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## The Effects of Postoperative Hematocrit on Shunt Occlusion for Neonates Undergoing Single Ventricle Palliation

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## Abstract

**Objectives**—Our primary objective was to test the effects of first postoperative hematocrit on early shunt occlusion for children undergoing systemic to pulmonary artery shunt placement. Because any intervention to reduce shunt occlusion is only beneficial if it either reduces mortality or is, at least, mortality neutral, we also tested the effects of first postoperative hematocrit on inhospital mortality.

**Methods**—We conducted a retrospective study on all neonates who underwent primary systemic to pulmonary artery shunt placement, with or without a Norwood / Damus-Kaye-Stansel procedure, at our institution between January 2010 and July 2015. Univariable regression was used to test the effects of first postoperative hematocrit on early shunt occlusion and 30-day mortality, clustering standard errors by surgeon. In secondary analyses, we also tested the associations

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Video. Blalock-Taussig Shunt Placement. The video details the placement of a Blalock-Taussig shunt, as part of a single ventricle palliation.

between red blood cell transfusion volumes in the first 24 postoperative hours on first postoperative hematocrit, shunt occlusion, and mortality.

**Results**—Eighty infants met inclusion criteria. Median initial postoperative hematocrit was 41.7% (IQR 37.9–46.0). Six (7.5%) died. Four (5.0%) died within the first 30 days. Five infants (6.3%) experienced early shunt occlusion. No children with early shunt occlusion died. In univariable models, for every five additional percentage points of hematocrit, an infant's odds of early shunt occlusion more than doubled (OR 2.70, p=0.009). The odds of all-cause 30-day mortality remained unchanged.

**Conclusions**—Higher postoperative hematocrit levels are associated with early shunt occlusions in infants undergoing primary systemic to pulmonary artery shunt placement. Randomized controlled trials are needed to validate these findings and to determine ideal postoperative hematocrit targets for this population.

## Abstract

First postoperative hematocrit and early postoperative shunt occlusion.



## Keywords

Single ventricle; Norwood; shunt; hematocrit; occlusion; transfusion

A significant cause of shunt failure in neonates following systemic to pulmonary artery shunt placement is shunt occlusion, yet little is known about why shunts occlude in some infants and not in others.<sup>1–7</sup> It has been hypothesized that, in addition to shunt anatomy<sup>7</sup>, fluid shifts and hemoconcentration might predispose children to shunt occlusion, especially after gastrointestinal surgeries<sup>8</sup>, but the majority of shunt occlusions occur in the early postoperative period, without associated surgeries.<sup>5, 6</sup>

Beginning in the latter half of 2014, we noticed an increase in the incidence of early shunt occlusions in our neonatal cardiac intensive care unit. We conducted a retrospective chart review to investigate the etiologies of this rise. Given that platelet adhesion in pulsatile shear flow is known to be significantly influenced by the concentration and characteristics of neighboring red blood cells<sup>9, 10</sup>, we hypothesized that increased hematocrit in the early postoperative period might be a cause of early shunt occlusion. We therefore set out to test the associations between first postoperative hematocrit and early shunt occlusion for children undergoing systemic to pulmonary artery shunt placement at our center. Because any intervention to reduce shunt occlusion is only beneficial if it either reduces mortality or is, at least, mortality neutral, we also set out to test the associations between first postoperative.

## Methods

#### Patients

We performed a retrospective study, including all neonates who underwent systemic to pulmonary artery shunt placement at our institution, with or without a Norwood / Damus-Kaye-Stansel procedure, between January 2010 and July 2015. These dates were chosen to include all data available in our clinical database at the onset of this study. Infants undergoing right ventricle to pulmonary artery shunt placement were not included. One child who underwent systemic to pulmonary artery shunt placement but who had an initial postoperative hematocrit of 66.8, and who consequently rapidly underwent partial exchange transfusions, was excluded from the analysis. This study was approved by the Columbia University Medical Center Institutional Review Board, with waiver of informed consent.

