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## Annual Research Review: Understudied populations within the autism spectrum – current trends and future directions in neuroimaging research

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#### Abstract

**Background**—Autism spectrum disorders (ASDs) are a heterogeneous group of neurodevelopmental conditions that vary in both etiology and phenotypic expression. Expressions of ASD characterized by a more severe phenotype, including autism with intellectual disability (ASD+ID), autism with a history of developmental regression (ASD+R), and minimally verbal autism (ASD+MV) are understudied generally, and especially in the domain of neuroimaging. However, neuroimaging methods are a potentially powerful tool for understanding the etiology of these ASD subtypes.

**Scope and Methodology**—This review evaluates existing neuroimaging research on ASD +MV, ASD+ID, and ASD+R, identified by a search of the literature using the PubMed database, and discusses methodological, theoretical, and practical considerations for future research involving neuroimaging assessment of these populations.

**Findings**—There is a paucity of neuroimaging research on ASD+ID, ASD+MV, and ASD+R, and what findings do exist are often contradictory, or so sparse as to be ungeneralizable. We suggest that while greater sample sizes and more studies are necessary, more important would be a paradigm shift toward multimodal (e.g., imaging genetics) approaches that allow for the characterization of heterogeneity within etiologically diverse samples.

#### Keywords

Autism spectrum disorders; intellectual disability; neuroimaging

Supporting Information

Additional Supporting Information may be found on the online version of this article. Appendix S1: PubMed search details for review

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#### Introduction

The majority of autism research has focused on individuals with relatively intact language and cognitive functioning. Those characterized as having 'low-functioning autism,' including minimally verbal individuals, children with regressive trajectories, and those with intellectual disability, have tended to go overlooked. Methodological and recruitment difficulties have played a role in this trend (e.g., Kasari, Brady, Lord, & Tager-Flusberg, 2013), but a desire to study a 'pure' form of autism, uncomplicated by potentially confounding factors, has likely contributed as well. This gap in the literature is exacerbated in the domain of human neuroimaging, where a high degree of behavioral compliance is often necessary to obtain usable data. One illustration of this tendency can be found in the National Database for Autism Research (Hall, Huerta, McAuliffe, & Farber, 2012), in which (as of late 2016) out of approximately 47,400 total participants with ASD, only about 11% have a verbal and performance IQ less than 85, and a little under 1% of these have any type of neuroimaging data available (see Figure 1). However, a growing appreciation of autism as a heterogeneous, multiply-determined disorder, exemplified by the adoption of the term 'autism spectrum disorder' (ASD) by the DSM-5 (American Psychiatric Association, 2013), has led to increased appreciation of the importance of characterizing and understanding the roots of variability in the phenotypic expression of this condition.

We suggest that the next frontier of brain research in ASD lies not so much in the development of new technologies or statistical methods as in increased attention to understudied sub-populations within the spectrum, particularly those with significant cognitive, language, or adaptive deficits. Neuroimaging approaches are a particularly powerful means to parse underlying differences (or similarities) in the biological bases of varying ASD phenotypes. Herein, we review extant brain research on several understudied areas of the autism spectrum: 1) minimally verbal individuals; 2) individuals with intellectual disability; and 3) individuals who experience regression in their developmental trajectory. In addition, we discuss methodological, theoretical, and practical considerations for neuroimaging assessment of these populations. Although there is overlap among all three of these categories, it is productive to consider the issues of minimal language, intellectual disability, and developmental regression separately in order to better understand unique features of their etiology and presentation.

#### Minimally verbal individuals

Minimally verbal (MV) individuals make up a significant minority of the ASD population (ASD+MV), with recent estimates suggesting that between 25 and 30% of children with ASD could be classified as MV (Anderson et al., 2007; Norrelgen et al., 2015; Rose, Trembath, Keen, & Paynter, 2016). As others have noted (Tager-Flusberg & Kasari, 2013), the literature on this population is not only thin, but the definitions of what constitutes 'minimally verbal' have also varied, and at times have included children with no speech whatsoever, children whose speech is extremely limited in context, frequency, or functionality, and/or children who rely on non-speech modalities to communicate. These children (for research has focused almost exclusively on children) have also often been included in 'low-functioning autism' groups for research purposes. This 'lumping' may

mask underlying differences in verbal and nonverbal cognitive profiles (Munson et al., 2008), as well as in expressive versus receptive language capabilities (Rapin et al., 2009). In studies focused on young minimally verbal children, the problem of heterogeneity is magnified by the likelihood that some portion of the sample will go on to develop functional language (Tager-Flusberg & Kasari, 2013), and these preverbal children may have a different endophenotype than those who remain minimally verbal. Neuroimaging may be one means of parsing this heterogeneity and identifying meaningful subtypes of MV individuals with ASD. In particular, as we discuss in this section, neuroimaging approaches may prove a tool for predicting language outcomes in ASD, discovering subtle processing differences that could become targets for intervention, and understanding more about the etiology both of this manifestation of ASD and of language impairment more generally.

To evaluate extant literature on neuroimaging studies of ASD+MV we conducted a PubMed (https://www.ncbi.nlm.nih.gov/pubmed/) search (Oct 13, 2016) using the following search terms: (nonverbal OR 'minimally verbal') AND (autism OR pervasive developmental disorder) AND (mri OR fmri OR eeg OR erp OR pet OR fnirs OR nirs OR meg OR dti OR dwi), or variants of these terms, with a species limiter of 'humans'. (See Appendix S1, available online, for the full search syntax.) 49 results were returned, of which 4 were potentially relevant: 1 was an empirical study that used DTI to investigate ASD+MV, 1 was a literature review of work on ASD+MV, and 2 were empirical studies that used neuroimaging methods to investigate ASD with either current language impairment or a history of language delay. We reviewed the literature cited in these publications to identify another 3 relevant studies.

#### Neuroanatomy of language

Language processes are thought to be subserved by distinct dorsal and ventral pathways originating in primary auditory cortex in the superior temporal gyrus (Hickok & Poeppel, 2007). The dorsal stream, arcing up through inferior parietal regions and terminating in the pars opercularis of the inferior frontal gyrus (IFG) and dorsal premotor regions, appears to be associated with the 'how' of sound-to-articulation mappings; conversely, the ventral stream, passing through middle and inferior temporal regions to terminate in ventrolateral prefrontal cortex, appears to be associated with the 'what' of sound-to-meaning mappings (Saur et al., 2008). It would be reasonable to expect, therefore, that given their core difficulties with expressive language, ASD+MV would demonstrate atypicalities along the dorsal stream, which might include white matter deficits in the tract subserving this pathway —the arcuate fasciculus—as well as functional and/or structural abnormalities in key nodes of the pathway, i.e. superior temporal gyrus (STG), IFG, or dorsal premotor cortex. Given the typical left-lateralization of language function, especially expressive language function, we might also expect to see these deficits primarily in the left hemisphere.

What work currently exists suggests that disruption of dorsal pathway function may indeed be occurring in these children. Specifically, several themes arise that require more extensive investigation. Functionally, MV children appear to have atypical responses to spoken language, with enhanced responses to nonspeech sounds, and blunted responses to speech; these differences are left-lateralized and may be localized in IFG. Structurally, these children

may show reduced white matter integrity along arcuate fasciculus and cortical atypicalities in IFG.

#### **Functional atypicalities**

Research on functional brain response to language in MV children is extremely limited, but concordant findings have been obtained across several modalities. A recent case study of a MV girl with ASD and cerebral palsy by Yau and colleages (2015) involved assessment of this child at eight years with magnetoencephalography (MEG) and ten years with electroencephalography (EEG). She and two comparison groups - typically developing (TD) controls and verbal children with ASD – listened to speech and nonspeech stimuli. Compared to both verbal comparison groups, in whom the preattentive/early attentive auditory response (M50/M100) was stronger to speech, the proband had an abnormally intense, left-lateralized response to non-speech sounds and a weak response to speech sounds. These findings were replicated two years later using EEG, demonstrating the reliability of the effect and the feasibility of both methods for interrogating early auditory response to speech stimuli. The M50 and M100 components have also been implicated in language impairment regardless of ASD status. Specifically, higher latency in the right hemispheric M50 (and to a lesser extent, the M100) as assessed via MEG appears to distinguish children with language deficits (both children with specific language impairment and children with ASD who, while not minimally verbal, do evidence some degree of language deficit) from those without (both TD children and children with ASD who have intact language) (Oram Cardy, Flagg, Roberts, & Roberts, 2008). Taken together, these findings suggest that abnormalities in preattentive auditory perceptual processing may influence language outcomes in ASD. In particular, they suggest that two types of processes might be at work in ASD+MV, one specific to autism, in which attention to socially-relevant (i.e., speech) sounds is disrupted, and another more broadly applicable to language impairment, in which early auditory processing is delayed. Such hypotheses can only be fully developed and tested using neuroimaging methods.

Additional evidence indicating atypical allocation of attention to speech has been reported in the domain of functional magnetic resonance imaging (fMRI). Specifically, 36 MV patients with ASD were scanned (1/3 while alert and the remainder under sedation) during presentation of recordings of their parents' voices, versus preferred songs (Lai, Pantazatos, Schneider, & Hirsch, 2012). During spoken language, awake MV children with ASD did not demonstrate significant activation in left IFG, and showed reduced activity in secondary auditory cortices relative to TD controls; however, these children did show left IFG activation during song stimuli, as well as stronger activity in secondary auditory cortices than in the speech condition. ASD+MV (awake and sedated) also showed increases in functional connectivity between left IFG and superior temporal gyrus during song relative to speech.

This study was the only we could find that used magnetic resonance imaging (MRI) to understand the *functional* differences in speech perception in ASD+MV. It is notable for several features of the experimental design. For alert ASD+MV children undergoing scanning, a preferred video was played silently throughout the entirety of functional image

acquisition to increase compliance. Secondly, stimuli, both in speech and song conditions, were individualized for each child. Given the sensitivity of fMRI to even small amounts of movement, preparing the environment to engage and appeal to the child is crucial. Further, ASD+MV children are most likely to demonstrate the full extent of their capabilities in naturalistic, familiar scenarios (Kasari et al., 2013). Previously, in the domain of face perception, Pierce and colleagues (2004) demonstrated that individuals with ASD may not exhibit classic deficits in fusiform face area activation when viewing the faces of familiar others such as parents. By presenting individualized speech stimuli--recordings of parents speaking to their child as they would normally--the researchers present a situation in which the MV child would presumably be most responsive if such a brain response were to occur at all.

