

ErbB2 is required for ductal morphogenesis of the mammary gland

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The *ERBB2/HER2/NEU* receptor tyrosine kinase gene is amplified in up to 30% of human breast cancers. The frequent and specific selection of this receptor kinase gene for amplification in breast cancer implies that it has important normal functions in the mammary gland. To investigate the functions of ErbB2 during normal mouse mammary gland development, we transplanted mammary buds from genetically rescued *ErbB2*^{-/-} embryos that express ErbB2 in the cardiac muscle. *ErbB2*^{-/-} mammary buds transplanted to a wild-type mammary fat pad support outgrowth of an epithelial tree that advances only slowly through the mammary fat pad at puberty. This penetration defect is associated with structural defects in terminal end buds, characterized by a decrease in body cell number, an increased presence of cap-like cells in the preluminal compartment, and the presence of large luminal spaces. Lobuloalveolar development was not affected in glands that developed from *ErbB2*^{-/-} transplanted tissue. The results may have implications for the aggressive phenotypes associated with *ERBB2*-overexpressing mammary carcinomas.

development | terminal end bud | HER2 | EGF

The protooncogene *ERBB2/HER2/NEU* encodes a receptor tyrosine kinase of the epidermal growth factor receptor (EGFR) family, which includes *EGFR/ERBB1/HER1*, *ERBB2/HER2/NEU* units, *ERBB3/HER3*, and *ERBB4/HER4*. *ERBB2* is amplified in up to 30% of human breast cancers (1). Amplification and overexpression of *ERBB2* are associated with poorer prognosis of breast cancer patients (1–3). *ERBB2* is the target for the therapeutic antibody Herceptin (trastuzumab), which is used for treatment of women with advanced breast cancer that overexpresses *ERBB2* (4). The preferential amplification of *ERBB2* in breast cancer, relative to other receptor tyrosine kinase genes, and the association with poor prognosis imply that activated ErbB2 has powerful and pleiotropic carcinogenic properties. Indeed, in contrast to some oncogenes, *ERBB2* promotes invasion and metastasis, in addition to excessive proliferation (5, 6). Moreover, in mouse models, activated *ERBB2* is especially potent in induction of metastatic mammary carcinoma (7, 8). The preferential association of *ERBB2* amplification with mammary and ovarian carcinomas suggests that these properties reflect important normal functions for *ERBB2* in these endocrine-responsive tissues.

ErbB2 is an orphan receptor that is unable to bind conventional growth factors on its own (reviewed in ref. 9). However, ErbB2 forms heteromers with ligand-activated EGFR, ErbB3, and ErbB4, which enables ErbB2 to respond to the ≈ 13 EGF- and neuregulin-like growth factors. The ErbBs are promiscuous in their ability to form heteromeric complexes, but ErbB2 is a preferred dimerization partner that is generally coexpressed with other ErbBs (10–13). In this capacity, it may function as a common ErbB subunit that augments signaling power and diversity, through its ability to couple to specific substrates and to alter down-regulation pathways of other ErbBs.

Despite the link of *ERBB2* to tumorigenesis, the roles of *ERBB2* in normal mammary gland development are unknown.

The overlapping expression pattern of the four ErbBs and multiple ErbB ligands has made it difficult to interpret the physiological function of any individual ErbB (reviewed in ref. 14). In pubescent female mice at 5 weeks of age, both ErbB2 and EGFR proteins are expressed in the major cell compartments of the mammary gland. By 8 weeks of age, ErbB2 is especially prominent in the epithelium and reduced in the stroma, whereas EGFR is localized to the stroma (15). Both EGFR and ErbB2 are Tyr-phosphorylated at puberty in the mouse mammary gland, indicating that they are functionally activated (16). ErbB3 and ErbB4 are expressed at low levels before maturity but are expressed at higher levels during pregnancy and lactation (15, 16). ErbB2 expression has been detected at later stages of development as well. All four ErbBs were detected in mammary glands of mice in late pregnancy and early lactation. EGFR and ErbB2 proteins are expressed in the lobuloalveolar epithelium, whereas ErbB3 and ErbB4 are enriched in the ducts (15).

