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# Adaptive Optics Optical Coherence Tomography in Glaucoma

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# Abstract

Since the introduction of commercial optical coherence tomography (OCT) systems, the ophthalmic imaging modality has rapidly expanded and it has since changed the paradigm of visualization of the retina and revolutionized the management and diagnosis of neuro-retinal diseases, including glaucoma. OCT remains a dynamic and evolving imaging modality, growing from time-domain OCT to the improved spectral-domain OCT, adapting novel image analysis and processing methods, and onto the newer swept-source OCT and the implementation of adaptive optics (AO) into OCT. The incorporation of AO into ophthalmic imaging modalities has enhanced OCT by improving image resolution and quality, particularly in the posterior segment of the eve. Although OCT previously captured *in-vivo* cross-sectional images with unparalleled high resolution in the axial direction, monochromatic aberrations of the eye limit transverse or lateral resolution to about 15-20 µm and reduce overall image quality. In pairing AO technology with OCT, it is now possible to obtain diffraction-limited resolution images of the optic nerve head and retina in three-dimensions, increasing resolution down to a theoretical  $3 \mu m^3$ . It is now possible to visualize discrete structures within the posterior eye, such as photoreceptors, retinal nerve fiber layer bundles, the lamina cribrosa, and other structures relevant to glaucoma. Despite its limitations and barriers to widespread commercialization, the expanding role of AO in OCT is propelling this technology into clinical trials and onto becoming an invaluable modality in the clinician's arsenal.

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# 1. Background

Glaucoma is a slowly progressive, multifactorial, degenerative optic neuropathy and is the most common cause of irreversible blindness and the second most common cause of blindness worldwide (Quigley and Broman, 2006). Glaucoma is characterized by the degeneration of retinal ganglion cells (RGCs) and their axons, associated with morphological changes within the optic nerve and retinal nerve fiber layer (RNFL) (Garcia-Valenzuela et al., 1995; Quigley, 2011; Quigley et al., 1989; Quigley et al., 1995; Sommer et al., 1977; Weinreb et al., 2014; Weinreb and Khaw, 2004) such as neuroretinal rim thinning and RNFL wedge defects. Accurate and early detection of glaucomatous structural changes is paramount to successful management and the prevention of disease progression considering that the disease is causing irreversible loss of visual function (Foster et al., 2002; Quigley and Broman, 2006).

#### 1.1 Optical coherence tomography

Optical coherence tomography (OCT) is one modality that has become particularly widespread and is now the state-of-the-art imaging modality in glaucoma, supplanting older adjuncts for glaucoma diagnosis and monitoring (Girach and Sergott, 2016). OCT was first demonstrated in 1991 (Huang et al., 1991) as an application of low-coherence interferometry (Fercher et al., 1988). In 1993, the first OCT imaging studies of the human retina in-vivo were performed; and the devices became commercially available in 1996 after scanning patterns with reproducible measurements were developed (Schuman et al., 1996). The groundbreaking technique has allowed for *in-vivo*, noninvasive, high-resolution crosssectional imaging of ocular structures. With the ability to provide quantitative evaluation of neural structures affected by the disease, such as the macula, RNFL, and optic nerve head (ONH) (Kim et al., 2010; Leite et al., 2011; Leung et al., 2009; Leung et al., 2010; Medeiros et al., 2004; Park et al., 2009; Rao et al., 2010; Sehi et al., 2009; Wang et al., 2011b), its clinical utility was quickly realized (Puliafito et al., 1995; Schuman et al., 1995). OCT began to gain popularity in clinical ophthalmology in the early 2000's. OCT has since changed the paradigm of visualization of the retina and revolutionized the management and diagnosis of glaucoma, being widely used for the initial assessment of glaucoma and for monitoring disease progression and response to its various treatment modalities (Mansouri et al., 2013b; Puliafito, 2010).

**1.1.2 Advances in optical coherence tomography**—Since its initial introduction, OCT technology has advanced considerably. Previously available OCT instruments used a technique referred to as time-domain (TD-) OCT. TD-OCT was based on the low coherence interferometry principle of signal reflected from the eye and signal from an oscillating reference mirror, in order to match path lengths with separate reflective surfaces within the retina. The first commercially available TD-OCT (Stratus OCT, Zeiss, Dublin, CA), featured nominal axial resolution of 10  $\mu$ m, transverse resolution of 20  $\mu$ m, and scanning speed of 400 axial scans per second. However, this technology was limited by suboptimal resolution and slow scan acquisition rates (Hougaard et al., 2007a, b; Lee et al., 2009; Manassakorn et al., 2006; Medeiros et al., 2009b; Medeiros et al., 2005; Naithani et al., 2007; Nouri-

Mahdavi et al., 2008; Parikh et al., 2007; Wollstein et al., 2005). Hardware advances in commercial systems improved resolution and increased scanning speeds.

