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# Laryngotracheal Stenosis: Risk Factors for Tracheostomy Dependence and Dilation Interval

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# Abstract

**Objective**—Laryngotracheal stenosis (LTS) is a fibrotic process that narrows the upper airway and has a significant impact on breathing and phonation. Iatrogenic injury from endotracheal and/or tracheostomy tubes is the most common etiology. This study investigates differences in LTS etiologies as they relate to tracheostomy dependence and dilation interval.

Study Design—Case series with chart review

Setting—Single-center tertiary care facility

**Subjects and Methods**—Review of adult patients with LTS was performed between 2004–2015. The association of patient demographics, comorbidities, disease etiology, and treatment modalities with patient outcomes was assessed. Multiple logistic regression analysis and Kaplan-Meier analysis was performed to determine factors associated with tracheostomy-dependence and time to second procedure respectively.

**Results**—262 patients met inclusion criteria. Iatrogenic patients presented with greater stenosis (p=0.023), greater length (p=0.004), and located further from the vocal folds (p<0.001) compared with other etiologies. Iatrogenic patients were more likely to be African-American and have obstructive sleep apnea, type II diabetes, hypertension, COPD, stroke, and use tobacco. Iatrogenic LTS (OR=3.1, CI= 1.2–8.2), Cotton-Myer Grade 3–4 (OR=2.6, CI=1.1–6.4), and lack of intraoperative steroids (OR=2.9, CI=1.2–6.9) were associated with tracheostomy-dependence. Non-smokers, patients without tracheostomy, and idiopathic LTS patients had a significantly longer time to second dilation procedure.

**Conclusion**—Iatrogenic LTS presents with a greater disease burden and higher risk of tracheostomy dependence compared to other etiologies of LTS. Co-morbid conditions promoting microvascular injury, including smoking, COPD, and diabetes, were prevalent in the iatrogenic cohort. Changes in hospital practice patterns to promote earlier tracheostomy in high risk patients could reduce the incidence of LTS.

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### Introduction

Laryngotracheal stenosis (LTS) is a fibrotic process narrowing the upper airway passages which has a significant impact on breathing and phonation.<sup>1,2</sup> The stenosis usually occurs within the larynx or proximal trachea, though it can occur more distally in the trachea as well. Surgical decision-making incorporates the characteristics of the stenosis on flexible laryngoscopy and bronchoscopy, subjective complaints of dyspnea, and at times CT Scan and/or pulmonary function tests. Herrington et al. contrasted the chronic nature of endoscopic treatment of LTS and its limited morbidity with more definitive open procedures and associated higher rates of morbidity and mortality.<sup>3</sup> Large studies are limited given the relatively uncommon nature of LTS, yet they have established key patient outcomes including tracheostomy and time to second procedure or more generally, the interval between dilations.<sup>4</sup>

The principal etiologies of LTS include iatrogenic, idiopathic, autoimmune, and traumatic.<sup>1,4</sup> Studies examining etiology-specific LTS characterize specific variations and disparate responses to treatment.<sup>1,4,5</sup> In a large multi-institutional study of idiopathic subglottic stenosis, Gelbard et al. demonstrated that idiopathic patients were usually able to avoid tracheostomy irrespective of whether an open or endoscopic surgical approach was used for treatment.<sup>6</sup> In a another study with a high proportion of autoimmune patients, Hseu *et al.* demonstrated that surgical techniques, use of steroid injection, and mitomycin C application did not affect procedure interval between procedures.<sup>1</sup> In a single-institution study, *Gelbard* et al demonstrated that tracheostomy dependence is higher in iatrogenic and autoimmune associated LTS than in traumatic or idiopathic LTS.<sup>4</sup> Iatrogenic injury from endotracheal and/or tracheostomy tubes occurs more frequently than other etiologies.<sup>4,7</sup> Studies show endotracheal tube sizes larger than 7.5 place patients at higher risk for tracheal stenosis and posterior glottic stenosis.<sup>8,9</sup> Additionally, comorbidities adversely affecting wound healing, including diabetes, were found to be intrinsic risk factors for glottic stenosis and associated with iatrogenic LTS.<sup>9-11</sup> Nevertheless further studies are necessary to improve our understanding of etiology-specific factors, patient comorbidities, and treatment modalities impact on patient outcomes.

