

Clustered brachiopod Hox genes are not expressed collinearly and are associated with lophotrochozoan novelties

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Temporal collinearity is often considered the main force preserving Hox gene clusters in animal genomes. Studies that combine genomic and gene expression data are scarce, however, particularly in invertebrates like the Lophotrochozoa. As a result, the temporal collinearity hypothesis is currently built on poorly supported foundations. Here we characterize the complement, cluster, and expression of Hox genes in two brachiopod species, *Terebratalia transversa* and *Novocrania anomala*. *T. transversa* has a split cluster with 10 genes (*lab*, *pb*, *Hox3*, *Dfd*, *Scr*, *Lox5*, *Antp*, *Lox4*, *Post2*, and *Post1*), whereas *N. anomala* has 9 genes (apparently missing *Post1*). Our in situ hybridization, real-time quantitative PCR, and stage-specific transcriptomic analyses show that brachiopod Hox genes are neither strictly temporally nor spatially collinear; only *pb* (in *T. transversa*), *Hox3* (in both brachiopods), and *Dfd* (in both brachiopods) show staggered mesodermal expression. Thus, our findings support the idea that temporal collinearity might contribute to keeping Hox genes clustered. Remarkably, expression of the Hox genes in both brachiopod species demonstrates cooption of Hox genes in the chaetae and shell fields, two major lophotrochozoan morphological novelties. The shared and specific expression of Hox genes, together with *Arx*, *Zic*, and Notch pathway components in chaetae and shell fields in brachiopods, mollusks, and annelids provide molecular evidence supporting the conservation of the molecular basis for these lophotrochozoan hallmarks.

chaetae | shell fields | Lophotrochozoa | *Wiwaxia* | Hox cluster

Hox genes are transcription factors that bind to regulatory regions via a helix-turn-helix domain to enhance or suppress gene transcription (1, 2). Hox genes were initially described in the fruit fly *Drosophila melanogaster* (3, 4) and later reported in vertebrates (5–7) and the nematode *Caenorhabditis elegans* (8). In all of these organisms, Hox genes were shown to provide a spatial coordinate system for cells along the anteroposterior axis (9). Remarkably, the Hox genes of *Drosophila* and vertebrates are clustered in their genomes and exhibit a staggered spatial (3) and even temporal—as in mammals (10, 11)—expression during embryogenesis that corresponds to their genomic arrangement (3, 12, 13). These features were used to classify Hox genes into four major orthologous groups—anterior, Hox3, and central and posterior Hox genes—and have been proposed as ancestral attributes of all bilaterally symmetrical animals (1, 13, 14).

Further study of the genomic arrangements and expression patterns of Hox genes in a broader phylogenetic context has revealed multiple deviations from that hypothesized ancestral state. Hox genes are prone to gains (15–17) and losses (18–21), and their arrangement in a cluster can be interrupted, or even completely disintegrated (22–25). Furthermore, the collinear character of the Hox gene expression can fade temporally (24, 26, 27) and/or spatially (28). In addition, Hox genes have diversified their roles during development, extending beyond pro-

viding spatial information (29). They also are involved in patterning different tissues (30), and often have been recruited for the evolution and development of novel morphological traits, such as vertebrate limbs (31, 32), cephalopod funnels and arms (28), and beetle horns (33).

Thus, it is not surprising that Hox genes show diverse arrangements regarding their genomic organization and expression profiles in the Spiralia (34), a major animal clade that includes highly disparate developmental strategies and body organizations (35–39). A striking example of this is the bdelloid rotifer *Adineta vaga*, which belongs to the Gnathifera, the possible sister group to all remaining Spiralia (38, 39). Owing to reduced tetraploidy, the *A. vaga* Hox complement includes 24 genes, although it lacks posterior Hox genes and a Hox cluster (40). The freshwater flatworms *Macrostomum lignano* and *Schmidtea mediterranea* also lack a Hox cluster (41, 42), and parasitic flatworms have undergone extensive Hox gene losses, likely associated with their particular lifestyle (21). Interestingly, the limpet mollusk *Lottia gigantea* (16) shows a well-organized Hox cluster. Other mollusks (e.g., the Pacific oyster *Crassostrea gigas*) and the segmented annelid *Capitella teleta* exhibit split Hox clusters (43, 44). On the

Significance

Hox genes pattern the anteroposterior axis of all animals that have left and right body sides. In many animals, Hox genes are clustered along the chromosomes and expressed in spatial and temporal order. This coordinated regulation is thought to have preserved the cluster through a developmental constraint. Our study of the genomic organization and the embryonic spatial and temporal expression of Hox genes in sessile marine animals called lampshells (brachiopods) shows that along with having a broken Hox cluster, they lack both temporal and spatial collinearity. Furthermore, we present molecular evidence that the hard tissues (chaetae and shells) of segmented worms, mollusks, and brachiopods share a common origin that dates back to the Early Cambrian.

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Data deposition: The sequences reported in this paper have been deposited in the GenBank database (accession nos. [KX372756–KX372774](https://doi.org/10.1093/nar/kxw2774) and [KY124237–KY124242](https://doi.org/10.1093/nar/kxw2424)). *T. transversa* Hox profiling is available at [10.6084/m9.figshare.46443](https://doi.org/10.6084/m9.figshare.46443).

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other hand, the cephalopod mollusk *Octopus bimaculoides* has lost several Hox genes and lacks a Hox cluster (22), and the clitellate annelids *Helobdella robusta* and *Eisenia fetida* do not have a Hox cluster but have greatly expanded certain Hox classes (16, 17).

Although Hox gene expression is known for a handful of spiralian species (26, 41, 43, 45–54), the relationship between genomic organization and expression domains is known for only three of these species, namely the annelids *C. teleta* and *H. robusta* and the planarian *S. mediterranea*. Consistent with their disintegrated Hox clusters, *H. robusta* and *S. mediterranea* show no temporal collinearity and only remnants of spatial collinearity (41, 51, 52). Conversely, *C. teleta*, which apparently has a split cluster, does exhibit these features (43). In general, these observations suggest that the presence of collinearity—in particular, temporal collinearity—may be associated with the retention of a more or less intact spiralian Hox cluster, as seems to be the case for the vertebrate cluster (14, 23, 55, 56). Nonetheless, more studies combining genomic and expression information, and including the vast spiralian morphological diversity, are essential to draw robust conclusions about Hox gene evolution and regulation in Spiralia and Metazoa (57). These studies also would allow investigators to test whether hypotheses about the correlation between collinearity and cluster organization observed in deuterostomes (23) hold true for protostomes as well.

Here we report a comprehensive study of the genomic arrangement and expression of Hox genes in Brachiopoda, a lineage of Spiralia with origins dating back to the Lower Cambrian (58). We use two brachiopod species—the “articulate” *Terebratalia transversa* and the “inarticulate” *Novocrania anomala*—that belong to the two major brachiopod lineages, thereby allowing the reconstruction of putative ancestral characters for Brachiopoda as a whole (Fig. 1A). Our findings demonstrate that the split Hox cluster in the Brachiopoda is not associated with a temporally collinear expression of Hox genes. Furthermore, the spatial expression of Hox genes, together with other transcription factors, such as *Zic*, *Aristaless-related (Arx)*, and members of the Notch pathway, provide molecular evidence supporting the homology of annelid, brachiopod, and mollusk chaetae and shell fields.