The introduction of spectral-domain (SD-) OCT increased scan acquisition rate, allowing for a more thorough sampling of the area of interest and greatly reduced the need for interpolation between adjacent scans as compared to TD-OCT (Gonzalez-Garcia et al., 2009; Iliev et al., 2006; Kim et al., 2009; Knight et al., 2009; Leitgeb et al., 2003; Leung et al., 2009; Leung et al., 2011; Mwanza et al., 2011; Ortega Jde et al., 2009; Schuman, 2008; Sung et al., 2011). In this iteration of the technology, the reference arm is stationary and interference pattern from the sampling and reference arms are detected spectroscopically. Image analysis of neuro-retinal features has also advanced significantly since the introduction of OCT in the clinic. Initially, RNFL was the only feature of the retina measured by OCT that was used for glaucoma management, providing objective, quantitative measurements of RNFL thickness (Huang et al., 1991). However, when newer SD-OCT devices began to measure and quantify additional structural features, such as those from the ONH and macula, the clinical utility increased for OCT in glaucoma.

Since its commercialization, SD-OCT has become available from numerous manufacturers and has been established as the dominant imaging modality in the management of glaucoma. The nominal axial resolution of the commercial devices range between 3 to 7  $\mu$ m, transverse resolution is similar across devices at 20  $\mu$ m, but the scanning speed varies between 25,000 to 100,000 axial scans per seconds. Scan patterns and measurements across these devices are generally not interchangeable, but their ability to detect glaucomatous changes of the fundus are similar (Akashi et al., 2013; Leite et al., 2011; Pierro et al., 2012). Overall, SD-OCT devices enhanced reproducibility and accuracy in quantifying glaucomatous damage.

Swept-source OCT (SS-OCT), a newer generation of OCT, has recently been introduced (Chinn et al., 1997). SS-OCT utilizes a longer wavelength (generally 1050 nm) compared with SD-OCT (840 nm). SS-OCT systems are able to capture high resolution images of the anterior chamber (AC) improving visualization and quantification of the AC structures. Because SS-OCT devices are less susceptible to signal attenuation, compared with other iterations of the technology, they are helpful in evaluating deeper posterior segment ocular structures, such as the choroid and lamina cribrosa (LC) *in-vivo* (Mansouri et al., 2013a; Mansouri et al., 2013b; Park et al., 2014; Takayama et al., 2013). SS-OCT has enabled users to acquire high quality wide-angle scans that contain a large area of the posterior pole, including both the optic disc and macula.

#### 1.2 Adaptive optics for ophthalmic imaging

The addition of adaptive optics (AO) into ophthalmic imaging modalities has further enhanced image quality. Before AO was introduced into ocular imaging with retinal cameras (Liang et al., 1997), the technique was already highly utilized in astronomy imaging protocols to reduce atmospheric distortion caused by diffraction. Specifically, AO has helped reduce speckle or granular image artifacts, minimize blur, and resolve faint features of astrophysical objects when viewing from ground-based telescopes. To accomplish this, AO systems employ a wavefront sensor and wavefront corrector to measure and correct optical

aberrations in real time, typically with a deformable mirror that compensates for the aberrations by changing the shape of the incoming wavefront (Lombardo et al., 2013).

AO technology seeks to correct for the eye's optical aberrations and has been adapted to multiple ophthalmic imaging modalities (Godara et al., 2010; Williams, 2011). These optical aberrations impose a limit on ophthalmic imaging devices, resulting in blurring and image artifacts (Charman and Chateau, 2003; Guirao et al., 2002; Thibos et al., 2002b).

Although defocus, such as myopia and hyperopia, and astigmatism account for majority of optical aberrations in human eyes, "high-order" wavefront aberrations exist even in normal eyes (Castejon-Mochon et al., 2002; Porter et al., 2001; Williams et al., 2000). The development of wavefront sensors in the 1990's has allowed for researchers to routinely measure these aberrations (Castejon-Mochon et al., 2002; Diaz-Santana et al., 2003; Guirao et al., 2002; Lombardo and Lombardo, 2009; Lombardo et al., 2013; Nirmaier et al., 2003; Porter et al., 2001; Salmon and van de Pol, 2006; Thibos, 2000; Thibos et al., 2002a; Wang et al., 2003b). AO corrects for these aberrations and theoretically can improve image quality from any ophthalmic instrument involving the passing of light into or out of the eye. Adapting AO technology from concept to ocular imaging modalities, however, requires substantial engineering efforts.

