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Transcriptional signatures of unfolded protein response implicate the limitation of animal models in pathophysiological studies

Ze Zheng¹, Guohui Wang^{1,2}, Li Li¹, Jeffery Tseng¹, Fei Sun³, Xuequn Chen³, Lin Chang⁴, Henry Heng¹, and Kezhong Zhang^{1,5}

¹Center for Molecular Medicine and Genetics, Wayne State University School of Medicine, Detroit, MI 48201

²Department of Internal Medicine, The Affiliated Tumor Hospital of Zhengzhou University, Jinshui, Zhengzhou, Henan, China

³Department of Physiology, Wayne State University School of Medicine, Detroit, MI 48201

⁴Cardiovascular Center, University of Michigan Medical School, Ann Arbor, MI 48109, USA

⁵Department of Immunology and Microbiology, Wayne State University School of Medicine, Detroit, MI 48201

Abstract

Background—The unfolded protein response (UPR) refers to intracellular stress signaling pathways that protect cells from the stress caused by accumulation of unfolded or misfolded proteins in the endoplasmic reticulum (ER). The UPR signaling is crucially involved in the initiation and progression of a variety of human diseases by modulating transcriptional and translational programs of the stressed cells. In this study, we analyzed the gene expression signatures of primary stress sensors and major mediators of UPR pathways in a variety of tissues/organs of human and murine species.

Methods—We first analyzed protein sequence similarities of major UPR transducers and mediators of human and murine species, and then examined their gene expression profiles in 26 human and mouse common tissues based on the microarray datasets of public domains. The differential expression patterns of the UPR genes in human diseases were delineated. The involvements of the UPR genes in mouse pathology were also analyzed with mouse gene knockout models.

Results—The results indicated that expression patterns and pathophysiologic involvements of the major UPR stress sensors and mediators significantly differ in 26 common tissues/organs of

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Address for correspondence: Dr. Kezhong Zhang, Center for Molecular Medicine and Genetics, Wayne State University School of Medicine, 540 E. Canfield Avenue, Detroit, MI 48201, USA. kzhang@med.wayne.edu.

Conflicts of interest

There are no conflicts of interest.

human and murine species. Gene expression profiles suggest that the IRE1 α /XBP1-mediated UPR pathway is induced in secretory and metabolic tissues or organs. While deletion of the UPR trans-activator XBP1 leads to pathological phenotypes in mice, alteration in XBP1 is less associated with human disease conditions.

Conclusions—Expression signatures of the major UPR genes differ among tissues or organs and among human and mouse species. The differential induction of the UPR pathways reflects the pathophysiologic differences of tissues or organs. The difference in UPR induction between human and mouse suggests the limitation of using animal models to study human pathophysiology or drugology associated with environmental stress.

Keywords

Animal models; endoplasmic reticulum stress; environmental stress; transcriptional signature; unfolded protein response

