

MINI-REVIEW

Signaling pathways and tissue interactions in neural plate border formation

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ABSTRACT

The neural crest is a transient cell population that gives rise to various cell types of multiple tissues and organs in the vertebrate embryo. Neural crest cells arise from the neural plate border, a region localized at the lateral borders of the prospective neural plate. Temporally and spatially coordinated interaction with the adjacent tissues, the non-neural ectoderm, the neural plate and the prospective dorsolateral mesoderm, is required for neural plate border specification. Signaling molecules, namely BMP, Wnt and FGF ligands and corresponding antagonists are derived from these tissues and interact to induce the expression of neural plate border specific genes. The present mini-review focuses on the current understanding of how the NPB territory is formed and accentuates the need for coordinated interaction of BMP and Wnt signaling pathways and precise tissue communication that are required for the definition of the prospective NC in the competent ectoderm.

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Introduction

The neural crest (NC) is a vertebrate-specific population of multipotent progenitor cells that differentiate into a variety of derivatives including a subset of the cranial ganglia, the majority of cranial cartilage and bone, part of the cardiac outflow tract, melanocytes, the sensory dorsal root ganglia, the enteric nervous system and the medulla of the adrenal gland (reviewed in refs. 1 and 2). The NC is induced in early embryonic development in two stripes laterally adjacent to the open neural plate. As the neural folds form, elevate and fuse to form the neural tube during neurulation, the two populations of NC cells are brought together at the dorsal neural tube. Shortly after, NC cells undergo an epithelial to mesenchymal transition, leave the dorsal neural tube and migrate on defined routes through the embryonic body to their destinations where they differentiate. Development of the NC was studied extensively over the past decades in a variety of vertebrate model organisms including the basal extant vertebrate lamprey, in fish, frog and chicken embryos and in the mouse as a mammalian model system (for review see ref. 3).

In the last years, a number of studies in frog and chicken embryos have further expanded our knowledge on the earliest events in NC development: the

specification the neural plate border (NPB). The NPB is first specified at late blastula to early gastrula stages when global signal gradients act to pattern the embryo. During gastrulation, a NPB specific gene expression pattern is further established and maintained. In addition, morphogenetic movements reposition and shape the mesoderm, the neural plate as well as the NPB.

Tissue interactions in NPB formation

In the early frog gastrula, the prospective NC cells arise from an ectodermal region located adjacent to the dorsolateral marginal zone (DLMZ) and between the future neural plate and the non-neural ectoderm that will give rise to the epidermis.⁴ Comparable fate maps have been drawn for mouse and chicken embryos.^{5,6} At early neurula stages, the neural plate has been shaped and the prospective NC cells are located at the lateral borders of the neural plate in the NPB territory.

Experimental evidence in frog and chick embryos demonstrated that NPB formation depends on interaction with juxtaposed tissues, which are sources of signaling molecules that specify the NPB. The capability of a tissue or protein to induce a certain cell fate is typically judged by the expression of one or more

genes that are also endogenously expressed in these cells. The most common markers indicating NPB specification are the transcription factors *msx1* and *pax3* as well as *hairy2* and *dlx5*. Subsequent adoption of NC fate is often analyzed by the expression of *snail2/slug*, *foxD3* or *sox9*, which in both cases represent only a small subset of the transcriptional signature of the respective tissues.

Ectodermal tissue interactions

Experimental studies illustrated that *Xenopus* neural plate tissue is able to respond to signals from the lateral ectoderm and adopt NC fate as indicated by the induction of *snail2/slug* expression at the border region.⁷ Likewise, studies using tissue explants from chick embryos suggested that the epidermal ectoderm presents the signaling source for the induction of dorsal cell differentiation indicated by expression of *pax3*, *msx1/2* and *snail2/slug* at the border region of the neural plate.⁸ *In vitro* assays of cell differentiation using neural plate explants from chick embryos have further shown that patterning of the neuroectoderm is initiated by a contact-dependent inductive signal from the epidermal ectoderm and this interaction leads to NPB specification as indicated by the expression of the early NPB markers *pax3* and *msx1* and the NC marker HNK-1.⁷⁻⁹ Although these grafting experiments show that NC fate can be induced in the neuroectoderm, the requirement of the neural plate has been challenged by studies in chick and recently, in human embryonic stem cells (hESCs). Epiblast explants from stage 3 chick embryos develop into NC in the absence of definitive neural or mesodermal tissue.^{8,10} Just recently, analysis of NC induction in hESCs demonstrated that the differentiation of hESCs into NC cells as indicated by expression of NPB genes including *pax3* and *msx1* takes place independent of the presence of neural precursors or neuroectoderm.¹¹