The timing of expression and Tyr phosphorylation of ErbB2 during mammary development suggests roles for ErbB2 in the nulliparous gland at puberty, during late pregnancy, and during early lactation. The phenotype of transgenic mice expressing a truncated dominant-negative *ErbB2* in adult females, which retards late mammary development and lactation, has suggested an important role for ErbB2 in final stages of lactational differentiation (17). However, the ability of ErbB2 to form heteromers with other EGF family receptors means that the dominant-negative effects may be mediated through inactivation of endogenous ErbB2, other ErbBs, or some combination.

Determination of the *ErbB2*^{-/-} mammary phenotype has been hampered by early embryonic lethality due to a cardiac defect (18, 19). This defect can be genetically rescued by tissue-specific expression of a rat *neu/ErbB2* transgene targeted to cardiac muscle, yet the *ErbB2*^{-/-} mice still die at birth because of loss of innervation of the diaphragm (20, 21). We have determined the consequences of inactivating murine *ErbB2* by transplanting embryonic mammary buds from the genetically rescued *ErbB2*^{-/-} transgenic mice into the cleared mammary fat pads of immature female mice. Transplanted *ErbB2*^{-/-} mammary buds support outgrowth of an epithelial tree in a wild-type mammary fat pad. However, there are substantial delays in ductal penetration. The growth defect is associated with structural defects in terminal end buds (TEBs), characterized by a decrease in body cell number, an increased presence of cap-like cells in the preluminal compartment, and the presence of large luminal spaces.

Materials and Methods

Mammary Gland Transplants. Embryonic day (E) 12.5–E15.5 embryos (stage estimated from vaginal plugging, timed pregnancies,

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Abbreviations: TEB, terminal end bud; EGFR, epidermal growth factor receptor; IHC, immunohistochemistry; SMA, smooth muscle actin; MMP, matrix-metalloproteinase.

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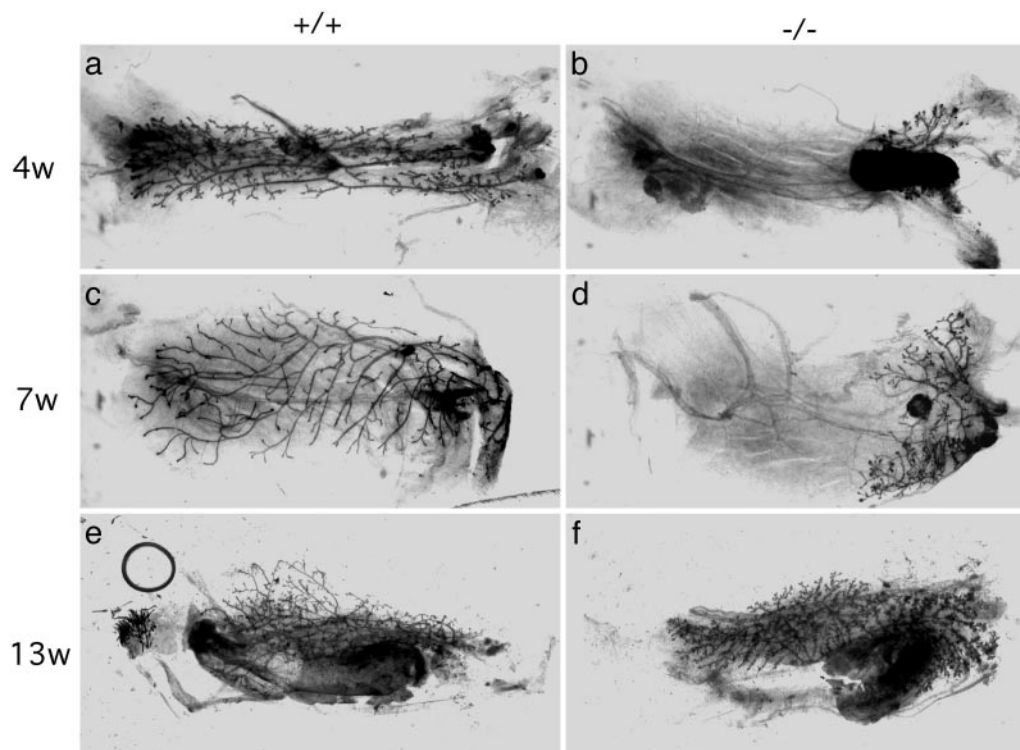


