70]. Overall, in light of the paucity of data demonstrating the safety and efficacy of these agents in cancer patients and lack of appropriate control arms, current published consensus guidelines do not recommend their use in patients with cancer.

Current guidelines recommend judicious use of IVC filters in patients with absolute contraindications to anticoagulation or in whom proximal clot extension is observed despite maximal LMWH therapy. One prospective randomized trial of 200 patients (including 56 with malignancy) who received IVC filters found that they conferred some short-term protection from PE but were associated higher rates of DVT and filter-site thrombosis compared with no filters (20.8% vs. 11.6%) [71]. IVC filters have not been shown to offer any short- or long-term survival benefit with some nonrandomized cohort studies demonstrating that IVC filters are associated with increased tumor metastases and decreased survival [72]. Recommendations include the following:

- 1. LMWH rather than UFH should be used for the initial 5–10 days of anticoagulation in cancer patients with confirmed VTE.
- LMWH should be continued for 6 months thereafter for secondary prevention, and anticoagulant therapy should be extended beyond the initial 6-month period in patients with active malignancy or metastatic disease and in those still receiving systemic chemotherapy.
- IVC filter placement is advisable only when absolute contraindications to anticoagulant therapy exist and may be considered where there is proximal extension of thrombosis despite maximal LMWH therapy.

Incidental VTE

Modern CT techniques with higher resolution and sensitivity have resulted in an increase in the detection of incidental VTE. "Incidental" VTE refers to DVTs and PEs that are not clinically



suspected at the time of diagnosis. As mentioned previously, incidental VTE contributes to the overall thrombotic burden in patients with cancer and accounts for up to half of all diagnosed cases of VTE in major cancer centers [73, 74]. Management of incidental VTE remains controversial. An array of retrospective studies have demonstrated similar rates of mortality and recurrent VTE between patients with incidental and symptomatic VTE [75–77]. With this in mind, recent guidelines from the ISTH advocate standard therapy for incidental VTE in patients with cancer (i.e. at least 6 months of LMWH monotherapy) [78].

Incidental subsegmental PE (SSPE) represents an area of particular controversy. A recent meta-analysis identified that the risk for symptomatic recurrence in patients with SSPE is similar to that in patients with more proximal PE [79]. Further case-control and cohort studies found similar rates of recurrence and survival in patients with incidental SSPE not treated with anticoagulation compared with those without PE. Rates of major bleeding were as high as 5% in those treated with anticoagulation, suggesting that routine anticoagulation might unjustifiably expose patients with SSPE to the risk for bleeding complications [80].

CONCLUSION

At present, there remains a gulf between consensus guidelines and clinical practice. Although implementation of a risk-assessment tool allows for identification of high-risk patients who will benefit

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most from thromboprophylaxis in the outpatient setting, many contentious issues remain. Additional studies are required to explore the safety and efficacy of the DOACs in patients with cancer. Furthermore, there remains a wide discrepancy with respect to individual clinician philosophies and treatment modalities used for incidental VTE, most prominently with respect to SSPE. In addition, the exact mechanisms underlying the prothrombotic phenotype in patients with cancer continue to be elucidated. As these mechanisms become clearer, future research should focus on manipulating these mechanisms to help predict ambulatory patients at highest risk for VTE.

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AUTHOR CONTRIBUTIONS

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DISCLOSURES

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For Further Reading:

Nicole M. Kuderer, Eva Culakova, Gary H. Lyman et al. A Validated Risk Score for Venous Thromboembolism Is Predictive of Cancer Progression and Mortality. *The Oncologist* 2016;21:861–867.

Implications for Practice:

The risk of venous thromboembolism (VTE) is increased in patients receiving cancer chemotherapy. In this article, the authors demonstrate that a popular risk score for VTE in patients with cancer is also associated with the risk of early mortality in this setting. It is important that clinicians evaluate the risk of VTE in patients receiving cancer treatment and discuss the risk and associated symptoms of VTE with patients. Individuals at increased risk should be advised that VTE is a medical emergency and should be urgently diagnosed and appropriately treated to reduce the risk of serious and life-threatening complications.