INTRODUCTION

Unfolded protein response (UPR) refers to intracellular stress signaling pathways that are originated from the endoplasmic reticulum (ER) in response to accumulation of unfolded or misfolded proteins in the ER lumen.^[1,2] The UPR is conserved in all the known eukaryotic species, ranging from yeast, worm, to mammals. The UPR pathways are mediated through three ubiquitously expressed transducers, namely, inositol-requiring I (IREI), PKR-like ER kinase/pancreatic eIF2 α kinase (PERK), and activating transcription factor 6 (ATF6).^[2,3] When cells encounter ER stress, PERK is activated to phosphorylate translation initiation factor eIF2 α , leading to translational suppression and subsequent reduction in translocation of newly synthesized proteins into the ER. Upon activation of the UPR, IRE1 α is also activated to splice the mRNA encoding X-box-binding protein 1 (XBP1). Spliced *Xbp1* mRNA encodes a potent bZIP transcription factor that activates expression of a number of ER chaperones and enzymes to promote protein folding, secretion of correctly folded proteins, and degradation of misfolded proteins. Under ER stress conditions, the UPR transducer ATF6 is also activated to function as a transcription factor that plays partially redundant roles of XBP1 in facilitating protein folding and secretion as well as degradation of misfolded proteins.^[4,5] In principal, through three pathways, the UPR is activated to reduce the amount of new proteins translocated into the ER lumen, to increase degradation of misfolded proteins, and to bolster ER protein folding and secretion capacities. However, when ER stress gets prolonged or the adaptive UPR responses are not sufficient to resolve the accumulation of unfolded or misfolded proteins, the UPR signaling will initiate cell death programs to eliminate the stressed cells. Typically, ER stress-induced programmed cell death is mediated by PERK/eIF2 α UPR pathway.^[2,3] Under chronic or severe ER stress, PERK-mediated phosphorylation of eIF2 α leads to translation of some selective mRNAs while it causes attenuation of protein translation in general. In mammals, phosphorylated eIF2 α can mediate translation of ATF4 which induces expression of a pro-apoptotic factor CHOP/GADD153, leading to ER stress-induced apoptosis. In addition, under stress condition, ATF4 can induce expression of the growth arrest and DNA damage-inducible protein GADD34.^[6,7] GADD34 interacts with the catalytic subunit of type I protein serine/

threonine phosphatase to dephosphorylate eIF2 α , allowing most protein synthesis to resume. Thus, induction of GADD34 under ER stress conditions provides a negative feedback regulation in the PERK/eIF2 α UPR pathway.

Recent discoveries in the mechanisms and roles of physiologic UPR signaling, coupled with the studies on genetically engineer animal models, have led to significant expansion in the scope and consequence of the UPR.^[8] A variety of pathophysiologic stimuli, environmental stress, and even lifestyles can directly or indirectly induce ER stress and activate the same UPR pathways induced by biochemical or pharmacological drugs. It has been demonstrated that the IRE1 α /XBP1-mediated UPR pathway is required for normal differentiation of plasma cells as well as for function and survival of dendritic cells.[9–11] The PERK-mediated UPR pathway is a key regulator of energy metabolism and is required for pancreatic β cells function and survival.^[12–15] The UPR is crucial for many specialized cell types, such as macrophages, pancreatic β cells, and neural oligodendrocytes, to make survival or death decision under stress conditions.^[8] Indeed, disruption or hyperactivation of the UPR signaling is associated with a variety of systemic diseases, such as metabolic disease, cardiovascular disease, neurodegenerative disease, and cancer.

Because UPR signaling is crucial to cell differentiation, function, and survival, we asked whether expression profiles of the major UPR genes can indicate states of the pathophysiology of specialized tissues or organisms. Here, we analyzed the expression profiles of major UPR genes in human and mouse tissues as well as in human diseases based on the databases of public domains. Our analyses suggest that the expression signatures of the UPR genes differ among tissues and species. The UPR gene expression profiles reflect the functional differences of tissues or organs that are associated with human diseases.

METHODS

Microarray-based gene expression data analysis

Microarray-based gene expression data for 26 human and mouse common tissues were extracted from the microarray datasets of BioGPS (www.biogps.org). Fold changes of expression levels of the major UPR genes in human or mouse tissues were determined by normalizing to expression levels of the genes in the cerebellum which were defined as 1. Clustered heat map of gene expression in different tissues or organs was drawn based on the fold changes of gene expression.

Analysis of gene expression patterns associated with human diseases

Expression profiles of major UPR genes in human diseases were extracted from the European Bioinformatics Institute of European Molecular Biology Laboratory (EMBL-EBI, <http://www.ebi.ac.uk/>). Up- or down-regulation of UPR gene expression in human diseases was determined by comparing the expression levels of the genes in the tissues associated with the particular human diseases to that in the normal human tissues.