The role of the dorsolateral marginal zone

In contrast to the abovementioned experimental evidence that the NPB is induced by interactions between the prospective neural plate and the epidermis, tissue recombination experiments in gastrula stage *Xenopus* embryos suggested that the dorsolateral mesoderm can be considered as the key mesodermal tissue able to induce NC fate. Co-culture of naïve ectoderm with

dorso-lateral marginal zone (DLMZ) mesoderm induced the expression of NC marker genes including *snail2/slug*, *foxD3* and *sox9*.^{4,12-14} Consistently, *Xenopus* embryos in which the dorsolateral mesoderm has been experimentally removed fail to develop NC as indicated by the lack of *snail2/slug* expression at neurula stage and the failure to form NC derivatives such as melanocytes.^{12,13} The cross-species conservation of the mechanisms underlying these inductive capacities was demonstrated by explant assays performed with inducing tissue from posterior nonaxial mesoderm from chick and responding ectoderm from *Xenopus*. Chick posterior nonaxial mesoderm was able to induce *pax3* and *msx1* expression and thus NPB fate in naïve *Xenopus* ectoderm.¹⁵

The apparent contradiction concerning the requirement of dorsolateral mesoderm versus non-neural ectoderm has at least partially been resolved by a study by Steventon and colleagues, who showed that the DLMZ induces NPB in the adjacent ectoderm in tissue explants marked by expression of *hairy2*, *dlx5* and *msx1* whereas the subsequent induction of NC markers such as *snail2/slug* requires the additional presence of epidermis.⁴ Since most of the abovementioned ectodermal tissue recombination experiments have been performed in late gastrula to neurula stage embryos, these likely reflect later events in NPB and NC induction when the initial NPB specification has already taken place and subsequent NC induction requires interaction with the epidermis.

When is the NPB specified?

Considering the more recent studies, the timing of events in NPB specification and NC induction appears less divergent than previously assumed. In *Xenopus* the NPB is specified in early gastrula stages.¹⁶ At this time, the DLMZ lies adjacent to the prospective NPB territory and tissue rearrangements namely internalization and convergent extension of the mesoderm occur subsequently in parallel to NPB formation. The NPB is fully determined at the end of gastrulation. *In vitro* cultures of explants and recombination assays confirmed that the neural folds are specified autonomously to express *snail2/slug* and the ectoderm loses the competence to respond to NPB inducing signals by this time.⁷

In chick embryos, epiblast cells apparently are already specified to form NC in late blastula stages.¹⁷

In chick as well as in *Xenopus* and zebrafish, NPB markers are expressed at mid- to late gastrula stages.^{10,18,19} A sharp and defined NPB is observed in chick embryos during and after neurulation,^{10,20} and it appears that the competence to form NC is retained longer, well into neurula stages, in chick ectoderm as compared to the frog.^{7,21,22} This discrepancy is likely due to the timing of gastrulation and neurulation in amphibian and chick embryos. In contrast to amphibia, gastrulation and neurulation occur simultaneously in an anterior-posterior wave in chick embryos, resulting in completed neural tube closure in the anterior region when in the same embryo the posterior neural plate is still open. Consistently, the NPB is more defined in the anterior neural folds as compared to the more posterior regions.²⁰

Growth factor signaling in NPB specification

What are the signals emanating from the dorsolateral mesoderm, the neural plate and non-neural ectoderm that interact to specify first the NPB and subsequently the NC? Multiple signaling pathways have been shown to influence NPB formation and subsequent NC induction. In the frame of this mini-review, we will focus on BMP and Wnt signaling involved in NPB formation, which does not imply that other pathways are less important.