This study investigates a large cohort of LTS patients with a focus on prevalent comorbidities stratified by LTS etiology that may influence tracheostomy-dependence and dilation interval. We aim to build on recent publications that show iatrogenic LTS patients to have higher-grade stenosis, higher association with tracheomalacia, and worse outcomes, which in part may be due to these patients' comorbidities. Identification of intrinsic patient characteristics may impact the management of LTS patients and reduce its incidence. Furthermore, we anticipate the data presented in this study will inform clinician and patient expectations regarding treatment options and outcomes in LTS.

### Methods

#### **Patient Selection**

IRB approval was obtained from the Johns Hopkins University Institutional Review Board (NA00081469) prior to beginning this study. Medical records were reviewed from 2004–

2015 and all patients with LTS were reviewed. Patients included in the study were 18 years old and had documented stenosis on flexible laryngoscopy or CT imaging that was not the result of bilateral vocal fold paralysis or tracheomalacia alone. LTS etiology was determined by review of the medical record including classification within the Otolaryngology notes. Patients with isolated glottic or supraglottic stenosis were excluded from this study.

#### **Recorded Variables**

Patient demographics were recorded. Co-morbidities, smoking history, autoimmune blood markers, and tracheostomy status at first presentation were documented. Prior history of an open procedure was defined as open procedures of the trachea except tracheostomy. Intraoperative usage of steroids, mitomycin C, incision type, balloon or bougie dilation was also documented. Distance, length, and degree of compromise were recorded from flexible laryngoscopy exam or CT scan and Cotton-Myer grade was determined. Location of stenosis was recorded and patients who had stenosis at multiple sites were identified. Patients with subglottic stenosis with a component of glottic stenosis were analyzed separately from patients with multilevel stenosis that did not have any glottic involvement.

#### Patient Outcomes

The primary outcome in this study is tracheostomy status at last follow-up visit with the secondary outcome being time to second procedure. Operative interventions, defined as any procedure relating to managing the stenosis, and the total number of procedures were documented. The number of procedures per year was calculated by dividing the follow-up duration in years by the number of procedures that the patient underwent during this time.

#### Statistical Analysis

All statistical analysis was performed using STATA 12.0. (STATACorp, College Station, Texas). Parametric vs. non-parametric variables were identified graphically and with the Skewness-Kurtosis test. Chi-squared tests were used for normally distributed categorical variables. Student t-tests were used for continuous variables. Analysis of variance (ANOVA) tests were used to compare parametric continuous variables between etiology-specific LTS groups, and Kruskal-Wallis one-way analysis of variance was used for nonparametric variables. A Holm-Sidak post-hoc pairwise multiple comparison analysis was used to further identify statistically significant sub-groups for ANOVA tests and Dunn's test of multiple comparison was used for post-hoc analysis of Kruskal-Wallis tests. Chi-squared post-hoc analyses were performed by splitting groups into two-by-two tables for analysis. For multiple logistic regression models, all outcome variables were initially tested with univariate analysis. Statistically significant associations were subsequently included in the multiple logistic regression model. Kaplan-Meier curves were used to model time to second procedure. P-values of less than 0.05 were considered statistically significant.

