

RESEARCH ARTICLE

Open Access



Gaps and barriers in health-care provision for co-morbid diabetes and chronic kidney disease: a cross-sectional study

C. Lo^{1,2}, H. Teede^{1,2}, G. Fulcher³, M. Gallagher^{4,5}, P. G. Kerr⁶, S. Ranasinha¹, G. Russell⁷, R. Walker⁸ and S. Zoungas^{1,2,3*}

Abstract

Background: Patients with diabetes and chronic kidney disease (CKD) are a complex subset of the growing number of patients with diabetes, due to multi-morbidity. Gaps between recommended and received care for diabetes and chronic kidney disease (CKD) are evident despite promulgation of guidelines. Here, we document gaps in tertiary health-care, and the commonest patient-reported barriers to health-care, before exploring the association between these gaps and barriers.

Methods: This cross-sectional study recruited patients with diabetes and CKD (eGFR < 60 mL/min/1.73 m²) across 4 large hospitals. For each patient, questionnaires were completed examining clinical data, recommended care, and patient-reported barriers limiting health-care. Descriptive statistics, subgroup analyses by CKD stage and hospital, and analyses examining the relationship between health-care gaps and barriers were performed.

Results: 308 patients, of mean age 66.9 (SD 11.0) years, and mostly male (69.5%) and having type 2 diabetes (88.0%), participated. 49.1% had stage 3, 24.7% stage 4 and 26.3% stage 5 CKD. Gaps between recommended versus received care were evident: 31.9% of patients had an HbA1c ≥ 8%, and 39.3% had a measured blood pressure ≥ 140/90 mmHg. The commonest barriers were poor continuity of care (49.3%), inadequate understanding/education about CKD (43.5%), and feeling unwell (42.6%). However, barriers associated with a failure to receive items of recommended care were inadequate support from family and friends, conflicting advice from and poor communication amongst specialists, the effect of co-morbidities on self-management and feeling unmotivated (all $p < 0.05$).

Conclusions: Barriers to health-care varied across CKD stages and hospitals. Barriers associated with a deviation from recommended care were different for different items of care, suggesting that specific interventions targeting each item of care are required.

Keywords: Diabetes, Chronic kidney disease, Multi-morbidity, Health-care, Tertiary health-care, Treatment gaps, Barriers

Background

Diabetes is increasing in incidence and prevalence globally, with 8.3% of adults estimated to be affected [1]. Diabetes commonly co-exists with chronic kidney disease and accounts for up to 50% of people who develop end-stage kidney disease (ESKD) [2].

Together, co-morbid diabetes and CKD is an exemplar of the global health challenge from multi-morbidity, defined as the coexistence of 2 or more chronic conditions where 1 is not necessarily more central than the other [3]. Multi-morbidity is increasing as the population ages and the rate of non-communicable diseases increases [4] and is associated with poorer quality of life, and higher mortality rates [3]. The challenges of multi-morbidity are not well addressed by contemporary health care systems or clinical research, which have been framed around single chronic diseases [3, 4].

* Correspondence: sophia.zoungas@monash.edu

¹Diabetes and Vascular Research Program, Monash Centre for Health Research and Implementation, School of Public Health and Preventive Medicine, Monash University, Clayton, Victoria, Australia

²Diabetes and Vascular Medicine Unit, Monash Health, Clayton, Victoria, Australia
Full list of author information is available at the end of the article



The co-existence of diabetes and CKD has an additive effect to increase the risk of cardiovascular disease [5], with a substantial increase in risk of premature mortality as renal function deteriorates towards end-stage kidney disease [2]. Health-care costs are also significant with the estimated unadjusted total expenditure for people with co-morbid diabetes and CKD in the USA being more than US \$43 billion even in 2011 [6].

Despite the recent publication of guidelines and consensus statements for the management of co-morbid diabetes and CKD [7–10], there is emerging evidence that the care of patients with these co-morbidities is suboptimal. Studies report a gap between recommended care, as suggested by guidelines, versus received care – a significant proportion of patients fail to meet treatment targets, and other recommended health indicators of quality clinical care such as treatment of cardiovascular risk factors or anaemia [11–14]. Additionally, there is a paucity of knowledge surrounding the underpinnings of this gap and the relative importance of clinical and health system barriers to health-care, specifically for patients with co-morbid diabetes and CKD. This is a necessary step towards improving medical care and finding an effective and efficient health-care delivery system for this high risk population [7].

The objectives of this study were to 1) identify the degree to which patients with co-morbid diabetes and CKD experience recommended health-care as per internationally endorsed guidelines in the setting of tertiary health-care 2) quantitatively establish the most significant barriers to health-care, from the perspective of patients in the setting of tertiary health-care and 3) examine the association between failure to receive guideline recommended health-care (care gaps) and the patient-reported barriers to health-care.

Methods

Study design and setting

This Australian multi-centre study was a research collaboration between tertiary hospitals, research institutes, national consumer stakeholder groups (Diabetes Australia and Kidney Health Australia) and primary care groups. The study was conducted across ambulatory diabetes and renal clinics (serviced or supervised by diabetes and kidney specialists) of 4 large tertiary hospitals in Australia's 2 most populous cities (Alfred and Monash Health in Melbourne and the Royal North Shore and Concord Hospitals in Sydney) from January to September 2014. These specialist ambulatory services communicate with primary care via written correspondence or phone calls but without any further integration of health-care such as shared medical records or care plans. Additionally, the ambulatory services of 2 of the hospitals (2 and 4), were structured such that the patient saw the same clinician each

visit. Methods and results are presented in accordance with the STROBE (Strengthening The Reporting of Observational Studies in Epidemiology) guidelines [15]. The study was approved by the Monash University Human Research Ethics Committee (HREC) and the HREC of all participating sites.

Participants

Patients with both diabetes and CKD were recruited from either ambulatory diabetes or renal clinics of each participating tertiary hospital over a 3-month period between January to September 2014. Patients were defined as having diabetes if the diagnosis was noted on medical records and/or confirmed by laboratory results as per World Health Organisation (WHO) criteria [16]. Patients were defined as having CKD if they had a sustained estimated glomerular filtration rate (eGFR) < 60 mL/min/1.73 m² calculated using the CKD-EPI (Chronic Kidney Disease Epidemiology Collaboration) equation [17] (i.e. 2 or more eGFR readings) over a 3 month period. Pregnancy was an exclusion criteria. All participants gave written informed consent.