## Operative and perioperative management

At our institution, systemic to pulmonary artery shunts are placed either by lateral thoracotomy or median sternotomy, based on patient cardiac anatomy and surgeon preference. Intraoperative fluid management includes continuation of maintenance fluids and/or addition of normal saline or Ringer's lactate as determined by the anesthesia team. All shunted infants leave the operating room intubated and are recovered in the neonatal cardiac intensive care unit. Choice of initial inotropic support is based on patient condition and anesthesiologist and surgeon preferences. In the perioperative period, all shunted patients receive intravenous maintenance fluids (of 100 ml/Kg\*day) with dextrose and

standard electrolytes. Our current anticoagulation practice is to initiate oral aspirin approximately 12 hours after shunt placement (at a dose of 20mg/day), assuming the platelet count is >100K and surgical site bleeding is minimal. Until mid 2010, shunted infants at our center received aspirin, at the same dose, only after full enteral feeds were established. There was a brief time in early 2010 when shunted infants operated on by a single surgeon were given heparin after hemostasis was achieved, but none was started on heparin within the first 24 postoperative hours. Packed red blood cell transfusion thresholds are determined on an individual basis by patient condition and intensive care team discretion.

## **Risk factors**

The primary variable of interest was the first postoperative hematocrit upon returning to the intensive care unit. All hematocrit levels were measured in our clinical laboratory using a Sysmex XN-9000® analyzer, which calculates percent hematocrit using cumulative pulse height detection. Data was also collected on sex, weight, intracardiac anatomy, shunt type and size (first in millimeters and then indexed to birth weight), use of cardiopulmonary bypass, performance of a pulmonary artery plasty, intraoperative PRBC transfusion volume, fluid balance on pulmonary bypass, cell saver transfusion volume coming off bypass, Pa02 leaving the operating room, first postoperative platelet count, presence and volume of postoperative packed red blood cell (PRBC), platelets, and fresh frozen plasma (FFP)/ cryoprecipitate transfusion administrations in the first 24 postoperative hours (or until shunt occlusion), type and timing of anticoagulation, peak vasoactive-inotropic score within the first 24 postoperative hours, surgeon, and operative era. Earlier operative era was defined as all patients operated on before July 1, 2014; later operative era was defined as all patients operated on or after that date. Vasoactive-inotropic score was calculated using the formula previously described by Gaies and colleagues.<sup>11</sup>

## Outcomes

The primary outcome of interest was early shunt occlusion within the first 24 postoperative hours requiring surgical intervention, including shunt revision, catheter intervention, or manual shunt manipulation. Infants with shunt occlusion determined on autopsy were also included. Shunt occlusion was presumed based on the loss of a shunt murmur and inability to visualize shunt flow on echocardiogram, in the presence of systemic desaturations and an acute drop in end-title CO2 without an identifiable pulmonary etiology and with amelioration of symptoms after manual manipulation of the shunt or shunt revision. No patients with early shunt occlusion died prior to intervention. All patients had heparin boluses given, their chests opened, and shunts manually manipulated to relieve obstruction. Patients who did not recover after this manipulation were taken back to the operating room for immediate shunt exploration and revision and potential placement on extracorporeal membrane oxygenation. No shunts were observed to be kinked on visual inspection and in no patients did the mere act of opening the chest relieve symptoms. Consideration was also given to diagnostic or therapeutic catheterization; two patients who had partial but not complete recovery after manual shunt manipulation were taken to the catheterization laboratory, where angiography was performed, demonstrating shunt narrowing without kinking, and shunts were stented. All patients recovered after shunt intervention. The secondary outcome was 30-day mortality. No patients underwent transplantation.

### **Statistical analysis**

All statistical analyses were conducted in Stata software, version 13 (StataCorp, College Station, Texas). Clinical and demographic variables were described using standard summary statistics. To assess the marginal associations between independent variables and shunt occlusion or mortality, Fisher's exact tests were used for categorical variables and t-tests or Wilcoxon rank sum tests were used for continuous variables. Associations between covariates were also assessed.