# Results

Demographic characteristics and co-morbid conditions of study participants stratified by LTS etiology are shown in Table 1. 98.7% of idiopathic stenosis participants were female, as compared to 53.1% of iatrogenic and 63.3% of autoimmune participants (p<0.001). Twenty-

nine percent of iatrogenic stenosis participants were African American, which was significantly (p<0.001) higher than the 8.5% of autoimmune and 4.1% idiopathic participants. Iatrogenic LTS participants had a significantly higher Charlson Co-morbidity Index (CCI) when compared to autoimmune or idiopathic stenosis patients (p < 0.001). A comparison between specific comorbidities within the CCI is listed in Table 1. Stenosis characteristics were significantly (p<0.001) different between LTS etiologies with iatrogenic stenosis more likely to be further from the glottis when compared to autoimmune and idiopathic stenosis, and more likely to have a longer stenotic segment when compared to those with idiopathic stenosis. Additionally, the compromise percentage for iatrogenic stenosis was significantly higher than that for autoimmune stenosis ( $61.2 \pm 21.3$  vs. 49.9  $\pm$  19.3, p<0.001). A majority of the multi-level stenosis patients in this study had glottic involvement (61.5%), but, interestingly, glottic involvement for multilevel stenosis was more commonly seen in autoimmune LTS than in the iatrogenic cohort. Figure 1 compares the total number of patients within each etiology stratified by Cotton-Myer grade. The average number of procedures per year was not significantly different for patients irrespective of stenosis etiology. However, idiopathic LTS patients had a significantly (p<0.001) longer time to second procedure than all patients with all other types of LTS. (Figure 2A) Patients that were tracheostomy-dependent at last follow-up had a shorter time to second procedure when compared to patients who were tracheostomy-free. (Figure 2B) Additionally, smokers also had a shorter time to second procedure than non-smokers. (Figure 2C)

Tracheostomy status was assessed with univariate and multi-variate analysis. Table 2 stratifies LTS patients by tracheostomy status at last follow-up shows tracheostomy dependence was associated with older age, female gender, iatrogenic etiology, ethnicity, higher CCI, type II diabetes, COPD, smoking, and Cotton-Myer grade 3 or grade 4 stenosis. Multiple logistic regression analysis in Table 3 shows that iatrogenic LTS (OR=3.1, CI= 1.2– 8.2), Cotton-Myer Grade 3–4 (OR=2.6, CI=1.1–6.4), and lack of intraoperative steroids (OR=2.9, CI=1.2–6.9) were associated with tracheostomy-dependence.

The association of treatment modalities on tracheostomy free survival when stratified by iatrogenic etiology was included in a separate multiple logistic regression model shown in Table 4. Overall, these analyses showed no significant differences in overall odds of remaining tracheostomy free for any treatment modality other than steroid use. Steroid use remained more likely to be associated with tracheostomy free survival (OR=3.5, 95% CI 1.6–7.7) in the overall population. Stratifying by iatrogenic etiology status made this association disappear.

# Discussion

This study showed that primary outcome of tracheostomy dependence was associated with iatrogenic LTS, Cotton-Myer Grade 3–4, and lack of intraoperative local steroid injection. Tracheostomy dependence and tobacco use were associated with a decrease in time to second procedure, whereas idiopathic etiology was associated with a longer time to second procedure. Iatrogenic LTS demonstrated some unique features, located further from the vocal folds than idiopathic and autommune LTS, with a greater length of stenosis than idiopathic LTS, and greater narrowing than autommine LTS. Furthermore, iatrogenic LTS

had prevalent comorbidities including a significantly higher rate of GERD, OSA, DM II, HTN, and tobacco use when compared with idiopathic LTS.

The disparate behavior of LTS etiology is evident when comparing iatrogenic LTS directly with idiopathic subglottic stenosis. The idiopathic cohort in this study demonstrated a predominant Cotton-Myer Grade 1–2 classification (76.6%), female gender (98.7%), Caucasian ethnicity (94.6%), and a low 4.1% incidence of tracheostomy-dependence, unique patient characteristics that correspond with other publications.<sup>1,4,12,13</sup> A favorable treatment response was also seen in our cohort with a significant increased time interval to second procedure for idiopathic subglottic stenosis patients when compared to all other etiologies. While, this outcome may be related to the lower disease burden in patients with idiopathic subglottic stenosis, it may also be due to the specific pathophysiology causing this subset of LTS.<sup>1,4,12,13</sup>