Study conduct and variables

Each recruited subject participated in a survey consisting of two questionnaires. The first questionnaire was prospectively completed by the patient's doctor during the clinic or from the doctor's notes and laboratory results from clinic and collected demographic information (age, country of birth, language spoken at home) and other clinical characteristics (Additional file 1: Appendix: Part A). The second questionnaire was completed by the patient and examined patient-reported barriers to health-care (Additional file 1: Appendix: Part B) identified from the content analysis of 12 focus groups of 58 participants with co-morbid diabetes and CKD and 8 semi-structured interviews of carers from a previous multi-centre qualitative study performed by the authors [18] (Additional file 1: Appendix: Part A).

Treatment targets for recommended care

The received care of participants was compared with treatment targets for recommended care from international guidelines [7–9, 19, 20]. The authors acknowledge the importance of individual application of recommended guideline care. For the purposes of this study, where more than one treatment target was offered for a particular parameter by different guidelines, the more conservative and simpler target was used. For example for HbA1c, the American Diabetes Association suggestion of HbA1c < 8% (64 mmol/mol) [7] was used rather than that from the European Renal Best Practice guidelines which varied from 7% (53 mmol/mol) to 9% (75 mmol/mol), depending on the patient's clinical

situation [8], or the KDOQI (Kidney Disease Outcomes Quality Initiative) guidelines which suggest a HbA1c of ~7% (53 mmol/mol) [9].

Statistical analysis

Continuous variables were reported as means and standard deviations or medians with interquartile ranges if distributions were skewed. Categorical variables (including barriers), cardiovascular risk factors (e.g. hypercholesterolemia, hypertension, smoking etc.) and adherence to best practice guidelines were reported as frequencies and percentages. Likert scales were collapsed into 2 categories (disagree and agree) for questions related to barriers. Clinical characteristics were reported for the entire cohort and also according to KDOQI CKD stages. Characteristics between KDOQI CKD stages were compared using Chi square and Fisher Exact tests for categorical variables. For continuous variables, Student's *t* tests for normally distributed and Kruskal-Wallis tests for skewed outcomes were used. Adjustments were made for clustering by hospital where possible.

Patient-reported barriers to health-care were ranked quantitatively according to percentages. Subgroup analyses for patients occurred according to KDOQI CKD stages (3, 4 and 5ND and 5D) [21] as it was felt that the health experiences of patients with KDIGO (Kidney Disease Improving Global Outcomes) CKD stage 3a and 3b would be similar. Chi square and Fisher Exact tests were used to compare between KDOQI CKD stages for recommended versus received care, adjusted for clustering by hospital. A linear trend analysis was also performed. An additional sub-analysis of patient-reported barriers to health-care was done by individual hospital using Chi square and Fisher Exact tests, with a Bonferroni correction.

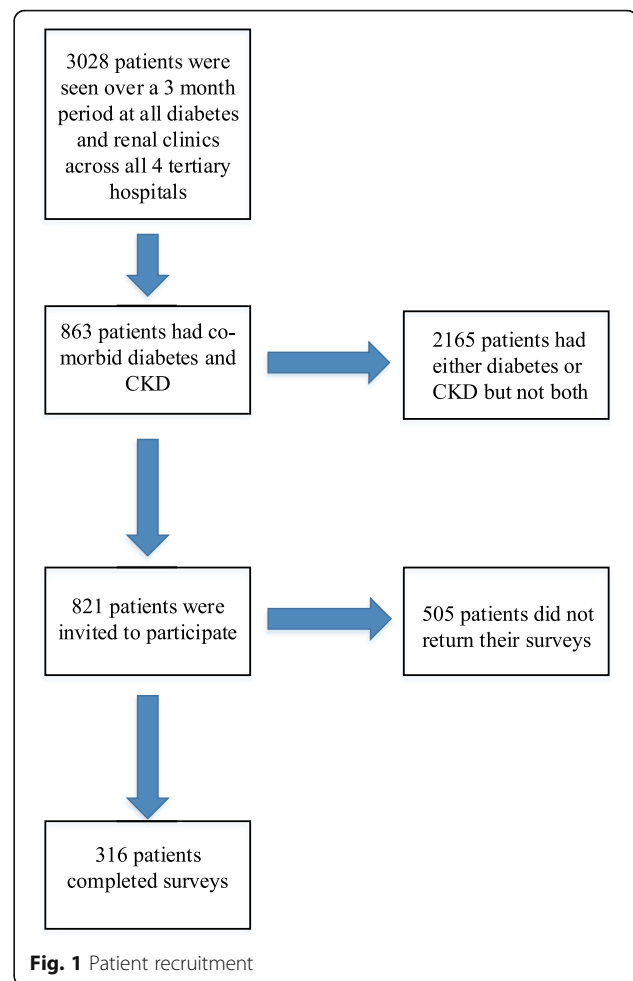
The association between gaps in care (compared to recommended guideline care) and the patient-reported barriers to health-care were examined by comparing the percentage of patients who reported a barrier among those who received recommended care compared to those who did not, using Chi Square and Fisher Exact Tests, adjusted for clustering.

To evaluate the likelihood of a responder bias, the following variables were compared between responders and non-responders at one tertiary health service – age, gender, and KDOQI stages using the Student's *t* test and Chi square statistic. A *p* value < 0.05 was considered significant except for when the Bonferroni correction was used when a *p* < 0.0125 was considered significant. All statistical analyses were performed using STATA v12.1.

Results

Participant characteristics

A total of 821 patients were invited to participate (see Fig. 1: Patient recruitment) with 316 patients



completing surveys (response rate of 38.5%). Eight patients were excluded because their eGFR was ≥ 60 mL/min/1.73 m² leaving 308 for the analysis. Patients (at one tertiary health service) who participated in the study were similar in age, gender distribution and KDOQI CKD stage to those who did not (Additional file 1: Appendix: Table S1).

Clinical characteristics, cardiovascular risk factors and biochemical parameters are reported in Table 1. The mean (SD) age of patients was 66.9 (11.0) years, 69.5% were male. The cohort was multi-cultural with less than half born in Australia (46.4%) and only 78% speaking English as the main language at home. The patients were generally overweight (mean body mass index or BMI 30.7; SD 7.4) and 94.5% had a history of hypertension. The majority of patients had type 2 diabetes (88.0%) of long duration (median 17, IQR 10–23.5 years). Patients were evenly distributed between KDIGO stages. Close to one-fifth of patients (19.2%) were receiving dialysis. The cohort had high cardiovascular risk with 47.0% having a history of ischemic heart disease. As expected and consistent with the pathophysiology and prognosis of later stage CKD, patients with earlier CKD stages tended to

be slightly older, have a higher BMI, have a higher haemoglobin and HbA1c, have a lower phosphate and PTH, and have a lesser need for treatment for CKD metabolic bone disease, compared to later CKD Stages (Additional file 1: Appendix: Table S2: Characteristics and medication usage of patients with diabetes and CKD stratified by CKD stage).