Kaplan-Meier curves were used to assess the timing of all shunt occlusions to validate the assumption that shunt occlusion occurs as a two-phase phenomenon (i.e. early and late). Lowess plots were then used to determine if the relationships between first postoperative hematocrit and the outcomes of interest were best modeled as linear functions. Univariable logistic regression was used. Model-based confidence intervals were constructed and hypothesis testing was performed using robust standard errors, with clusters corresponding to surgeons. P values of <0.05 were considered statistically significant. Based on the results of the Kaplan-Meier curve, sensitivity analyses were conducted to assess the robustness of our results to changes in the definition of "early" shunt occlusion (24 vs. 48 hours). To test the sensitivity of our results to the statistical modeling chosen, survival analyses were also conducted, examining first early shunt occlusion and mortality as primary endpoints and then all shunt occlusions. Additional sensitivity analyses were then conducted to assess the robustness of our results to changes in operative era; we repeated logistic analyses for mortality, including only patients operated on in the early era, before the cluster of shunt occlusions were identified. Only one patient experienced shunt occlusion in the early operative era, thus, to avoid overfitting a model, similar analyses were not conducted for shunt occlusion. Because of the limited number of events, multivariable regression models were not included.

In secondary analyses, scatter plots were used to graphically depict the first postoperative hematocrits of children who experienced early shunt occlusion or 30-day mortality in relation to their peers and the results of the multivariable shunt occlusion and mortality models were graphed. Fisher's exact test was used to assess the association between early shunt occlusion and mortality. Finally, spearman correlation and Wilcoxon rank sum tests were used to explore the relationships between PRBC transfusion volumes in the first 24 postoperative hours (or until early shunt occlusion) and first postoperative hematocrit, shunt occlusion, and mortality.

## Results

Eighty infants met inclusion criteria. Nineteen infants were operated on after July 2014. Median initial postoperative hematocrit was 41.7% (IQR 37.9–46.0), with a range of 31.7 to 55.8. See Table 1.

## **Early Shunt Occlusion**

Twelve infants (15.0%) experienced shunt occlusion. Five patients (6.3%) experienced shunt occlusion within the first 24 postoperative hours, meeting our definition of early occlusion

(all of these at <10 hours). One occurred at 48 hours. The rest occurred on or after 10 postoperative days (see Table 2 and Figure 1). Of the five early shunt occlusions, four of them occurred during or after 2014. No infant at our center receives any form of anticoagulation during the first 12 postoperative hours. Therefore, no infant was started on anticoagulation prior to early shunt occlusion.

We found that the association between early shunt occlusion and first postoperative hematocrit was best modeled as a linear function. In univariable analyses, early shunt occlusion was significantly associated with first postoperative hematocrit. The only other variable significantly associated with early occlusion was later operative era. Of note, average first postoperative hematocrit was significantly higher in the later operative era (45.3 vs. 41.5%, p=0.021). See Figure 2. For every five additional percentage points of hematocrit, an infant's odds of early shunt occlusion more than doubled (OR 2.70, p=0.009). In the later operative era, the odds of early shunt occlusion were 16.0 times the odds of early shunt occlusion in the early operative era (p < 0.001). Adding first postoperative hematocrit to a model already containing operative era modified (reduced) the magnitude of the era effect by 41%. Expanding the definition of early shunt occlusion to include all occlusions within the first 48 hours yielded similar results (OR for the effects of first postoperative hematocrit: 2.24, p < 0.001). Our results were similar when time-related methods were employed. In survival analyses, for every five additional percentage points of hematocrit, an infant's hazard of early shunt occlusion also more than doubled (HR 2.70, p=0.007) and the hazard of any shunt occlusion nearly doubled (HR 1.74, p<0.001).

## Mortality

Six infants (7.4%) died prior to discharge. Four infants (5.0%) died within the first 30 postoperative days. No infants with early shunt occlusion died. Two infants with late shunt occlusion died (at 12 and 18 days of life). Causes of death are listed in Table 3.