**1.2.1 Adaptive optics and optical coherence tomography**—Since its introduction into ophthalmic imaging in 1989 (Dreher et al., 1989), AO technology has been successfully integrated into multiple ocular imaging modalities, including conventional fundus cameras (Bedggood and Metha, 2012; Dees et al., 2011; Liang et al., 1997; Rha et al., 2006; Salas et al., 2016), scanning laser ophthalmoscopy (SLO), (Burns et al., 2007; Dreher et al., 1989; Dubra and Sulai, 2011; Roorda et al., 2002) OCT (Torti et al., 2009; Wang et al., 2011a; Zawadzki et al., 2009; Zhang et al., 2005), or some combination of these (Hammer et al., 2012; Salas et al., 2016). In 1997, AO was first integrated into fundus cameras (Liang et al., 1997). The first AO-SLO was successfully demonstrated in 2002 (Roorda et al., 2002), showing the confocal advantages of SLO in the measurement of rudimentary optical sectioning and blood cell velocity. This was closely followed by the pairing of AO with OCT devices (Fernandez et al., 2005; Zawadzki et al., 2005; Zhang et al., 2005) with expanded applications in the founding labs (Miller et al., 1996; Merino et al., 2006; Jonnal et al., 2010; Kurokawa et al., 2010; Jonnal et al., 2016; Salas et al., 2016). Soon, many targeted improvements in AO-OCT system design and performance were reported by an increasing number of laboratories researching AO-OCT (Cense et al., 2009a; Diaz-Santana et al., 2003; Fernandez et al., 2008; Kocaoglu et al., 2011a; Kurokawa et al., 2010; Merino et al., 2006; Torti et al., 2009; Zawadzki et al., 2009; Zawadzki et al., 2007).

OCT and AO have fundamentally improved ONH and retinal imaging and are complementary technologies allowing for resolution at a microscopic level. Although SD-OCT is already a proven and well-established imaging modality that captures *in-vivo* cross-sectional images with unparalleled high resolution in the axial direction, monochromatic aberrations of the eye limit lateral resolution to about 15-20 µm and reduce overall image quality. Since AO helps correct for these aberrations, imaging obtained with AO-OCT has substantially improved lateral resolution (Hermann et al., 2004; Hofer et al., 2001; Porter et

al., 2001). This is a major advantage of AO-OCT systems as its axial resolution of  $3-8 \mu m$  is substantially better when compared to other systems. AO-OCT corrects for the eye's optical aberrations most commonly with a Shack-Hartmann configuration (Liang et al., 1994; Platt and Shack, 2001) with wavefront sensors and electro-actuated deformable mirrors.

Similar to the benefits seen in astronomy, AO also reduces the high-contrast granular image artifacts that obscure microscopic details, which are characteristically inherent to OCT due to its interferometric nature. Speckle size of AO-OCT systems have been observed to be as much as 9.6 times smaller than that of conventional SD-OCT when AO is paired with ultrahigh resolution OCT (Kocaoglu et al., 2011a). In this case, speckle size was reduced from 7.3  $\mu$ m (axial)  $\times$  11.4  $\mu$ m (lateral) in SD-OCT (Spectralis, Heidelberg Engineering) to 2.9  $\mu m \times 3.0 \mu m$  in AO-OCT device. In order to reach AO's full resolving potential, minimize lateral speckle, and obtain the transverse resolution needed to view individual cells, the pupil should ideally be large (greater than 5 mm) (Artal et al., 2001; Fernandez and Drexler, 2005; Donnelly and Roorda, 2003; Liang et al., 1997; Miller et al., 2003; Porter et al., 2006). Furthermore, OCT systems outfitted with AO technology are more sensitive to weak retinal reflectance, capturing a measured improvement of about 4-8 dB (Cense et al., 2009a). In these ways, the two technologies are complementary in obtaining nearly diffraction-limited resolution images of the ONH and retina in three-dimensions (3D), providing a theoretical spot volume of  $3 \mu m^3$ ; and it now possible to visualize discrete structures within the posterior eye, such as individual cells and photoreceptors.

**1.2.1.1 Current drawbacks of AO-OCT:** AO-OCT has limitations, however, and many of them are obstacles to widespread adoption of this technology into clinical practice. Because AO-OCT can often have a limited field of view, acquiring a series of neighboring scans to compile an image covering a larger volume may be advantageous (Burns et al., 2007), though the extend acquisition time is a major clinical obstacle. Furthermore, because of the limited depth of focus, patching together volumes or adjusting the focal plane may be necessary in order to fully visualize the retinal structures at varying depths (Fernandez et al., 2005; Zawadzki et al., 2009). Nadler et al., in seeking to characterize the LC *in-vivo* with AO-OCT, used the exterior margin of the optic disc for determining the extent of visible LC; however, the authors noticed that the AO-OCT's narrow depth of focus hindered the identification of the scleral canal opening, which is standard feature for delineation of the disc boundary (Nadler et al., 2014a). AO-OCT instruments that can be used for retinal imaging have yet to efficiently integrate strategies to overcome this limitation, such as quickly recording multiple volumes with differing axial focal positions or employing special beams with non-Gaussian intensity distributions.