This study demonstrated that patients who received a steroid injection were more likely to be tracheostomy free. As the tracheostomy dependent patients in this study had a shorter time to second procedure, these patients appeared to be treated more aggressively, likely to exhaust all options for decannulation. The underlying mechanism for this finding may be due to steroids reduction of inflammation slowing the recurrence of fibrosis. Evaluating treatment modalities beyond steroid use demonstrated that variations in technique were not associated with remaining tracheostomy-dependent or time to second procedure. Similarly, *Hseu et al.* showed similar conclusions that variations in dilation type (balloon vs. bougie), mitomycin C application, incision type (cold vs. CO2) did not affect procedural interval.<sup>1</sup> Isolating treatment variations for iatrogenic LTS patients showed no differences, implying that surgeon preference may be used to guide instrument choice for excision and dilation.

Intrinsic comorbidities such as diabetes, chronic smoking, COPD, and higher CCI likely impact the dysregulated mucosal wound healing following intubation. Diabetes has previously been shown to increase the likelihood of tracheal injury and long-term tracheostomy dependence, presumably through microvascular injury resulting in impaired wound healing.<sup>4,9,11</sup> It is possible that tobacco exposure may prime the large airway mucosa for injury and lead to worse outcomes, particularly in iatrogenic stenosis where direct damage to the airway through instrumentation is occurring. As in COPD, smoking-induced damage to large airway mucosa lessens the vascular supply, rendering the area more susceptible to mechanical injury, thereby promoting pathologic wound repair of the epithelium and lamina propria.<sup>1415–17</sup> This population would be interesting to characterize in future studies where the role of tobacco, a modifiable risk factor, on the large airways may be more thoroughly analyzed. Through a similar mechanism, the impact of a high CCI as a predisposing factor for iatrogenic LTS may be indicative of a number of ischemic conditions, including heart disease, diabetes, and peripheral vascular disease, that interfere with normal wound healing in intubated patients predisposing them to a scar phenotype. Changes in hospital practice patterns to promote earlier tracheostomy in high risk patients and reducing the use of large endotracheal tubes could have an outsized effect on reducing the incidence of LTS.

This study has several limitations. We included only stenosis patients and their treatment courses at this study institution. Previous treatment(s) at outside hospitals were not included, due to lack of available records. This confounder may bias our study towards fewer procedures in some patients. Additionally, we relied upon chart review to identify the stenosis etiology. It is possible that some non-iatrogenic cases of LTS were classified as iatrogenic because they were uncovered after an intubation. However, the large number of clinically homogenous patients in this cohort suggests that this was not a common problem. Furthermore, tracheomalacia has been shown to highly associated with iatrogenic LTS and to be a risk factor for tracheostomy, but was not analyzed in this study due to lack of regular reporting of this associated phenomenon.<sup>4</sup> This study did not differentiate between current smokers and former smokers, which may impact the development of LTS and impact laryngotracheal injury healing. However, both current and former smokers have been shown to sustain long-term damage to airway mucosa.<sup>18-20</sup> Finally, this is a retrospective study and is inherently limited by its design. It would therefore be inappropriate to draw any causal relationships from this study. However, the large number of LTS patients involved in this study, in combination with the extensive description of associations within LTS etiology specific sub-groups, provides valuable information to clinicians regarding patient outcomes and treatment management and may be included in a meta-analysis to better analyze this rare disease.