Results

The Hox Gene Complement of *T. transversa* and *N. anomala*. Transcriptomic and genomic searches resulted in the identification of 10 Hox genes in *T. transversa* and 7 Hox genes in the transcriptome and two additional fragments corresponding to a Hox homeodomain in the draft genome assembly in *N. anomala*. Attempts to amplify and extend these two genomic sequences in the embryonic and larval transcriptome of *N. anomala* failed, suggesting that these 2 Hox genes might be expressed only during metamorphosis and/or in the adult brachiopod. Maximum likelihood orthology analyses resolved the identity of the retrieved Hox genes (Fig. S1 and Table S1). The 10 Hox genes of *T. transversa* were orthologous to *labial (lab)*, *proboscipedia (pb)*, *Hox3*, *deformed (Dfd)*, *sex combs reduced (Scr)*, *Lox5*, *antennapedia (Antp)*, *Lox4*, *Post2*, and *Post1*. The 9 Hox genes identified in *N. anomala* corresponded to *lab*, *pb*, *Hox3*, *Dfd*, *Scr*, *Lox5*, *Antp*, *Lox4*, and *Post2*.

Genomic Organization of Hox Genes in *T. transversa* and *N. anomala*. We used the draft assemblies of *T. transversa* and *N. anomala* genomes to investigate the genomic arrangement of their Hox genes. In *T. transversa*, we identified three scaffolds containing Hox genes (Fig. 1B). Scaffold A spanned 81.7 kb and contained *lab* and *pb* in a genomic region of 15.4 kb, flanked by other genes with no known linkage to the Hox cluster in other animals. Scaffold B, the longest (284.8 kb) scaffold, included *Hox3*,

Dfd, *Scr*, *Lox5*, *Antp*, *Lox4*, and *Post2*, in that order (Fig. 1B), along with the microRNA *mir-10* between *Dfd* and *Scr*. As in scaffold A, other genes flanked the Hox genes, which occupied a genomic region of 76.2 kb. Finally, *Post1* aligned to various short scaffolds. We could not recover any genomic linkage between the identified Hox genes in *N. anomala* owing to the low contiguity (N50 of 3.5 kb) of the draft genome assembly. Taken together, these data demonstrate that *T. transversa* has a split Hox cluster broken down into three subclusters, each of them with an organized arrangement. Importantly, the potential genomic disposition of these three subclusters is similar to that observed in other spiralian, such as *C. teleta* and *L. gigantea* (Fig. 1C), which suggests that the lineage leading to the brachiopod *Lingula anatina* experienced genomic rearrangements that modified the order and linkage of the Hox genes.

Hox Gene Expression in *T. transversa*. To investigate the presence of temporal and/or spatial collinearity in the expression of the clustered Hox genes in *T. transversa*, we first performed whole-mount in situ hybridization in embryos from blastula to late, competent larval stages (Fig. 2).

Anterior Hox genes. The anterior Hox gene *lab* was first detected in the mid gastrula stage in two faint, bilaterally symmetrical dorsal ectodermal domains (Fig. 2A, *d* and *e*). In late gastrula stages, *lab* expression consisted of four dorsal ectodermal clusters corresponding to the position at which the chaetae sacs form (Fig. 2A, *f* and *g*). In early larva, *lab* expression was strong and broad in the mantle lobe (Fig. 2A, *h* and *i*), and in late larvae it became restricted to a few mantle cells adjacent to the chaetae sacs (Fig. 2I, *j* and *k*). These cells do not colocalize with tropomyosin, which labels the muscular mesoderm of the larva (Fig. 3A). This finding suggests that *lab*-expressing cells are likely ectodermal, although we cannot exclude the possibility of localization in nonmuscular mesodermal derivatives.

The Hox gene *pb* was first detected asymmetrically on one side of the ectoderm of the early gastrula (Fig. 2B, *b* and *c*). In the mid gastrula, the ectodermal domain was located dorsally and extended as a transversal stripe (Fig. 2B, *d* and *e*). Remarkably, this domain disappeared in late gastrula embryos, where *pb* was detected in the anterior mantle mesoderm (Fig. 2B, *f* and *g*). This expression was maintained in early and late larva (Figs. 2B, *h–k* and 3B).

Hox3. The gene *Hox3* was detected already in blastula embryos in a circle of asymmetric intensity around the gastral plate (Fig. 2C, *a*). In early gastrulae, *Hox3* was restricted to one half of the vegetal hemisphere, the prospective posterior side (Fig. 2C, *b* and *c*). With axial elongation, *Hox3* was expressed in the anterior mantle mesoderm and in the ventral ectoderm, limiting the apical and mantle lobe (Fig. 2C, *d* and *e*). This expression was maintained in late gastrula stages and in the early larva (Fig. 2C, *f–i*). In the late larva, *Hox3* was detected in part of the ventral internal mantle ectoderm and in the anteriormost part of the pedicle mesoderm (Figs. 2C, *j* and *k* and 3C).

Central Hox genes. The Hox gene *Dfd* was asymmetrically expressed on one side of the vegetal pole of the early gastrula of *T. transversa* (Fig. 2D, *b* and *c*). This expression was maintained in the mid gastrula and corresponded to the posteriormost region of the embryo (Fig. 2D, *d* and *e*). In the late gastrula, *Dfd* was strongly expressed in the posterior mesoderm (Fig. 2D, *f* and *g*). In the early larva, expression remained in the pedicle mesoderm, but new domains in the posterior ectoderm and in the anterior ventral pedicle ectoderm appeared (Fig. 2D, *h* and *i*). These expression domains were observed in the late larva as well (Figs. 2D, *j* and *k* and 3D).

The central Hox gene *Scr* was first expressed in the medial dorsal ectoderm of the mid gastrula (Fig. 2E, *d* and *e*). In late