Recommended versus received care for co-morbid diabetes and CKD

Documented treatment targets and received care of patients as compared to recommended treatment targets and care according to guidelines and consensus statements [7, 8] are shown in Table 2. 31.9% of patients had an HbA1c \geq 8% (64 mmol/mol), 39.3% of patients had a measured systolic blood pressure \geq 140 mmHg or a diastolic blood pressure \geq 90 mmHg, and 17.7% of those patients not on dialysis were not taking a statin. There were also screening gaps with 12.2% of patients with documented diabetic retinopathy not having an eye review over the preceding year; and 50.9% of patients with peripheral neuropathy not having their feet examined at their 3 monthly review.

On analysis by CKD stage i.e. CKD 3, 4, 5ND and 5D, although there were numerical differences between stages, there were no statistical differences in the proportion of patients who did not achieve recommended treatment targets including an HbA1c \geq 8%, systolic BP levels \geq 140 and/or diastolic BP levels \geq 90 mmHg, not being on a statin for those with non-dialysis dependent CKD, not having an eye review over the past year despite the presence of diabetic retinopathy or not having a foot examination despite the presence of peripheral neuropathy (all $p > 0.05$, see Fig. 2).

Barriers to health-care for co-morbid diabetes and CKD

The commonest barriers to health-care reported by patients (Fig. 3 and Additional file 1: Appendix: Table S3) included poor continuity of care (seeing a different specialist each visit (49.3%)), inadequate understanding and education about CKD (43.5%), feeling unwell (42.6%), and having trouble maintaining dietary and fluid restrictions (40.1%). Other barriers according to CKD stage are shown in the Additional file 1: Appendix: Figure S1: Other barriers to health-care identified by patients.

The significance of each barrier ranked differently across the CKD stages (Fig. 3). Poor continuity of care was the most reported barrier in CKD 5ND (68.2%) and CKD 3 (50.0%) but was the third most reported barrier in CKD 5D (43.9%) and the fourth most reported barrier in CKD 4 (46.7%). Inadequate understanding and education about kidney disease was the second most reported barrier in CKD 3 (49.3%) and CKD 4 (48.0%) and the fourth most reported barrier in CKD 5ND (40.9%).

Feeling unwell was the most reported barrier in CKD 4 (50.7%) and CKD 5D (51.8%) but was the second most reported barrier in CKD 5ND (54.6%) and the fourth most reported barrier in CKD 3 (33.1%). Trouble maintaining dietary and fluid restrictions was the third most reported barrier in CKD 3 (37.4%) and 5ND (42.9%) and the fourth most reported barrier in CKD 5D (38.6%). Finally, trouble adjusting to the personal, family and social impact of diabetes and CKD was the second most reported barrier in CKD 5D (47.4%) and the third most reported barrier in CKD 4 (47.3%).

The proportion of patients reporting a particular barrier as a problem also differed across hospitals (Additional file 1: Appendix: Table S3: Barriers to health-care identified by patients per hospital). Across the hospitals, the reported barriers which differed included poor continuity of care due to seeing a different specialist, poor communication from specialists to primary care physicians, poor communication between specialists, the specialist providing inadequate information about the disease and poor relationship with specialist health service staff (Bonferroni $p < 0.0125$). The most reported barrier in hospitals 1 and 3 was poor continuity of care due to seeing a different specialist while the most reported barrier in hospitals 2 and 4 was trouble adjusting to the impact diabetes and CKD has on his/her life and/or that of his/her family and friends (Additional file 1: Appendix: Table S4: The four most common barriers to health-care identified by patients according to hospital).

Association between recommended care and patient-reported barriers to health-care

There was an association between the following treatment recommendations – an HbA1c of $<$ 8% (64 mmol/mol), receiving an annual eye check in the presence of diabetic retinopathy, and a haemoglobin of 100 – 115 g/L whilst on an ESA – and patient-reported barriers to health-care. Patients who did not have a HbA1c of $<$ 8% (64 mmol/mol) more often reported conflicting advice as an issue (22.4% vs 12.6%, $p = 0.04$), inadequate support from family (15.9% vs 3.2%, $p < 0.01$) and inadequate support from friends (18.2% vs 8.0%, $p = 0.02$) than those who did have a HbA1c of $<$ 8% (64 mmol/mol). Patients who did not receive an annual eye check more often reported poor communication between specialists (57.1% vs 13.3%, $p = 0.01$) and comorbidities affecting the ability to self-manage (66.7% vs 18.9% $p = 0.02$) than those who did receive an annual eye check. Finally, patients on an ESA who did not have a haemoglobin of 100 – 115 g/L more often reported feeling unmotivated to self-manage (29.6% vs 5.3%, $p = 0.047$) than those that did have a haemoglobin of 100 – 115 g/L.

There was no association between the following treatment recommendations – blood pressure $<$ 140/90 mmHg, receiving treatment with a statin if aged $>$ 40 with non-