Apart from these limitations, some of the barriers to more widespread commercialization include the requisite need of expertise and adequately trained personnel and the implementation of robust image processing and analysis tools in friendly graphic user interfaces. More recent technological developments in AO-OCT retinal imaging systems have potential to overcome some of these barriers to integration into clinics. For example, novel SS-OCT systems outfitted with AO have substituted the Hartmann-Shack Wavefront Sensor, which are typically built with concave mirrors, with a wavefront sensorless adaptive optics algorithm paired with lens-based optical systems (Jian et al., 2016). This change

maintains diffraction limited imaging while reducing overall system size and complexity. The continual development of more robust, straightforward, and user-friendly AO-OCT devices is needed to assist with increasing access to the technology. And the cost of AO-OCT devices will undoubtedly decrease with increasing demand.

Other barriers include ocular motion artifacts and barriers involved in the processing of images obtained from AO devices. Although high speed AO-OCT systems and retinal tracking techniques are now available and help reduce image artifacts (Ishikawa et al., 2006; Kocaoglu et al., 2014a; Kocaoglu et al., 2014b; Zawadzki et al., 2014), it is still unclear whether these provide better ability to detect disease (Menke et al., 2009). Additionally, novel post-processing methods (Jonnal et al., 2012), and high-speed complementary metal oxide semiconductor (CMOS) detectors have been employed to reduce motion artifacts (Kocaoglu et al., 2011b; Kocaoglu et al., 2011c; Salas et al., 2016). The time required to obtain, process, and analyze images are also hindrances to AO-OCT's transition from lab to clinic; AO-OCT's clinical utility will increase with the development of novel sensitive metrics and image analysis strategies.

Furthermore, normative databases are required to define what constitutes normality. Although, for example, determining capillary density, quantifying blood flow, characterizing LC microstructure, and measuring RNFL bundles, are currently possible with AO technology, reference databases for these assays and disease states are currently lacking and are needed in order to discriminate healthy from glaucomatous eyes. This is especially true as AO uncovers new structures and features, which, just as photoreceptor spacing and density, require validation and need to be described in quantitative terms.

# 2. The expanding role of AO-OCT

Despite these drawbacks, OCT technology is advancing rapidly and the increasing role of AO is helping to propel AO-OCT into clinical trials and onto becoming an invaluable modality in the clinician's arsenal. Significant cellular damage has often already occurred by the time pathology is visible with current imaging tools - a reality that is now widely appreciated. Considering AO-OCT's abilities, the clinical implications are exciting, such as diagnosing retinal diseases early or more sensitive evaluation of treatment response at the cellular level. Individual RNFL bundles are now able to be visualized *in-vivo*, for example, along with retinal capillaries and other microvasculature (Hammer et al., 2008; Martin and Roorda, 2009; Popovic et al., 2011; Schmoll et al., 2011; Tam et al., 2010; Tam et al., 2011; Wang et al., 2011a; Zhong et al., 2011), Henle's fiber layer, RGCs and other microscopic structures in this layer, photoreceptor mosaic; retinal pigment epithelium, and details of the LC microstructure. In addition to glaucoma, AO technology is valuable for numerous other clinical applications and it is currently being used to study a number of clinical conditions, including macular degeneration, hereditary retinal dystrophies, retinopathy of prematurity, and other optic neuropathies.

#### 2.1 Photoreceptors

Photoreceptors were one of the first targets of studies implementing AO-adapted ophthalmic imaging (Chen et al., 2011; Choi et al., 2006; Cooper et al., 2011; Dubra et al., 2011;

Genead et al., 2011; Talcott et al., 2011; Tojo et al., 2013; Wolfing et al., 2006) and some of these findings are relevant to glaucoma. Improvements in AO-SLO performance significantly reduced monochromatic aberrations within AO-SLO systems and, for the first time, enabled a clear visualization of photoreceptors (Dubra and Sulai, 2011; Dubra et al., 2011). Although glaucoma is considered a disease of the inner retina, several studies have shown there may be an effect on the outer retina (Buchi, 1992; Fazio et al., 1986; Holopigian et al., 1990; Kendell et al., 1995; Nork et al., 2000; Odom et al., 1990; Panda and Jonas, 1992; Poinoosawmy et al., 1980; Pokorny and Smith, 1986; Vaegan et al., 1995; Wygnanski et al., 1995). Photoreceptor loss and insult in glaucoma eyes have been observed in histology (Buchi, 1992; Nork et al., 2000), while intraocular pressure (IOP) elevation, was associated with a reduction in photoreceptor responses in rodents (He et al., 2006; Kong et al., 2009; Sun et al., 2007). One recent study of patients with permanent, glaucoma-like visual field (VF) abnormalities in other optic neuropathies, such as optic neuritis, ONH drusen, pseudotumour cerebri, and non-arteritic ischemic optic neuropathy, all revealed structural changes in cones along with expected inner retinal changes (Choi et al., 2008).