BMP signaling

Global dorso-ventral patterning of the ectoderm is predominantly mediated by the activity of BMP signaling, which is high in the ventral ectoderm that gives rise to the epidermis and absent in the dorsal prospective neuroectoderm.²³⁻²⁵ In vertebrates, dorsal inhibition of BMP activity is mediated by secreted BMP antagonists derived from the organizer and the dorsal mesoderm (reviewed in ref 26). The NPB forms at the lateral borders of the neural plate in an area with low to intermediate BMP activity. Consistent with a dorso-ventral gradient of BMP signaling, studies in early *Xenopus laevis* and zebrafish embryos confirmed that increasing amounts of BMP shift the specification of naïve ectodermal precursors from neural to NC to epidermis.^{27,28} BMP signaling is transduced to the activation of Smad1, 5 and 8 transcription factors and thereby regulates the expression of Smad-responsive genes (Fig. 1). Among those genes expressed in the NPB during gastrulation, BMP regulates the expression of *msx1*, *msx2*, *dlx5* and *dlx6*; interestingly, the related *dlx3* is expressed in a more lateral region and its upregulation requires higher BMP activity as compared to *dlx5/6*.^{27,29} The transcription factor AP2-alpha (gene: *tfap2a*) is considered as the central player in NPB specification.³⁰ In blastula and early gastrula stage *Xenopus* embryos, *tfap2a* is expressed in the entire non-neural ectoderm and the prospective NPB

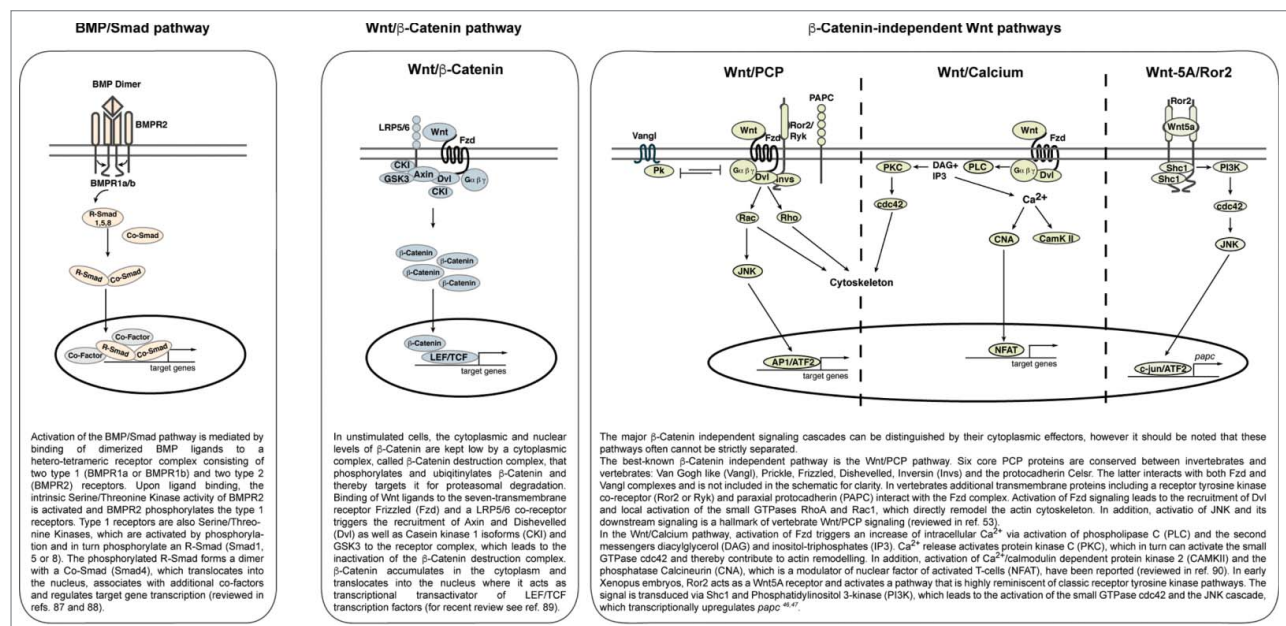


Figure 1. Schematic and simplified illustration of BMP/Smad, Wnt/β-Catenin and β-Catenin independent Wnt pathways.

territory and a recent study showed that this expression depends on BMP activity.^{31,32} The source of BMP ligands is likely the non-neural ectoderm or the prospective NPB ectoderm itself, which expresses BMP ligands in zebrafish, frog, chick and mouse embryos.^{28,33-35} Consistently, the potential of epidermis to induce NPB or NC is supposedly attributable to the expression of BMP ligands. The endogenous or experimental juxtaposition of epidermis and prospective or definitive neuroectoderm, which is essentially devoid of BMP activity, results in moderate activation of BMP signaling in the contact area and induces NPB and NC.