Table 1 Characteristics and Medication usage of patients with diabetes and CKD

Clinical Characteristic	Total (n = 308)
Age (SD)	66.9 (11.0)
Male/Female (%)	214 (69.5)/94 (30.5)
Main language spoken at home (% English)	238/305 (78.0)
Australia as the country of birth	141/304 (46.4)
Body weight (IQR) kg	86.1 (72.8 – 101.3)
Height (SD) m	1.68 (0.10)
BMI (SD) kg/m ²	30.7 (7.4)
Systolic blood pressure (SD) mmHg	134 (18)
Diastolic blood pressure (SD) mmHg	72 (11)
Active Smoker (%)	18/230 (7.8)
Diabetes duration (IQR) yrs.	17.0 (10.0 – 23.5)
Diabetes type (%)	
type 1	28 (9.1)
type 2	271 (88.0)
other	9 (2.9)
CKD stage (KDIGO)%	
3a	72 (23.4)
3b	79 (25.7)
4	76 (24.7)
5 (inclusive of 5D)	81 (26.3)
Dialysis (%)	59 (19.2)
Haemodialysis (%)	42 (71.1)
Peritoneal dialysis (%)	17 (28.9)
Other multi-morbidity	
Hypertension (%)	291/308 (94.5)
Dyslipidaemia (%)	256/308 (83.1)
Ischemic Heart Disease (%)	143/304 (47.0)
Stroke (%)	38/305 (12.5)
Peripheral Vascular Disease (%)	82/304 (27.0)
Diabetic Retinopathy (%)	132/305 (43.3)
Diabetic Neuropathy (%)	108/305 (35.4)
Diabetic Nephropathy as a cause of CKD (%)	219/306 (71.6)
Biochemical Parameters	
Haemoglobin (SD) g/L	122 (18)
HbA1c %	7.3 (6.4 – 8.3)
HbA1c (mmol/mol)	56 (47 – 67)
Total Cholesterol (IQR) mmol/L	3.9 (3.4 – 4.6)
LDL (IQR) mmol/L	1.8 (1.4 – 2.5)
HDL (IQR) mmol/L	1 (0.8 – 1.2)
Triglycerides (IQR) mmol/L	1.8 (1.2 – 2.5)
Potassium (SD) mmol/L	4.6 (0.6)
Calcium (SD) mmol/L	2.31 (0.14)

Table 1 Characteristics and Medication usage of patients with diabetes and CKD (Continued)

Phosphate (IQR) mmol/L	1.23 (1.08 – 1.46)
PTH (IQR) pmol/L	15.7 (7.8 – 30.6)
Medication Usage	
Insulin only (%)	136 (44.2)
Non-insulin glucose lowering therapy only (%)	85 (27.6)
Both insulin and non-insulin glucose lowering therapy (%)	67 (21.8)
Diet only with other glucose lowering therapies (%)	20 (6.5)
Statin use (%)	248 (80.5)
Fibrate (%)	34 (11.0)
Use of any antihypertensive (%)	278 (90.3)
Use of ACEI and/or AT2RB (%)	185 (60.1)
Use of other antihypertensive besides an ACEI/AT2RB (%)	240 (77.9)
ESA use (%)	64 (20.8)
Iron supplementation (%)	57 (18.5)
Phosphate binder (%)	58 (18.8)

dialysis dependent CKD, having feet examined in the setting of a diagnosis of peripheral neuropathy, and appropriate metformin and ESA prescription – and patient-reported barriers to health-care.

Discussion

This multi-centre study informs improvement of current models of care for those with co-morbid diabetes and CKD by documenting the presence of gaps between recommended and received care in the tertiary health-care setting and quantitatively identifying the barriers to health-care reported by patients. These barriers varied between hospital and CKD stage, with the most reported barriers being poor continuity of care due to seeing a different specialist, inadequate understanding and education about CKD, feeling unwell and trouble maintaining dietary and fluid restrictions, with some variation according to CKD stage and hospital. Interestingly, these were not associated with recommended care. The only reported barriers associated with a failure to receive recommended care were conflicting advice from specialists and inadequate support from family and friends being associated with a HbA1c \geq 8% (64 mmol/mol), poor communication between specialists and the effect of co-morbidities on self-management being associated with not receiving an annual eye check in the presence of diabetic retinopathy, and feeling unmotivated to self-manage being associated with a failure to reach a haemoglobin target of 100 – 115 g/L whilst on an ESA.

Table 2 Guideline recommended care vs. received care

Recommended care	Met	Unmet	P
HbA1c < 8% (64 mmol/mol) when eGFR < 60 mL/min/1.73 m ² [7]	68.1% (192/282)	31.9% (90/282)	<0.00001
Blood pressure < 140/90 mmHg [7, 8]	60.7% (187/308)	39.3% (121/308)	<0.00001
A statin is recommended in patients with non-dialysis dependent CKD [7]	82.3% (205/249)	17.7% (44/249)	<0.00001
In the setting of diabetic retinopathy, eye examinations should be repeated annually by an optometrist/ophthalmologist [20]	87.8% (108/123)	12.2% (15/123)	<0.00001
In the setting of peripheral neuropathy feet should be examined every 3 months [20, 37]	49.1% (53/108)	50.9% (55/108)	0.68
Metformin should be ceased if eGFR ≤ 30 mL/min/m ² [7]	96.2% (154/160)	3.8% (6/160)	<0.00001
ESA ^a prescribed if Hb < 100 g/L, allowing for individualisation [19]	50.0% (11/22)	50.0% (11/22)	1.00
Hb between 100 and 115 g/L while on ESA [19]	31.3% (20/64)	68.8% (44/64)	<0.00001