Fig. 2. Whole-mount analysis of ductal morphogenesis in transplanted glands from virgin female animals. Paired mammary buds from *ErbB2*^{+/+} and *ErbB2*^{-/-} day 13.5 embryos were transplanted into contralateral cleared mammary fat pads of 3-week-old *Rag1*^{-/-} females. The glands were harvested 4 weeks (a and b), 7 weeks (c and d), or 13 weeks (e and f) posttransplantation. Pairs of glands shown at each time point are from littermate donors and the same recipient mouse.

ErbB2^{-/-} mammary glands ranged from three-quarters full to completely filled (Fig. 2f). When compared pairwise in the same recipient females, outgrowths from *ErbB2*^{+/+} donors were, on average, 67% longer than outgrowths from *ErbB2*^{-/-} donors (Table 1 and Fig. 2). At 7 weeks posttransplantation, the number of branch points per unit area was higher in the *ErbB2*^{-/-} transplants than the *ErbB2*^{+/+} transplants, indicating an increase in branching in the *ErbB2*^{-/-} transplants (Table 1). In the majority of the transplant recipients analyzed after pregnancy, the *ErbB2*^{-/-} and *ErbB2*^{+/+} glands filled the fat pad to the same extent and supported formation of alveoli (Fig. 3 a and b). The fat pads were filled in four of the five *ErbB2*^{+/+} mice and four of the six *ErbB2*^{-/-} mice. Analysis of lactation in transplants after 1 day postpartum is not possible because the transplanted epithelium is not attached to the nipple; apoptosis and remodeling commence rapidly after birth because of the lack of suckling. However, hematoxylin/eosin-stained sections of the glands at 1 day postpartum show similar distended alveoli with milk in the lumens and lipid droplets in

the cells, suggesting that both the differentiation and secretory pathways are normal in the +/+ and -/- glands (Fig. 3 c and d). In addition, the proportion of epithelium relative to stroma is similar in both the +/+ and -/- glands (Fig. 3 c and d). Both the reconstituted +/+ and -/- glands remodeled normally after pregnancy (Fig. 3 e and f).

TEBs are sites for proliferation and penetration of the advancing duct into the fat pad at puberty, and they normally regress once the nascent ducts have traversed the mammary fat pad (25). A typical TEB is a bulbous structure at the end of the duct consisting of an outer monolayer of cap cells at the advancing edge that gives rise distally to the myoepithelium surrounding the duct, and an inner compartment of preluminal and luminal body cells that line the duct (Fig. 4 a and c) (25, 26). In contrast, the *ErbB2*^{-/-} TEBs, although similar in number to the *ErbB2*^{+/+} TEBs, seem to have a normal cap cell/myoepithelial cell layer, but the body cell layer often seems loosely packed, with large spaces, and severely diminished cell number (Figs. 4 b, d, and f and 5 b, d, f and h). This

Table 1. Analysis of whole mounts, seven weeks after transplantation

	Scored in same recipients*		Scored in all recipients†	
	+/+ n = 3	-/- n = 3	+/+ n = 4	-/- n = 6
Length of total outgrowth in glands‡	8.41 ± 1.5	5.04 ± 1.4	8.41 ± 1.2	5.41 ± 1.1
Branch points per unit area§	29.7 ± 17.2	48.7 ± 9.3	26.0 ± 13.3	48.8 ± 8.4

*Results in animals in which both +/+ and -/- transplants were successful, permitting direct comparison.

†Results for all successful transplants, regardless of status of contralateral gland.

‡The average end-to-end length of total ductal outgrowth at 7 weeks posttransplantation measured in arbitrary units, with standard deviation ($P = 0.0449$ for same recipient and $P = 0.0036$ for all recipients).

§The average number of branch points within a defined area, with standard deviation ($P = 0.1678$ for same recipient and $P = 0.0174$ for all recipients).