Determination of the correlation between gene knockout/dysfunction and animal phenotypes

Phenotypes of animal models with knockout or dysfunctional UPR genes were obtained from the Mouse Genome Informatics database (MGI, <http://www.informatics.jax.org/>) and the literature search of PubMed database (www.ncbi.nlm.nih.gov/pubmed).

RESULTS

The major UPR genes are highly conserved in human and mouse, but their expression profiles vary significantly among tissues. We examined homologies of the major transducers and mediators of the UPR pathways in human and mouse species. These UPR transducers and mediators include (1) ER chaperone GRP78/BIP (BIP), (2) primary UPR transducer IRE1 α and its homolog IRE1 β , (3) UPR transactivator XBP1 (the target of IRE1 α), (4) UPR transducer ATF6, (5) UPR transducer PERK, (6) translation initiation factor eIF2 α (the target of PERK), and (7) UPR mediators of the PERK/eIF2 α pathways including ATF4, CHOP, and GADD34 [Figure 1a]. The amino acid sequences of the major UPR transducers and mediators, except GADD34, are highly conserved in human and mouse, with orthologous sequence identities of over 80% [Figure 1b; Supplementary Figure 1]. However, GADD34, a nontypical UPR-associated protein factor that modulates the PERK/eIF2 α UPR pathway by dephosphorylating eIF2 α ,^[6,7] displays only 45% sequence similarity between human and mouse species.

Next, we analyzed expression signatures of the major UPR genes in specialized human and mouse tissues or organs based on the gene expression microarray datasets from BioGPS (www.biogps.org). For this analysis, we defined the mRNA levels transcribed from the UPR genes in the cerebellum tissue as the baseline (1-fold). Fold changes of the mRNA levels in other tissues or organs were determined by normalizing to the mRNA levels in the cerebellum. Because the UPR signaling is associated with cellular physiology,^[2] highly proliferative tissues or organs, such as intestines, reproductive organs, and glands, display higher expression levels of the UPR target genes *BIP* and *XBPI*, compared to the tissues or organs containing permanent cells, such as skeletal muscle and cerebellum [Figure 2 and Supplementary Table 1]. For this reason, we selected cerebellum as the control tissue to normalize expression fold changes of the UPR genes in human or mouse tissues. In human, expression of the *BIP* mRNA is highly induced in specialized metabolic, inflammatory, and secretory tissues or organs, such as those of the reproductive system, gastrointestinal organs, immune system, and neuronal tissues, compared to that in the cerebellum [Figure 2]. The UPR transactivator *XBPI* is also highly expressed in the reproductive tissues, gastrointestinal organs, and immune system, but not in the neuronal tissues. Different from the expression profiles of *BIP* and *XBPI*, expression levels of the other major UPR genes, including *ATF6*, *IRE1 α* , *IRE1 β* , *PERK*, *eIF2 α* , *ATF4*, and *CHOP*, in most metabolic and secretory tissues or organs are similar to those in the cerebellum [Figure 2]. Induction of both *BIP* and *XBPI*, the downstream targets of the UPR transducer IRE1 α , implicates elevation of the IRE1 α /XBPI-mediated UPR pathway.^[2,3] However, the expression profiles of the *IRE1 α* gene are opposite to those of *XBPI* in the human tissues [Figure 2]. This inconsistency may be due to the reverse correlation between IRE1 α protein activation and

IRE1a mRNA levels as it has been proven that the activated IRE1 α can decrease its own mRNA.^[16] Therefore, the reduced levels of the *IRE1a* mRNA are consistent with activation of the IRE1 α /XBP1-mediated UPR pathway. In addition, expression levels of the genes involved in the PERK/eIF2 α -mediated UPR pathway, including *PERK*, *eIF2 α* , *ATF4*, and *CHOP*, in these metabolic and secretory tissues are similar to or even lower than those in cerebellum under the physiological condition [Figure 2]. Since the PERK/eIF2 α pathway leads to protein translational attenuation and ER stress-induced apoptosis,^[2] the relatively low expression profiles of the genes involved in the PERK/eIF2 α pathway suggest that stress-induced protein translation attenuation and apoptosis programs are not prevalent in the specialized tissues or organs whose primary functions are associated with protein secretion and metabolism under physiological conditions.