These studies demonstrate a requirement of BMP signaling for NPB induction, whereas other researchers showed that inhibition of BMP signaling during gastrulation is required for NC induction in zebrafish and *Xenopus*.^{4,36} In chicken embryos, inhibition of BMP signaling in the *area opaca* induces the expression of *pax7*, *dlx5* and *msx1*.³⁷ However, few studies attempted a quantification of BMP activity in the prospective NPB; data obtained in *Xenopus* tissue explants shows that endogenous BMP activity is low but detectable in the prospective NPB at early gastrula stages, decreases even further by mid-gastrula and increases again in early neurula stages.⁴ Accordingly, low BMP activity in late blastula and early gastrula stages is present endogenously in the early NPB, which is supposedly sufficient to allow NPB specification at this early stage.

Wnt signaling

In addition to attenuated BMP activity in the prospective NPB ectoderm, Wnt signaling plays a key role in NPB and NC induction. Wnt ligands activate different intracellular signaling pathways that are traditionally subdivided into the β -Catenin-dependent or Wnt/ β -Catenin pathway and a more divergent group of β -Catenin-independent pathways (for details see Fig. 1). In *Xenopus*, Wnt/ β -Catenin signaling, putatively activated by Wnt8, directly regulates the expression of the earliest NPB markers *tfap2a* and *gbx2*.^{30,38} AP2-alpha is considered as the key transcription factor responsible for further establishing and reinforcing NPB specification, since it is required for the upregulation of multiple other early NPB specifiers including *hairy2*, *msx1* and *pax3* at early gastrula stages.³⁰ Similarly and at approximately the same time in development, Gbx2 depletion resulted in failure to upregulate *pax3* and *msx1*.³⁸ So far,

direct transcriptional regulation of *gbx2* by AP2-alpha or vice versa has not been reported. Therefore, the upregulation of *tfap2a* and *gbx2* by the combined activity of BMP, Wnt/ β -Catenin signaling and, although indirectly, FGF signaling^{30,38} might be considered the earliest event in NPB specification.

After the initial upregulation of *tfap2a* and *gbx2* expression, NPB fate is maintained by positive feedback loops between these factors and their target genes, in particular *pax3* and *msx1*.^{30,39} BMP and Wnt/ β -Catenin signaling functionally interact with Pax3, Zic1 and Ap2a in later phases to induce bona fide NC fate.^{19,30,40,41} Likewise, Wnt/ β -Catenin signaling is essential for NPB specification in epiblast explants from chick blastulae, where Wnt activity precedes and upregulates *bmp4*,¹⁷ as well as for expression of NPB genes in hESCs.¹¹

Steventon and colleagues have analyzed Wnt/ β -Catenin activity in *Xenopus* embryos using the TOPFlash reporter gene assay. They observed low Wnt/ β -Catenin activity at early gastrula stage 10 in the prospective NC, which rises slightly already till late gastrula stage 12 and continuously increases till mid-neurula stage 17.⁴ Since ectopic activation of Wnt/ β -Catenin signaling during gastrulation downregulated the NPB genes *hairy2* and *dlx5*, it has been suggested that an initial inhibition of Wnt/ β -Catenin signaling is required for NPB specification.¹⁶ This model contradicts the essential role of Wnt/ β -Catenin signaling in NPB specification discussed above and also studies in chick embryos, which showed that activation of Wnt signaling precedes BMP activation in late gastrula stage embryos.¹⁷ Notably, Steventon and colleagues induced ectopic expression of β -Catenin only at the onset of gastrulation.¹⁶ However, both direct Wnt/ β -Catenin target genes, *tfap2a* and *gbx2*, are already expressed at that stage and in the case of *tfap2a* this early expression also depends on BMP signaling.^{30,32,38} Moreover, *tfap2a* induction in naïve ectodermal explants required only low levels of Wnt/ β -Catenin activity,³⁰ suggesting that low endogenous activity is sufficient to induce *tfap2a* in the NPB. During gastrulation, NPB genes apparently exhibit differential sensitivity toward Wnt/ β -Catenin signaling. Increasing Wnt/ β -Catenin activity enhances expression of *tfap2a* and *gbx2*,^{30,38} but inhibits expression of *hairy2* and *dlx5*,¹⁶ which are apparently more sensitive to elevated Wnt/ β -Catenin signaling. Dissecting the contributions of early signaling inputs to NPB

specification is complicated by the different time points from late blastula to mid-neurula stages chosen for expression analyses in different studies, which impede discrimination between early induction, maintenance and the influence of positive or negative feedback regulation.