More recently, morphological changes in the photoreceptors have been directly assessed *in*vivo in glaucoma eves with the aid of AO-OCT (Choi et al., 2011). In that study, which included a wide range of glaucomatous conditions, from pre-perimetric disease to advanced glaucoma with corresponding VF defects, ultra-high resolution AO-OCT revealed outer retinal changes and identified the exact location of structural changes within the cone photoreceptor layer. Concurrent AO-fundus camera images showed corresponding dark areas in the cone mosaic at the same retinal locations with reduced visual sensitivity. In AO-OCT, AO-fundus camera, and AO-SLO, individual healthy photoreceptors appear as bright spots (Figure 1), which is due to the high refractive index relative to the surroundings, strong directional coupling of light by the photoreceptor inner segment into the outer segment (Miller et al., 1996), and backscattering due to mirror-like reflections from each of the outer segment layers (Kocaoglu et al., 2011c). Although the photoreceptor mosaic's precise role in glaucoma is not yet fully elucidated, AO technology provides the means to resolve this issue. Going forward, new models for understanding the complex photoreceptor-light interaction are also important for experiments aimed at improving diagnostic ophthalmic imaging devices (Vohnsen, 2014).

#### 2.2 Retinal nerve fiber layer

Ophthalmic imaging modalities with the ability to detect and track pathological changes of the retinal structures, such as the RNFL, at an early, pre-symptomatic stage of disease represent the basis for developing new diagnostic and management protocols in glaucoma. Currently, diagnosis of glaucoma is confirmed based on the presence of typical VF defects on standard automated perimetry (SAP) and corresponding signs of glaucoma is relatively straightforward. However, it is often thought that glaucomatous structural damage precedes vision loss (Artes and Chauhan, 2005; Kuang et al., 2015; Medeiros et al., 2009a; Quigley et al., 1992; Sommer et al., 1991; Strouthidis et al., 2006; Wollstein et al., 2005) with the disease frequently remaining asymptomatic in its early stages. It is worth noting that observed pre-perimetric structural losses could be an artifact of the testing method. SAP

only has the ability to detect functional deficits after at least 25–50% of RGCs have undergone apoptosis (Harwerth et al., 1999; Kerrigan-Baumrind et al., 2000; Medeiros et al., 2013; Miglior et al., 2005; Mwanza and Budenz, 2015; Quigley et al., 1989; Strouthidis et al., 2006; Wollstein et al., 2005). Harwerth et al., for example, demonstrated in a population of 10 rhesus monkeys that SAP testing could not provide a useful estimate of ganglion cell losses at early stages of VF defects. Only in cases of more advanced glaucoma, visual field loss was directly related to ganglion cell loss (Harwerth et al., 1999). Similarly, Kerrigan-Baumrind et al. compared 17 eyes of 13 human glaucoma subjects post-mortem and reported that at least 35% of RGCs died before statistically significant abnormalities were seen on the corresponding VF tests (Kerrigan-Baumrind et al., 2000). Although future studies are needed to further characterize the structure-function relationship, early identification of glaucomatous structural damage is important for early diagnosis, management, and prevention of disease progression and further vision loss (Alencar et al., 2010; Ederer et al., 1994; Leske et al., 1999; Lim et al., 2012; Mansouri et al., 2011; Musch et al., 1999). Furthermore, although SAP is widely employed for the diagnosis, staging, and monitoring of the disease, diagnosis of glaucoma may require repeated testing (Katz et al., 1995; Spry et al., 2003).

To some extent, glaucoma diagnosis occurring after morphological changes in the RNFL can be attributed to poor resolution of current imaging modalities, including standard OCT, as their ability to detect very early abnormalities of the retinal microstructure is limited. Non-AO OCT devices, for example, lack the resolution required to obtain a cross-sectional profile of individual bundles of retinal nerve fibers as they traverse through the RNFL. Since early detection of glaucomatous changes is key, AO-OCT's increased ability to image microscopic subtle changes and variations of the RNFL at the preclinical stage underscores its potential as an invaluable asset to clinicians going forward for glaucoma detection and monitoring, especially given that RGC axonal losses in this retinal layer have been reported to precede in many cases ONH structural changes and vision loss as detectable by SAP (Sommer et al., 1977; Sommer et al., 1991). It is worth noting that current RNFL thickness parameters generated by OCT devices have good diagnostic accuracy, reproducibility (Mwanza et al., 2010), and can aid clinicians in differentiating healthy from glaucomatous eyes even in the early stages of the disease, and determine stages of disease severity (Mwanza et al., 2011; Mwanza et al., 2012; Wu et al., 2012; Akashi et al., 2013; Yang et al., 2015).