# Conclusion

Iatrogenic LTS, Cotton-Myer Grade 3–4 stenosis, and not using local steroids were associated with tracheostomy-dependence in this large LTS cohort. Iatrogenic LTS presents further from the vocal folds, has a longer length of stenosis, and greater narrowing when compared with other etiologies. Co-morbid conditions promoting microvascular injury, including smoking, COPD, and diabetes, as well as obstructive sleep apnea and hypertension were more prevalent in iatrogenic LTS. Iatrogenic LTS is often difficult to treat and the results of this study will be useful for practicing airway surgeons as well as for other specialties that treat intubated patients. With raised awareness of factors associated with iatrogenic LTS, changes in hospital practice patterns to promote earlier tracheostomy in high-risk patients and reduce the use of large endotracheal tubes could have an outsized effect on reducing the incidence of LTS.

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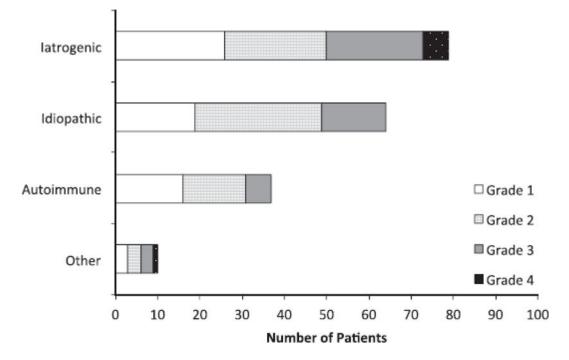
Sponsor's Role

**Funding Source:** Funding for this study had no direct impact on study design/conduct of the study/data collection, management/analysis/interpretation of the data, and preparation/review/or approval of the manuscript.

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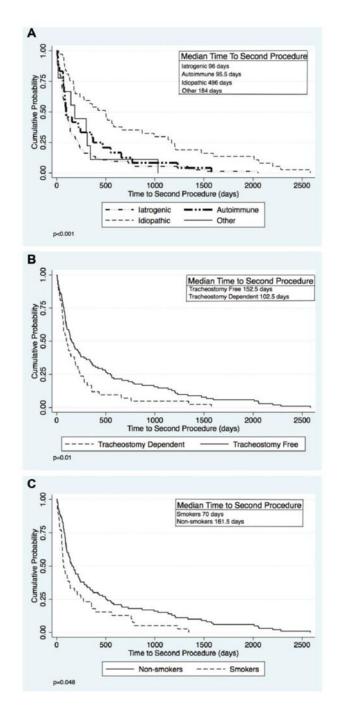
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### Figure 1. Cotton-Myer Stenosis Grade Frequency By Etiology

Demonstrates iatrogenic group has greater percentage of Grade 3/Grade 4 stenosis than other sub-categories. Grade 1 (<50% compromise), Grade 2 (50–70%), Grade 3 (70–99%), Grade 4 (100%)



**Figure 2. Kaplan-Meier Time to Second Procedure Curves** Time to second procedure stratified by (A) etiology (B) tracheostomy status (C) smoking status. Only patients who had a second procedure were included (N=144).