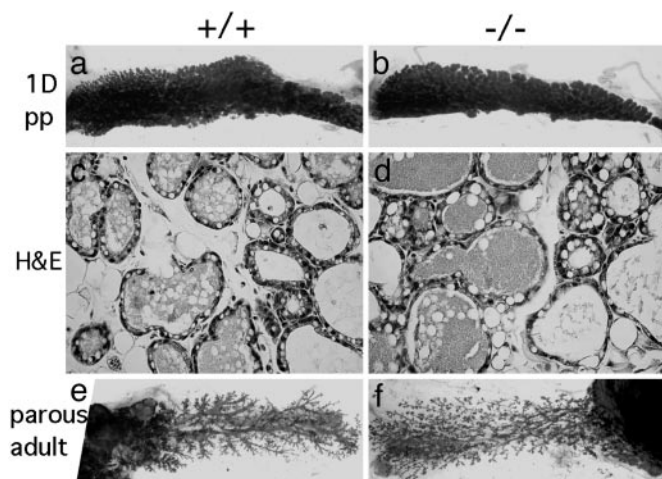


Fig. 3. Whole mount analysis of lobuloalveolar development and remodeling of transplanted glands. Mammary buds from day 13.5 embryos (+/+ or -/-) were transplanted into the cleared no. 4 inguinal fat pads of a 3-week-old recipient mouse. The mouse was mated 4 weeks posttransplantation and delivered a litter 7 weeks posttransplantation. The glands were harvested 1 day postpartum (1D pp). The half-glands (produced by cutting lengthwise) are from the same recipient mouse. The *ErbB2*^{-/-} ductal tree (b) has filled the fat pad to the same extent as the +/+ transplanted gland (a), although there are differences in alveolar morphology; however, there is no difference in the +/+ transplanted gland (c) and the *ErbB2*^{-/-} gland (d) at the histological level. The *ErbB2*^{+/+} gland (e) and the *ErbB2*^{-/-} gland (f) are from separate parous nonpregnant females. H & E, hematoxylin/eosin staining.

aberrant TEB phenotype was evident in most or all TEBs in hematoxylin/eosin-stained sections of every *ErbB2*^{-/-} transplanted mammary gland and in none of the *ErbB2*^{+/+} or *ErbB2*^{+/-} transplanted mammary glands. The poor outgrowth of *ErbB2*^{-/-} transplanted glands at 4 weeks made it difficult to identify TEBs (Fig. 2*b*). Direct comparisons of TEBs from *ErbB2*^{-/-} transplants with those of *ErbB2*^{+/+} or *ErbB2*^{+/-} transplants were difficult at later time points, e.g., 7 weeks, because the wild-type TEBs regress at times when *ErbB2*^{-/-} ducts have only minimally penetrated the fat pad (e.g., Fig. 2*c*, regressed, vs. *d*). Therefore, detailed comparisons of TEBs were made between the 4-week +/+ outgrowths and the 7-week -/- outgrowths.

Proliferating cells are normally enriched in the cap cell layer and the outer layers of body cells (BrdUrd incorporation, Fig. 4*e*) (27). BrdUrd-positive cells are present in the *ErbB2*^{-/-} TEB cap cell and body cell layers (Fig. 4*f*). The percentage of BrdUrd-positive cells did not differ significantly between the *ErbB2*^{+/+} and *ErbB2*^{-/-} outgrowths, with $19.3 \pm 9.4\%$ BrdUrd-positive cells in *ErbB2*^{+/+} TEBs and $17.2 \pm 9.1\%$ in *ErbB2*^{-/-} TEBs. However, the *ErbB2*^{-/-} TEBs, with fewer cells overall, had fewer BrdUrd-positive cells. Analysis of apoptosis rates was inconclusive for *ErbB2*^{-/-} TEBs, owing to the small cell numbers.