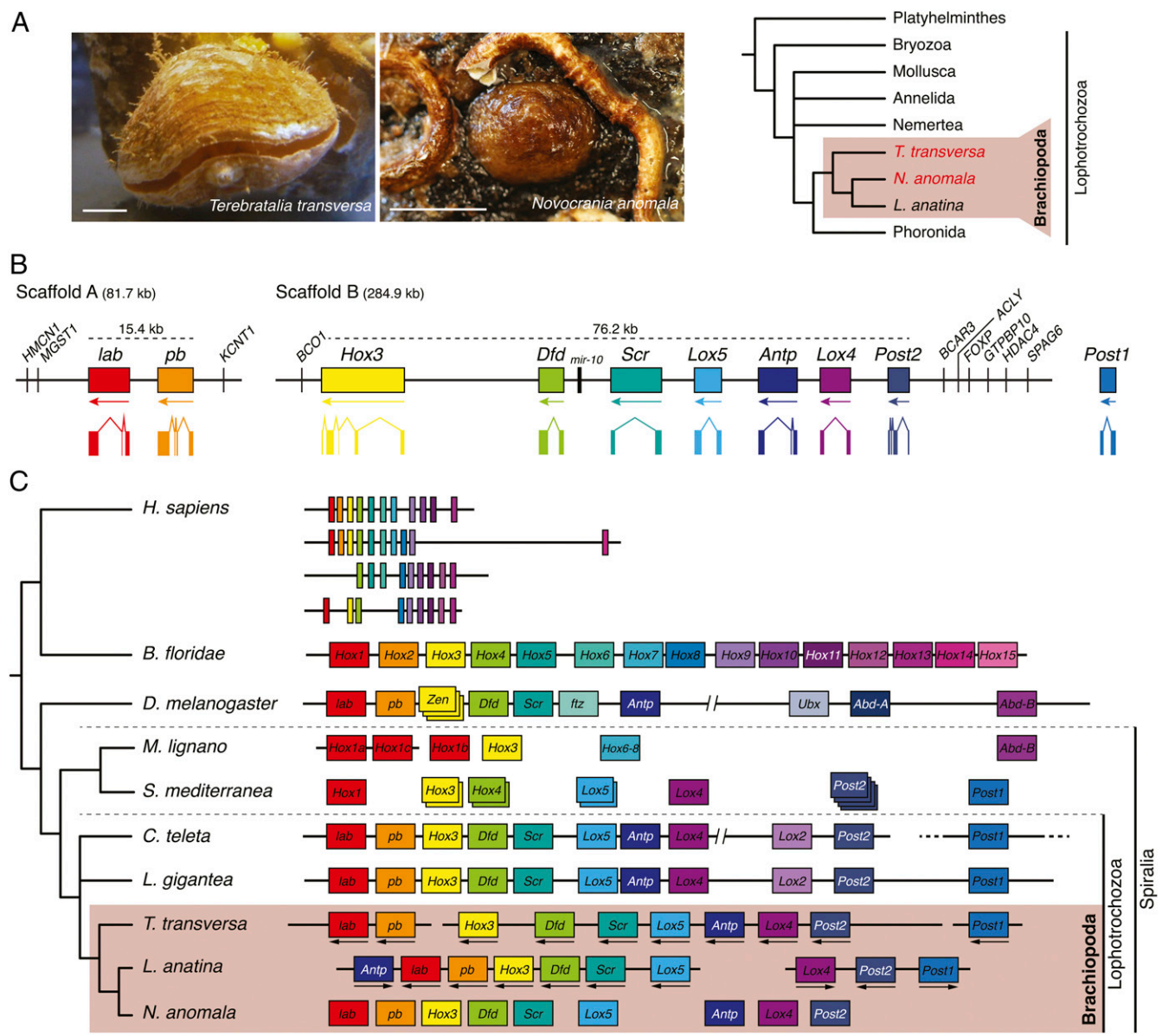


Fig. 1. Genomic organization of Hox genes in Brachiopoda. (A) Images of adult *T. transversa* and *N. anomala*, and phylogenetic position of these species within Brachiopoda and Lophotrochozoa. (B) The 10 Hox genes of *T. transversa* are ordered along three genomic scaffolds and are flanked by external genes (vertical lines); gene orthology is based on best blast hit. Thus, *T. transversa* has a split Hox cluster composed of three subclusters. No predicted ORFs were identified between the Hox genes in scaffolds A and B. A colored box represents each Hox gene, and below each box are the direction of transcription and the exon-intron composition. The genomic regions containing Hox genes are represented in scale. (C) The genomic organization of brachiopod Hox genes in a phylogenetic context. Adapted with permission from ref. 22. The genomic order of Hox genes in *T. transversa* is similar to that observed in other spiralian (e.g., *C. teleta*, *L. gigantea*), suggesting that the translocation of the *Antp* gene upstream to *lab* is a lineage-specific feature of *L. anatina*. (In *T. transversa* and *L. anatina*, the arrows below the genes show the direction of transcription.) A degenerate-primer screening for Hox genes reported the presence of *Lox2* and *Lox4* in *L. anatina* (15). Blastn searches against the sequenced *L. anatina* genome only confirmed the presence of *Lox4*, in the same scaffold as *Post1* and *Post2*, although genome annotation pipelines failed to predict this gene (69). The low contiguity of the draft genome assembly of *N. anomala* hampered the recovery of genomic linkages between the identified Hox genes. Each ortholog group is represented by a specific color.

gastrula stages, *Scr* expression expanded toward the ventral side, forming a ring (Fig. 2*E, f* and *g*). In the early larva, *Scr* was detected in a ring encircling the anteriormost ectoderm of the pedicle lobe and extending anteriorly on its dorsal side (Fig. 2*E, h* and *i*). With the outgrowth of the mantle lobe in the late larva, *Scr* expression became restricted to the periostracum, the internal ectoderm of the mantle lobe that forms the shell (Fig. 2*E, j* and *k* and 3*E*).

The Hox gene *Lox5* was expressed on one side of the early gastrula (Fig. 2*F, b* and *c*). During axial elongation, the expres-

sion became restricted to the posteriormost ectoderm of the embryo (Fig. 2*F, d-g*). This domain remained constant in larval stages, where it was expressed in the entire posterior ectoderm of the pedicle lobe (Fig. 2*F, h-k*).

The *Antp* gene was weakly detected at the mid gastrula stage, in one posterior ectodermal domain and one dorsal ectodermal patch (Fig. 2*G, d* and *e*). In the late gastrula, the posterior expression was maintained and the dorsal domain extended ventrally, encircling the embryo (Fig. 2*G, f* and *g*). These two domains remained in the larvae; the ectodermal anteriormost