In mouse tissues, expression profiles of the major UPR genes also vary significantly [Figure 2 and Supplementary Table I]. Different from the gene expression signatures in the human tissues, the expression levels of the *BIP* and *Xbp1* genes in most metabolic, inflammatory, and secretory organs or tissues are not as high as that in the cerebellum. Interestingly, expression of the *eIF2 α* gene is more induced in most of the reproductive tissues, immune organs, and neuronal tissues, compared to that in the cerebellum [Figure 2]. Because only the phosphorylated eIF2 α , but not the total eIF2 α , is the substrate of the UPR transducer PERK,^[2,8] increased expression of the *eIF2 α* gene alone does not necessarily indicate the elevation of the PERK/eIF2 α UPR pathway. Instead, the increased expression of the *eIF2 α* genes may be correlated with elevated protein translation in these tissues or organs.

Expression signatures of the major UPR genes in human and mouse significantly differ. We compared the expression signatures of the major UPR genes in human and mouse tissues. Compared to the mouse tissues, human reproductive tissues, gastrointestinal organs, immune system, and neuronal tissues display higher expression levels of the genes encoding BIP and XBP1 [Figure 2]. Correlated with increased expression of BIP and *XBPI*, the expression levels of *IRE1a* are decreased in the human tissues, consistent with the fact that activated IRE1 α can decrease the *IRE1a* mRNA.^[16] These profiles suggest that the basal induction of the IRE1 α /XBP1-mediated UPR signaling in human tissues is higher than that in mouse tissues. Given the roles of IRE1 α /XBP1 UPR signaling in protein secretion, metabolism, and homeostasis maintenance,^[2,8] higher induction of the IRE1 α /XBP1-mediated UPR in human tissues suggest that the human tissues may possess more robust physiological programs and stress-adaption capability, compared to the mouse tissues. Another interesting observation is that *IRE1a*, the homolog of *IRE1 α* , is more induced in mouse large and small intestines, compared to that in the cerebellum [Figure 2]. It has been reported that IRE1 β is involved in mucin secretion in goblet cells,^[17] intestinal lipid absorption,^[18] and chylomicron production.^[19] Induction of the *IRE1a* gene in mouse intestine tissues suggests that mice may utilize IRE1 β -mediated signaling to possess unique capabilities in food digestion and metabolism.

We also observed higher induction of the UPR transducer PERK and its downstream substrate ATF4 in most human tissues examined, compared to those in mice [Figure 2]. However, expression profile of the other substrates of PERK, including *eIF2 α* , *CHOP*, and *GADD34*, are not consistent with those of *PERK* and *ATF4*. This may be explained by the

fact that eIF2 α , CHOP, and GADD34 are not solely UPR targets. Although PERK-mediated UPR signaling leads to phosphorylation of eIF2 α protein, it does not regulate expression of the *eIF2 α* gene. Expression of *CHOP* and *GADD34* can be regulated by many other signals in addition to UPR signaling.^[20,21] The low induction profile of *CHOP* in human tissues suggests that PERK-mediated UPR signaling may not necessarily lead to stress-induced apoptosis under physiologic conditions.

Expression profiles of the UPR genes vary under different human disease conditions. We analyzed expression signatures of the major UPR genes, including *BIP*, *PERK*, *XBP1*, *IRE1 α* , *IRE1 β* , and *ATF6*, in human diseases based on the database from the EMBL-EBI (<http://www.ebi.ac.uk/>). Altered expression of multiple UPR transducers or mediators was found in 45 human disease conditions, ranging from cancers, infectious diseases, to neuronal diseases [Figure 3 and Supplementary Table 2]. Expression of the *BIP*, *PERK*, *IRE1 α* , *IRE1 β* , and *ATF6* genes is frequently modulated under human disease conditions. Surprisingly, altered expression of the UPR transactivator *XBP1* is only associated with a small number of human diseases [Figure 3]. Note that expression of *IRE1 β* , an *IRE1 α* homolog with unknown function in human, appears to be frequently modulated in human diseases.