In contrast, thanks to its micrometric level resolution, AO-OCT devices can obtain a crosssectional profile and 3D mapping of individual RNFL axon bundles *in-vivo* (Zawadzki et al., 2008; Cense et al., 2009b; Torti et al., 2009; Kocaoglu et al., 2011a; Kocaoglu et al., 2014b), differentiate these bundles from Müller cell septa and fibers, and permit an increased characterization of structural differences in the RNFL between healthy and glaucomatous eyes. Indeed, AO-OCT devices outperformed standard SD-OCT in terms of clarity of the microscopic retina, particularly the RNFL, and demonstrated reproducible measurements (Kocaoglu et al., 2011a). In addition, a moderate correlation between retinal nerve fiber bundle width and RNFL thickness has been shown in healthy and glaucomatous eyes (Takayama et al., 2012). As measured with AO-OCT, RNFL bundles appear to thin and separate as they approach the fovea.

The increased ability to visualize and quantify these nerve fiber bundles, which have also been demonstrated in flood-illuminated ophthalmoscopes and SLO (Huang et al., 2012; Takayama et al., 2012, Ramaswamy et al. 2014), have allowed for examination of differences in the bundles themselves and the observation that RNFL bundles possess a discrete reflectivity pattern. One study imaged the RNFL with AO-OCT in four healthy subjects and reported that the RNFL bundles reflect two times more light than the surrounding tissue (Kocaoglu et al., 2011a). It is speculated that this could be related to increased cellular activity and an overall increased number of organelles (Brown, 2003; Huang et al., 2013; Wang et al., 2003a) and it is currently thought that reflectance intensity may decrease prior to losses in thickness (Huang et al., 2011; Zhang et al., 2011; van der Schoot et al., 2012). Ultimately, the added benefits of AO-OCT and its ability to construct 3D maps will likely permit earlier detection and improved monitoring of microstructures within the RNFL of patients with glaucoma.

#### 2.3 Lamina cribrosa

As the presumed site of axonal injury in glaucoma, the LC may play a role in neuronal death seen in glaucoma. The LC provides mechanical support to optic nerve fibers within the deep optic disc region. Automated measurements of 3D LC microarchitecture showed good reproducibility (Nadler et al., 2014b) and holds the potential to serve as biomarkers for glaucoma damage. LC microarchitecture changes have been observed *in-vivo* with SS-OCT in glaucomatous eyes when compared with healthy eyes (Wang et al., 2013) and, using histological preparation, LC pore, shape and size have been correlated with the severity and progression of glaucoma (Miller and Quigley, 1987; Tezel et al., 2004). Additionally, the LC was noted in several OCT studies to displace posteriorly in glaucomatous eyes compared to age-matched healthy eyes (Kim et al., 2015; Sawada et al., 2015; Jung et al., 2016) and thinner LC was associated with glaucoma progression (Chung et al., 2015). Overall, the structural thinning, pore deformities and posterior displacement of the LC (Morgan-Davies et al., 2004), likely impede axoplasmic flow within the optic nerve fibers which will impair survival of RGCs (Burgoyne, 2011; Quigley, 2011). This could lead to RGC apoptosis, contributing to glaucoma development and progression.

As OCT and AO technology evolve, they continue to provide more accurate detection of LC deformation and enhance our understanding of the structural pathogenesis of glaucoma, including the role of the LC in glaucoma and glaucoma progression. Buried deep within the ONH, imaging the entirety of the LC structure is often obscured by vasculature, peripapillary sclera, and neural tissue, or viewing is obstructed by other reflective structures anterior in the ONH (Sigal et al., 2010). The improved resolution of AO-OCT to less than 5 µm has enabled very high quality images of the posterior pole and increased visualization of the LC microstructure (Figures 2 and 3) (Nadler et al., 2014b) and better spatial characterization of LC microstructure in healthy eyes (Nadler et al., 2014a) (Figure 4). It is likely that novel systems that pair AO with the deeper tissue penetration of SS-OCT (Jian et al., 2016) offer promise in the ongoing study of the LC. While the LC's role in glaucoma progression is yet to be fully determined, SS-OCT, SD-OCT, and AO-OCT have undoubtedly allowed for increased visualization of the LC microstructure and improve the current understanding of the LC and its microarchitecture (Figure 5), (Videos 1, 2, and 3).

#### 3. Multimodal imaging: combining AO-OCT with other modalities

#### 3.1 Scanning laser ophthalmoscopy

AO-OCT technology has been successfully integrated into multimodal imaging devices that include AO-SLO. Since then, AO-SLO has demonstrated itself as a complementary adjunctive diagnostic tool to OCT. The modality's high transverse resolution and contrast allow for the identification of structural changes at the photoreceptor level associated with retinal pathology. Detailed visualization of the RNFL (Figure 6), for example, is now possible. When paired with AO-OCT, it is possible to visualize the impact of modest perimetric defects on the RNFL appearance - structural losses in RNFL visualized with AO-SLO correspond to RNFL thickness differences on OCT and the corresponding local VF defects on SAP.