In our own recent work, we have demonstrated that the receptor tyrosine kinase Ror2 is essential for correct NPB specification during gastrulation in *Xenopus* embryos. Ror2 elicits multiple functions in Wnt signaling (see also Fig. 1): (1) Ror2 interacts with Frizzled (Fzd) receptors and the intracellular protein Dishevelled (Dvl) to activate Wnt/PCP signaling,^{42,43} (2) Ror2 is able to antagonize Wnt/ β -Catenin signaling in cells and embryonic tissues,^{44,45} and (3) Ror2 activates a PI3K-dependent signaling cascade that transcriptionally regulates expression of paraxial protocadherin (PAPC).^{46,47} PAPC and the closely related PCNS are the two paralogs of Protocadherin 8 (PDCH8) in *Xenopus laevis*. Notably, PAPC itself acts as a modulator of Wnt/PCP signaling in the dorsal mesoderm.^{48,49}

Antisense-morpholino-induced loss of Ror2 function in *Xenopus* embryos leads to defective NPB formation and downregulation of most NPB genes such as *tfap2a*, *gbx2*, *zic1*, and *msx1* and *msx2*.⁵⁰ Moreover, the BMP ligand *gdf6* was downregulated in Ror2 morphant embryos already at late blastula and early gastrula stages, which is consistent with an early positive role of BMP signaling in NPB specification and importantly, functionally links Wnt and BMP signaling.

Ror2 function in NPB specification depends on Wnt/PCP signaling. Expression of NPB markers in Ror2-depleted embryos was restored by a PCP-activating mutant of DVL, which lacks the DIX domain,⁵¹ and by both *Xenopus* PCDH8 paralogs, PAPC and PCNS, which is consistent with a previous study on the role of PCP signaling in post-gastrula NC induction.⁵² At early neurula stages, the elevation of the neural folds marks the onset of neurulation. At the same time, the *gdf6*-positive NPB has concomitantly thickened, suggesting that also the NPB undergoes morphogenetic changes. In Ror2 depleted embryos, both, elevation of the neural folds and thickening of the NPB was impaired or delayed.⁵⁰ Moreover, cell polarity in the lateral neural plate was disrupted, indicating that Ror2-dependent PCP signaling controls not only NPB specification but also cell polarity in the NPB and neural plate as well as NPB morphogenesis. Thus, Ror2 appears to coordinate and integrate tissue

specification and morphogenesis. Notably, Wnt/PCP signaling also depends on cell-cell contacts (reviewed in ref. 53). Considering that contact-dependent signals between neural and non-neural ectoderm have been proposed in NC induction, an additional role of Ror2-mediated Wnt/PCP signaling could be envisioned, which however awaits experimental confirmation.

Sources of Wnt and FGF ligands

In addition to BMP and Wnt signaling, FGF signaling is the third major pathway required for NPB specification and NC induction. FGFs play multiple roles in patterning the dorsal ectoderm. FGFs have been shown to sensitize the dorsal ectoderm to respond to BMP inhibition, transcriptionally regulate BMPs and Wnts, and to contribute directly to NPB specification.^{19,20,54-56}

In *Xenopus*, the DLMZ expresses a number of paracrine growth factors at the onset of gastrulation including *wnt8*, *fgf4*, *fgf8*, *gdf6* and the BMP antagonist *chordin* (Fig. 2) and further expresses *hairy2*, *fgfr1*, *fgfr4a*, *bmpr1a*, *pcns* and *ror2*.^{4,14,15,50} The early expression of *hairy2*, a Notch target, suggested a contribution of Notch signaling already in this early stage of NPB specification. However, the requirement of Notch signaling in NC development has been shown only in late gastrula and early neurula stages whereas *hairy2* expression in the NPB is dependent on BMP, FGF and Wnt but independent of Notch.⁵⁷ Notably, the expression levels of *bmpr1a*, *pcns* and *gdf6* are higher in the adjacent ectoderm at the onset of gastrulation, which additionally expresses *bmpr1b*, *fgfr1*, *fgfr2*, *fgfr4* and weakly *fgfr3*,^{50,58,59} suggesting that Wnt, FGF and BMP ligands secreted by the DLMZ are received in the adjacent ectoderm.