Deletion of the UPR gene significantly affects mouse pathophysiology. To gain insights into the impact of the UPR genes in mouse pathophysiology, we examined the phenotypic profiles related to deletion or dysfunction of the UPR genes in mice. Based on the MGI database (<http://www.informatics.jax.org/>) and the published literature from PubMed (www.ncbi.nlm.nih.gov/pubmed), we generated a profile of phenotypes related to deletion or dysfunction of the UPR genes in mice [Figure 4]. Deletion or dysfunction of the *BIP*, *PERK*, or *IRE1 α* gene leads to pathological phenotypes in many mice physiological systems. However, distinct from the UPR profiles in human diseases [Figure 3], dysfunction of *XBP1* or *ATF4* results in pathologic phenotypes in a variety of physiological systems in mice [Figure 4], suggesting that *XBP1* and *ATF4* are crucial to mouse pathophysiology.

DISCUSSION

The differential expression signatures of the UPR genes in normal human and mouse tissues or disease models have important implications in the understanding of human and mouse physiology. Our analyses indicate: (1) The major UPR genes are highly conserved but their expression profiles vary significantly among the tissues of human and mouse species; (2) relatively high induction of the *IRE1 α* /*XBP1*-mediated UPR branch, but not the PERK-mediated UPR branch, is observed in secretory and metabolic organs or tissues; (3) the expression signatures of the major UPR genes in human tissues are different from that in mouse tissues; and (4) the involvements of the UPR genes in human diseases are different from that in mouse pathophysiology. In particular, *XBP1* and *ATF4* are crucially involved in mouse pathophysiology, but not much in human diseases.

Based on the gene expression profiles of the ER chaperone *BIP*, the UPR transducer *IRE1 α* , and the UPR transactivator *XBP1*, it is apparent that the secretory and metabolic tissues or organs, such as those in the reproductive, gastrointestinal, immune, and neuronal systems,

have high basal levels of the IRE1 α /XBP1-mediated UPR signaling [Figure 2]. This is consistent with the roles of the IRE1 α /XBP1 UPR signaling in facilitating protein secretion, metabolism, and homeostasis maintenance under physiological “stress” conditions.^[2,8] Importantly, the degree of induction of the IRE1 α /XBP1 signaling in human tissues are likely higher than those in mouse tissues [Figure 2], implicating that human tissues may possess more robust adaptation programs in dealing with physiological demands and stress challenges. Interestingly, induction of IRE1 β , a homolog of IRE1 α , is evidenced in mouse small and large intestines [Figure 2]. Because it has been reported that IRE1 β is involved in mucin secretion and lipid transport in mouse digestive system,^[17–19] it is possible that IRE1 β -mediated stress signaling may provide a molecular basis for mice to achieve their uniqueness in food uptake, digestion, and energy metabolism.

Another important observation from this study is the different profiles for the involvement of the UPR genes in human disease and mouse pathology [Figures 3 and 4], XBP1, a UPR transactivator highly induced in human tissues under the physiologic condition [Figure 2], is associated with only a few types of human diseases [Figure 3]. Instead, ATF6, a UPR transducer that displays partial functional redundancy with XBP1,^[4,5] is more relevant to the occurrence of human diseases. It is possible that ATF6 may not only compensate XBP1 dysregulation but also play additional indispensable roles in human. In contrast, deletion of XBP1 is critically involved in mouse pathology [Figure 4]. These observations suggest that induction profiles and pathophysiologic involvements of the UPR genes in human and mouse are significantly different. This finding is important because it confirms the limitation of using animal models to study human pathophysiology or drugology, particularly for those that are associated with environmental stress conditions. Given the fact that animal models have been widely used as platforms to study human diseases and to test therapeutic drugs, this study is informative to the research communities of biomedicine and public health.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