Although AO-SLO allows for high transverse resolutions, the axial resolution is limited in comparison with OCT. In contrast to SLO and conventional microscopy (Drexler et al., 2015), OCT decouples the transverse and axial or depth resolutions, achieving very high axial image resolution that are independent of focusing conditions and numerical aperture of the optics of the eye itself. On the other hand, SLO's faster scanning speeds and direct measurements of back reflected light intensity allows for detection of both scattered and fluorescent photons from the tissue, making SLO very attractive for functional retinal imaging and allowing for higher fidelity en-face retinal images than AO-OCT. By detecting signals that OCT cannot, data acquired with AO-SLO can be complementary to OCT. With the added benefit of increased axial resolution provided by OCT, it is now possible to visualize contrast differences with AO-SLO in different retinal layers, such as the inner and outer segments and the external limiting membrane (Felberer et al., 2014). These dual SLO/OCT AO systems can also use SLO images as a reference to eliminate the effects of eye movements on the OCT image in order to resolve individual cells in different retinal layers, including photoreceptors and retinal pigment epithelium.

For visualization of the LC, AO-SLO by itself lacks the sensitivity and axial resolution afforded with OCT methods to adequately view the LC with depth. With AO-OCT, LC microarchitecture complexity as a function of depth can be visualized, such as the fine details of the delicate bifurcations and fenestrations of LC pores as they traverse the depth of the LC. On the other hand, AO-SLO has produced the highest resolution images of the LC surface structure ever obtained with ophthalmic imaging and significant changes in glaucomatous eyes have been observed in LC structural parameters in both primates and human subjects using AO-SLO (Sredar et al., 2013; Vilupuru et al., 2007). Given that AO ophthalmic systems in general are vulnerable to artifacts caused by eye drift and micro saccades because of the relatively small field size, AO-SLO/OCT multimodal systems could greatly benefit from supportive eye trackers (Zawadzki et al., 2005).

In addition, investigators have successfully implemented AO-SLO for the purpose of conducting functional assessments of the visual system, such as microperimetry (Tuten et al., 2012), while at the same time utilizing OCT to scan the retina to determine precisely where the stimulus fails to evoke a response. Microperimetry techniques have been described with AO that are able to measure light sensitivities of local retinal lesions and

individual groups of cones (Makous et al., 2006; Harmening et al., 2014; Bruce et al., 2015; Wang et al., 2015). Locations in AO-SLO images that do not show the cone mosaic and also fail to show OCT reflections corresponding to the photoreceptor layer may still maintain cone function when observing physiologic responses to visual stimuli (Wang et al., 2015), challenging the notion that photoreceptor structures must be visible on AO-SLO imaging for the corresponding retinal location to retain function.

Functional testing at the cellular level with or without microperimetry, however, is currently challenging. Using both AO and OCT techniques, multiple animal studies have sought to identify biomarkers that correspond to retinal function in order to overcome this, specifically aiming to implement ocular imaging modalities to assess functional responses at the cellular level without the need for subjective responses. For example, one study used a floodillumination AO-equipped retina camera to monitor changes in photoreceptor reflectance in response to visible stimuli (Jonnal et al., 2007). Most of the reflected light exiting an individual cone photoreceptor originates from the two ends of the outer segment: the connecting cilium and the posterior tip (Zhang et al., 2006). En-face AO modalities have demonstrated stimulus induced reflectance changes in the cone outer segment (Grieve and Roorda, 2008; Jonnal et al., 2010; Rha et al., 2009) with greater than 80% of cones activated after the application of a visible stimulus (Rha et al., 2009). In an AO-SLO device, photoreceptor response magnitude showed an average increase between 0% and 5% in the stimulated areas, with the most robust responses measured at a 3° peripheral location (Gri eve and Roorda, 2008). Such functional imaging may prove useful for examination of retinal function normal and diseased retinas.

Additionally, transversal scanning or en-face AO-OCT has also been integrated with SLO (Felberer et al., 2014), which provides information used for transverse motion correction in post-processing. In this case, aided by axial eye tracking, this device acquires volumes with high lateral and axial resolution and provided high resolution images of the photoreceptor mosaic. These systems are also useful for imaging the retinal vasculature, allowing for high resolution imaging of vessel walls and structures that may correspond to individual erythrocytes (Felberer et al., 2015).

#### 3.2 Fundus cameras

Compact devices that combine AO-OCT with AO fundus cameras have also been demonstrated (Salas et al., 2016). Understandably, fundus cameras were the first imaging devices to implement AO technology in ophthalmology, as they employ simple optical principles involving little more than a camera capturing the light originating on the retina and emerging from the eye's optics to form an image on a light-sensitive film or charge coupled device. AO fundus cameras have since been used to image multiple structures in the eye, including photoreceptors (Lombardo et al., 2012), the RNFL (Ramaswamy et al., 2014) and retinal microvasculature (Popovic et al., 2011).