SMA is expressed by cap cells and myoepithelial cells, but not by body cells (28). *ErbB2*^{+/+} TEBs display normal SMA immunostaining (Fig. 5*a*), with the single outer layer of the TEBs staining. The small number of SMA-positive cells located interiorly are thought to be cap cells that have migrated in and are destined for proliferation and/or differentiation into luminal cells (26). In contrast, the *ErbB2*^{-/-} TEBs had many SMA-positive cells within the body cell compartment (Fig. 5*b*). P-cadherin and E-cadherin are adhesion molecules that are differentially expressed in the TEB cell compartments. P-cadherin is expressed in the cap cells and myoepithelial cells, whereas E-cadherin is expressed only by the body cells and is

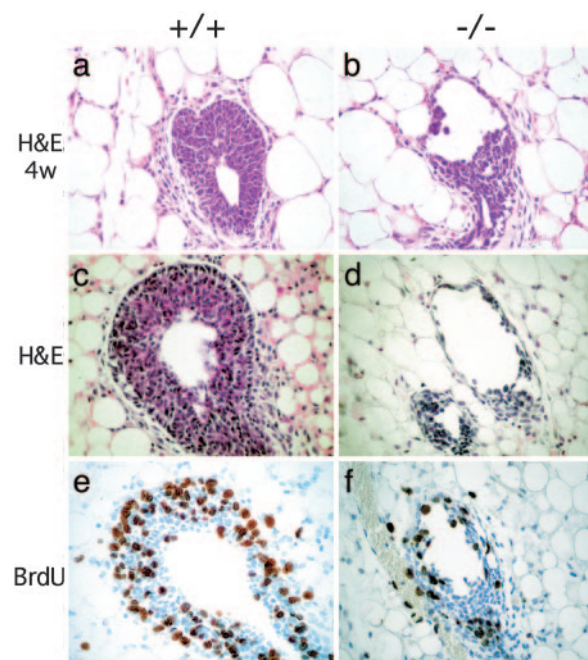


Fig. 4. Morphology and proliferation of TEBs in transplanted glands. (a and c) TEBs in *ErbB2*^{+/+} and *ErbB2*^{-/-} transplants at 4 weeks posttransplantation have a typical single cap cell/myoepithelial cell outer layer and multilayered body cell compartment. (a and b) A direct comparison of TEBs from the *ErbB2*^{+/+} and *ErbB2*^{-/-} transplants from the same recipient mouse at 4 weeks posttransplantation shows a disorganization of the body cells and a large space between the cap cell and body cell layers. (d) The TEBs in *ErbB2*^{-/-} transplants at 7 weeks posttransplantation also show a decrease in the number of body cells and disorganization of the body cells. (e and f) BrdUrd incorporation in the *ErbB2*^{+/+} TEBs at 4 weeks occurs in both cap and body cells (e), but there are fewer BrdUrd-positive cells in the *ErbB2*^{-/-} TEBs at 7 weeks (f), concomitant with a smaller cell number ($P = 0.7246$). H & E, hematoxylin/eosin staining.

required for integrity of the body cell compartment (29). In the *ErbB2*^{+/+} TEBs, the cap cells and myoepithelial cells express P-cadherin (Fig. 5*c*, note nearly complete exclusion of inner cell layers), resulting in a similar staining pattern to *ErbB2*^{+/+} TEBs stained for SMA (Fig. 5*a*). Conversely, only the body cells expressed E-cadherin uniformly (Fig. 5*e*, note exclusion of outer cell layer). The *ErbB2*^{-/-} TEBs had many P-cadherin-positive cells in the body cell compartment (Fig. 5*d*) and were heterogeneous for E-cadherin immunoreactivity in the internal layers (Fig. 5*f*). Together, these results suggest an increased body cell infiltration by cap-like progenitor cells in the *ErbB2*^{-/-} TEBs, or altered differentiation in the body cell compartment.

Compartmentalization of the TEB by E-cadherin and P-cadherin status is stabilized through adhesion mediated by binding of netrin-1, produced by preluminal cells, to the receptor neogenin on the cap cells, which promotes cap cell/preluminal cell interactions. Loss of netrin-1 or neogenin results in migration of SMA-positive, P-cadherin-positive, E-cadherin-negative cap-like cells into the preluminal compartment, similar to the phenotype of *ErbB2*^{-/-} TEBs (30). In the *ErbB2*^{+/+} TEBs, the cap cells and myoepithelial cells express neogenin (Fig. 5*g*), resulting in a similar staining pattern to *ErbB2*^{+/+} TEBs stained for SMA and P-cadherin (Fig. 5*a* and *c*). The *ErbB2*^{-/-} TEBs had neogenin-positive cells in the cap cell and body cell compartment (Fig. 5*h*), in a similar staining pattern to *ErbB2*^{-/-} TEBs stained for SMA and P-cadherin (Fig. 5*b* and *d*). Neither the cap cell nor body cell layers stained positively for neogenin in tissue from