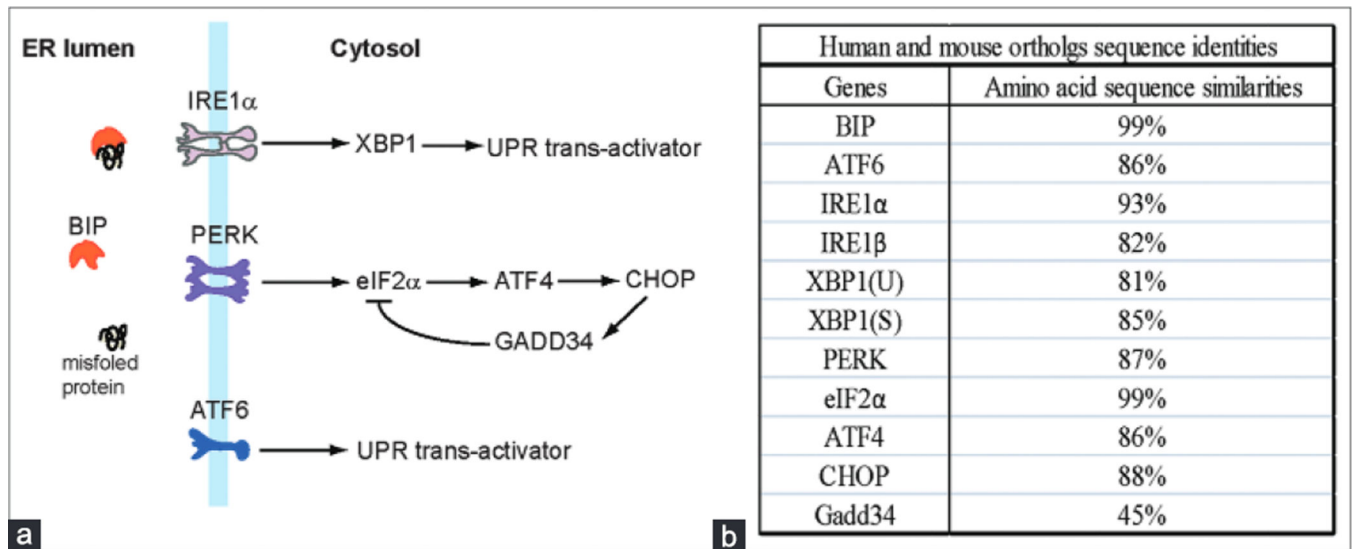
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**Figure I.**

(a) The unfolded protein response branches and major unfolded protein response mediators. (b) The amino acid sequence similarities of the major unfolded protein response genes. The protein sequences were analyzed based on the database from NCBI (<http://blast.ncbi.nlm.nih.gov/>) [Supplementary Figure I]