#### Structural atypicalities

This study (Lai et al., 2012) also investigated structural differences characterizing MV children with ASD by using diffusion tensor imaging (DTI) to characterize white matter integrity along dorsal and ventral language pathways. Using probabilistic tractography to identify the dorsal and ventral tracts, the investigators found lower average fractional anisotropy (FA; a measure for which higher values are thought to reflect greater axonal diameter and/or myelination (Paus, 2010)) along the left dorsal tract as well as an association between FA in the dorsal and ventral pathways, and degree of speech-related activity in left IFG.

Other studies have yielded similar findings. In an investigation of five completely nonverbal children with ASD, Wan and colleagues (2012) observed that all but one child demonstrated an atypical pattern of asymmetry in the arcuate fasciculus, with greater tract volumes in the right versus left hemisphere. Billeci and colleagues (2012) scanned young children with ASD who possessed a wide range of expressive language abilities, including minimally verbal children, and found that streamline length in the indirect anterior arcuate fasciculus bilaterally was associated with greater expressive language abilities, while, surprisingly, mean and parallel diffusivity in the left indirect anterior segment of the arcuate fasciculus were associated with poorer expressive language function. In a surface-based morphometry study of low-versus high-functioning individuals with ASD, in which at least some of the individuals in the low-functioning group were minimally verbal, abnormalities characterizing the low-functioning group centered around the left pars opercularis of the IFG (Nordahl et al., 2007). Taken together, these findings suggest potential structural disruption to the dorsal language pathway in minimally verbal children with ASD; however, there are important limitations to this body of work.

#### **Considerations for future work**

Most notable, of course, is the paucity of neuroimaging research focused on minimally verbal individuals with ASD. This population is receiving increasing attention, as evidenced by recent NIH working group recommendations on evaluation of minimally verbal children with ASD (Kasari et al., 2013; Tager-Flusberg & Kasari, 2013). Neuroimaging considerations released by this group focused on the use of EEG/ERP or MEG methods. In particular, electroencephalography is an appealing method given its lower cost and greater

availability relative to MEG or MRI. Moreover, net or cap systems allow for efficient electrode placement, and a (slightly) greater degree of motion during data collection can be tolerated than for MRI, minimizing demand on participants. This method allows for excellent capture of the temporal dynamics of speech perception across cortex, and would allow for testing of hypotheses regarding the latency, magnitude, and lateralization of, e.g., early auditory perceptual response; however, different approaches are required to address questions related to the localization of deficits and the contribution of subcortical structures.

Awake MRI may be possible for some individuals, particularly with advance training using a mock scanner (Greene, Black, & Schlaggar, 2015), and imaging protocols that minimize the time needed for data acquisition. Such protocols may involve use of newly-developed sequences that reduce acquisition time, such as simultaneous multislice imaging techniques (Barth, Breuer, Koopmans, Norris, & Poser, 2015), and experimental considerations such as use of blocked versus event-related designs with a single contrast of interest. Recommendations for scanning very young children (e.g., Raschle et al., 2012) are largely applicable to this population as well.

Scanning under sedation is another possibility in this population, via the addition of research sequences to medically indicated scans. High-quality structural images can be obtained under sedation via T1-weighted or diffusion tensor imaging sequences; however, useful functional data can also be acquired. Lai and colleagues (2012), for example, took advantage of the fact that some responses to language stimuli can be observed even in sedated subjects (Souweidane et al., 1999). While frontal language processes are impaired by sedation, perceptual response to language stimuli remains relatively intact in temporal regions, with preferential responding to speech versus nonspeech stimuli by healthy adults even under deep sedation (Davis et al., 2007). Thus, while useful information about, e.g., IFG activation is unlikely to be obtained under deep sedation, primary and secondary auditory cortex activity may be usefully interrogated. Comparison to TD comparison subjects also undergoing medically indicated sedated scans would be ideal, as meaningful comparisons cannot be made between awake and sedated subjects.

An as-of-yet unused method in this domain which may hold promise is functional nearinfrared spectroscopy (fNIRS), which is highly motion-tolerant, easily applied via headband or cap, and represents a compromise between spatial (~ 2 cm) and temporal (~ 10 Hz) resolution (Aslin, Shukla, & Emberson, 2015). This method, which allows for assessment of changes in oxygenated or deoxygenated hemoglobin across the cortical surface, has been used successfully for assessment of language function in both healthy and clinical populations at a variety of ages (see for review Quaresima, Bisconti, & Ferrari, 2012). Given that this method is relatively lightweight and robust to motion, it could be used to capture brain response to speech over temporal and inferior frontal regions during naturalistic interactions with parents/siblings, and thus address recommendations that assessments occur in ecologically valid settings and capture natural language samples (Kasari et al., 2013).

Finally, more rigorous characterization of both the expressive and receptive language abilities of these samples, as well as longitudinal assessment, is needed. Group inclusion criteria generally depend upon productive language abilities, but additional group variance in

results—particularly, we suspect, in terms of structure and function along the *ventral* language pathways-may be explicable in terms of dissociations between expressive and receptive abilities. Following up minimally verbal cohorts over time will be another powerful development in research in this area. This will allow investigators to distinguish between those who were preverbal at initial assessment versus those who remained minimally verbal, and thus to identify brain-based predictors of successful language acquisition. Longitudinal methods also allow for inferences about causality based on temporal precedence, which will be valuable in understanding which brain features may serve as predictive biomarkers that potentially influence language outcomes, and which features may instead reflect changes resulting from either a sustained lack of language or successful acquisition thereof. For example, it has been observed that ASD individuals with a history of delayed language acquisition but current verbal fluency show no significant differences in cortical volume, surface area, or thickness from those without a history of language delay (Balardin et al., 2015); however, these observations were made only at a single timepoint, making it impossible to distinguish whether these individuals displayed initial differences in cortical structure during early childhood that then resolved upon later language acquisition, or whether this lack of difference in cortical metrics could help to predict, in a cohort of young MV children, those who would be most likely to go on to develop functional speech. Along similar lines, it has previously been demonstrated that the efficacy of augmentative and alternative communication treatment appears to be associated with white matter integrity in uncinate fasciculus (Wan et al., 2012); however, this research was conducted retrospectively, limiting our ability to determine whether treatment induces these changes or whether children with higher baseline white matter integrity along key tracts demonstrate greater success. Thus, longitudinal assessment of language in this population will also allow for practical insights that may have the potential to impact treatment.

#### Intellectual disability

Recent surveillance data indicates that approximately 31% of children with ASD in the United States have IQ scores in the range of intellectual disability (ID; IQ 70) and a further 23% fall into the borderline (71 IQ 85) range (Centers for Disease Control and Prevention, 2014); global prevalence estimates vary widely (Elsabbagh et al., 2012). It is clear, however, that individuals with some degree of cognitive deficit make up a significant portion of the ASD population. There are indications that the neurobiological profile(s) of ASD with co-occurring ID (ASD+ID) may differ from that of ASD without ID (ASD-ID); syndromic forms of ASD often feature ID (Moss & Howlin, 2009), and rates of epilepsy are elevated in ASD+ID (Amiet et al., 2008; Woolfenden, Sarkozy, Ridley, Coory, & Williams, 2012). Attempts to parse the etiology of idiopathic ASD+ID have largely relied on genetic approaches, given (among other reasons), the seeming promise of genetic leads provided by syndromic forms of ASD, and the substantial overlap between ID- and ASD-associated genes (Betancur, 2011; Srivastava & Schwartz, 2014). However, extant research in the domain of genetics, far from helping narrow down our field of view, has revealed that the genetic risk factors involved in both ASD+ID and ASD-ID are many, and as a rule operate probabilistically and pleiotropically (e.g., Rutter & Thapar, 2014). Gaining a better

understanding of the neuroendophenotype between genotype and phenotype via neuroimaging may help us to better understand the complex and nondeterministic results emerging at the genetic level, with implications for our understanding not only of ASD+ID, but of ASD–ID and ID-only as well. In this section, after reviewing the relevant extant literature, we will argue that neuroimaging applications in this domain have thus far fallen short of their full potential, and that information about brain structure in ASD+ID must be integrated with information about participants' phenotypic profile and, in particular, their genotype, in order to begin to make sense of this heterogeneous population.

#### Structural atypicalities

Neuroimaging research that includes individuals with ASD+ID is limited and difficult to interpret. As with minimally verbal individuals, challenges related to behavioral compliance and task comprehension constrain research in this population (e.g., Cox, Virues-Ortega, Julio, & Martin, 2016); consequently, most neuroimaging research with this population has focused on assessing structural, rather than functional, atypicalities. To evaluate extant literature on structural neuroimaging studies of ASD+ID we conducted a PubMed search (July 29, 2016) using the following search terms: ('intellectual disability' OR 'mental retardation' OR 'low functioning') AND (autism OR pervasive developmental disorder) AND (mri OR dti OR dwi OR voxel based morphometry OR volumetric), or variants of these terms, with a species limiter of 'humans'. (See Appendix S1.) 170 results were returned, of which 19 were empirical studies that used either MRI or diffusion imaging methods and included participants with idiopathic ASD+ID. We then examined the literature cited by these reports to identify a further 7 relevant studies. Of these 26 reports, two reported on neuroradiologic abnormalities from an apparently identical cohort of children with ASD+ID, once with (Erbetta et al., 2015) and once without (Erbetta et al., 2014) a comparison group. Consequently, we only included the report with the comparison group in our review. Of the final collection of 25 studies, 15 analyze an ASD+ID group independently of participants with ASD-ID; we summarize these results in Table 1 and refer to this group of studies as 'Set A.' The remaining 10 studies analyze an ASD group that includes participants both with and without ID (ASD±ID, see Table 2, 'Set B').

At a glance (see Tables 1 and 2), a few broad conclusions can be drawn from this literature, despite its limited scope and its diversity in experimental design, imaging methodologies, and research questions. First, regions often implicated in ASD pathology more broadly (e.g., Ecker, Bookheimer, & Murphy, 2015; Stanfield et al., 2008) emerge in samples that include ASD+ID, with disruptions reported in frontal (Hazlett, Poe, Gerig, Smith, & Piven, 2006; Nordahl et al., 2007; Pardini et al., 2009; Riva et al., 2011, 2013), striatal (Billeci et al., 2012; Riva et al., 2011), temporal (Boddaert et al., 2004; Hazlett et al., 2006; Piven, Arndt, Bailey, & Andreasen, 1996; Spencer et al., 2006), and cerebellar (Boddaert et al., 2004; Cascio et al., 2013; Jeong, Chugani, Behen, Tiwari, & Chugani, 2012; Riva et al., 2011, 2013) regions (although for negative cerebellar results in ASD+ID, see Hashimoto, Murakawa, Miyazaki, Tayama, & Kuroda, 1992; Manes et al., 1999; Scott, Schumann, Goodlin-Jones, & Amaral, 2009; Zeegers et al., 2009), as well as in the corpus callosum (Billeci et al., 2012; Egaas, Courchesne, & Saitoh, 1995; Haas et al., 1996; Manes et al., 1999; Spencer et al., 2007;

Schumann et al., 2004; Trontel et al., 2015; although see for a negative result Zeegers et al., 2009). Second, it appears that grey matter volumes and white matter structure are impacted in ASD+ID relative to typically developing controls, but clear patterns regarding the directionality and localization of these effects are difficult to ascertain in such a diverse literature. Finally, a number of projects illustrate that harnessing the power of modern statistical techniques to characterize multidimensional data may allow us to recognize features relevant to the neurobiology of ASD+ID that might otherwise go overlooked (Cascio et al., 2013; Dager et al., 2007; Jeong et al., 2012). For example, Dager and colleagues demonstrate that while classic volumetric analysis of the hippocampus in ASD ±ID indicates no differences among TD, ASD, and pervasive developmental disorder-not otherwise specified (PDD) groups, analysis of hippocampal shape reveals a perturbation that distinguishes ASD±ID from TD, and is associated with IQ. To attempt to make sense of these findings as regards their implications for our understanding of the neurobiology of ASD+ID, we will focus primarily on Set A, that is, studies that consider individuals with ASD+ID separately from those with ASD and no co-occurring cognitive deficit.