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Patient Characteristics		Total	Iatrogenic (N=128)	Autoimmune (N=49)	Idiopathic (N=74)	Other <sup>a</sup> (N=11)	P-value
Age in years	$(\text{Mean} \pm \text{SD}^b)$	46.7 ±16.4	$48.8\pm\!18.2$	$40.7\pm14.7$	$47.9 \pm 13.0$	$39.5 \pm 16.7$	0.477 <i>m</i>
Sex N (%)	Male Female	85 (32.4) 177 (67.6)	$60 (46.9)^{*}$ $68 (53.1)^{*}$	18 (36.7)* 31 (63.3)*	$I (1.4)^{\Psi}$ 73 (98.7) $^{\Psi}$	6 (54.6)* 5 (45.5)*	<0.001k
Race N (%)	Caucasian African American Asian Hispanic Other	186 (72.1) 45 (17.4) 4 (1.6) 6 (2.3) 17 (6.6)	$\begin{array}{c} 66 \ (52.4)^{\Psi,*} \\ 37 \ (29.4)^{\Psi,*} \\ 3 \ (2.4)^{\Psi,*} \\ 5 \ (4.0)^{\Psi,*} \\ 15 \ (12.0)^{\Psi,*} \end{array}$	41 (85.4) 4 (8.5) 1 (2.1) 0 (0) 1 (2.1)	70 (94.6) 3 (4.1) 0 (0) 1 (1.4) 0	9 (81.8) 1 (9.1) 0 (0) 0 (0) 1 (9.1)	<0.001k
BMI¢		$30.1 \pm 9.5$	$30.2 \pm 9.6$	$28.1 \pm 9.2$	$31.3 \pm 9.8$	$29.8\pm6.8$	0.643 <i>m</i>
Co-morbidities							
Charlson Co-morbidity Index	$Mean\pm SD$	$0.82 \pm 1.1$	$1.1 \pm 1.3 \ ^{\Psi}$	$0.40 \pm 0.8$	0.66 ±0.9	$0.73 \pm 1.0$	0.003 <i>m</i>
GERD <sup>d</sup>	N (%)	57 (22.3)	21 (16.7) *	8 (16.7)	24 (33.8)	4 (40.0)	$0.014 \ k$
$OSA^{e}$	N (%)	20 (7.8)	17 (13.5) *	2 (4.1)	1 (1.4)	0 (0)	$0.009 \ k$
DMII <sup>f</sup>	N (%)	45 (17.7)	33 (26.4) *	4 (8.3)	8 (11.3)	0 (0)	$0.007 \ k$
HTNS	N (%)	79 (30.9)	51 (40.5) *	10 (20.4)	15 (21.1)	3 (30.0)	$0.011 \ k$
COPD <sup>h</sup>	N (%)	26 (10.2)	$24~(19.1)^{\Psi,*}$	1 (2.0)	1 (1.4)	0 (0)	$<\!\!0.001~k$
CVA <sup><i>i</i></sup>	N (%)	5 (2.0)	5 (4.0)	0 (0)	(0) 0	0 (0)	$0.154 \ k$
MI <sup>J</sup>	N (%)	2 (0.80)	2 (1.6)	0 (0)	0 (0)	0 (0)	0.556 k
Tobacco Use	N (%)	69 (27.8)	$54~(44.6)^{\Psi,*}$	7 (14.9)	6 (8.5)	2 (22.2)	$<\!\!0.001~k$
Stenosis Characteristics	ics						
Distance from Glottis	Mean (cm) $\pm$ SD	$2.2 \pm 1.4$	$2.9\pm1.6~^{\Psi,*}$	$1.1 \pm 0.7$	$1.8 \pm 0.8$	$1.5\pm0.5$	<0.001 <i><sup>n</sup></i>
Length of Stenosis	Mean (cm) $\pm$ SD	$1.9 \pm 1.2$	$2.2\pm1.5~*$	$1.9\pm0.84$	$1.5\pm0.8$	$2.1 \pm 0.5$	0.01 <i>n</i>
Compromise %	Mean (%) $\pm$ SD	$57.4 \pm 19.4$	$61.2\pm21.3^{\Psi}$	$49.9 \pm 19.3$	$56.0 \pm 15.3$	$63.5 \pm 21.1$	0.022 <i>m</i>
Location N (%)	Subglottic Tracheal MLS $^{O}$ with GI $^{P}$ MLS $^{O}$ with GI $^{P}$	161 (61.7) 75 (28.6) 10 (3.8) 16 (16.1)	51 (39.8) <sup>Ψ.*</sup> 65 (50.8) <sup>Ψ.*</sup> 3 (2.3) <sup>Ψ.*</sup> 7 (5.5) <sup>Ψ.*</sup>	39 (81.3) 1 (2.1) 6 (12.2) 4 (8.2)	66 (89.2) 6 (8.1) 1 (1.4) 1 (1.6)	5 (45.5) 2 (18.2) 0 4 (36.4)	<0.001k