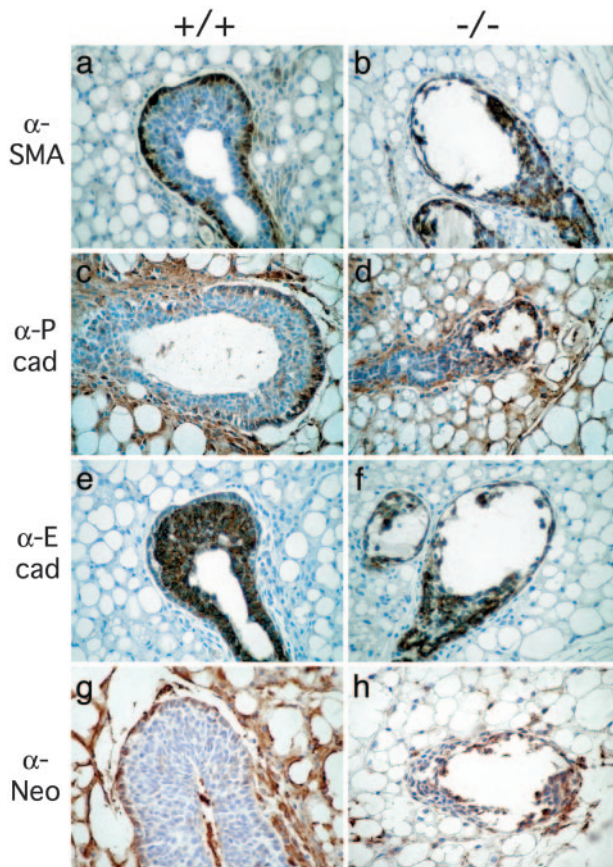


Fig. 5. Immunohistochemical analysis of TEBS. (a and b) Immunostaining with anti-SMA of glands transplanted with *ErbB2*^{+/+} donor tissue analyzed at 4 weeks posttransplantation (a) and *ErbB2*^{-/-} donor tissue analyzed at 7 weeks posttransplantation (b). (c–h) Immunostaining with anti-P-cadherin of glands transplanted with *ErbB2*^{+/+} donor tissue analyzed at 4 weeks posttransplantation (c) and *ErbB2*^{-/-} donor tissue analyzed at 7 weeks posttransplantation (d); immunostaining with anti-E-cadherin of glands transplanted with *ErbB2*^{+/+} donor tissue analyzed at 4 weeks posttransplantation (e) and *ErbB2*^{-/-} donor tissue analyzed at 7 weeks posttransplantation (f); and immunostaining with anti-neogenin of glands transplanted with *ErbB2*^{+/+} donor tissue analyzed at 4 weeks posttransplantation (g) and *ErbB2*^{-/-} donor tissue analyzed at 7 weeks posttransplantation (h).

neogenin^{-/-} animals (kindly provided by P. Strickland and L. Hinck, University of California, Santa Cruz) (data not shown). However, the loss of ErbB2 did not impede production of neogenin, suggesting that a different signaling system is impaired in *ErbB2*-null transplants. Similarly, immunostaining patterns with anti-netrin were comparable in mammary glands reconstituted with wild-type and *ErbB2*^{-/-} epithelium (data not shown). Hence, the dysregulation of compartmentalization in TEBS reconstituted from *ErbB2*^{-/-} tissue does not seem to operate through the netrin/neogenin axis.

Discussion

The lack of ErbB2 in the virgin mammary gland resulted in delayed ductal growth during puberty and adolescence. This phenotype was associated with structural defects of the TEBS. Because in these experiments the recipient fat pad should supply stromal functions, ErbB2 is apparently required in the epithelium. The postnatal contribution of stromal ErbB2 was not addressed in this study. Serial transplants of *ErbB2*^{-/-} glands harvested 7 weeks posttransplantation were successful, suggesting that null stroma from the original transplant does not contribute significantly to the ductal defect.