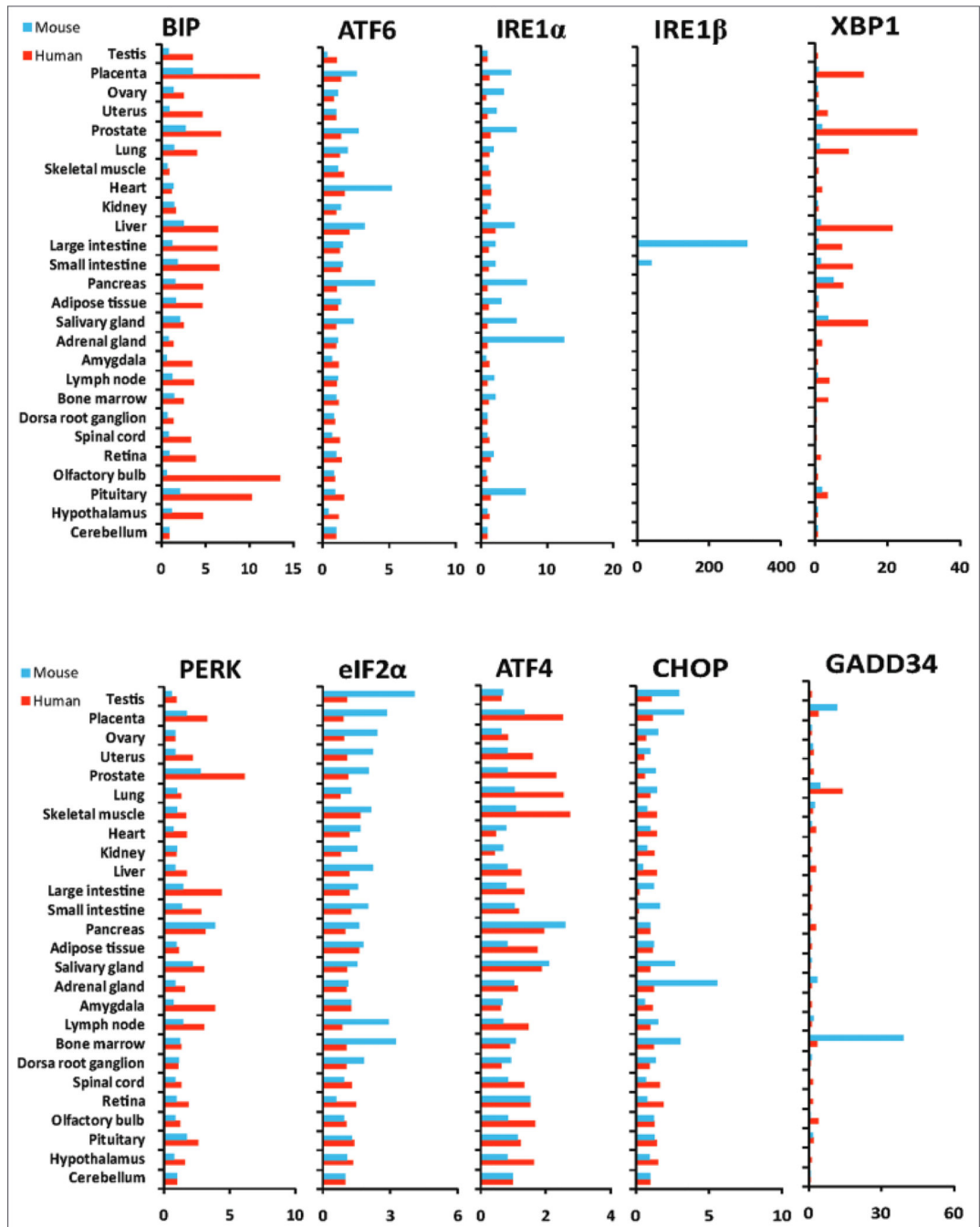


Figure 2.

Comparison of the major unfolded protein response gene expression profiles in human and mouse tissues. Microarray gene expression data for human and mouse tissues were extracted from BioGPS (www.biogps.org). Fold changes of gene expression levels in human (red bars) or mouse (blue bars) tissues were determined by normalized to expression levels of the genes in cerebellum (which were defined as 1). A full matrix of normalized expression scores were given in Supplementary Table I