Patient Characteristics		Total	Iatrogenic (N=128)	Autoimmune (N=49)	Idiopathic (N=74)	Other <sup>d</sup> (N=11)	P-value
Procedural Outcomes							
Average Number of Procedures per Year Mean $\pm SD$	Q	$1.3 \pm 1.9$	$1.0 \pm 2.2$	$1.4 \pm 1.7$	$1.3 \pm 1.1$	$2.2 \pm 2.9$	0.206 <i>m</i>
Bolded indicates statistical difference between a group when compared to 'latrogenic' group determined through post-hoc analysis.	vhen compared	to 'Iatrogen	ic' group determined th	rough post-hoc analysis.			
Asterisk (*) indicates statistical difference between a group when compared to 'Idiopathic' group determined through post-hoc analysis.	oup when comp	ared to 'Idio	pathic' group determine	ed through post-hoc analy	sis.		
$Cross(\Psi)$ indicates statistical difference between group and 'Autoimmune' group determined through post-hoc analysis.	and 'Autoimm	une' group d	letermined through post-	-hoc analysis.			
Italicized indicates statistical difference between group a	and 'Other' gro	up determine	between group and 'Other' group determined through post-hoc analysis.	lysis.			
$^{\mathcal{A}}$ . Other" etiology includes radiation, external trauma, infection, and congenital causes	fection, and co	ngenital caus	ses				
<sup>b</sup> SD: Standard Deviation;							
<sup>С</sup> ВМІ: Body Mass Index;							
$^d_{ m GERD}$ : Gastroesophageal reflux disease;							
<sup>e</sup> OSA: Obstructive sleep apnea;							
$f_{ m DMII}$ : Type II Diabetes Mellitus;							
<sup>2</sup> HTN: Hypertension;							
<sup>h</sup> COPD: Chronic Obstructive Pulmonary Disease;							
CVA: Cerebrovascular Accident;							
<sup>J</sup> MI: Myocardial Infarction;							
$k_{ m Chi}$ squared test used;							
/ Student's t-test used;							
<sup>III</sup> ANOVA test used;							
<sup>n</sup> Kruskal-Wallis test used;							
<sup>o</sup> MLS: Multilevel Stenosis;							
<i>P</i> GI: Glottic involvement							

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#### Table 2

#### Comparison of Factors Associated with Tracheostomy Free Survival at Last Follow-Up

Patient Characteristics		Tracheostomy-Free (N=192)	Tracheostomy-Dependent (N=69)	P-value a
Age in years	Mean $\pm$ SD <sup><i>b</i></sup>	45.2 ±15.9	$50.8 \pm 17.5$	0.02 <sup>d</sup>
Sex N (%)	Male	53 (63.1)	31 (36.9)	0.008 <sup>e</sup>
	Female	139 (78.5)	38 (21.5)	0.000
Race N (%)	Caucasian	147 (79.0)	39 (21.0)	
	African American	22 (48.9)	23 (51.1)	
	Asian	3 (75.0)	1 (25.0)	0.002 <sup>e</sup>
	Hispanic	4 (66.7)	2 (33.3)	
	Other	13 (76.5)	4 (23.5)	
BMIC	$Mean \pm SD$	$30.0\pm9.4$	$30.2\pm10.0$	0.947 <i>d</i>
Charlson Co-morbidity Index	Mean ± SD	$0.70\pm0.97$	1.1 ± 1.4	<b>0.006</b> <sup>d</sup>
COPD <sup>f</sup> (N%)		14 (53.9)	12 (46.2)	0.023 <sup>e</sup>
DMII <sup>g</sup> (N%)		27 (58.7)	19 (41.3)	0.019 <sup>e</sup>
Etiology	Iatrogenic	71 (55.5)	57 (44.5)	<0.001 <sup>e</sup>
N(%)	Autoimmune	42 (87.5)	6 (12.5)	<0.001
	Idiopathic	71 (96.0)	3 (4.1)	
	Other	8 (72.7)	3 (27.3)	
Location N (%)	Subglottic	124 (77.0)	37 (23.0)	0.197 <sup>e</sup>
	Tracheal	23 (30.7)	52 (69.3)	
	$MLS^h$ with $GI^i$	5 (31.3)	11 (68.8)	
	MLS <sup>h</sup> without GI <sup>i</sup>	5 (50.0)	5 (50.0)	
Tobacco Use N (%)	Smoker Non-smoker	41 (59.4) 142 (79.3)	28 (40.6) 37 (20.7)	0.001 <sup>e</sup>
Cotton Myer Grade N (%)	Grade 1 or 2 Grade 3 or 4	114 (84.4) 31 (57.4)	22 (15.6) 23 (42.6)	<0.001 <sup>e</sup>
Previous Open Tracheal Procedure	N (%)	29 (64.4)	16 (35.6)	0.141 <i>e</i>