AO-OCT instruments alone have the disadvantage of having a smaller field of view in the imaging. The technology is somewhat restricted to imaging specific regions and identifying such regions can be challenging. AO-OCT multimodal systems that incorporate AO-fundus cameras or other modalities that provide a larger field of view are therefore advantageous,

particularly for assisting with device alignment and proper registration of the smaller AO images. Standard wide-field OCT imaging has also been proposed for similar reasons. Additionally, the wider field of view facilitates with image comparison with the OCT: retinal features such as blood vessels, for example, can be easily identified. Conversely, again underscoring the major advantage of OCT in these systems, the depth information provided by the AO-OCT is important for full understanding of features seen on the AO-fundus images.

Due to the added benefit of depth information provided by the AO-OCT, this union in modalities has allowed researchers to examine microscopic retinal structures that were previously unable to be seen. This has been shown, for example, in the case of visualizing *in-vivo* the photoreceptor cone mosaic, which appear differently on AO-OCT when compared to AO-fundus images in systems that have combined both modalities (Zhang et al., 2006). This could be explained by the integration of OCT signals over a larger depth.

## 4. Future directions and conclusion

OCT has changed the face of glaucoma research and the ways that patients are diagnosed and followed in the clinic and remains a dynamic and evolving imaging modality. The technology has grown from TD-OCT devices to the improved SD-OCT, adapted novel image analysis and processing methods, and onto the newer SS-OCT and AO-OCT. Ongoing advances in OCT, such as faster scanning speeds, better visualization of retinal microstructure, and increased ability to assess functional aspects of these structures, should help elucidate new glaucoma diagnostic methods along with key pathogenic events and new treatment targets. Concurrently, AO technology is rapidly evolving alongside OCT and other ophthalmic imaging modalities.

Due to the key strengths of AO-OCT systems, the modality will have a major role in the ongoing study of structures related to glaucoma pathogenesis. AO-OCT imaging has a high potential in evaluating structural characteristics of the RNFL and identifying possible risk factors implicated in glaucomatous ONH damage. Additionally, the technology will aid the ongoing study and characterization of the structure-function relationship in glaucoma. Furthermore, because of its ability to capture very high resolution images at depth, AO-OCT can be particularly valuable in glaucoma for identifying early glaucomatous damages at the cellular level, detecting subtle RNFL, macular, or optic nerve head changes and abnormalities and monitoring for progression. And although the precise role of photoreceptors and changes in the photoreceptor layer in glaucoma is yet to be determined, this technology has proven to have the means to resolve this.

The combination of OCT with other complementary AO outfitted ophthalmic imaging modalities has certainly provided a more complete understanding of the various retinal locations under investigation. Furthermore, the integration of information from multiple modalities is often useful for new technologies, where there is far less experience in reading and interpretation of the images. Multimodal imaging, for example, has the advantage of providing complementary views of the retinal architecture and takes advantage of strengths of different imaging modalities. This provides the user with an added reference and

additional information for the comparison and interpretation of images acquired with the new technology, yielding a more complete picture of the anatomy under investigation. Ultimately, the application of AO-OCT in this way has allowed for new discoveries related to glaucoma and hold promise for new insight in the future.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Figure 1.

AO-SLO of a healthy retina focused at the level of the photoreceptors in a 27-year-old Caucasian man. (B) Zoomed in region shows the ability to identify individual photoreceptors. Scale bar =  $100 \mu m$ .



# Figure 2.

Cirrus HD-OCT demonstrating inferior RNFL thinning in a 42-year-old Caucasian man with normal tension glaucoma (A, B) with corresponding superior nasal step scotoma (C).



#### Figure 3.

(A) En-face slices from LC scan taken from the same subject with normal tension glaucoma shown in Figure 2, going from anterior to posterior in 25  $\mu$ m increments. (B) Zoomed in C-mode slice from the same subject with (C) automated segmentation of corresponding slice with pores outlined in green and the boundary of segmentation area in blue. (D) 3D thickness analysis show regions of thick LC beams (white/yellow) and thin LC beams (purple). Scale bar = 200  $\mu$ m.



# Figure 4.

AO-SD-OCT scan of a LC taken from a healthy 25-year-old Asian man (a–f) going anterior to posterior in 25  $\mu$ m increments. Automated segmentation of pores in blue and beams in teal (g–l) allow further quantitative analysis such as beam thickness (m–r), with thicker beams in white/yellow and thinner beams in purple. Scale bar = 200  $\mu$ m.



#### Figure 5.

(A) Original C-mode of adaptive optics OCT taken from a 56-year-old Caucasian woman with glaucoma. (B) Automated segmentation of the corresponding slice, with the beams labeled in green and pores in red. (C) 3D view en-face of the LC beams. (D) rotated 3D view of the same LC beams.



#### Figure 6.

(A) Montage AO-SLO scan of a healthy 27-year-old Caucasian man with the focus at the level of the RNFL with a zoomed in region (B) showing the striation of RNFL fiber bundles. Scale bar =  $500 \mu m$ .