In the chick blastula, BMPs, FGF and Wnts are expressed in the lateral epiblast, whereas the medial epiblast expresses only FGFs.⁶⁰ A medial, posterior population of pre-node cells expresses the BMP antagonist Chordin and the hypoblast represents an additional source of FGFs and the BMP and Wnt antagonist Cerberus, which contribute to early patterning of the ectoderm (for review see ref. 61 and references therein). In early gastrula chick embryos, FGFs and Chordin are secreted by the node while several Wnts and FGFs are expressed in the primitive streak (Fig. 2).^{62,63} An early study showed that FGFs are required for NPB specification in early epiblast

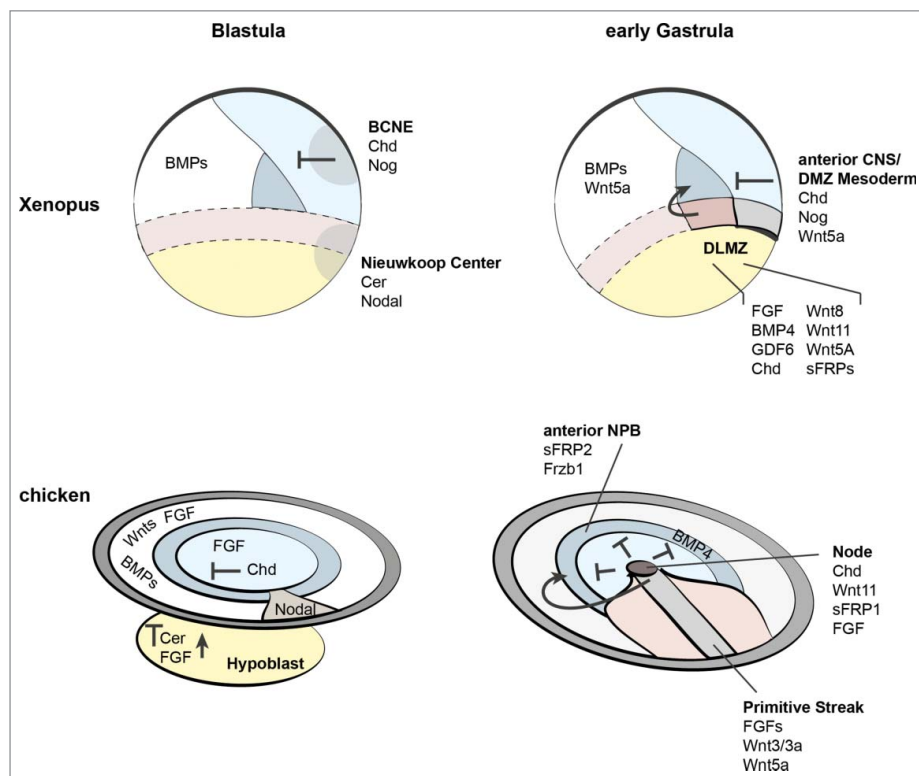


Figure 2. Expression of diffusible growth factors and antagonists in blastula and early gastrula stage in *Xenopus* and chick embryos. In blastula stages, BMP ligands are expressed in the ectoderm and their expression is antagonized by secreted BMP antagonists. In *Xenopus* these are derived from the Blastula Chordin and Noggin Expressing center (BCNE⁹¹). In early gastrula stages, BMP ligands are expressed in the ectoderm and BMP antagonists are continuously expressed in the presumptive anterior neural plate ectoderm and in the dorsal marginal zone (DMZ). BMP4, GDF6, FGF and Wnt8 as well as BMP and Wnt antagonists are derived from the DLMZ. In chick blastulae, FGF is expressed in the entire epiblast, BMPs, Wnts only in the lateral epiblast⁶⁰ and Chordin is expressed in a subset of pre-node epiblast cells and the multi-specific Wnt/BMP and Nodal antagonist Cerberus is secreted by the hypoblast (for review see ref. 61 and references therein).⁶¹ In gastrula stages, BMP4 is expressed in the entire NPB area, the anterior NPB additionally expresses the Wnt antagonists sFRP2 and Frzb1. Wnts and FGFs are expressed in the primitive streak and the node, the latter further expresses Chordin.^{62,63}

explants²⁰ and the authors suggest the paraxial mesoderm as endogenous source of FGFs.