Given that the approach to comparison group composition fundamentally shapes the inferences we can make from a particular report, we begin by overviewing the variety of approaches employed in this set of studies. Of the 15 studies in Set A, only five included an ID-only comparison group (Cascio et al., 2013; Erbetta et al., 2015; Hashimoto et al., 1992; Manes et al., 1999; Spencer et al., 2006), and of these, two (Hashimoto et al., 1992; Manes et al., 1999) were early studies of the midsagittal area of a few specific regions of interest (ROIs). All but one (Manes et al., 1999) of these five studies with an ID-only comparison group also included a TD group, and none of these studies also included an ASD-ID comparison group. Six studies in Set A did include an ASD-ID group; four of these ran statistical tests that compared the ASD+ID to the ASD-ID group (Lotspeich et al., 2004; Schumann et al., 2004; Scott et al., 2009; Ünal et al., 2009) and two compared the ASD-ID and ASD+ID group(s) only to the TD group (Jeong et al., 2012; Nordahl et al., 2007). Finally, among Set A, four studies compared their ASD+ID sample only to a TD group (Elia et al., 2000; Pardini et al., 2009; Riva et al., 2011, 2013), with Riva and colleagues (2013) arguing that contrasting probands to an ID-only comparison group carries with it its own potential confounds given the etiological heterogeneity of non-specific ID. While this is a valid point, using only a TD comparison group leaves open the question of whether observed neuroanatomical variance is attributable to autism, intellectual disability, or to a unique ASD +ID profile. Thus, of the 25 studies total that we identified, only three were designed in such a way as to be capable of potentially identifying neuroanatomical features unique to ASD +ID versus those shared across expressions of intellectual disability (by directly comparing an ASD+ID group to an ID-only group), and used relatively modern imaging techniques: (Cascio et al., 2013; Erbetta et al., 2015; Spencer et al., 2006). Similarly, only four studies were designed in such a way as to be able to potentially identify ID-unique neuroanatomical features from a group of individuals with ASD by contrasting ASD+ID with ASD-ID (Lotspeich et al., 2004; Schumann et al., 2004; Scott et al., 2009; Ünal et al., 2009). We discuss these studies below.

Erbetta and colleagues (2015) examined the rates and type of neuroradiologic abnormalities observed in MRI scans of children (~2-15y, mean ~7y) with ASD+ID, as well as age-

matched comparison groups of children with ID and TD children. Rates of MRI abnormalities were elevated in both ASD+ID (44%) and ID (54%) relative to TD (22%). However, we note that these rates differ dramatically from those observed in another study of MRI abnormalities in ASD+ID (Ünal et al., 2009). Ünal and colleagues examined abnormalities in children with ASD (of approximately the same age of those in Erbetta and colleagues' study, see Table 1) with varying levels of ID: none, mild, moderate, or severe. Overall, the observed rate of MRI abnormalities across children with ASD+ID was much lower than that observed by Erbetta and colleagues (11.6%); the rate observed in ASD–ID was 16.7%. Moreover, the types of abnormalities observed most frequently also differed. Consequently, while we can conclude that MRI abnormalities may be evident in some children and adolescents with ASD+ID, and that these abnormalities may appear more frequently than in the neurotypical population, it is far from clear how prevalent such abnormalities may be in the overall ASD+ID population, or whether certain types of abnormalities occur more frequently than others.

Using DTI, Cascio and colleagues (2013) assessed FA distribution scores (where lower scores are thought to represent lower and/or more variable anisotropy) in young (1.5-6.6 y)children with ASD+ID (n = 33), TD children (n = 17) and children with idiopathic developmental delay including ID (n = 8). They found that the ASD+ID group exhibited lower FA distribution scores across global white matter (WM) and cerebellum relative to the TD group, and to both comparison groups (TD + ID) combined; however, there was no significant difference between the ASD+ID and ID group in cerebellar scores, and only a trend toward significance (p = 0.076) between these groups in global WM scores. When the FA distribution score was broken down into its component parts, one representing the mean and the other the standard deviation, it was revealed that the standard deviation component primarily drove their findings of group differences, and that the ASD+ID group showed significantly greater FA variability than both the TD and the ID groups. Cascio and colleagues suggest that this result is reflective of diverse etiologies in ASD+ID. While this is a reasonable assessment, it is worthwhile to note that ID is itself etiologically heterogeneous (e.g., Betancur, 2011; Vissers, Gilissen, & Veltman, 2015), and that the greater variability in their ASD+ID sample may well be related more to the small size of the ID comparison group than to a true difference at the population level.

Spencer and colleagues (2006) studied a cohort of adolescents and young adults (13-22y) with ID and varying degrees of autistic features (non-ASD, PDD, and ASD) according to Social Communication Questionnaire screening cutoffs, and compared these individuals to a group of non-ID participants recruited from probands' 'siblings and associates.' Compared to controls, the ID participants, regardless of their reported degree of autistic features, showed lower global WM volume; however, there were no differences among the ID groups (non-ASD, PDD, and ASD) in global GM or WM volume. Voxel-based morphometry analyses indicated that this overall ID group also demonstrated greater WM density and lower GM density in right cerebellum, as well as lower GM density in left temporo-parietal cortex, and lower WM density in posterior corpus callosum, suggesting that these features may be associated with cognitive deficit in adolescence and young adulthood regardless of autistic symptomology. Participants with ID who scored within the 'PDD' range on the SCQ showed lower thalamic GM density than ID participants who scored in the non-ASD range,

and participants with ID who scored within the 'ASD' range on the SCQ demonstrated greater WM density in superior temporal gyrus, relative to ID participants who scored in the non-ASD range. Given that participants in this study did not necessarily have clinical diagnoses of ASD, we hesitate to draw strong conclusions from these findings; moreover, the inclusion of siblings in the comparison group may have confounded the results, given that siblings of individuals with ASD may have a different neuroendophenotype from individuals without a familial risk for ASD (e.g., Kaiser et al., 2010).

Lotspeich et al. (2004), Schumann et al. (2004), and Scott et al. (2009) all report on results from a study of brain volumetrics, assessed via MRI, in a cohort of children and teens aged 7.5-18.5 y (mean ~12.5y) with either 'high functioning autism' (HFA), Asperger syndrome, or ASD+ID, compared to TD youth. Both Lotspeich et al. and Schumann et al. present some challenges to interpretation given that neuroimaging assessments were conducted across sites with magnets of differing field strength (1.5 T vs. 3.0 T, see Table 1), with ASD+ID participants all scanned at the site with lower field strength. One striking finding from these reports in fact emerges from their analysis of inter-site reliability, which indicated high variability in estimates of cerebellar (but not cerebral) volumetrics across sites (Lotspeich et al., 2004). This suggests that one possible explanation for variability in reports regarding cerebellar neuroanatomy in ASD+ID across the literature (Boddaert et al., 2004; Cascio et al., 2013; Hashimoto et al., 1992; Jeong et al., 2012; Manes et al., 1999; Riva et al., 2011, 2013; Scott et al., 2009; Zeegers et al., 2009) may be related to site-specific differences in factors such as field strength and signal-to-noise-ratio. Given this finding, Lotspeich et al. and Schumann et al. do not analyze cerebellar volumetrics in their reports, and Scott examines cerebellum in a subset of the cohort obtained at the same site.

Across these three studies of whole-brain (Lotspeich et al., 2004), amygdala-hippocampal (Schumann et al., 2004), and cerebellar (Scott et al., 2009) volumetrics, few, if any, marked differences between the ASD+ID participants and either of the ASD–ID groups were identified. Lotspeich and colleagues (2004) observed that the ASD+ID group was primarily differentiated from the ASD–ID groups by high variance in total cerebral volume; however, it does not appear that this difference was verified statistically. We note that this finding of high variability in ASD+ID (versus ASD–ID and TD) echoes that of Cascio and colleagues (2013) in comparing ASD+ID to TD and ID.

Overall, our review of the sparse literature in ASD+ID suggests that this population is characterized by its diversity more than by any clearly definable neuroanatomical pattern. The difficulty in extracting clear themes from this body of research may be due in part to variability in the composition of proband and comparison groups, as well as to differences in the degree to which known sources of variation, such as age and IQ, are accounted for in statistical modeling. We found no studies, for example, that used a full  $2 \times 2$  design (ASD vs no ASD  $\times$  ID vs no ID) to attempt to dissociate between structural correlates of ASD vs. ID. When comparing an ASD+ID group to a TD group, it is difficult to determine whether differences are better explained by autism or cognitive deficit. In a number of studies, IQ was not formally assessed in the TD group. However, particularly when the ASD group represents a broad distribution of IQ scores, including individuals both with and without ID, adding IQ as a regressor in neuroimaging analyses may prove valuable. Hazlett and

colleagues (2006), for example, found that their estimates of ASD vs. TD differences in tissue volume changed notably depending on whether or not performance IQ (PIQ) was included in their models. Specifically, when PIQ was removed from the model, they found that the contribution of ASD group membership was inflated for grey matter volumes, and decreased for white matter volumes. Ultimately, in their full model, higher grey and white matter volumes were associated with lower PIQ, and this relationship between volume and PIQ did not significantly differ across groups. This suggests that failing to account for variability in IQ may lead to mis-estimation of the unique contribution of ASD diagnostic status.