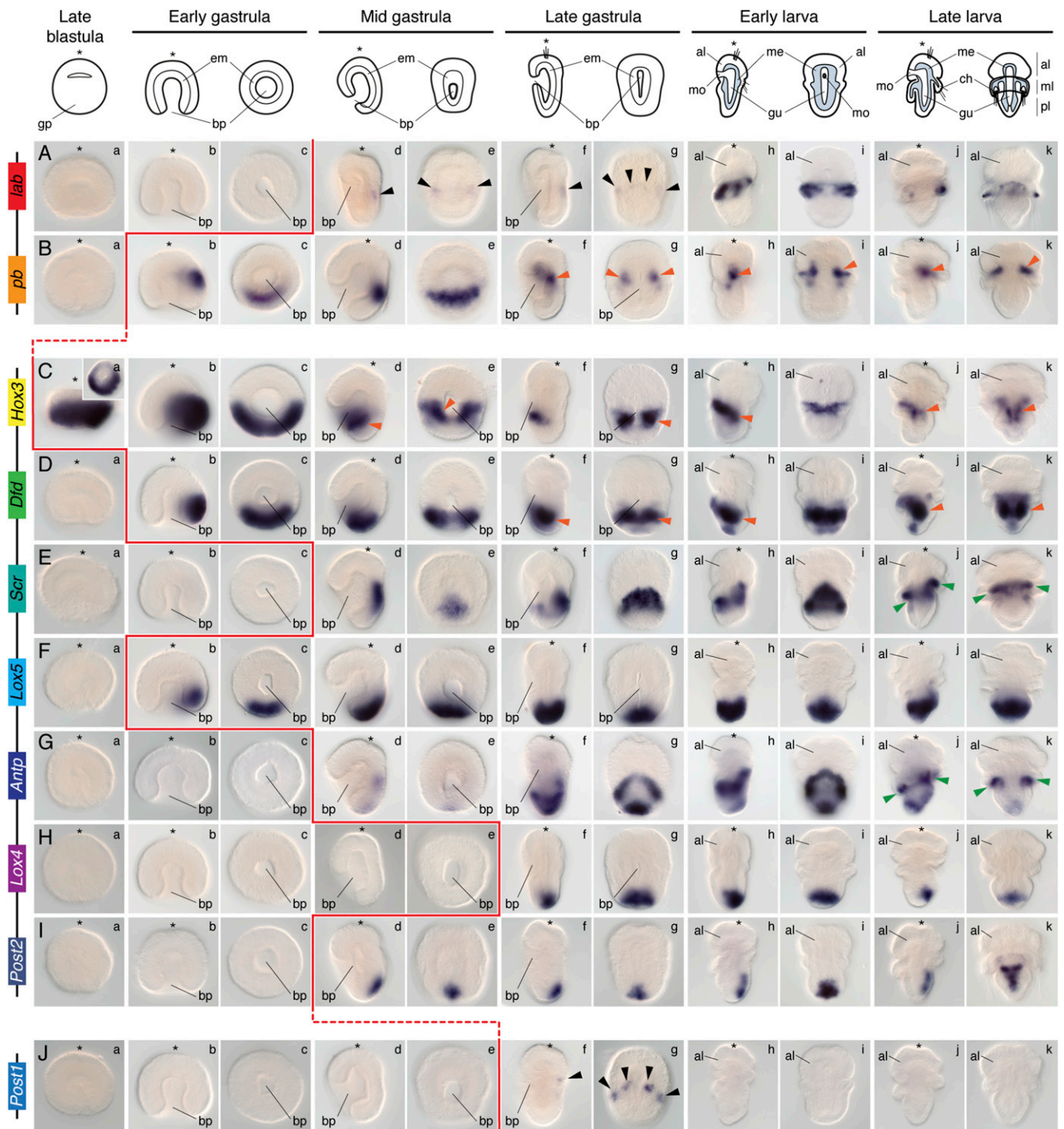


Fig. 2. Whole-mount in situ hybridization of each Hox gene during embryonic and larval stages in *T. transversa*. The Hox genes *lab* and *Post1* are expressed during chaetae formation. The genes *pb*, *Hox3*, and *Dfd* are expressed collinearly along the mantle and pedicle mesoderm. The Hox genes *Scr* and *Antp* are expressed in the periostracum, the shell-forming epithelium. *Lox5*, *Lox4*, and *Post2* are expressed in the posterior ectoderm of the pedicle lobe. These expression patterns are described in detail in the text. Black arrowheads indicate expression in the chaetae sacs. Orange arrowheads highlight mesodermal expression. Green arrowheads indicate expression in the periostracum. The genomic organization of the Hox genes is shown on the left. On top are schematic representations of each analyzed developmental stage on its respective perspective. In these schemes, the blue area represents the mesoderm. Drawings are not to scale. The red line indicates the onset of expression of each Hox gene based on in situ hybridization data. The blastula stage is a lateral view (*Inset* in Ca is a vegetal view). For each other stage, the left column is a lateral view and the right column is a dorsoventral view. The asterisk demarcates the animal/anterior pole. al, apical lobe; bp, blastopore; ch, chaetae; em, endomesoderm; gp, gastral plate; gu, gut; me, mesoderm; ml, mantle lobe; mo, mouth; pl, pedicle lobe.

ring-like domain localized to the periostracum, and the posterior domain was limited to the posteriormost tip of the larva (Fig. 2*G*, *h–k*).

The Hox gene *Lox4* was first detected in the dorsal posterior end of the late gastrula and early larva (Fig. 2*H*, *f–i*). In the late larva, *Lox4* was expressed dorsally and posteriorly,

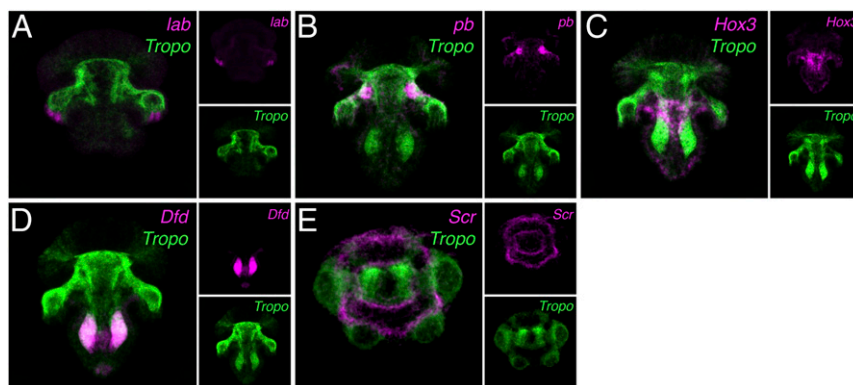


Fig. 3. Hox expression in mesoderm and periostracum of *T. transversa*. Double fluorescence in situ hybridization of *lab*, *pb*, *Hox3*, *Dfd*, and *Scr* with *tropomyosin* (*Tropo*, in green) in late larval stages of *T. transversa*. (A) The gene *lab* is expressed in relation to the chaetae sacs, but does not overlap with the *tropomyosin*-expressing mesoderm. (B–D) The Hox genes *pb*, *Hox3*, and *Dfd* show spatial collinearity along the mantle and pedicle mesoderm. (E) The gene *Scr* is expressed in the periostracum, the epithelium that forms the shell.

although it was absent from the posteriormost end (Fig. 2*H*, *j* and *k*).

Posterior Hox genes. The posterior Hox gene *Post2* was first detected in mid gastrula stages at the posterior tip of the embryo (Fig. 2*I*, *d* and *e*). This expression was maintained in late gastrulae (Fig. 2*I*, *f* and *g*). In early larva, *Post2* expression extended anteriorly and occupied the dorsoposterior midline of the pedicle lobe (Fig. 2*I*, *h* and *i*). In late, competent larvae, *Post2* was detected in a T domain on the dorsal side of the pedicle ectoderm (Fig. 2*I*, *j* and *k*). The Hox gene *Post1* was transiently detected in late gastrula stages in the four ectodermal chaetae sacs (Fig. 2*I*, *f* and *g*).

We verified the absence of temporal collinearity in Hox gene expression in *T. transversa* by real-time quantitative PCR (qPCR) and comparative stage-specific RNA-seq data (Fig. S2).

Hox Gene Expression in *N. anomala*. To infer potential ancestral Hox expression domains for the Brachiopoda, we investigated the expression of the 9 Hox genes of *N. anomala* during embryogenesis and larval stages (Figs. 4 and 5).

Anterior Hox genes. The Hox gene *lab* was first detected at the mid gastrula stage in three bilaterally symmetrical ectodermal cell clusters that appear to correlate with the presumptive site of chaetae sac formation (Fig. 4*A*, *d* and *e*). The expression in the posteriormost pair was stronger than that in the two anteriormost pairs. This expression level was maintained in the late gastrula stages (Fig. 4*A*, *f* and *g*). In larval stages, *lab* was detected in the two anteriormost chaetae sacs of the mantle lobe (Fig. 4*A*, *h* and *i*), with expression diminishing in late larvae (Fig. 4*A*, *j* and *k*).

The Hox gene *pb* was expressed asymmetrically already at blastula stages, in the region that putatively gives rise to the posteriormost body regions (Fig. 4*B*, *a*). With the onset of gastrulation, the expression of *pb* extended around the vegetal pole, almost encircling the entire blastoporal rim (Fig. 4*B*, *b* and *c*). During axial elongation, *pb* was first broadly expressed in the region that forms the mantle lobe (Fig. 4*B*, *d* and *e*) and later in the ventral mantle ectoderm of the late gastrula (Fig. 4*B*, *f* and *g*). In early larvae, *pb* was detected in the anterior ventral mantle ectoderm (Fig. 4*B*, *h* and *i*). This domain was not detected in late, competent larvae (Fig. 4*B*, *j* and *k*).

Hox3. The Hox gene *Hox3* was asymmetrically detected around one-half of the vegetal pole of the early gastrulae (Fig. 4*C*, *b* and *c*). In mid gastrulae, the expression almost encircled the entire posterior area and the blastoporal rim (Fig. 4*C*, *d*). In addition, a domain in the midposterior mesoderm became evident (Fig. 4*C*, *e*). By the end of the axial elongation, *Hox3* was strongly

expressed in the posterior mesoderm and weakly expressed in the ventral posterior mantle ectoderm (Fig. 4*C*, *f* and *g*). Notably, the posteriormost ectoderm showed no *Hox3* expression. This expression pattern was maintained in early and late larval stages (Fig. 4*C*, *h–k*).

Central Hox genes. The central Hox gene *Dfd* was first detected in the posterior ectodermal tip of mid gastrulae (Fig. 4*D*, *d* and *e*). In late gastrula stages, *Dfd* was expressed in the posterior ectodermal end (Fig. 4*D*, *f*) and in the posterior mesoderm (Fig. 4*D*, *g*). Early larvae showed expression of *Dfd* in the posterior mesoderm and posterior mantle ectoderm (Fig. 4*D*, *h* and *i*). This expression remained in late larvae, although the posteriormost ectodermal end was devoid of expression (Fig. 4*D*, *j* and *k*).