Diseases with UPR genes differentially regulated	Gene expression					
	BIP	PERK	XBP1	IRE1 β	IRE1 α	ATF6
Acute HIV-1 infection	↓	↓				
Adrenocortical carcinoma	↓	↓			↓	
Acute malaria-infected	↑			↓		
Breast carcinoma	↑	↑		↓	↑	↑
Breast carcinoma	↑	↑		↓		↑
Burkitts lymphoma			↓		↑	
Chronic lymphocytic leukemia	↓	↓	↑		↓	↑
Chromophobe renal cell carcinoma		↑				↓
Colon carcinoma	↑			↑		
Colorectal cancer				↓		↑
Cryptorchidism	↓	↑		↓		
Dermatomyositis		↑			↓	↑
Down syndrome		↑		↓	↓	
Epilepsy		↑		↓		
Esophageal adenocarcinoma	↑			↑		
Gastric carcinoma	↑	↓	↓			
Glioblastoma	↑	↑		↓		↓
Freidriech's ataxia	↑	↑		↑	↑	
Huntington's disease		↑				↑
Intrahepatic cholangiocarcinoma			↓		↓	
Leiomyosarcoma	↓	↓		↑		
Liposarcoma	↑					↑
Lung adenocarcinoma	↑	↑	↑	↓	↓	↑
Lung adenocarcinoma	↑					↑
Malignant melanoma	↑	↑				↑
Multiple myeloma	↑	↑				↑
Muscle invasive carcinoma	↑				↓	
Nasopharyngeal carcinoma				↓	↓	
Nephrosclerosis		↑		↓		
Non-ischemic cardiomyopathy	↑			↓		
Non-small cell lung cancer	↑	↑				↓
Oligodendroglioma	↓					↑
Osteosarcoma		↑		↑		↑
Overian serous carcinoma	↓	↓		↑	↑	↓
Pancreatic cancer	↑	↓		↑	↓	
Periodontitis	↑	↑			↑	↑
Pituitary cancer	↓					↑
Prostate cancer	↓					↓
Prostate carcinoma	↓	↑				
Psoriasis	↓				↑	
Rheumatoid arthritis	↑					↓
T-cell acute lymphoblastic leukemia	↓	↑		↓	↑	↑
Testicular agenesis	↓			↑		
Testicular seminoma		↑	↑			↓
X-linked chronic granulomatous	↓				↑	

Figure 3.

Expression profiles of the major unfolded protein response genes in human diseases. Data were extracted and analyzed based on the database from European Bioinformatics Institute of European Molecular Biology Laboratory (<http://www.ebi.ac.uk/>). The green or red arrows indicate up- or down-regulation of the genes in human disease tissues. The up- or down-regulations were determined by comparing the expression levels of the genes in the disease tissues to those in normal tissues. The t-test statistics was shown in Supplementary Table 2

Phenotypic profiles Related to Deletion or Dysfunction of the Major UPR Stress in Mice									
Gene deletion / dysfunction	Grp78/BIP	Perk	eIF2 α	Atf4	Chop	Atf6	Ire1 α	Ire1 β	Xbp1
References (PubMed ID)	21214893	11430819	11430820	11806972	11526215	17765679	19805353	11238559	19805353
	17464327	11997520		10885750	9531536	17765680	11850408		10652269
	16847323			10096021			10650002		10425189
Phenotypic tissues or organ systems	Adipose tissue		×	×	×				
	Cardiovascular system	×					×		×
	Craniofacial	×			×				
	Digestive/alimentary system	×	×		×			×	
	Embryogenesis	×					×		×
	Endocrine/exocrine glands		×	×	×				
	Hematopoietic			×	×		×		×
	Homeostasis/metabolism	×	×	×	×	×	×	×	×
	Immune system					×		×	×
	Integument	×			×			×	×
	Limbs/digits/tail		×						
	Liver/biliary system				×		×	×	×
	Muscle								×
	Nervous system	×	×			×			×
	Renal/urinary system	×							
	Reproductive system				×				
	Respiratory system	×							
Skeleton		×		×				×	
Vision/eye				×				×	

Figure 4.

Profiles of pathological phenotypes of mouse models with unfolded protein response gene deletion or dysfunction. The animal phenotype information was summarized based on the animal database from Mouse Genome Informatics (<http://www.informatics.jax.org/>) and the literature of PubMed database (www.ncbi.nlm.nih.gov/pubmed)