All this being said, even careful group matching on phenotypic parameters such as age and IQ is unlikely to fully elucidate matters. Moreover, while we also clearly need more studies with larger sample sizes, we suggest that the essential problem underlying this research domain is not (or not merely) an issue of numbers. Until now the literature has been primarily concerned with detecting and describing differences between groups, but in and of itself this approach to an etiologically diverse population is flawed. To meaningfully address questions relevant to the etiology of ASD, ID, and their co-manifestation in ASD+ID, we need to drill down into individual differences in a manner that parses and separates, rather than clumps. Given that current evidence suggests there is considerable within-group variability in ASD+ID at the genomic level (e.g., Srivastava & Schwartz, 2014), we propose that imaging genetics approaches may help to clarify our understanding of overlap and divergence between ASD and ID pathology moving forward.

#### **Considerations for future work**

**Imaging genetics approaches**—Hundreds of genes have been identified as potentially implicated in ASD, and likewise have hundreds of genes been implicated as potential contributors to ID (Betancur, 2011). Only a small proportion of ASD and ID cases are related to variation to a single gene, and it appears likely that multigenic processes are more frequently responsible for the development of these conditions (Abrahams & Geschwind, 2008; Robinson, Neale, & Hyman, 2015; Srivastava & Schwartz, 2014). Many of the genes implicated in ASD and in ID overlap, such as *NRXN1, FMR1* (which is disturbed in fragile X syndrome), *SHANK3, UBE3A* (which is affected by copy number variations to 15q11-13), *NLGN3*, and *CNTNAP2*; moreover, they often appear to converge upon common biological pathways, notably those supporting synaptic structure and function (for a comprehensive review, see Srivastava & Schwartz, 2014). Imaging genetics work in mouse models and in neurotypical adults suggests that characterizing samples not just by phenotypic characteristics (such as diagnostic category or IQ) but also by genotype will allow us to better parse etiological heterogeneity.

A recent MRI investigation of mouse models of ASD (Ellegood et al., 2015) demonstrated how different genotypes can be associated with differing brain endophenotypes despite broadly similar behavioral phenotypes, and provided indications as to the structural features we ought to expect to see in MRI of individuals with specific genetic variants. Upon scanning 26 different mouse models of ASD, Ellegood and colleagues found that these models clustered into three groups, all of which contained some models of variants that have

also been associated with ID. The first group, which included among others *Fmr1* (–/Y), (–/y); *Nrxn1a* (–/–),(–/+); and *Shank3* (–/+),(–/–), demonstrated volumetric *increases* in key structures including corpus callosum, cerebellum, frontal lobes, and parieto-temporal lobes. The second group, which included among others 15q11-13 (patDp/+); and *Nlgn3* knock-in, demonstrated volumetric *decreases* in regions including striatum, hippocampus, and corpus callosum. The third group, which included among others 16p11.2 (df/dp),(dp/+), (df/+); *Cntnap2* (–/–), and *Mecp2* (–/y), showed volumetric *increases* in cerebellum but *decreases* in parieto-temporal and frontal lobes. The grey and white matter decreases observed in the *Nlgn3* knock-in mouse have also been reported by a separate research group (Kumar et al., 2014). These differing patterns suggest that inconsistent volumetric findings in the extant ASD+ID literature may be partially related to variability in underlying genotype; moreover, they provide us with some initial hypotheses as to the direction of volumetric effects we might expect in humans with these genetic variants.

Imaging genetics work in healthy adults has probed structural and functional correlates of ASD- and ID-related genetic variants, notably NRXN1 and CNTNAP2. NRXN1 codes for neurexins 1 $\alpha$  and 1 $\beta$ , presynaptic cell adhesion molecules important for synaptic function. Voineskos and colleagues (2011) investigated associations between white matter volumes and genetic variation in NRXN1 among TD adults. They found that a polymorphism in the 3' untranslated region of this gene was related to lower frontal white matter volumes, with CC homozygotes at the single nucleotide polymorphism (SNP) rs1045881 expressing this variation. CNTNAP2 is a large gene that codes for another member of the neurexin superfamily, contactin associated protein-like 2. In healthy adults, homozygosity for the risk allele (T) at SNP rs7794745 of CNTNAP2 has been associated with lower grey matter volumes in occipital, frontal, and cerebellar regions, and reduced white matter volume and FA in thalamic radiation and inferior fronto-occipital fasciculus (Tan, Doke, Ashburner, Wood, & Frackowiak, 2010). Functional imaging of healthy adults indicates that carriers of the CNTNAP2 SNP rs2710102 risk allele (C) demonstrate a differing pattern of functional connectivity with medial prefrontal cortex from noncarriers, showing increased and more diffuse local frontal connectivity, but less long-range connectivity to occipito-temporal regions (Scott-Van Zeeland et al., 2010). Those homozygous for this risk allele also show differences in structural connectivity as measured via graph theory metrics calculated on DTI images (Dennis et al., 2011), including higher global efficiency, a potential indicator of greater randomness in connections.

These studies suggest that rare and common variations to genes implicated in both ASD and ID are associated with stuctural brain differences, even when phenotypic outcomes may be similar. Genotyping participants to determine which, if any, ASD/ID-associated variants they carry may allow investigators to better explain variability in their neuroimaging data.

#### Multivariate approaches

Multivariate approaches that allow for identification of previously unidentified subgroups or patterns in the data may be another powerful approach to understanding ASD+ID. An example of the potential utility of this approach can be found in a recent investigation that used topological data analysis, a form of unsupervised (i.e., data-driven) multivariate pattern

analysis, to detect subgroups within a sample of young boys with fragile X syndrome (Romano et al., 2014). Even within this etiologically relatively homogenous sample (all participants had a confirmed full mutation of *FMR1*), topological data analysis of structural brain images identified two large subgroups within the data. One group showed widespread volumetric increases in white and grey matter relative to the other group; these increases were found in regions such as amygdala, insula, orbitofrontal cortex, posterior temporal lobe, and cerebellar hemispheres and vermis. When this subgroup's clinical profile was evaluated, it was evident that they expressed a more severe phenotype, both cognitively and in terms of ASD symptom load. Thus, unsupervised multivariate approaches may allow us to parse heterogeneity by finding patterns in the data that hypothesis-driven analyses would not have detected.

#### Regression

Most forms of ASD are thought to result from the cumulative effects of an early derailment of the normal developmental trajectory. The earlier this occurs, the more severe the clinical consequences are expected to be (W. Jones & Klin, 2009). However, a substantial proportion of children develop ASD after a period of typical development and are said to 'regress' when they exhibit a loss of language and/or other skills. The definition of autism with regression (ASD+R) has varied across publications, and while language loss is frequently the central feature of interest, in some reports loss of other important developmental skills (e.g., social, adaptive, motor) may also be sufficient for or included in the operational definition of 'regression' (see Barger, Campbell, & McDonough, 2013 for extended discussion of this topic). Regression is frequently described in ASD. A meta-analysis of 85 studies representing over 29,000 people with ASD determined that the overall prevalence rate of regression was 32%, with an average onset of regression at 1.78 years (Barger et al., 2013). We note, however, that rates vary depending on how regression is defined and on the sampling method. Barger and colleagues (2013), for example, found that the lowest prevalence estimates are derived from regression defined by langauge loss and from population-based samples, while the highest rates are found from parent survey and when a definition that uses a mix of language and/or social skills loss is used. Further, studies that prospectively track the development of infant siblings of children with ASD detect much lower rates of regression (Rogers, 2009), which may indicate either that probands within multiplex families are less likely to exhibit this particular developmental trajectory, and/or that retrospective techniques for identifying regression are more prone to error. The causes of regressive ASD in the vast majority of cases are unclear; no consistent genetic or environmental risk factors have been identified (Ozonoff, Heung, Byrd, Hansen, & Hertz-Picciotto, 2008; Stefanatos, 2008). Thus, while regression has a profound impact on the functioning of those affected, it is one of the least-understood aspects of ASD. Given that these children are often perceived by caregivers as following a typical trajectory prior to the regression, methods that allow for assay of features beyond observable behavior are necessary for us to learn to predict and potentially intervene in regressive trajectories. The window that neuroimaging provides into the living brain is an ideal tool for better understanding the etiology of ASD+R.

#### EEG abnormalities and seizures

The most frequent neuroimaging method applied to questions of autistic regression has been clinical EEG, in the context of determining rates of epilepsy and/or epileptiform EEG abnormalities. Rates of epilepsy and EEG abnormalities are already elevated in ASD relative to the general population (Jeste & Tuchman, 2015; Tuchman, Hirtz, & Mamounas, 2013); some work suggests they may be higher still in ASD+R. In Table 3, we summarize the characteristics of studies that have examined rates of epilepsy and EEG abnormalities in individuals with ASD+R, and in Table 4 we summarize reported frequencies of different types of EEG abnormalities among those with a history of regression, where available. These tables were generated based on a PubMed search (Aug. 8, 2016) for (eeg OR erp OR seizure OR epilepsy) AND (autism OR 'pervasive developmental disorder') AND (regression OR regressive OR 'childhood disintegrative disorder'), or variants of these terms, which yielded 193 results, of which 13 were relevant empirical studies; 4 additional studies of interest were identified by reviewing work cited by these publications (see Appendix S1). We excluded case studies from our review. Among individuals with ASD+R, reported rates of paroxysmal abnormalities (PA) with or without epilepsy range between 29.2 and 64.6%; rates of epilepsy in this population are reported between 6.7 and 56.3% (see Table 4). The few studies that examined rates of non-epileptiform EEG abnormalities in addition to rates of PA and epilepsy have yielded overall rates of any type of EEG abnormality (PA, non-epileptiform abnormality, and/or epilepsy) between 35.4-79.3% (Table 4).

Findings are mixed regarding whether these rates differ from those in the broader ASD population, likely in no small part due to the wide variety among these studies in the quality and detail of raw EEG or EEG reports available and the diagnostic criteria used to make determinations regarding ASD and epilepsy status (see Table 3). However, as others have noted (El Achkar & Spence, 2015), this issue is also confounded by the overlap between ASD+ID and ASD+R; individuals who experience developmental regression are likely to also demonstrate cognitive deficits (Kobayashi & Murata, 1998; Tuchman & Rapin, 1997), and elevated rates of epilepsy in ASD+ID versus ASD without ID are well-established (Amiet et al., 2008; Woolfenden et al., 2012). A recent large-scale study found elevated rates of epilepsy in ASD+R (Viscidi et al., 2013), but after controlling for IQ in their models, this difference was no longer significant. This effect demonstrates the importance of assessing and accounting for cognitive function when addressing questions regarding epilepsy and EEG abnormality rates in ASD+R.