The Hox gene *Scr* was detected only in late larval stages, in a strong dorsal ectodermal domain (Fig. 4*E*, *j* and *k*). The gene *Lox5* was detected asymmetrically around one-half of the blastoporal rim in early gastrula stages (Fig. 4*F*, *b* and *c*). During axial elongation, the expression progressively expanded around the blastoporal rim (Fig. 4*F*, *d* and *e*) and became limited to the ventral midline (Fig. 4*F*, *f* and *g*). In the larvae, *Lox5* was expressed in the ventral posteriormost midline (Fig. 4*F*, *h–k*).

The Hox gene *Antp* was first expressed asymmetrically in one lateral side of the early gastrula (Fig. 4*G*, *b* and *c*). In the mid gastrula, *Antp* was detected in the dorsal ectodermal mantle in a cross-configuration, in the dorsal midline and the mantle cells closer to the apical-mantle lobe boundary (Fig. 4*G*, *d* and *e*). In late gastrulae, *Antp* was expressed only in a middorsal ectodermal region (Fig. 4*G*, *f* and *g*). This expression pattern also was observed in early larval stages, although the size of the domain was reduced (Fig. 4*G*, *h* and *i*). In late larvae, *Antp* was detected in a small middorsal patch and a weak ventroposterior ectodermal domain (Fig. 4*G*, *j* and *k*).

We could neither identify nor amplify *Lox4* in a transcriptome and cDNA obtained from mixed embryonic and larval stages, suggesting that it is either only very transiently and weakly expressed during embryogenesis or expressed only in later stages (metamorphosis and adulthood).

Posterior Hox genes. The only posterior Hox gene present in *N. anomala*, *Post2*, could not be amplified in cDNA obtained from mixed embryonic and larval stages, suggesting that it is not expressed—or at least expressed only at very low levels—during these stages of the life cycle. The absence of larval expression of *Lox4* and *Post2* could be related to the lack of the pedicle lobe of craniiform brachiopod larva, which is a characteristic of the lineage (59, 60).

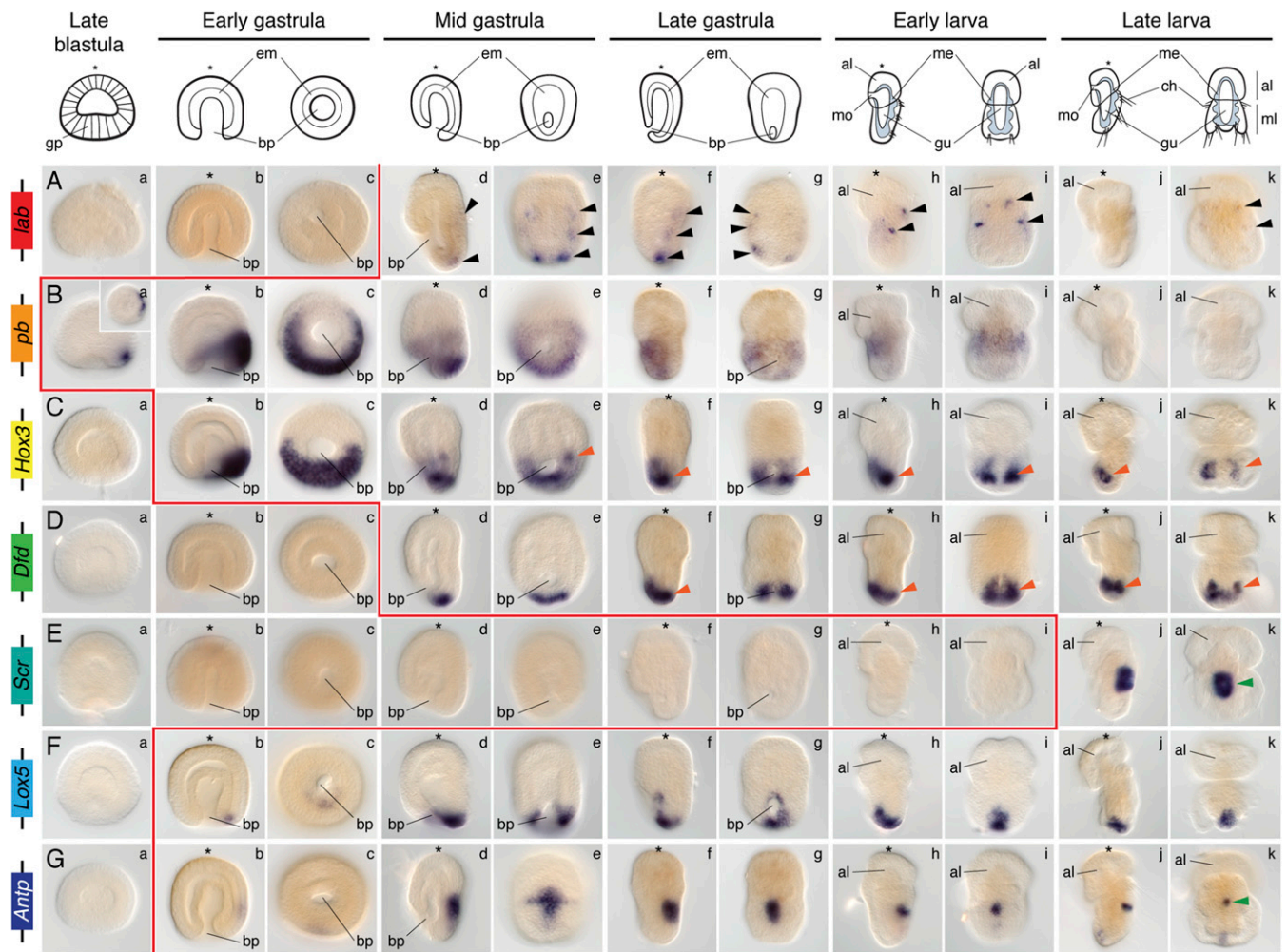


Fig. 4. Whole-mount in situ hybridization of the Hox genes during embryonic and larval stages in *N. anomala*. The gene *lab* is expressed in the chaetae. The Hox genes *Hox3* and *Dfd* are expressed collinearly in the mantle mesoderm. The genes *Scr* and *Antp* are expressed in the prospective shell-forming epithelium. The genes *pb* and *Lox5* are detected in the ectoderm of the mantle lobe. The genes *Lox4* and *Post2* were not detected in transcriptomes and cDNA during embryonic stages. These expression patterns are described in detail in the text. Black arrowheads indicate expression in the chaetae sacs. Orange arrowheads highlight mesodermal expression. Green arrowheads indicate expression in the periostracum. On top are schematic representations of each analyzed developmental stage on its respective perspective. In these schemes, the blue area represents the mesoderm. Drawings are not to scale. The red line indicates the onset of expression of each Hox gene based on in situ hybridization data. The blastula stage is a lateral view (*inset* in *Ba* is a vegetal view). For each other stage, the left column is a lateral view and the right column is a dorsoventral view. The asterisk demarcates the animal/anterior pole. al, apical lobe; bp, blastopore; ch, chaetae; em, endomesoderm; gp, gastral plate; gu, gut; me, mesoderm; ml, mantle lobe; mo, mouth.