^aESA = Erythropoietin Stimulating Agent

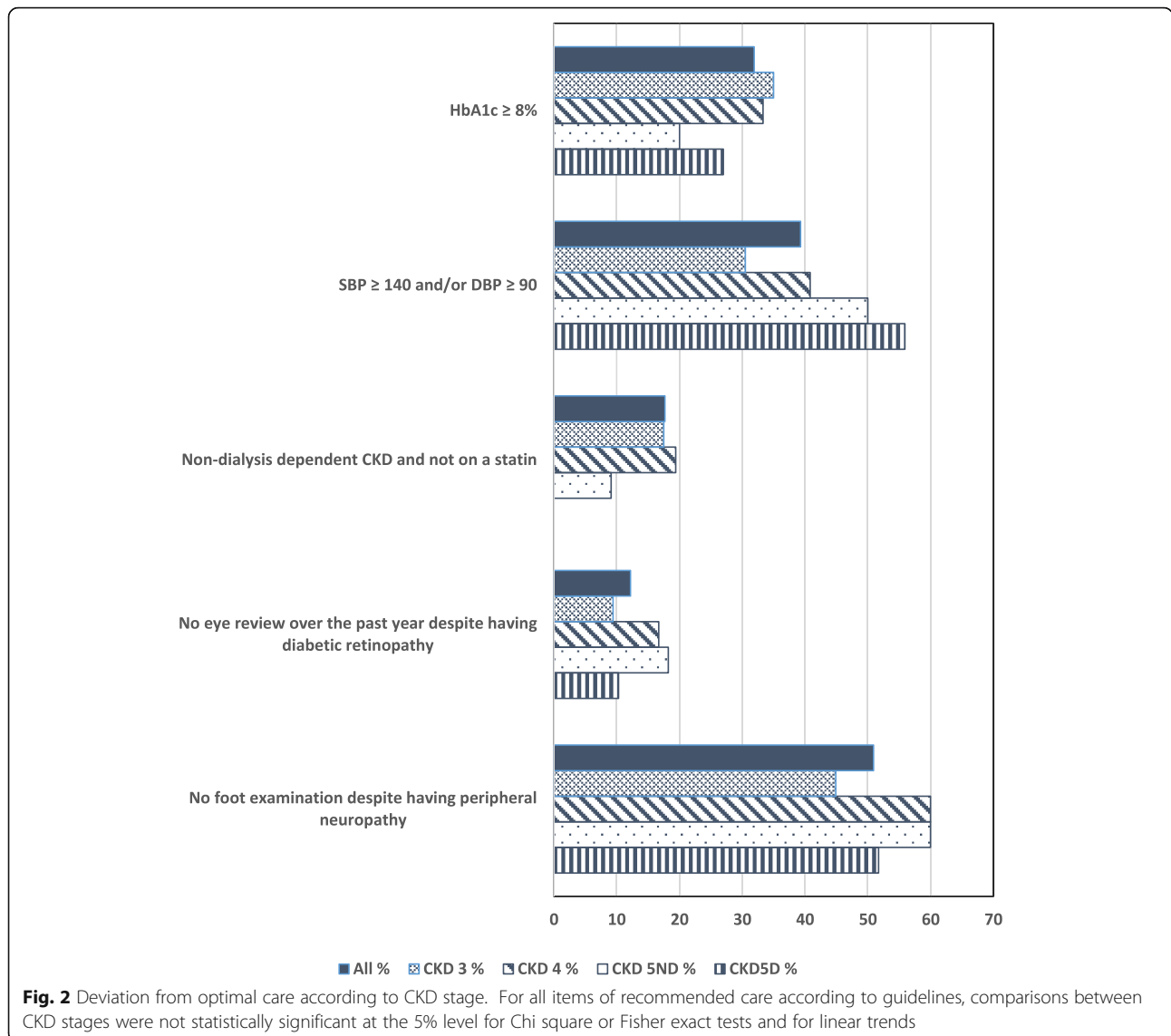
Our Australian data add to the growing international data demonstrating the presence of guideline-care gaps amongst patients with diabetes and CKD. Despite the lack of information for whom targets may have been individualised (for safety/tolerability reasons), our results suggest significant care gaps with more than 30% of patients having an HbA1c ≥ 8% (64 mmol/mol) and close to 40% of patients having a blood pressure ≥ 140/90 mmHg. The magnitude of these gaps is comparable to other cross-sectional surveys of patients with diabetes and CKD [11, 12]. They are of particular concern given the increased morbidity, mortality and health care costs associated with these conditions. This emphasises the need for strategies to address these gaps in order to build on areas where care is better, such as prescription of statins in non-dialysis patients or annual eye reviews.

The four most reported barriers to health-care were poor continuity of care due to seeing a different specialist, inadequate understanding and education about CKD, feeling unwell and trouble maintaining dietary and fluid restrictions, with some variation according to CKD stage and hospital. Poor continuity of care was mainly reported in hospitals where patients attended clinics with multiple specialists (hospitals 1 and 3), rather than patients attending a clinic where they saw the same clinician. Previous studies amongst patients with CKD alone, have reported that self-awareness of the diagnosis of CKD 3 and 4 was poor [22], and knowledge about CKD and its complications was low [23]. Similarly, patients with CKD have been described to have a high symptom burden, with fatigue, drowsiness, pain and decreased appetite reported in up to 100%, 82%, 90% and 83% of patients with CKD 4 and 5, respectively [24]. Additionally, fluid and dietary restrictions have been recognised to be burdensome and disorienting for patients with CKD with possible strategies to

overcome them including patient-prioritised education strategies, using the motivation of avoiding dialysis and viewing adjustment to restrictions as a team effort and journey for patients, their families and their health professionals [25]. Our data suggests that addressing these barriers with patients seeing a single specialist rather than a group of specialists, targeted education of patients about CKD, and individualised symptom control, emotional support and psychological screening and treatment may be very important to patients with co-morbid diabetes and CKD.