The meaning of epilepsy and/or PA in autistic regression is also unclear. There has been speculation regarding whether some of these cases might be linked to epileptic encephalopathy (that is, loss of cognitive and/or behavioral function due to epileptic activity, beyond what would be expected given the underlying pathology (Berg et al., 2010)), similar to what is observed in Landau-Kleffner syndrome; however, there is no evidence that such an etiology is widespread in ASD+R. For example, despite finding elevated rates of PA and epilepsy in ADI+R, Parmeggiani and colleagues (2010) failed to find that this activity temporally preceded the onset of regression. It seems likely that in many cases EEG abnormalities may be best considered as one expression of a particular neural

endophenotype associated with ASD+R, rather than a causal factor. A full treatment of this issue is beyond the scope of this article; however, interested readers may find extensive reviews in Jeste & Tuchman (2015) and Rapin (1995).

A promising avenue for investigation may lie in greater attention to the nature of *all* types of EEG abnormalities in ASD+R, not just epileptiform ones. Valvo and colleagues (2016) conducted an investigation of interictal EEG abnormalities in 220 individuals with ASD who either did or did not evidence a history of developmental regression. They characterized observed EEG abnormalities by type (PA or focal slowing), localization (focal or multifocal/diffuse), and site (anterior, posterior, or temporal). They found elevated rates of temporal abnormalities in ASD+R. These consisted of both PA and focal slowing, but were more frequently of the focal slowing type. Individuals without a regression history, on the other hand, were much more likely to exhibit posterior abnormalities. Given the temporal lobe's role in social perception (see for reviews Allison, Puce, & McCarthy, 2000; Pelphrey & Morris, 2006) and language (e.g., Hickok & Poeppel, 2007; Saur et al., 2008), as well as robust evidence of functional and structural abnormalities in temporal lobe in ASD (e.g., Hadjikhani, Joseph, Snyder, & Tager-Flusberg, 2006; Pelphrey, Shultz, Hudac, & Vander Wyk, 2011; Zilbovicius et al., 2006), further investigation of this phenomenon seems warranted.

#### Structural atypicalities

The use of structural MRI may allow us to better understand endophenotypes associated with both regression and EEG abnormalities, particularly when used as part of multimodal investigations; however, very few such MRI studies have been conducted in ASD+R. Indeed, a PubMed search (Oct. 17, 2016) for (((autism OR 'pervasive developmental disorder') AND (regressive OR regression)) OR ('childhood disintegrative disorder')) AND (mri OR dti OR dwi), or variants of these terms (see Appendix S1) returned 84 hits but only 1 relevant result. We then searched literature reviews and consulted with experts in the area to identify the remaining work cited in the following sections. We were able to locate two empirical studies that used structural imaging methods to examine brain characteristics of ASD+R.

Valvo and colleagues (2016) extended their EEG work by conducting an MRI investigation of brain morphometry in a subsample (n = 11) of the individuals they had identified as showing temporal EEG abnormalities. In this small sample, they found that individuals who displayed both macrocephaly and regression (n = 3) were unique in respect to all other members of the sample (regression alone, macrocephaly alone, or neither) in demonstrating reduced cortical volume in right temporal lobe. This suggests it may be worthwhile to investigate whether a regressive subtype characterized by enlarged head circumference and temporal abnormalities (both electrophysiological and structural) may exist. However, it is not clear from this report the degree (if any) to which regressive history in their sample overlapped with ID, a potentially confounding factor given that macrocephaly in ASD tends to be associated with greater functional impairment (Sacco, Gabriele, & Persico, 2015).

Another structural MRI study available also suggests a relationship between brain enlargement and autistic regression. Nordahl and colleagues (2011) examined the

relationship between total brain volume and the presence or absence of regression in a large sample (n = 53 with regression; n = 61 without regression) of 2- to 4-y-old boys and girls with ASD and a comparison group of age-matched TD controls. They found that abnormal brain enlargement was most commonly found in boys with regressive ASD. Brain size in boys without regression did not differ from controls. Retrospective head circumference measurements indicated that head circumference in boys with regressive ASD was normal at birth but diverged from the other groups around 4–6 months of age, and that these results held after controlling for participants' developmental quotient. There were no differences in brain size in girls with ASD. These results suggest that there may be distinct neural phenotypes associated with different onset patterns of ASD, and these neural phenotypes may differ by sex of the child. Among the boys with regressive ASD examined by Nordahl and colleagues, divergence in brain size occurred before the age at which loss of skills is commonly reported (~ 2 years of age). Thus, rapid head growth may be a risk factor for regressive ASD in boys.

#### **Considerations for future work**

Regression should receive increased attention in future neuroimaging work. As studies involve increasingly larger samples, analyses can readily be conducted to compare brain structure and function in those with and without regression, as well as to examine the potentially key question of age of regression. In particular, we believe that future work should focus on breaking down the broad categorization, 'with regression,' into more manageable units of analysis. It seems likely that ASD+R, like other types of ASD, is driven by multiple etiologies that converge upon a similar behavioral outcome. Identifying and describing subtypes of ASD+R can be facilitated in a variety of ways, including more rigorous, objective characterization of the regression history, considerations of regression.

#### Characterization

The validity of ASD+R research hinges upon reliable, precise operationalization of regression. However, criteria for determining regression status have greatly varied in extant research (see, e.g., Table 2). The Autism Diagnostic Interview-Revised (ADI-R) includes a handful of items concerning regression (Lord, Rutter, & Le Couteur, 1994), which can be supplemented by follow-up questions via measures such as the Regression Validation Interview (Heung, 2008; Luyster et al., 2005) or the Regression Supplement Form (Goldberg et al., 2003). These supplements, however, are not in wide distribution, nor have they been frequently used. Further, the ADI-R, even with additional regression supplements, is less than ideal as a sole measure of regression because it relies on a parent's memory of the subject's early developmental history. Preferably, parental report would be supplemented by an objective data source, such as early videos illustrating the child's abilities before and after the regression. Investigators that have used this method have found discrepancies between parent report and behavioral data obtained from home video (Goldberg, Thorsen, Osann, & Spence, 2008; Ozonoff et al., 2011); parents may, for example, miss subtle indicators of true regression or, conversely, report as a regression what may be more accurately be characterized as a plateauing or slowing of development. Thus, it is important to obtain information about regression onset, duration, and characteristics from multiple sources.

#### Molecular and cellular work

Integration of molecular and cellular insights into neuroimaging research into ASD+R may be useful as well. Recent work has found reduced levels of protein kinases A (Ji, Chauhan, Flory, & Chauhan, 2011) and C (Ji, Chauhan, & Chauhan, 2012) in frontal cortex tissue from donors with ASD+R versus those with ASD but no regression history; no such reduction was found in tissue from other lobes of the brain. The protein kinases are involved in a wide array of crucial functions related to neurodevelopment and cell signalling (among others), and disruption to protein kinases A and C specifically in frontal lobe suggests that attention to frontal cortex properties in *in vivo* MRI studies of ASD+R may be warranted.

Coupling MRI investigations with blood assays that are able to index cellular or molecular properties of interest may also provide insight. One recent study (Breece et al., 2013) investigated circulating levels of dendritic cells thought to be associated with innate immune response in young children with ASD (both with and without regression) and TD children, and coupled this assessment with a structural MRI scan obtained during natural sleep. They found that in children with ASD, plasmacytoid dendritic cell frequency was positively associated with amygdala volume bilaterally, and that frequencies of these cells were also associated with developmental regression or later ASD symptom onset. Thus, innate immune response may be an area that requires further research in terms of its role in ASD +R; in addition, attention to amygdala volume in future studies may be warranted.

#### Childhood Distintegrative Disorder

A potentially productive approach involves examining an extreme cohort to maximize our ability to identify the neurobiology of regression. Richard Lifton and colleagues, who identified genetic and physiological mechanisms of blood pressure regulation in the general population by studying extreme cases of blood pressure variation, pioneered this kind of approach (Choi et al., 2011). Regression, or the loss of skills, has been associated with autism since Kanner (1943) first used the word 'autistic' to describe a group of children with abnormal sociability and repetitive patterns of behavior. Kanner described one of his patients as having 'gone backward mentally' for two years. Today, any of the ASDs may be associated with regression, but one disorder, childhood disintegrative disorder  $(CDD)^1$ , is defined by a late-onset, catastrophic form of regression. Despite significant changes in classification systems since Theodor Heller described the disorder as 'dementia infantilis' at the turn of the century, the clinical features Heller described (1908) remain the backbone of today's definition of childhood disintegrative disorder, which was introduced in the DSM-IV in 1994. CDD is defined in the ICD-10 (World Health Organization, 1990) and the DSM-IV-TR (American Psychiatric Association, 2000) by normal development for at least the first two years of life followed by regression before age 10 years in at least two of the following areas: 1) expressive or receptive language, 2) social skills or adaptive behavior, 3) bowel or bladder control, 4) play, and 5) motor skills. This diagnostic category has been subsumed by the diagnosis ASD in the DSM-5 (American Psychiatric Association, 2013), but may yet have research utility, particularly in terms of better characterizing potential subtypes of ASD +R. While regression is most commonly reported in the first two years of life, children with

<sup>&</sup>lt;sup>1</sup>This condition was also often referred to as disintegrative psychosis or Heller's syndrome, particularly in early literature on the topic.

CDD have later regressions and generally have the poorest outcome among individuals with ASD. While the prevalence of ASD is estimated to be as high as 1/68 (Centers for Disease Control and Prevention, 2014), CDD is rare, with a prevalence of 1-2/100,000 (Fombonne, 2009).

We recommend multimodal investigation of patients with CDD, involving structural and functional neuroimaging as well as careful behavioral phenotyping and comprehensive (whole genome) genetic analyses. Such work could examine points of convergence and divergence between this extreme form of regression and ASDs with and without regression. It would provide for the identification of genetic mutations associated with regression, characterize the systems-level brain mechanisms supporting regression, and quantify the behavioral sequela of regression. We note that in preliminary work from our team, children with CDD have been observed to have a distinctive phenotype from ASD+ID, one which is characterized by a fear prodrome, preserved attention to the eye region of the face, and recruitment of atypical regions for the processing of negative facial expressions (Westphal, 2013); further work to distinguish whether CDD's severe, late-onset regression is driven by a unique etiology, or a similar etiology whose distinct phenotype is driven by a difference in developmental timing, is warranted. One team has proposed that synaptic over-pruning can broadly explain early-onset, late-onset, and regressive ASD (Thomas, Davis, Karmiloff-Smith, Knowland, & Charman, 2015; Thomas, Knowland, & Karmiloff-Smith, 2011), using artificial neural network population modeling to demonstrate that varying pruning thresholds and pruning onsets can produce simulated approximations of all three developmental trajectories. In this model, regression onset over two years of age would have have the same underlying cause as regression onset under two years, but would have differing developmental sequelae. Further application of *in vivo* neuroimaging techniques, coupled with genetic, molecular, and behavioral assays, may allow us to test whether the etiology of CDD can be considered as separate from that of other ASD+R cases or not.