Expression of *Arx*, *Zic*, and Notch Components in Brachiopod Chaetal Sacs. Brachiopods and annelids share the expression of *lab* and *Post1* in the chaetal sacs (26, 43, 61). To further analyze these molecular similarities, we identified and studied the expression of the homeodomain-containing transcription factor *Arx*, the zinc finger *Zic*, and components of the Notch signaling pathway, all of which are associated with the development of chaetae in annelids (62–65). *Arx* was specifically expressed in the developing and mature chaetal sacs (Fig. 6 *A* and *B*), whereas *Zic* was expressed in anterior mesodermal and ectodermal domains as well as in the developing chaetae (Fig. 6 *C* and *D*). Similarly, the core components of the Notch pathway, *Notch* and *Delta*, were expressed in the developing chaetae, although salt-and-pepper expression in the ectoderm and mesoderm was detected as well (Fig. 6 *E–H*). The downstream component of the Notch pathway *Hes1* was expressed in the mantle lobe ectoderm of the gastrula, where the chaetae sacs form, but was not detected in the larva (Fig. 6 *I* and *J*). Finally, the *Hes2* ortholog was expressed in the developed chaetae sacs of the larva of the brachiopod *T. transversa* (Fig. 6 *K* and *L*).

Discussion

The Brachiopod Hox Complement and Evolution of Hox Genes in Spiralia. Our findings regarding *T. transversa* and *N. anomala* reveal an ancestral brachiopod Hox gene complement consistent with what has been hypothesized to be ancestral for Spiralia and Lophotrochozoa based on degenerate PCR surveys (15, 66–68). This ancient complement comprises ten Hox genes—*lab*, *pb*, *Hox3*, *Dfd*, *Scr*, *Lox5*, *Antp*, *Lox4*, *Post2*, and *Post1*—and has been confirmed by genomic sequencing of representative annelids and mollusks (16, 22, 44), rotifers and platyhelminthes (21, 40–42), and the linguliform brachiopod *L. anatina* (69). Whereas *T. transversa* and *L. anatina* have retained this ancestral Hox complement, *N. anomala* has apparently lost *Post1* (Fig. 1). Although a previous analysis based on degenerate PCR primers reported the presence of *Lox2* in the brachiopod *L. anatina* (15), current high-throughput sequencing approaches seem to restrict *Lox2* to Annelida and Mollusca (Fig. S3). Whether multiple independent losses in diverse spiralian lineages shaped the evolutionary history of this gene, or whether this represents a

molecular synapomorphy of annelids and mollusks, requires further study, specifically involving a thorough genomic sequencing of a larger number of lophotrochozoan taxa.

Our genomic information shows that the Hox cluster of *T. transversa* is split into three parts, with *lab* and *pb* separate from the major cluster and *Post1* also on a separate scaffold (Fig. 1B). Overall, this Hox cluster extends for >100 kb, which is significantly shorter than clusters of other lophotrochozoans, such as *C. teleta* (~345 kb) (43) and *L. gigantea* (~455 kb) (16). Its compact size is related to short intergenic regions and introns, comparable to the situation observed in vertebrate Hox clusters (23). The order and orientation of the Hox genes in *T. transversa* are preserved and more organized compared with the Hox cluster reported for the brachiopod *L. anatina*, which exhibits a genomic rearrangement that places a portion of the cluster upstream of *lab* and in reverse orientation (69). Indeed, the split Hox clusters reported so far in lophotrochozoan taxa exhibit multiple different conformations, indicating that lineage-specific genomic events have shaped Hox gene clusters in Spiralia.

Noncollinearity of Hox Expression in the Split Cluster of *T. transversa*.

Our analysis of Hox clustering in different animal species together with the temporal and spatial expression patterns of their Hox genes supports the hypotheses that the regulatory elements required for their collinearity—mostly temporal—maintain the clustered organization of the Hox genes in vertebrates and possibly other animals as well (13, 23, 55–57, 70, 71) (Fig. S3). Although there are cases in which spatial collinearity is exhibited in the absence of a cluster, as in the appendicularian chordate *Oikopleura dioica* (24), all investigated clustered Hox genes show at least one type of collinearity that could account for their genomic organization (23, 57). Given that there are exceptions to the spatial collinearity in vertebrates—e.g., *Hoxa2* and *Hoxb2* are expressed more anteriorly than *Hox1* genes in the vertebrate hindbrain (72)—temporal collinearity is seen as a manifestation of Hox clustering; however, whether temporal collinearity is the agent keeping the cluster together [e.g., through enhancer sharing (73)] remains a matter of debate.

Within Spiralia, this evolutionary scenario appears to be supported by the staggered temporal and spatial expression of the

Hox genes in the split cluster of the annelid *C. teleta* (43). In the other investigated spiralian, there is only either genomic information (e.g., the mollusks *L. gigantea* and *C. gigas*) or expression analysis (e.g., the mollusks *Gibbula varia* and *Haliotis asinina*) (16, 44, 49, 54). Most of these previous gene expression studies have demonstrated coordinated spatial or temporal expression of Hox genes along the animal's anteroposterior axis (45, 46, 61) or in organ systems, such as the nervous system (49, 54). However, the absence of studies revealing a correlation between Hox gene expression and their genomic organization in these animals hinders reconstruction of the putative mechanisms that preserve Hox clusters in Lophotrochozoa, and thus preclude generalizations about possible scenarios of Hox cluster evolution across all animals.

Our findings robustly demonstrate that overall, the Hox genes of the split Hox cluster of *T. transversa* exhibit neither strictly spatial nor temporal collinearity (Figs. 2 and 3), and lack quantitative collinearity (57), as has been shown in mice, for example (74). These observations are also supported by the absence of coordinated spatial and temporal expression of the Hox genes in *N. anomala* (Fig. 4). Although a general trend toward spatial collinearity is present (e.g., the posterior Hox genes are expressed in posterior tissues), the early expression of *Hox3* breaks temporal collinearity in *T. transversa*, whereas it is *pb* that is first expressed in *N. anomala*. In both species, *Lox5* is also expressed before *Scr*, as it is also the case in the annelid *Nereis virens* (61). Ectodermal spatial collinearity is absent in the two brachiopods even when the future location of the larval tissues after metamorphosis is considered (75, 76). The anteriormost class gene *lab* is expressed exclusively in the chaetae of *T. transversa* and *N. anomala*, and thus is not affiliated with anterior neural or foregut tissues as in other lophotrochozoans, such as annelids (43, 77). Similarly, the posteriormost Hox gene, *Post1*, is very transiently expressed in the chaetae sacs, which occupy a midposition in the larval body. We detected strict spatial collinearity only in the staggered expression of the Hox genes *pb*, *Hox3*, and *Dfd* along the anteroposterior axis of the developing larval mesoderm in both *T. transversa* and *N. anomala* (Fig. 5). Thus, the absence of strict temporal and spatial collinearity exhibited by the split Hox cluster in the brachiopod *T. transversa*