A model involving inducing signals from the DLMZ or the node/primitive streak appears contradictory to studies arguing that mesoderm is dispensable for NPB specification in zebrafish, *Xenopus* and chick embryos. In chick embryos, this conclusion is based on experiments using epiblast explants prepared from blastula stage embryos, which are further cultured in a serumfree growth factor supplemented medium *in vitro* without forming any mesoderm as judged by the lack of mesodermal marker gene expression such as *chordin*, *brachyury (t)* and *tbx6L*.⁶⁴ Other studies in the chick explanted neural plate tissue at neurula stages⁸ or used transplants or implantation of growth factor soaked beads,²⁰ neither of which precludes early, endogenous signals and tissue interactions.

In zebrafish and *Xenopus* embryos, the proposed dispensability of mesoderm in NPB specification is

based on experimental inhibition of Nodal signaling, the major mesoderm inducing signal, in intact embryos.^{36,65} Although major mesodermal markers such as *brachyury (t)* are absent in such embryos, it cannot be excluded that factors crucial for NPB specification are still expressed. Indeed, it has been shown in zebrafish that Nodal is dispensable for expression of Wnt8 in the DLMZ. Wnt8 is required for NPB specification, thus experimental inhibition of Nodal signaling blocks mesoderm induction but neither Wnt8 expression nor NPB induction.⁶⁵ It might be assumed that the same is true for Nodal-inhibited *Xenopus* embryos. Moreover, an interesting study has identified an additional role of Snail2 in pre-gastrula mesoderm induction and patterning. Knock-down of Snail2 impaired both, mesoderm induction and NPB formation. Defective NPB specification in Snail2-deficient embryos was attributed to the downregulation of *bmp4* and *wnt8* and concomitant upregulation of BMP and Wnt

antagonists in the DLMZ,⁶⁶ which further emphasized the essential role of BMP and Wnt in NPB specification. By contrast, excision of the DLMZ results in embryos that fail to develop NC cells,¹³ which clearly demonstrates the endogenous requirement of the DLMZ in NPB specification and NC induction. Hence, the presence of DLMZ and mesoderm in general is required as source of growth factors that induce NPB fate although full mesodermal specification might be dispensable as long as expression of NPB inducing factors, namely Wnt and FGF, is maintained.

From NPB to NC

Shortly after gastrulation, in early neurula stages, the NPB/prospective NC territory is characterized by a marked increase of BMP/Smad signaling in *Xenopus* and chick embryos.^{24,59} Consistently, the NPB expresses the BMP ligands *bmp4* and *gdf6* as well as the receptors *bmpr1a* and *bmpr1b*.^{8,27,50,67} Expression of *gdf6* at the NPB depends on Ror2 signaling during gastrulation and seems to be important for the spatially restricted activation of BMP signaling at the NPB, which is required for specification and maintenance of NC cell fate also in zebrafish embryos.^{68,69}

Physical, genetic and functional interactions between BMP, Wnt and FGF combined with the

transcription factors expressed in the NPB and additional signaling pathways form a gene regulatory network (for review see ref. 70). Although definite mesoderm induction appears to be dispensable for NPB specification, the mesoderm is important in positioning the NPB. The axial and prechordal plate mesoderm repress NC induction, and thus contribute to restriction of NC induction to the lateral and posterior NPB. The notochord secretes BMP antagonists and SHH⁷¹ and thereby represses NC induction in the neural plate. In addition, the prechordal mesoderm was identified as the tissue that inhibits NC fate in the anterior region via expression of the Wnt/ β -Catenin antagonist DKK1.⁷²

The gene regulatory mechanisms of NPB formation and NC induction are largely conserved among vertebrates. In human embryos, the earliest embryos have been analyzed at the end of neural tube closure, when the NC has already been induced and NC migration has been initiated. In these embryos, Pax3, Sox9 and Sox10 have been detected in premigratory NC, whereas migrating NC cells additionally expressed Ap2a and Pax7.⁷³ Importantly, a recent study revealed that NC induction in hESCs is preceded by the expression of NPB markers Pax3, Pax7, Msx1 and Ap2a, shortly after also the NC marker *snail2* is detected. This study further demonstrated that NC induction in

Table 1. Examples of human neurocristopathies associated with mutations in NPB genes.