A similar ductal penetration defect was evident when floxed ErbB2 was selectively deleted by using a mouse mammary tumor virus (MMTV) transgene (31). However, a TEB defect was not observed, perhaps owing to lack of MMTV-Cre expression in cap cells, or other experimental differences.

At early stages after transplantation, branch points were denser in *ErbB2*^{-/-} epithelium. Other work has suggested a positive role for ErbBs in branching (32), and TGF- α and neuregulins promote branching (23, 33). The greater branching early after transplantation did not translate to a greater overall number of TEBS. Loss of the most defective *ErbB2*^{-/-} TEBS might account for this discrepancy and also help to explain the recovery of normal mammary gland morphogenesis as maturation continued.

Structural defects in the TEBS associated with *ErbB2*^{-/-} epithelium undoubtedly contribute to the ductal penetration defect. Patency of cap/myoepithelial and body cell compartments is maintained by P-cadherin- and E-cadherin-dependent homophilic interactions (29). This compartmentalization is disrupted in *ErbB2*^{-/-} epithelium. This defect is not due to the loss of the adhesion molecule neogenin, despite the similar structural defects in *neogenin*-null TEBS (30).

The penetration defect could be caused by disruption of the normal regulation of matrix-metalloproteinases (MMPs). Mammary gland branching morphogenesis requires MMP-2 to facilitate TEB invasion and repress precocious lateral branching in mid-pregnancy, whereas MMP-3 is required for secondary and tertiary lateral branching of ducts (34, 35). EGFR has been shown to control branching morphogenesis of the lung by regulating MT1-MMP/MMP14 and MMP-2, a known regulator of normal lung morphogenesis (36). Likewise, ErbB2 signaling could contribute to mammary branching morphogenesis or TEB invasion through one or several MMPs.

ErbB2 is normally activated through growth factor-dependent heteromerization with other ErbBs (14). EGFR and ErbB2 are highly expressed, Tyr-phosphorylated, and colocalized in major cell compartments during ductal morphogenesis, and all four ErbB receptors are expressed and localized to the epithelium during pregnancy and lactation in the mouse mammary gland (15, 16). Of the several EGF family growth factors expressed during mouse mammary development, amphiregulin (AR) seems to be the foremost regulator at puberty, because it is expressed in the virgin mammary gland and promotes ductal morphogenesis when implanted, and because AR knockout mice have a ductal penetration defect that is stronger than disruption of EGF and TGF- α (37, 38). However, TEBS were apparently normal in triple AR, EGF, and TGF- α knockout mice (38). The finding that *EGFR* knockouts have a limiting mammary function in the stroma, not the epithelium (33), seems to contradict the notion that EGFR/ErbB2 interactions are the most important route for ErbB2 activation at puberty. This difference might be explained by the significant methodological differences between the EGFR study and the present one. However, it is possible that the minimal stromal signal can be supplied by EGFR/EGFR homodimers, and that ErbB2 is dispensable in this context. Alternatively, other ErbB receptors may be involved. Because neuregulin-1, which binds to ErbB3 and ErbB4, provokes a strong ductal outgrowth response when implanted in the mammary gland, it is possible that one of these receptors is the functional partner for ErbB2 in this context (23).

At puberty, systemic estrogen works in concert with growth hormone to promote ductal outgrowth (reviewed in ref. 39). Local mediators include insulin-like growth factor 1, fibroblast growth factors, hepatocyte growth factor, and EGF family growth factors (40–42). Further elucidation of the roles of epithelial ErbB2 in integrity of the TEB, and possibly other processes required for ductal elongation (proliferation, sup-

pression of apoptosis, communication with the stroma for regulation of metalloproteinase production), and the placement of ErbB2 in the growth factor regulatory hierarchy will enhance understanding of mammary development and carcinogenesis. The importance of ErbB2 in promoting invasive penetration of the mammary fat pad is consistent with the aggressive properties of mammary carcinoma with *ERBB2* amplification. Better understanding of invasion-related functions of *ERBB2* in mammary development may help to explain

the frequent amplification of *ERBB2* and lead to therapies that target this process in cancer.

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