#### Conclusions

What has often been termed 'low-functioning autism' deserves much greater research attention, particularly in the neuroimaging domain, than it has thus far received. Lumping these individuals under a broad umbrella term has led to a contradictory and diffuse literature. Even when considered separately, as minimally verbal individuals, individuals with intellectual disability, and those with a history of regression, it becomes clear that these categories, too, likely contain multiple subtypes of cases, differing in their behavioral, genetic, developmental, and brain profiles. Despite these challenges, the available literature allows us to identify several trends and promising avenues for future research as regards ASD+MV, ASD+ID, and ASD+R, which we summarize below.

Available neuroimaging work on minimally verbal children with ASD suggests that abnormalities in preattentive auditory perceptual processing, which may include both delays in processing and atypical allocation of attention to nonspeech versus speech stimuli, may be associated with the phenotype. Further, MRI and DTI evidence indicates that the ASD+MV phenotype may be related to underlying deficits in brain structures, such as IFG and arcuate fasciculus, that contribute to expressive language function. However, these conclusions are

based on only a handful of studies, and larger sample sizes are necessary to replicate these findings. Longitudinal assessments and more detailed phenotyping of language profiles are particularly needed in neuroimaging work with ASD+MV.

Individuals with ASD+ID have been studied somewhat more frequently than ASD+MV, but the resultant literature has yielded few consistent patterns. Review of available structural neuroimaging studies indicates that regions often implicated in ASD–ID are also impacted in ASD+ID, that grey matter volumes and white matter structure are disturbed in ASD+ID, and that MRI abnormalities may be elevated in this population. Structural imaging metrics assessed within this population may also show higher variability than those of comparison groups. Recent imaging genetics work on genetic variants associated with both ASD and ID (e.g. *FMR1, NRXN1, CNTNAP2*), suggests that these variants may shape brain structure in predictable but often opposing ways. Thus, sampling ASD+ID without respect to genotype may be one factor that has led to the often contradictory findings evident in the current literature, and imaging genetics approaches are likely critical for the field to progress.

Neuroimaging of ASD+R has primarily focused on assessment of EEG abnormalities in this population. While some studies suggest that rates of epilepsy are elevated in ASD+R versus ASD-R, this is by no means a consistent finding, and covarying out IQ may eliminate significant differences in epilepsy rates between these populations. Further, available evidence does not indicate that epileptic encephalopathy is a widespread causal factor in autistic regression. Recent work, however, suggests the possibility that non-paroxysmal EEG abnormalities may be a worthwhile avenue of investigation as regards the ASD+R endophenotype. Finally, there are indications of a possible relationship between brain enlargement and ASD+R, particularly among boys. Neuroimaging investigations that target severe manifestations of this phenotype (i.e., CDD) and/or that incorporate molecular assays may be possible pathways to greater insight into regressive trajectories.

Overall, findings on these understudied populations within the autism spectrum have been mixed and often contradictory. It is evident that rigorous, detailed phenotypic assessment, clearly operationalized definitions, and multimodal approaches that integrate information from microscopic to macroscopic levels of analysis are necessary for the field to progress. Moreover, we must be willing to embrace the challenges inherent in assessing individuals whose symptom presentation makes comprehending and complying with research protocols difficult. By using multiple de-sensitization measures and brief but statistically powerful experimental paradigms, it is possible to obtain both functional and structural information even from individuals with severe to profound ID accompanied by serious deficits in adaptive behavior. Extensive planning with the family, experienced staff, and thoughtful experimental design are required. However, we believe that the effort expended is worthwhile, as this kind of research could lead to individualized and more effective interventions based on genetic and neural systems profiles.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### Key points

- Expressions of ASD characterized by a more severe phenotype, including autism with intellectual disability (ASD+ID), autism with a history of developmental regression (ASD+R), and minimally verbal autism (ASD +MV) are understudied generally, and especially in the domain of neuroimaging. However, neuroimaging methods are a potentially powerful tool for understanding the etiology of these ASD subtypes.
- In a literature review of neuroimaging work on ASD+MV, ASD+ID, and ASD +R, we find several promising trends in the existing research and suggest directions for future work.
- ASD+MV may display abnormalities in preattentive auditory perceptual processing and structural deficits in brain structures that support expressive language function. In future, longitudinal assessments and more detailed phenotyping of language profiles are particularly needed with this population.
- ASD+ID brain research findings have been highly variable. This may be related, in part, to underlying genetic heterogeneity in ASD+ID. Recent developments in imaging genetics of variants linked to ASD+ID (e.g., *FMR1, NRXN1, CNTNAP2*) suggests that these variants may shape brain structure in predictable but often opposing ways, indicating the need to take genotype into account in future ASD+ID work.
- ASD+R neuroimaging findings have focused mostly on EEG abnormalities and epilepsy, but do not support for the hypothesis that epileptic encephalopathy contributes to the phenotype in the majority of cases; however, there is some suggestion that further exploration of non-epileptiform abnormalities may be worth investigating in some ASD+R cases. In the few MRI studies of ASD+R, there is some suggestion that brain enlargement may also be a worthwhile avenue of exploration. Investigating more extreme expressions of the phenotype may be a productive direction for future research.





#### Figure 1.

Stacked bar graph representing the results of a general query to the National Database for Autism Research (NDAR) on Oct. 14, 2016 at https://ndar.nih.gov/query\_data.html, aimed at determining the number of individuals with ASD in the database with and without neuroimaging data who had lower than average IQ, were of the minimally verbal subtype, or who had a history of regression in their development. The main figure demonstrates the overall number of participants with ASD in NDAR who fell into the following categories: verbal IQ less than 85 (VIQ < 85), performance IQ less than 85 (PIQ < 85), both VIQ and PIQ < 85, minimally verbal subtype (ASD+MV), and regression observed any time between 12 and 100 months (ASD+R). The inset zooms in on the lower portion of the figure to reveal the number of participants in each of these categories for whom any type (spectroscopy, MRI, fMRI, DTI, EEG, PET, or MEG) of neuroimaging data was available (darkened section of bars).

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### Table 1

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Main Findings			Abnormalities in 53% of ID with hypoplastic corpus corpus callosum most frequent (12% of ID); in 44% of ASD+ID with mega cisterna magna most frequent (12% of ASD+ID); and in 22% of TD.	No WM findings. ASD+ID, relative to TD, showed GM volume reductions bilaterally in hippocampus & crebellar lobules Crus II and vermis VIII-IX, as well as well as primarily left-lateralized reductions in frontal and occipito-temporal regions, including IFG & ITG.	No WM findings. Reduced GM volume in ASD +ID relative to TD in regions including bilateral basal forebrain, striatum, supplementary motor area, & cerebellar posterior lobe, as well as left- lateralized reductions in DLPFC, IFG, ITG, MTG, precuneus, and occipito-basal cortex, and in right postcentral cortex.	11.6% of ASD+ID had MR abnormalities versus 16.7% of ASD-ID. ASD+ID abnormalities were only found in participants with moderate ( $n = 6$ ) or found in participants with moderate ( $n = 6$ ) or n = 2) ID. Most frequently observed abnormalities were mild cortical atrophy and bilateral periventricular leukomalacia.
Age (y)	M(SD); Range		7.2(3.4) 1.5-15.4	5.8(2.5) 2.6-10.8	6.7(2.3) 3.2-10.8	6.6(3.0) 2-15
0.L	IQ: <i>M(SD</i> ); Range		<i>n</i> = 41 IQ 106(7); 95-113	<i>n</i> = 21	<i>n</i> = 21	N/A
ID	IQ: <i>M(SD</i> ); Range		<i>n</i> = 41 IQ 52(12); 23-74	V/N	N/A	N/A
ASD + ID	IQ: <i>M(SD</i> ); Range		<i>n</i> = 41 <sup><i>a</i></sup> IQ 50(12); 28-68	<i>n</i> = 26 IQ 52(9); 39-70	<i>n</i> = 21 IQ 53(10); 32-66	Mild ID (IQ 50- 69): $n = 23$ Mod. ID (IQ 35- 49): $n = 30$ Sev. ID (IQ < 35): $n = 16$
ASD – ID	IQ: <i>M(SD</i> ); Range		N/A	A/A	A/A	Non-ID (IQ > 70): <i>n</i> = 12
Analysis			Neuroradiologic abnormalities	Voxel-based morphometry (DARTEL)	Voxel-based morphometry	Neuroradiologic abnormalities
ASD criteria		RI	DSM-5	VI-MSD	VI-MSD	VI-MSD
Field strength		analyses: M	ć	1.5 T	1.5 T	1.5 Т
Study		A. Whole brain	Erbetta et al., 2015	Riva et al., 2013	Riva et al., 2011	Únal et al., 2009

Study	Field strength	ASD criteria	Analysis	ASD – ID	ASD + ID	D	TD	Age (y)	Main Findings
Nordahl et al., 2007	1.5 T	DSM-IV	Surface-based morphometry	HFA: $n = 14$ IQ 89(16) ASP: $n = 15$ IQ 97(17)	<i>n</i> = 17 56(10)	Y/N	n = 29 IQ 115(12)	12.2(3.0) 7.5-18	ASD+ID showed a cortical folding abnormality in left pars opercularis of IFG, which resulted in greater sulcal depth in left frontal operculum & anterior insula.
Spencer et al., 2006	1.5 Т	$\mathrm{scd}^{b}$	Voxel-based morphometry	N/A	ASD $n = 15$ 1Q 60(9) PDD $n = 16$ 1Q 60(7)	<i>n</i> = 32 IQ 60 (8)	<i>n</i> = 72 <sup>c</sup> IQ 101(16)	16.4(2.0) 13-22	ID, regardless of diagnostic status, associated with lower brain volume & lower WM volumes (particularly in posterior corpus callosum) relative to controls, as well as reduced GM density in left temporo-parietal cortex. PDD+ID showed reduced did density in thalamus relative to ID, & ASD +ID showed increased WM density in STG relative to ID.
Lotspeich et al., 2004	3.0 T or 1.5 T <sup>d</sup>	NI-WSQ	Volumetric <sup>e</sup>	HFA: <i>n</i> = 18 1Q 94(17) PIQ 105(19) ASP: <i>n</i> = 21 1Q 108(20) PIQ 104(20)	<i>n</i> = 13 PIQ 46(10)	N/A	<i>n</i> = 21 IQ 114(10) PIQ 113(12)	12.2(3.0) 7.8-17.9	ASD+ID and HFA had higher cerebral GM volume than TD. ASD groups did not differ from each other in cerebral GM. ASD+ID (versus all other groups) had high variance in total cerebral volume. ASP had positive correlation between cerebral WM and PIQ: HFA had negative correlation between cerebral GM and PIQ.
B. ROI-based at	nalyses: MR	Ī							
Scott et al., 2009 <i>f</i>	1.5 T	VI-MSQ	Volumetric: cerebellum	HFA: <i>n</i> = 15 IQ 88(16) ASP: <i>n</i> = 15 IQ 97(17)	<i>n</i> = 19 IQ 56(10)	N/A	n = 18 10 113(12)	12.4(3.1) 7.5-18.5	Vermis area not reduced relative to TD either with all ASD analyzed as a group or for any subgroup. Total cerebellar volume did not differ. Vermis volume was lower in HFA than TD. Vermis volume was lower for combined ASD group than TD.
Schumann et al., 2004 $f$	3.0 T or 1.5 T	VI-MSQ	Volumetric: total cerebrum, amygdala, & hippocampus	HFA: $n = 21$ IQ 91(15) ASP: $n = 24$ IQ 106(120)	n = 18 IQ 56(10)	V/N	n = 22 IQ 115(11)	13.0(3.1) 7.5-18.5	No differences in total cerebral volume. ASD +ID had greater right amygdala and right hippocampus volumes than TD. HFA had greater bilateral hippocampus volumes than TD. Among 7.5-12.5y 7.5-12.5y bilateral