<sup>a</sup> p value <0.05 considered significant;

<sup>b</sup>SD: Standard Deviation;

<sup>C</sup>BMI: Body Mass Index;

*d* t-test was used to obtain p value;

<sup>e</sup>Chi-squared test used to obtain p-value;

<sup>f</sup> COPD: Chronic Obstructive Pulmonary Disease;

<sup>g</sup>Type 2 Diabetes Mellitus;

# <sup>h</sup>Multilevel stenosis;

iGlottic involvement; Table shows row percentages

#### Table 3

Multiple Logistic Regression Model of Tracheostomy Free Survival At Last Follow-Up

Tracheostomy-Free Survival Multip	le Logistic Regression Model		
Variable	Regression Coefficient (95% CI)	Odds Ratio (95% CI)	P-value
Non-Iatrogenic Etiology	1.14 (0.18, 2.1)	3.1 (1.2, 8.2)	0.020
Previous Open Tracheal Procedure	-0.35 (-1.4, 0.75)	0.71 (0.24, 2.1)	0.534
Intraoperative Steroid Use	1.04 (0.15, 1.9)	2.8 (1.2, 6.9)	0.022
Tobacco Use	-0.55 (-1.5, 0.46)	0.58 (0.21, 1.6)	0.287
Cotton-Myer Grade 1 or 2 Stenosis*	0.95 (0.04, 1.9)	2.6 (1.1, 6.4)	0.041

Adjusted for age, gender, race, Charlson Co-morbidity Index score

\* Cotton-Myer Grade 3 or 4 stenosis used as reference

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Treatment			All LTS Patients	ents		Iatrogenic			Non-latrogenic	ic
		OR	OR 95% CI	p value	OR	95% CI	P value	OR	13 %S6	P value
Steroids		3.5	1.6 - 7.7	0.002	2.5	0.91 - 7.1	0.076	7.0	1.0 - 48.4	0.050
Incision Type	Cold	0.71	0.28 - 1.8 0.465	0.465	0.92	0.29 - 3.0	0.112	0.27	0.03-2.8	0.780
	Laser	0.78	0.21 – 2.9 0.713	0.713	0.24	0.04 - 1.4	0.107	*	*	*
Dilation Type	Bougie	1.6	0.52 – 4.7 0.801	0.801	1.3	0.35 - 4.9	0.679	4.8	0.18-130.8	0.350
	Balloon	1.7	0.58 – 5.1 0.333	0.333	1.8	0.49 - 6.9 0.363	0.363	9.4	0.37 - 239.8	0.174
Mitomycin C		3.4	0.81–14.0 0.095	0.095	2.9	0.45 - 18.8	0.263	*	*	*
Open Procedure		0.67	0.28 - 1.6 0.374	0.374	0.64	0.22 - 1.9	0.421	1.8	0.11 - 29.9	0.677

Utilized multiple logistic regression; all adjusted for age, sex, race;

OR - odds ratio, CI - confidence interval

\* Mitomycin C application (N=12) and laser incision cases (N=20) were all tracheostomy free at last follow-up and could therefore not be included in the model as they predicted the outcome perfectly for non-iatrogenic stenosis alone.