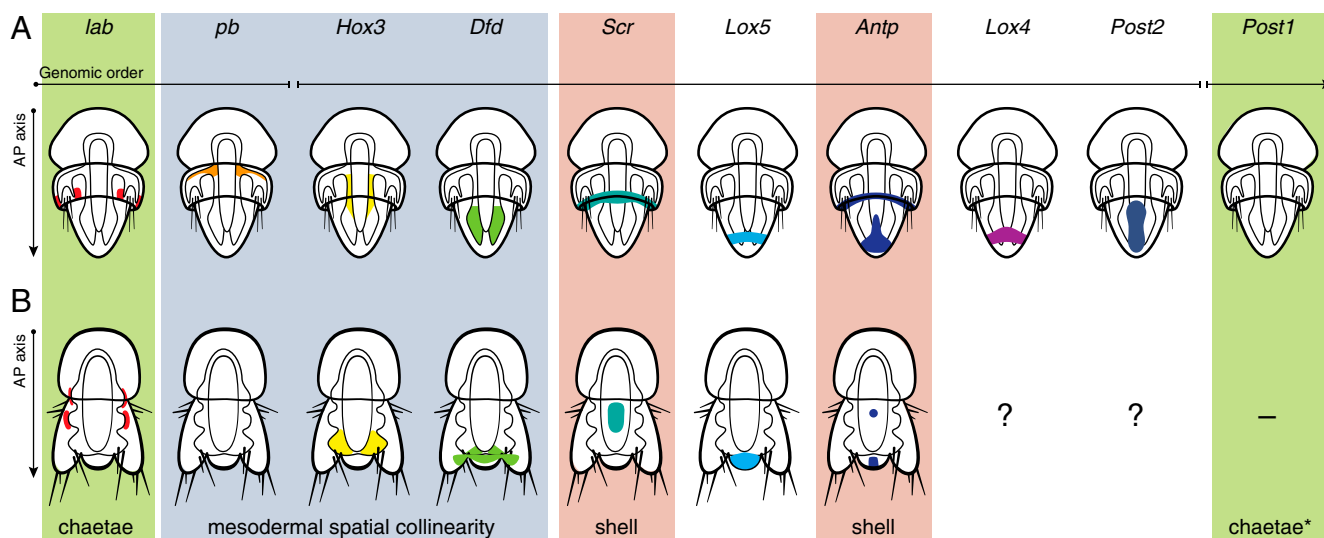


Fig. 5. Summary of Hox gene expression in *T. transversa* and *N. anomala*. Schematic drawings of late larvae of *T. transversa* (A) and *N. anomala* (B) depicting the expression of each Hox gene. The Hox genes *pb* (not in *N. anomala*), *Hox3*, and *Dfd* show staggered expression, at least in one of their domains, associated with the mesoderm (light-blue box). In both brachiopods, the genes *Scr* and *Antp* are expressed in the periostracum, or the shell-forming epithelium (red boxes), and *lab* and *Post1* are associated to the developing chaetae (green boxes; asterisk in *Post1*). *Post1* is expressed in the chaetae only during late embryonic stages, not in the mature larva, and only in *T. transversa*. The expression of *Lox4* and *Post2* in *N. anomala* could not be determined in this study. The gene *Post1* is missing in *N. anomala*. Drawings are not to scale.

supports the idea that temporal collinearity might help keep spiralian Hox genes clustered, as seems to be the case in vertebrates and at least some arthropods (14, 23, 25, 55–57, 78). However, other factors, such as unequal rates of genome rearrangements in different lineages and shared enhancers between genes, also might contribute to the genomic evolution of Hox genes.

Recruitment of Hox Genes for Patterning Lophotrochozoan Chaetae and Shell Fields. The bristle-like chaetae (or setae) of annelids and brachiopods and shell valves in mollusks and brachiopods are the most prominent hard tissues found in lophotrochozoan spiralian (79) and provide fossilized hallmarks of the Cambrian explosion (80). Chaetae-like structures are also present in the sensory organs of polyplacophoran mollusks (81), Kölliker’s organ of juvenile octopods (82), and the gizzard teeth of some bryozoans (83). The ultrastructural morphology of the brachiopod and annelid chaetae are known to be nearly identical (84–86) (Fig. 6M), and with the placement of brachiopods as close relatives of annelids and mollusks (87), the homology of these structures

appears more likely (88). In this context, the anterior Hox gene *lab* is expressed in the chaetae of *Chaetopterus* sp. (26) and in the ectoderm around chaetal sacs in *N. virens* (61), and *Post1* is expressed in the chaetae of *C. teleta*, *Platynereis dumerilii*, and *N. virens* (43, 61). Our results show that, similarly, *lab* and *Post1* are expressed specifically in the chaetal sacs of the brachiopods *T. transversa* and *N. anomala* (Figs. 2 and 4) and follow the different arrangements of the chaetae in both species. Further evidence of a common, and likely homologous, molecular profile comes from expression of the homeodomain gene *Arx*, the zinc finger *Zic*, and components of the Notch signaling pathway. These genes are expressed at each chaetae sac territory in the *Platynereis* larva (62, 64), in *C. teleta* (63, 65), and also in the region of the forming chaetae sac territories in *T. transversa* (Fig. 6A–L and Fig. S4). Therefore, the expression of the Hox genes *lab* and *Post1* and the homeodomain gene *Arx* indicate that a similar molecular signature underlies the development of chaetae in annelids and brachiopods. This property, together with the evident and striking morphological similarities shared