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Study	Field strength	ASD criteria	Analysis	ASD – ID	ASD + ID	D	Œ	Age (y)	Main Findings
									amygdala volume than TD, and this finding remained significant after covarying for PIQ.
Elia et al., 2000	0.5 T	VI-MSQ	Midsagittal area: cerebrum, corpus callosum, midbrain, vermis, vermal lobules VI & VII	N/A	<i>n</i> = 22 Dev. age (mo) 20.0(10.4)	N/A	<i>n</i> = 11	10.9(3.4) 4.7-16.6	No ROI area differences for ASD+ID vs. TD. Cerebral area negatively correlated with age in ASD+ID but not TD. Midbrain area positively correlated with PEP-R imitation, perception, fine motor, and eye-hand coordination in ASD+ID.
Manes et al., 1999	1.5 T	VI-MSD	Midsagittal area of corpus callosum & cerebellum	N/A	<i>n</i> = 27 Mental age (y) 4.6(5.6)	n = 17 Mental age 4.5(2.7)	N/A	13.1(5.9)	ASD+ID showed smaller corpus callosum area than ID, but no differences in cerebellar area.
Hashimoto et al., 1992	0.5 T	DSM-III- R	Midsagittal area of midbrain, pons, medulla oblongata, cerebellum, & posterior fossa	N/A	<i>n</i> = 12 1Q 49(15) 33-73	<i>n</i> = 15 IQ 60(14) 42-78	<i>n</i> =14	7.0(1.7) 5.0-10.8	Midbrain and medulla oblongata area decreased in ASD+ID and ID relative to TD. Pons area reduced in ID (but not ASD+ID) versus TD. No differences in cerebellar vermis area.
C. Whole-brain	analyses: D	iffusion imag	ting						
Cascio et al., 2013	1.5 Т	NI-WSQ	Analysis of FA distributions	A/A	n = 33 IQ 57(17)	<i>n</i> = 8 IQ 59(10)	<i>n</i> = 17 111(18)	4.1(1.3) 1.5-6.6	ASD+ID had lower/more variable FA across global WM, relative to TD and a combined TD + ID comparison group; the ASD+ID vs. ID comparison trended towards significance ( $p = 0.076$ ). ASD +ID also had lower/more variable FA in cerebellum relative to TD and the combined TD+ID group, but not relative to ID.
D. ROI-based at	nalyses: Diff	fusion imagir	5						
Jeong et al., 2012	3.0 T	ADI-R	Q-ball imaging: dentatorubro- thalamic tract	<i>n</i> = 13 IQ 104(8)	n = 11 IQ 55(5)	N/A	n = 14 IQ 85	5.4(2.9) 1.9-13.3	Whole-pathway analysis showed that relative to TD, both ASD+ID and ASD-ID had lower FA in all subregions of right dentate nucleus. FA in right DRDN positively correlated with daily living skills in ASD+ID.
Pardini et al., 2009	3.0 T	DSM-IV	Tractography: networks generated from OFC seed	N/A	<i>n</i> = 10 PIQ 49(7); 38-58	N/A	n = 10	19.8(2.7) 18-27	Relative to TD, ASD+ID had lower FA values & & lower network volume for tracts generated from left OFC, & PIQ in ASD+ID was positively correlated with FA in left OFC tracts.

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Questionnaire. Neuroimaging metrics and methods: FA: Fractional anisotropy. GM: Grey matter. MR!: Magnetic resonance imaging. T: Tesla. WM: White matter. Brain sites. DRDN: dorso-rostral dentate nuclei. IFG Inferior frontal gyrus. ITG Inferior temporal gyrus. OFC. Orbitofrontal cortex. STS: Superior temporal sulcus. STG: Superior temporal gyrus. without intellectual disability. ASP: Asperger Syndrome. HF4: 'High-functioning autism.' ID: Intellectual disability. PDD: Pervasive developmental disorder. TD: Typically developing. Measures: ADI-R: Note: Unless otherwise noted, IQ indicates full-scale intelligence quotient. Diagnosex: ASD+ID: Autism spectrum disorder with accompanying intellectual disability. ASD-ID: Autism spectrum disorder Autism Diagnostic Interview-Revised. DSM: Diagnostic and Statistical Manual of Mental Disorders. PEP-R: Psychoeducational Profile-Revised. PIO: Performance IQ. SCO: Social Communication

 $^{a}$ This same ASD+ID cohort is also reported on in (Erbetta et al., 2014), but without comparison to an ID group.

<sup>b</sup>ASD+ID group was split by Social Communication Questionnaire scores into those scoring above the 'Autism' threshold and those within the Pervasive Developmental disorder (PDD) range.

 $^{\mathcal{C}}$ The comparison group consisted of 'siblings and associates of subjects.'

d = 29 participants were scanned at 3.0 T at one site and n = 44 participants were scanned at 1.5 T at another site. All LFA participants were scanned at 1.5 T.

e Cerebellar volumetrics were not assessed because cerebellar (but not cerebral) metrics showed significant intersite differences.

 $f_{\rm The}$  same cohort was used by both Scott et al., 2009 and Schumann et al., 2004.

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Table 2

Structural MRI and diffusion imaging studies of idiopathic ASD±ID.

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Study	Field Strength	ASD criteria	Analysis	ASD±ID	Ð	QL	Age (y)	Main Findings
				IQ: M(SD); Range	IQ: <i>M(SD</i> ); Range	IQ: M(SD); Range		
A. Whole-brain a	nalyses: MR	I						
Zeegers et al., 2009	1.5 T	AI-WSQ	Volumetric	n = 34 IQ 64.2(23) <sup><i>a</i></sup>	DD $^{b}$ ; $n = 11$ IQ 73.6(17.8) <sup>a</sup>	N/A	3.6(1.0) 1.8-6.4	No ASD vs. DD group difference in intracranial volume, total brain volume, cerebral WM or GM, ventricular volume, cerebellar volume, amygdala volume, or hippocampal volume. DD (but not ASD or TD) showed a positive correlation between total brain volume and IQ after controlling for intracranial volume.
Bonilha et al., 2008	2.0 T	DSM-IV & ICD- 10	Voxel-based morphometry	<i>n</i> = 12 6 with ID	N/A	<i>n</i> = 16	12.8(4.5) 5.8-23.3	Widespread increases in GM volume, & decreases in WM volume, in ASD relative to TD.
Hazlett et al., 2006 <sup>c</sup>	1.5 T	DSM-III- R	Volumetric	<i>n</i> = 23 PIQ 90(22); 52-136	N/A	<i>n</i> = 15 PIQ 102(11); 80-122	20.4(4.3) 13-29	ASD showed greater left hemisphere GM volume relative to TD; this effect was localized in frontal & temporal lobes. For both groups, lower PIQ predicted greater GM & WM volumes.
Boddaert et al., 2004	1.5 T	VI-MSQ	Voxel-based morphometry	<i>n</i> = 21 IQ & DQ 42(22) 12-91	A/A	<i>n</i> = 12	10.1(2.5) 7-15	Relative to TD, ASD showed GM decrease in STS bilaterally, & WM decreases in right temporal pole and left cerebellar hemisphere.
Piven et al., 1996 <sup>C</sup>	1.5 T	DSM-III- R	Volumetric	<i>n</i> = 35 PIQ 91(20); 52-136	A/A	<i>n</i> = 36 PIQ 102(13); 72-135	19.1(4.2) 12-29	ASD showed greater total brain volume, specifically with enlargement in temporal, parietal, & occipital lobes.
B. ROI-based and	alyses: MRI							
Trontel et al., 2015	3.0 T	VI-MSQ	Volumetric: hippocampus, parahippocampal gyrus, entorhinal cortex, & amygdala	VIQ 85 group: <i>n</i> = 38 IQ 107(12); 85-137 VIQ < 85 group: <i>n</i> = 18 IQ 80(9); 61-99	A/A	n = 31 IQ 116(15); 93-152	11.2(4.2) 5.3-19.4	For VIQ < 85 group, but not VIQ 85 or TD groups, groups, greater amygdala volume was correlated with lower memory performance.
Dager et al., 2007	1.5 T	NI-MSD	Hippocampal shape mapping	AD: $n = 29$ NVIQ 59(17); VIQ 47(25) PDD: $n = 16$	N/A	<i>n</i> = 13	3.8(0.4) 3.0-4.6	Hippocampal shape (but not volume) differed between

Study	Field Strength	ASD criteria	Analysis	ASD±ID	D	TD	Age (y)	Main Findings
				NVIQ 75(17); VIQ 65(21)				ASD & TD and between AD & PDD. Hippocampal shape composite scores were associated with IQ.
Haas et al., 1996	1.5 T	DSM-III-R	Midsagittal area: Vermal lobules VI & VII, corpus callosum clark areas 3 & 5	n = 10 VIQ or NVIQ > 70 n = 18 VIQ or NVIQ 70	A/A	<i>n</i> = 24	11.0(3.9) 6-19	Clark area 5 of corpus callosum smaller in ASD vs. TD. 24 of 28 participants with ASD showed hypoplasia of vermis VI & VII; 2 ASD participants showed hyperplasia.
Egaas et al., 1995	1.5 T	DSM-III- R	Area of corpus callosum	n = 51 16 participants had ID.	N/A	<i>n</i> = 51	15.5(10.0) 3-42	ASD showed smaller corpus callosum area, particularly in posterior regions.
Whole-brain ana	lyses: DTI							
Billeci et al., 2012	1.5 T	VI-MSQ	Tract-based spatial statistics	<i>n</i> = 22 IQ 71(23); 35-108	A/A	<i>n</i> = 10 IQ 99(8); 85-108	5.4(2.2) 2.0-11.3	Relative to TD, ASD showed higher FA broadly across the WM skeleton, particularly in corpus callosum, cingulum, arcuate fasciculus, & internal capsule.