Human congenital syndrome	Gene	Phenotype	NC derivatives
Craniofacial-deafness-hand syndrome (CDHS)	<i>PAX3</i> ⁷⁶	flat facial profile, hypertelorism, hypoplastic nose with slitlike nares, and a sensorineural hearing loss, micrognathia, hypertelorism, high arched palate	craniofacial cartilage and bone
Waardenburg syndrome, type 1 and type 3	<i>PAX3</i> ^{77,78}	pigmentary abnormalities of the hair, skin, and eyes; congenital sensorineural hearing loss; lateral displacement of the ocular inner canthi and upper limb abnormalities	melanocytes
Branchiooculofacial syndrome	<i>TFAP2A</i> ⁷⁹	branchial cleft sinus defects, ocular anomalies such as microphthalmia and lacrimal duct obstruction, a dysmorphic facial appearance including cleft or pseudocleft lip/palate, and autosomal dominant inheritance	craniofacial cartilage and bone
Craniosynostosis 2	<i>MSX2</i> ⁸⁰	primary abnormality of skull growth involving premature fusion of the cranial sutures	craniofacial cartilage and bone
Parietal foramina 1	<i>MSX2</i> ⁸¹	symmetric, oval defects in the parietal bone situated on each side of the sagittal suture and separated from each other by a narrow bridge of bone	craniofacial cartilage and bone
Orofacial cleft 5; Tooth agenesis, selective, 1, with or without orofacial cleft	<i>MSX1</i> , <i>WNT10A</i> ^{82,83}	isolated cleft lip with or without cleft palate Absence of primary or permanent teeth and cleft lip/palate	craniofacial cartilage and bone
Split-hand/foot malformation 1	<i>DLX5</i> ⁸⁴⁻⁸⁶	limb malformation with syndactyly, median clefts of the hands and feet, and aplasia and/or hypoplasia of the phalanges, metacarpals, and metatarsals; occasionally mental retardation, ectodermal and craniofacial findings, orofacial clefting and neurosensory hearing loss as well as congenital heart defects	craniofacial cartilage and bone, cardiac neural crest

Note. The table lists syndromes with craniofacial malformations without claiming to be exhaustive. Description of disease phenotype from OMIM (Online Mendelian Inheritance in Man, OMIM®. McKusick-Nathans Institute of Genetic Medicine, Johns Hopkins University (Baltimore, MD). World Wide Web URL: <https://omim.org/>)

hESCs requires Wnt/ β -Catenin, BMP and FGF signaling, which indicates that the basic mechanisms of early NPB and NC induction are also conserved in humans.¹¹

It remains to be shown whether the recently discovered role of β -Catenin independent Wnt signaling is likewise conserved. A role of Ror2 in NC development is indicated by the phenotypes of Ror2 knockout mice and humans affected with recessive Robinow syndrome (RRS). One characteristic of RRS are severe craniofacial deformations and occasional cardiac outflow tract malformations,⁷⁴ which suggest a defect in the development of the cranial NC, whereas derivatives of trunk NC such as melanocytes or the enteric nervous system are unaffected. Similar phenotypes have been described in a Ror2 knockout mouse model,⁷⁵ however, to date it remains unresolved whether these phenotypes originate from defective NPB formation or are caused by Ror2 function in later phases of NC development. However, similar facial appearance and defects in craniofacial development are observed in a number of neurocristopathies that have been associated with mutations in the NPB genes *pax3*, *ap2a*, *msx1*, *msx2* and *dlx5* (Table 1).

Summarizing the current knowledge on tissues and signals involved in NPB specification, the following model emerges: the NPB is specified in late blastula/early gastrula stage embryos in a territory characterized by attenuated BMP activity combined with FGF, Wnt/ β -Catenin and – in *Xenopus laevis* – Ror2-mediated β -Catenin independent Wnt signaling. The interaction of these factors leads to moderate activation of BMP/Smad and Wnt/ β -Catenin signaling in the NPB ectoderm. Maintenance of NPB identity during gastrulation requires continuously attenuated BMP and also Wnt/ β -Catenin signaling in parallel with active β -Catenin independent Wnt/PCP signaling, which controls morphogenetic movements of the dorsal mesoderm and the neuroectoderm and in *Xenopus* also upregulates the expression of GDF6 in the NPB. At the end of gastrulation, both, BMP and Wnt/ β -Catenin signaling are locally upregulated in the NPB and interact with FGF, Notch, Retinoic acid and the transcription factors expressed in the NPB to induce NC fate.

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