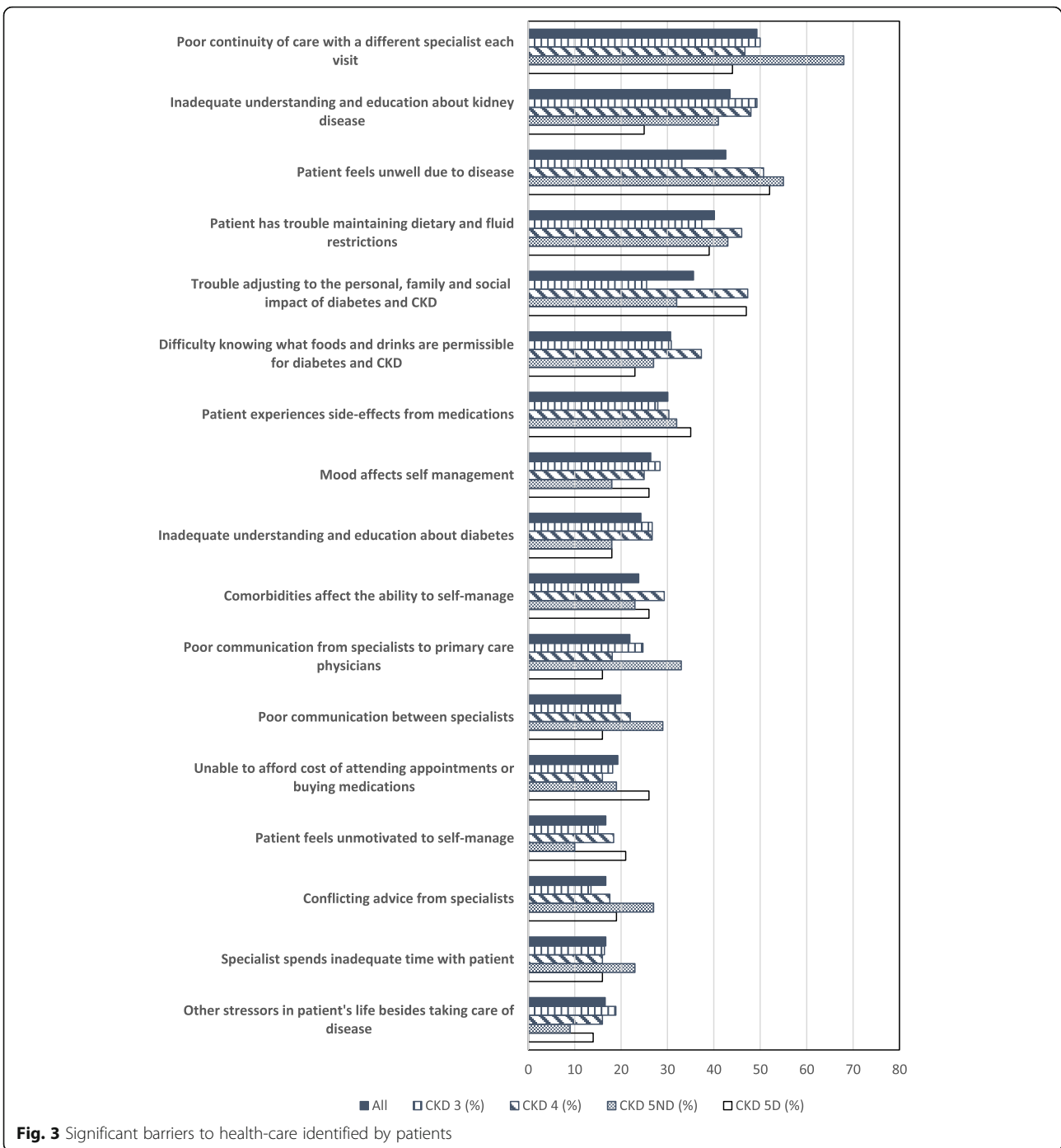
Some patient-reported barriers were associated with a failure to receive recommended care. Notably, these barriers were different for different items of recommended care, that is conflicting advice from specialists and inadequate support from family and friends being associated with a HbA1c ≥ 8% (64 mmol/mol); poor communication between specialists and the effect of co-morbidities on self-management was associated with not receiving an annual eye check in the presence of diabetic retinopathy; and feeling unmotivated to self-manage being associated with a failure to reach a haemoglobin target of 100 – 115 g/L whilst on an ESA. This novel finding implies that in order to close the gap between recommended and received care in co-morbid diabetes and CKD, a “one intervention that fits all” approach is unlikely to be successful and that specific interventions aiming to improve each item of recommended care may be required.

Interestingly, the most commonly reported barriers were not necessarily associated with care gaps. There are a few possible explanations for this. Firstly, the achievement of treatment targets and standards of care is an extremely complex interaction between patients and their health-care providers. There are possible health-care provider factors such as a lack of knowledge about



treatment targets and standards of care, or treatment inertia [26, 27] which need to be accounted for, and may not be considered by patients. Secondly, the perceptions of different barriers and treatment priorities of health professionals, which are usually delivering recommended care according to guidelines, and of patients may be vastly different. This is consistent with a previous study amongst patients with type 2 diabetes and their health professionals concluding that patients were less aware than physicians concerning many of the barriers to good blood glucose control, high-lighting the need to raise patients' awareness [28]. Combined with our findings in patients with co-morbid diabetes and CKD, this emphasises the importance of health care systems addressing the key barriers identified by health professionals and also patient-reported barriers known to be associated with suboptimal treatment targets.

Conflicting advice from specialists is a pitfall associated with poor continuity of care and in our study was associated with suboptimal glycaemic control. The exact mechanism behind the association between continuity of care and glycaemic control is ill-defined, although a common denominator in studies of patients with diabetes alone, seems to be shared health information which would most likely lead to consistent advice given by the health professional to the patient [29–32]. This may explain why differing advice from specialists was associated with the level of HbA1c. Similarly, inadequate support from family and friends was also associated with suboptimal glycaemic control. Although not previously reported in co-morbid diabetes and CKD, this is consistent with previous studies amongst patients with diabetes demonstrating a relationship between family and friend



support and glycaemic control [33], as well as better self-care [34, 35] and diabetes management [36].

The strengths of this study are the multi-site recruitment of patients from geographically distinct large metropolitan areas and exploration of barriers to health-care identified from previous qualitative work, ensuring that the work is inductive instead of deductive. The study limitations include the inability to make definitive causal inferences because of the cross-sectional study design as well

as our inability to consider the impact of individualisation of patient care, especially in the situations where therapeutic goals are appropriately different from the treatment targets. Additionally, we were unable to adequately recruit all ethnic groups or Aboriginal and/or Torres Strait Islander people and exclude responder/selection bias. However, patients (at one tertiary health service) who participated in the study were similar in age, gender distribution and KDOQI CKD stage to those who did not.

Conclusions

In conclusion, gaps between recommended and received care exist in the management of co-morbid diabetes and CKD in tertiary health-care. Barriers to health-care varied across CKD stage and hospitals. However, barriers related to poor continuity of care were commonly reported by patients and also associated with a failure to reach good glycaemic control. Other barriers associated with a deviation from recommended care were different for different items of recommended care, suggesting that specific interventions aiming to improve each item of recommended care are required to improve health-care for co-morbid diabetes and CKD.

Additional file

Additional file 1: Supplementary Appendix which contains: Part A: Further information about the Survey Instrument. Part B: Barriers to Health-care Questionnaire. **Table S1.** Characteristics of patients who did and did not participate in the study at one hospital site. **Table S2.** Characteristics and medication usage of patients with diabetes and CKD stratified by CKD stage. **Figure S1.** Other barriers to health-care identified by patients. **Table S3.** Barriers to health-care identified by patients per hospital. **Table S4.** The four most common barriers to health-care identified by patients according to hospital. (DOCX 76 kb)

Abbreviations

CKD: Chronic kidney disease; GP: General practitioner; HbA1c: Glycated haemoglobin

Acknowledgements

We want to acknowledge S Chaviras, D Giannopoulos, R McGrath and S Coggan for help in study conduct.

Funding

This study was supported by a National Health and Medical Research Council, Australia (NHMRC) Partnership Grant (ID 1055175) between the following health services, research institutes and national consumer stakeholder groups – Alfred Health; Concord Hospital; Royal North Shore Hospital; Monash Health; Monash Centre for Health Research and Implementation, Monash University; The George Institute for Global Health, University of Sydney; Diabetes Australia; and Kidney Health Australia. The NHMRC had no role in the design, data collection, data analysis or interpretation of data for this study.