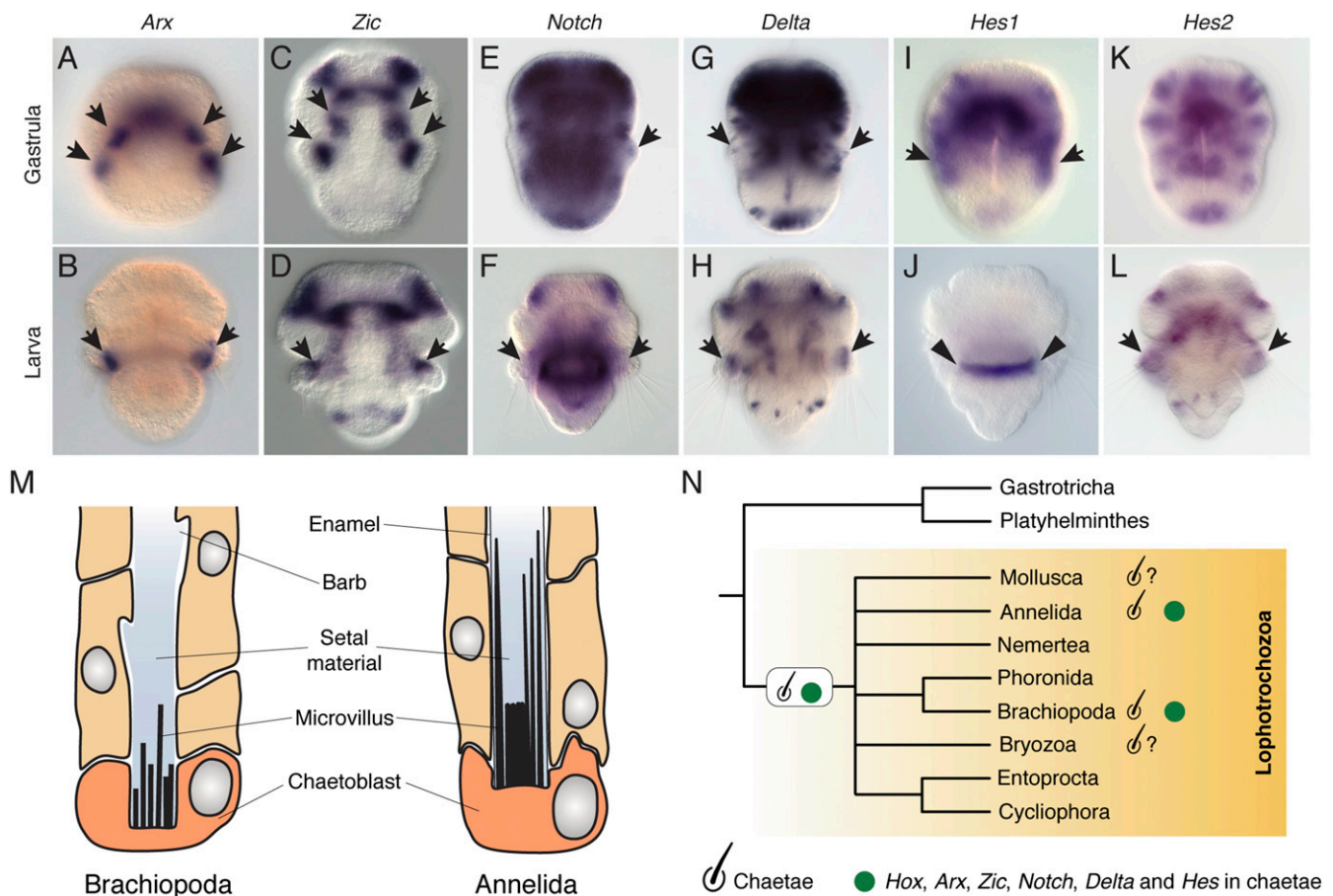


Fig. 6. Expression of chaetae-related genes during *T. transversa* embryogenesis. (A–L) Whole-mount in situ hybridization of *Arx*, *Zic*, *Notch*, *Delta*, and two *Hes* genes in gastrula embryos and larvae of *T. transversa*. (A) In mid gastrulae, *Arx* is expressed in the ectoderm of the prospective chaetae sac territories (black arrows) and in a ventral domain. (B) In early larvae, *Arx* is expressed in the chaetae sacs (black arrows). (C) In late gastrulae, *Zic* is expressed in the mesoderm of the chaetae sacs (black arrows), apical lobe mesoderm, and anterior ectoderm. (D) In early larvae, *Zic* is detected in the chaetae sacs (black arrows), in a domain in the pedicle lobe, and in the anterior mesoderm and anterior ectoderm. (E and F) *Notch* is broadly expressed in the ectoderm and mesoderm of the late gastrula and early larva, particularly in a cluster of a few cells of the developing chaetae (black arrows). (G and H) *Delta* is strongly expressed in the apical lobe and in a salt and pepper manner in the mantle and pedicle lobe, including the chaetae (black arrows). (I and J) *Hes1* is observed in the lateral ectoderm of the gastrula (black arrows), in the area that will subsequently form the chaetae and mantle lobe ectoderm. It is not detected in the larva. (Black arrowheads indicate background expression in J.) (K and L) *Hes2* is detected in a salt and pepper manner in the ectoderm and mesoderm of the *T. transversa* embryo, and in the chaetae of the larva (black arrows). The images are dorsal views (except I and K), with the anterior pole at the top. (M) Morphological similarities between brachiopod and annelid chaetae. Drawing adapted with permission from ref. 84. (N) The shared morphological and molecular characters of chaetae in Brachiopoda and Annelida, together with the presence of chaetae-like structures (chaetae sign with a question mark) in the Mollusca and Bryozoa, support the homology of this lophotrochozoan novelty.

by brachiopod and annelid chaetae, lend support to considering these two structures homologous and thus common lophotrochozoan innovations (Fig. 6N). This would be consistent with placing the iconic Cambrian fossil *Wiwaxia*, which contains chaetae, as a stem group lophotrochozoan (89).

The protective shell is a mineralized tissue present in brachiopods and mollusks. In the gastropod mollusk *G. varia*, the Hox genes *lab*, *Post1*, and *Post2* are first expressed in the shell field, and later *Dfd* is expressed in the shell field as well (53). In *H. asinina*, *lab* and *Post2* also are related to shell formation (49). In brachiopods, *Dfd* is associated with the adult shell in *L. anatina* (69); however, during embryogenesis of *T. transversa* and *N. anomala*, only *Scr* and *Antp* are expressed in the shell fields, and *lab* and *Post1* are not; here *lab* and *Post1* are expressed in the chaetae sacs. These properties could support the homology of the chitin network formed at the onset of brachiopod and mollusk shell fields. However, the differing deployment of Hox genes in the shell fields of brachiopods and mollusks might indicate that these genes do not have an ancient role in the specification of the shell-forming epithelium. Instead, their consistent deployment during shell development might reflect a more general, conserved role in shaping the shell fields according to their position along the anteroposterior axis.

Conclusion

In this study, we have characterized the Hox gene complement of the brachiopods *T. transversa* and *N. anomala*, demonstrating that the last common ancestor to all brachiopods likely had 10 Hox genes (*lab*, *pb*, *Hox3*, *Dfd*, *Scr*, *Lox5*, *Antp*, *Lox4*, *Post2*, and *Post1*). Noticeably, brachiopod Hox genes do not exhibit global temporal and spatial collinearity, although *T. transversa* exhibits a split Hox cluster. Only the genes *pb* (in *T. transversa*), *Hox3* (in both brachiopods), and *Dfd* (in both brachiopods) show spatial collinearity in the “trunk” mesoderm. The dramatic divergence of the expression of Hox genes from the supposed ancestral state for Hox expression while still retaining a relatively intact Hox cluster might indicate that the loss of constraint on the organization of the Hox cluster in *T. transversa* is relatively recent. In addition, the Hox genes *lab* and *Post1*, as well as the homeobox *Arx*, are expressed in the developing chaetae, as also has been reported for some annelid species (43, 50, 61). These molecular similarities, together with evident morphological resemblances (85), lend support to considering brachiopod and annelid chaetae homologous structures and reinforce the classification of the fossil *Wiwaxia* as a stem group lophotrochozoan (89).

Materials and Methods

Animal Cultures. Gravid adults of *T. transversa* (Sowerby, 1846) were collected around San Juan Island, WA, and those of *N. anomala* (Müller, 1776) were collected around Bergen, Norway. Animal husbandry, fertilization, and larval culture were conducted following previously published protocols (90–92).

Hox Cluster Reconstruction in *T. transversa* and *N. anomala*. Male gonads of *T. transversa* and *N. anomala* were preserved in RNAlater (Life Technologies) for further genomic DNA (gDNA) isolation. Paired-end and mate pair libraries of 2 kb and 5 kb insert sizes of *T. transversa* gDNA were sequenced using the Illumina HiSeq 2000 platform. We first trimmed Illumina adapters with Cutadapt 1.4.2 (93), then assembled the paired-end reads into contigs, scaffolded the assembly with the mate pair reads, and closed the gaps using Platanus 1.21 (94). The genomic scaffolds of *T. transversa* including Hox genes are available in the GenBank database (accession nos. KX372775 and KX372776). We sequenced the paired-end libraries of *N. anomala* gDNA using the Illumina HiSeq 2000 platform. We then removed Illumina adapters as above and assembled the paired-end reads with MaSuRCA 2.2.1 (95).

Gene Isolation. Genes were identified by BLAST searches on public transcriptomes of *T. transversa* and *N. anomala* developmental stages (National Center for Biotechnology Information Sequence Read Archive; *T. transversa*, GenBank accession no. SRX1307070; *N. anomala*, GenBank accession no. SRX1343816) and their respective draft genomes (see above). All gene sequences have been uploaded to GenBank (accession nos. KX372756–KX372774 and KY124237–KY124242).

Gene Expression Analyses. Single colorimetric whole-mount in situ hybridization was carried out following an established protocol (detailed protocol available in *Protocol Exchange*, 2008; www.nature.com/protocolexchange/protocols/480) (96, 97). Double fluorescence in situ hybridization was conducted as described elsewhere (98, 99).

Quantitative Hox Gene Expression in *T. transversa*. Thousands of synchronous *T. transversa* embryos collected at 14 specific stages [oocytes, 8 h mid blastula, 19 h late blastula, 24 h moving late blastula, 26 h early gastrula, 37 h asymmetric gastrula, 51 h bilateral gastrula, 59 h bilobed, 68 h trilobed, 82 h early larva (first chaetae visible), 98 h late larva (long chaetae, eye spots), 131 h competent larva, 1 d juvenile, and 2 d juvenile] were pooled together and preserved in RNAlater (Life Technologies). The same material was used for real-time qPCR and stage-specific transcriptomes (Fig. S2 and Table S2).

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