Note: Unless otherwise noted, IQ indicates full-scale intelligence quotient. Diagnoses: ASD±ID: Autism spectrum disorder with and without accompanying intellectual disability. DD:Developmental delay. ID: Intellectual disability. PDD: Pervasive developmental disorder. TD: Typically developing. Measures: DSM: Diagnostic and Statistical Manual of Mental Disorders. ICD: International Statistical

Classification of Diseases and Health Problems 10<sup>th</sup> Revision. NVIQ: Nonverbal IQ. PIQ: Performance IQ. VIQ: Verbal IQ. Neuroimaging metrics and methods: FA: Fractional anisotropy. GM: Grey matter. MRI: Magnetic resonance imaging. T: Tesla. WM: White matter. Brain sites: STS: Superior temporal sulcus.

received either the Griffith, the Dutch Snijders-Oomen Nonverbal Intelligence Test, or the Kaufman Assessment Battery for Children. 11 children could not complete any cognitive assessment. FSIQ in the <sup>a</sup> Level of intellectual functioning.' 31 total children had an FSIQ score; 20 children received the Mullen Scales of Early Learning; 10 children received the Psychoeducational Profile-Revised; 6 children full sample appears to range between ~35 and ~100 in Figure 1.

 $b_{
m The}$  developmental delay group was comprised of 5 children with ID and 8 children with language delay.

 $^{\mathcal{C}}$ Hazlett et al. used a subset of the MRI images analyzed in Piven et al. with updated tissue segmentation methods.

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	EPI+(/PA	9/25; 26%	N/A	ig PA. esence of PA	87/201; 43.3%	4/31; 12.9	6/10; 60%	29/86; 33.7%	2/17; 11.8%	8/20; 40%	N/A	N/A
	EPI-/PA+ n with regression/ Group n; % with regression	V/N	PA+focal slowing: 65/150; 43.3%	1 with $n = 60$ demonstratines was not associated with provide the properties.	V/N	N/A	N/A	22/81; 27.2%	A/A	14/42; 33.3% Freq. PA+: 6/13; 46.2%	15/20; 75.0%	106/540;19.6%
i	EPI-/PA-	2/25; 8%	17/64; 26.6%	Overall $N = 10$ Regression hx No regression $J$	1210/4305; 28.1%	13/95; 13.7%	26/98; 26.5%	28/178; 15.7%	24/132; 18.2%	12/42; 28.6%	24/44; 54.5%	71/349; 20.3%
or without regression	EEG recording	5 awake, 1 sleep, 19 medicated sleep	Awake + sleep interictal EEG	24h EEG awake + natural sleep	N/A	EEG reports available for $n = 18$ ; other determinations made via seizure interview + clinical records	N/A	Awake + sleep EEG	N/A	Prolonged awake + sleep EEG w/ photic stimulation & hyperventilation when possible	Daytime sleep EEG 1 hr (natural or medicated)	24 hour ambulatory EEG
fren with ASD, either with	Regression assessment	'detailed history'	Clinical interview with caregiver and chart review	Chart review	ADI-R all loss items (11-28)	ADI-R item 11 (loss of language)	ADI-R items 11-19 (items on language regression)	Chart review	ADI-R item 11 (definite loss of language)	ADI-R items 11 (loss of language), and/or 20 (loss of general skills), and/or 25 (loss of social interest/ engagement)	ADI-R 'regression of babbling, any words, and social behaviour'	Regression after 12 mos.
d epilepsy in chile	Epilepsy criteria	2 seizures	N/A; Focused on interictal EEG abnorm.	N/A; Focused on EEG abnorm.	ADI-R parent report (item 85)	1 non-FC seizure after 5y of age.	'presence of seizures' in chart review	Included focal EPI, generalized EPI, FC, & epileptic encephalopathy	ADI-R item 85; medical hx; medical notes	2 unprovoked seizures	N/A; excluded children with clinical seizures or epilepsy	N/A; excluded children with
ubnormalities an	ASD dx	DSM-IV; PDD Assessment Scale, CARS	DSM-IV; ADOS-G in 79% cases	DSM-IV	ADOS, ADI-R	ICD-10; ADOS- G, ADI-R	DSM-IV; ADI-R, ADOS	DSM-IV; CARS	ICD-10; ADI-R, ADOS-G	DSM-IV; ADOS-G, ADI- R	ICD-10; ADI-R (+ADOS-G for ~50%)	DSM-IV
Studies of EEG a	Study	Shubrata et al., 2015	Valvo et al., 2016	Mulligan & Trauner, 2014	Viscidi et al., 2013	Bolton et al., 2011	Jones & Campbell, 2010 <sup>b</sup>	Parmeggiani et al., 2010	Baird et al., 2008	Giannotti et al., 2008	Baird et al., 2006	Chez et al., 2006

	ASD dx	Epilepsy criteria	Regression assessment	EEG recording	EPI-/PA-	EPI-/PA+ n with regression/ Group n; % with regression	EPI+(/PA+)	Sig.?
		seizures						
Д	NI-MS	ILAE (1989) classifications	Parent report: Loss of language + loss in social-emotional domain	Awake + sedated sleep EEG	15/30; 50%	EPI+/PA+ or EPI-/ 9/16; 56.3%	PA+:	I
ΞO	CD-10; ADI-R, ARS	Not specified	Parent report: 'severe developmental downtum' in social, communication, play, & sometimes cognitive domains	Some awake, some overnight sleep (both natural and medicated) EEGs	EPI-: 7/45 15.6% Rates of EEG <i>i</i> hx not reported	bhorm. by regression	EPI+: 9/17 52.9%	+ for EPI; – for EEG abnorm.
Д	SM-III-R	Not specified	Parent report: loss of language + loss of social interest	No information	EPI-: 33/135; 24.4%	Y/N	EPI+: 15/33; 45.5%	+
Д	AI-WS	2 unprovoked	Parent report: loss of language	Total sample: EEG & no EEG (N=585)	EPI-: 155/519; 29.9%		EPI+: 21/66; 31.8%	I
		seizures		Sleep EEG available ( <i>n</i> =392)	84/268; 29.6%	21/43; 48.8%	17/57; 29.8%	
	SM-III-R	'Epilepsy including FC and EEG PA'	Report of regression in language and/or behavior	Awake + sleep interictal EEG	25/61; 41%	5/20; 25%	9/25; 36%	I

Note: *EPI*+/-: Epilepsy present/not present. PA+/-: Paroxysmal EEG abnormalities present/not present. *Sig.* ?+ or - indicates whether or not the study reported a significant difference in rates of either EEG abnormalities or epilepsy between those with or without a history of regression. Abnormalities. ADOS-G: Autism Diagnostic Observation Schedule-Generic. ADI-R: Autism Diagnostic Interview-Revised. CARS: Childhood Autism Rating Scale. DSM: Diagnostic and Statistical Manual of Mental Disorders. FC: Febrile convulsions. Hx: history. ICD-10: International Statistical Classification of Diseases and Related Health Problems 10<sup>th</sup> Revision. ILAE: International League Against Epilepsy.

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 $^{a}$ Difference no longer significant after adjusting for IQ.

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 $^b$ Only children with ASD and either language regression, language plateau, or language delay were included.

c<sup>2</sup> Statistical tests compared three groups; here we collapse the language plateau and language delay groups together to comprise the ADI-R group.

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	Sam	ple <i>n</i>	Any EEG abn	ormality (%)	PA and/or e	pilepsy (%)	Epileps	(%) (%)
	ASD-R	ASD+R	ASD-R	ASD+R	ASD-R	ASD+R	ASD-R	ASD+R
(Valvo et al., 2016)	132	82	64.4	79.3	56.8	64.6	19.7 <sup>a</sup>	26.8 <sup>a</sup>
(Viscidi et al., 2013)	3209	1297	;	1	ł	1	3.6	6.7
(Bolton et al., 2011)	109	17	1	ł	ł	1	24.8	23.5
(L. A. Jones & Campbell, 2010)	76	32	1	1	ł	1	5.3	18.8
(Parmeggiani et al., 2010)	266	<i>4</i>	ł	ł	43.6	64.6	$21.4^{b}$	36.7 <sup>b</sup>
(Baird et al., 2008)	123	26	;	1	ł	1	12.0	7.0
(Giannotti et al., 2008)	70	34	ł	ł	39.9 <sup>c</sup>	41.2 <sup>c</sup>	14.7	24.2
(Baird, Robinson, Boyd, & Charman, 2006)	25	39	ł	1	25.0 <sup>d</sup>	62.5 <sup>d</sup>	1	I
(Chez et al., 2006)	712	177	ł	ł	P6.09	59.9 <sup>d</sup>	ł	I
(Canitano, Luchetti, & Zappella, 2005)	22	24	1	1	31.8	37.5	0.0	4.2
(McVicar, Ballaban-Gil, Rapin, Mosh, & Shinnar, 2005)	I	103	1	39.8	1	28.2	1	7.8 <sup>a</sup>
(Hrdlicka et al., 2004)	46	16	;	1	ł	1	17.4	56.3
(Kobayashi & Murata, 1998)	120	48	1	ł	ł	1	15.0	31.3
(Tuchman & Rapin, 1997)	409	176	20.3 <i>e</i>	28.4 <i>e</i>	$16.4^{e}$	23.9 <sup>e</sup>	11.0	11.9
(Rossi, Parmeggiani, Bach, Santucci, & Visconti, 1995)	67	39	1	I	46.3	35.9	23.9 <sup>b</sup>	$23.1^{b}$
<i>Note.</i> Percentages are the % of the indicated subsample with	the feature	s. ASD-R: I	ASD without res	gression. ASD+1	R: ASD with re	egression. PA:	Paroxysma	l abnormality

y. Any EEG abnormality includes epilepsy, paroxysmal abnormalities, and/or nonepileptiform abnormalities.

 $^{a}$ Positive seizure history or clinical seizures observed, but epilepsy diagnosis not specified.

 $b_{
m Included}$  febrile convulsions.

 $c_1$ t is not clear from the original report if this group contains children with epilepsy and PA, or only children with PA.

 $d_{\rm Epilepsy}$  specifically excluded.

 $e^{2}$ Tuchman and Rapin calculated their proportions based on their entire sample (N = 585) rather than the total number of participants who had an EEG (N = 392), based on the presumption that children without an EEG would be 'more likely than not' to have a normal EEG.

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Table 4

Reported rates of EEG abnormalities in children with ASD, with and without a history of regression.