C Lo was supported by an Australian Postgraduate Award Scholarship. H Teede was supported by a NHMRC, Australia Fellowship. S Zoungas was supported by a National Heart Foundation of Australia Fellowship.

Availability of supporting data

Datasets used and/or analysed from this study are available on reasonable request by contacting Clement Lo at clement.lo@monash.edu.

Authors' contributions

CL, HT, and SZ contributed to the original conception and design of the work, collection, analysis and interpretation of the data, and the drafting of this manuscript. GF, MG, PK, SR, GR and RW contributed to the collection, analysis and interpretation of the data, and critical revision of the manuscript for intellectual content. All authors approved the final version to be published.

Competing interests

All authors have nothing to disclose with no dualities of interest relevant to this manuscript.

Consent for publication

Not applicable.

Ethics approval and consent to participate

This study was approved by all local hospital and university Human Research Ethics Committees (Monash Health Human Research Ethics Committee, Alfred Health Research Ethics Committee, Monash University Human Research Ethics Committee, Northern Sydney Local Health District Human Research Ethics Committee, Sydney Local Health District Human Research Ethics Committee and the University of Sydney Human Research Ethics Committee). All participants were involved voluntarily and gave written consent for participation in and audio-recording of focus groups or semi-structured interviews.

Author details

¹Diabetes and Vascular Research Program, Monash Centre for Health Research and Implementation, School of Public Health and Preventive Medicine, Monash University, Clayton, Victoria, Australia. ²Diabetes and Vascular Medicine Unit, Monash Health, Clayton, Victoria, Australia. ³Department of Diabetes and Endocrinology, Royal North Shore Hospital, St Leonards, New South Wales, Australia. ⁴Department of Nephrology, Concord Hospital, Concord, New South Wales, Australia. ⁵The George Institute for Global Health, Sydney, New South Wales, Australia. ⁶Department of Nephrology, Monash Health, Clayton, Victoria, Australia. ⁷School of Primary Health Care, Monash University, Notting Hill, Victoria, Australia. ⁸Department of Renal Medicine, Alfred Health, Prahran, Victoria, Australia.

Received: 16 November 2016 Accepted: 21 February 2017

Published online: 28 February 2017

References

1. IDF. IDF Diabetes Atlas 6th Edition. 2013.
2. Collins AJ, Kasiske B, Herzog C, Chavers B, Foley R, Gilbertson D, et al. Excerpts from the United States Renal Data System 2006 Annual Data Report. *Am J Kidney Dis.* 2007;49(1 Suppl 1):A6–7. doi:10.1053/j.ajkd.2006.11.019. S1–296.
3. Fortin M, Soubhi H, Hudon C, Bayliss EA, van den Akker M. Multimorbidity's many challenges. *BMJ.* 2007;334(7602):1016–7. doi:10.1136/bmj.39201.463819.2C.
4. Atun R. Transitioning health systems for multimorbidity. *Lancet.* 2015. doi: 10.1016/s0140-6736(14)62254-6.
5. Radbill BMB, LeRoith D. Rationale and strategies for early detection and management of diabetic kidney disease. *Mayo Clin Proc.* 2008;83(12):1373–81.
6. Ozieh MN, Dismuke CE, Lynch CP, Egede LE. Medical care expenditures associated with chronic kidney disease in adults with diabetes: United States 2011. *Diabetes Res Clin Pract.* 2015. doi:10.1016/j.diabres.2015.04.011.
7. Tuttle KR, Bakris GL, Bilous RW, Chiang JL, de Boer IH, Goldstein-Fuchs J, et al. Diabetic kidney disease: a report from an ADA Consensus Conference. *Diabetes Care.* 2014;37(10):2864–83. doi:10.2337/dc14-1296.
8. Clinical Practice Guideline on management of patients with diabetes and chronic kidney disease stage 3b or higher (eGFR <45 mL/min). *Nephrol Dial Transplant.* 2015;30(Suppl 2):ii1–ii142. doi:10.1093/ndt/gfv100.
9. KDOQI Clinical Practice Guideline for Diabetes and CKD: 2012 Update. *Am J Kidney Dis.* 2012;60(5):850–86. doi:10.1053/j.ajkd.2012.07.005.
10. Chadban S, Howell M, Twigg S, Thomas M, Jerums G, Cass A, et al. The CARI guidelines. Prevention and management of chronic kidney disease in type 2 diabetes. *Nephrology (Carlton).* 2010;15 Suppl 1:S162–94. 10.1111/j.1440-1797.2010.01240.x.
11. Hill CJ, Cardwell CR, Patterson CC, Maxwell AP, Magee GM, Young RJ, et al. Chronic kidney disease and diabetes in the national health service: a cross-sectional survey of the U.K. national diabetes audit. *Diabet Med.* 2014;31(4):448–54. doi:10.1111/dme.12312.
12. De Cosmo S, Viazzi F, Pacilli A, Giorda C, Ceriello A, Gentile S et al. Achievement of therapeutic targets in patients with diabetes and chronic kidney disease: insights from the Associazione Medici Diabetologi Annals initiative. *Nephrol Dial Transplant.* 2015. doi:10.1093/ndt/gfv101.
13. Stevens PE, Scherthner G, Raptis S, Wanner C, Scherhag A, Lameire N. Characteristics, cardiovascular comorbidity and medicines management in patients with type 2 diabetes and CKD: results of the IRIDIEM study. *Kidney Blood Press Res.* 2010;33(2):119–28. doi:10.1159/000313595.

14. 2014 US Renal Data System Annual Data Report Ann Arbor, Michigan, USRDS Coordinating Center 2014.
15. von Elm E, Altman DG, Egger M, Pocock SJ, Gotsche PC, Vandenbroucke JP. The Strengthening of Reporting of Observational Studies in Epidemiology (STROBE) statement: guidelines for reporting observational studies. *Lancet*. 2007;370(9596):1453–7. doi:10.1016/s0140-6736(07)61602-x.
16. WHO. Definition and diagnosis of diabetes mellitus and intermediate hyperglycemia. Geneva: World Health Organisation; 2006.
17. Levey AS, Stevens LA, Schmid CH, Zhang YL, Castro 3rd AF, Feldman HI, et al. A new equation to estimate glomerular filtration rate. *Ann Intern Med*. 2009;150(9):604–12.
18. Lo C, Ilic D, Teede H, Cass A, Fulcher G, Gallagher M et al. The Perspectives of Patients on Health-Care for Co-Morbid Diabetes and Chronic Kidney Disease: A Qualitative Study. 2016(1932–6203 (Electronic)). doi:D - NLM: PMC4701448 EDAT- 2016/01/06 06:00 MHDA- 2016/01/06 06:00 CRDT- 2016/01/06 06:00 PHST- 2016 [ecollection] PHST- 2015/09/17 [received] PHST- 2015/12/18 [accepted] PHST- 2016/01/05 [epublish] AID - 10.1371/journal.pone.0146615 [doi] AID - PONE-D-15-40881 [pii] PST - epubli.
19. KDIGO. KDIGO clinical practice guideline for anemia in chronic kidney disease. *Kidney Int*. 2012;2(2):279–335.
20. ADA. Standards of medical care in diabetes - 2015. *Diabetes Care*. 2015; 38(1):S1–S93.
21. K/DOQI clinical practice guidelines for chronic kidney disease: evaluation, classification, and stratification. *American journal of kidney diseases: the official journal of the National Kidney Foundation*. 2002;39(2 Suppl 1):S1-266.
22. Plantinga LC, Boulware LE, Coresh J, Stevens LA, Miller 3rd ER, Saran R, et al. Patient awareness of chronic kidney disease: trends and predictors. *Arch Intern Med*. 2008;168(20):2268–75. doi:10.1001/archinte.168.20.2268.
23. Wright JA, Wallston KA, Elasy TA, Ikizler TA, Cavanaugh KL. Development and results of a kidney disease knowledge survey given to patients with CKD. *Am J Kidney Dis*. 2011;57(3):387–95. doi:10.1053/j.ajkd.2010.09.018.
24. Almutary H, Bonner A, Douglas C. Symptom burden in chronic kidney disease: a review of recent literature. *J Ren Care*. 2013;39(3):140–50. doi:10.1111/j.1755-6686.2013.12022.x.
25. Palmer SC, Hanson CS, Craig JC, Strippoli GF, Ruospo M, Campbell K, et al. Dietary and fluid restrictions in CKD: a thematic synthesis of patient views from qualitative studies. *Am J Kidney Dis*. 2015;65(4):559–73. doi:10.1053/j.ajkd.2014.09.012.
26. Puder JJ, Keller U. Quality of diabetes care: problem of patient or doctor adherence? (1424–7860 (Print)).
27. Hasan H, Zodepy S Fau - Saraf A, Saraf A. Diabetologist's perspective on practice of evidence based diabetes management in India. (1872–8227 (Electronic)).
28. Suzuki T, Takei R, Inoguchi T, Sonoda N, Sasaki S, Kaise T et al. Clinical significance of barriers to blood glucose control in type 2 diabetes patients with insufficient glycemic control. (1177-889X (Electronic)). doi:D - NLM: PMC4485849 OTO - NOTNLM.
29. Litaker D, Ritter C Fau - Ober S, Ober S Fau - Aron D, Aron D. Continuity of care and cardiovascular risk factor management: does care by a single clinician add to informational continuity provided by electronic medical records? (1088–0224 (Print)).
30. Mainous AG, 3rd, Koopman Rj Fau - Gill JM, Gill Jm Fau - Baker R, Baker R Fau - Pearson WS, Pearson WS. Relationship between continuity of care and diabetes control: evidence from the Third National Health and Nutrition Examination Survey. (0090–0036 (Print)). doi:D - NLM: PMC1449828 EDAT- 2004/01/10 05:00 MHDA- 2004/02/13 05:00 CRDT- 2004/01/10 05:00 PST - ppubli.
31. O'Connor PJ, Desai J Fau - Rush WA, Rush Wa Fau - Cherney LM, Cherney Lm Fau - Solberg LI, Solberg Li Fau - Bishop DB, Bishop DB. Is having a regular provider of diabetes care related to intensity of care and glycemic control? (0094–3509 (Print)).
32. Dearing AT, Wilson Jf Fau - Griffith CH, Griffith Ch Fau - Scutchfield FD, Scutchfield FD. The effect of physician continuity on diabetic outcomes in a resident continuity clinic. (1525–1497 (Electronic)). doi:D - NLM: PMC2517915 EDAT- 2008/07/10 09:00 MHDA- 2008/08/30 09:00 CRDT- 2008/07/10 09:00 AID - 10.1007/s11606-008-0654-5 [doi] PST - ppubli.
33. Shawon MS, Hossain FB, Adhikary G, Das Gupta R, Hashan MR, Rabbi MF et al. Attitude towards diabetes and social and family support among type 2 diabetes patients attending a tertiary-care hospital in Bangladesh: a cross-sectional study. (1756–0500 (Electronic)). doi:D - NLM: PMC4881197 OTO - NOTNLM.
34. Ong WM, Chua SS, Ng CJ. Barriers and facilitators to self-monitoring of blood glucose in people with type 2 diabetes using insulin: a qualitative study. (1177-889X (Electronic)). doi:D - NLM: PMC3931581 OTO - NOTNLM.
35. Laranjo L, Neves Al Fau - Costa A, Costa A Fau - Ribeiro RT, Ribeiro Rt Fau - Couto L, Couto L Fau - Sa AB, Sa AB. Facilitators, barriers and expectations in the self-management of type 2 diabetes—a qualitative study from Portugal. (1751–1402 (Electronic)).
36. Singh H, Cinnirella M Fau - Bradley C, Bradley C. Support systems for and barriers to diabetes management in South Asians and Whites in the UK: qualitative study of patients' perspectives. LID - 10.1136/bmjopen-2012-001459 [doi] LID - e001459 [pii]. (2044–6055 (Electronic)). doi:D - NLM: PMC3532968 EDAT- 2012/11/16 06:00 MHDA- 2012/11/16 06:01 CRDT- 2012/11/16 06:00 PHST- 2012 [ppublish] AID - bmjopen-2012-001459 [pii] AID - 10.1136/bmjopen-2012-001459 [doi] PST - epubli.
37. National Evidence-Based Guidelines on Prevention, Identification and Management of Foot Complications in Diabetes (Part of the Guidelines on the Management of Type 2 Diabetes). Melbourne, Australia 2011.

Submit your next manuscript to BioMed Central and we will help you at every step:

- We accept pre-submission inquiries
- Our selector tool helps you to find the most relevant journal
- We provide round the clock customer support
- Convenient online submission
- Thorough peer review
- Inclusion in PubMed and all major indexing services
- Maximum visibility for your research

Submit your manuscript at
www.biomedcentral.com/submit