In univariable analyses, only increased inotrope score and first postoperative Pa02 were associated with an increased risk of mortality. For every five-point increase in vasoactive-inotrope score, the odds of mortality were 1.8 times greater (OR 1.75, p = 0.021). For every five-point increase in postoperative PaO2, the odds of mortality were decreased by half (OR 0.58, p < 0.001). While no patient with a first postoperative hematocrit greater than 43% died within the first 30 postoperative days, no significant association was identified between hematocrit and 30-day mortality. Operative era was also not independently associated with mortality. It should be noted that increased hematocrit was associated with increased inotrope score ( $\rho$ =0.349, p=0.002) but first postoperative Pa02 was not (p=0.540). Repeating analyses using only patients operated on in the early operative era revealed nearly identical results. Figure 3 uses a scatterplot to graphically depict the first postoperative hematocrit levels of children who experienced early shunt occlusion or mortality in relation to their peers and displays the differing effects of first postoperative hematocrit on early shunt occlusion and mortality. Results were similar when time-related methods were employed.

## Intraoperative and Postoperative Transfusions

Median intraoperative PRBC transfusion volume was 100cc/kg (IQR 78–129); median fluid volume balance on bypass was 27cc/kg (IQR 0–70); and median cell saver transfusion volume coming off bypass was 36cc/kg (IQR 28–54). Neither PRBC, nor cell saver transfusion volumes, nor fluid volume balance, was significantly associated with first postoperative hematocrit, early shunt occlusion, or mortality. See Table 1.

In the first 24 postoperative hours, 13.8% (n=11) of infants received PRBC transfusions, 8.8% (n=7) received platelets, and 5.0% (n=4) received FFP/cyoprecipitate. Among those receiving PRBC transfusions, the median transfusion volume within the first 24 postoperative hours (or until shunt occlusion) was 15mL/kg (IQR 10–24, range 4–67). Among those receiving platelet transfusions, the median transfusion volume within the first 24 postoperative hours (or until shunt occlusion) was 14mL/kg (IQR 10–15, range 5–20). And among those receiving FFP/cryoprecipitate, the median FFP transfusion volume within the first 24 postoperative hours (or until shunt occlusion) was 9mL/kg (IQR 8–13, range 8–17). Lower first postoperative hematocrit was associated with a higher PRBC transfusion volume (p=0.045), but not a higher volume of platelets or FFP. Higher postoperative PRBC transfusion volumes, but not platelet or FFP volumes, were associated with an increased odds of mortality (p=0.001). Neither PRBC, nor platelet, nor FFP transfusion volumes within the first 24 postoperative hours were significantly associated with early shunt occlusion. See Table 1.

## Discussion

Neonatal shunt occlusion remains a vexing problem for infants with cyanotic heart disease. In this retrospective study of 80 infants undergoing systemic to pulmonary artery shunt placement, we found higher first postoperative hematocrit to be associated with early shunt occlusion. Before promoting reduced target postoperative hematocrits we also tested the effects of hematocrit on a more robust outcome, 30-day mortality. In univariable analyses, we found no association between first postoperative hematocrit and death—at least in an era when all children at our center who experienced early shunt occlusion were recovered.

There is limited data on ideal hematocrit targets for children following cardiac surgery, let alone for infants with cyanotic heart disease. Previous randomized controlled trials on intraoperative target hematocrits for children undergoing congenital heart surgery reported improved short and long-term outcomes with lower hematocrit levels, but excluded children with single ventricles and did not discuss target postoperative values.<sup>12</sup> One study by Guzzetta and colleagues looked specifically at the effects of first postoperative hematocrit on shunt occlusion. This study found no significant effect of first postoperative hematocrit on shunt occlusion, however, it lumped all in-hospital shunt occlusions into a single outcome, including those occurring 216 hours postoperatively, regardless of postoperative transfusion status.<sup>13</sup> Two studies looking at the effects of postoperative hematocrit on mortality and length of stay (among other outcomes) for shunted neonates found no effect. <sup>13, 14</sup> Another study by Tweddell *et al.* found a negative effect of increased postoperative hemoglobin levels on mortality in univariable analyses, though no effect in multivariable analyses.<sup>14</sup> All three of these studies, however, looked at average hematocrit / hemoglobin levels over a period of

days to weeks, rather than the first postoperative hematocrit, thus conflating the effects of baseline hematocrit and subsequent transfusions performed in response.<sup>15, 16</sup>

There is strong evidence to suggest that there are detrimental effects of transfusions in critically ill individuals. The adult literature has consistently shown conservative red blood cell transfusion strategies to be at least as effective as more liberal approaches, and many have described associations with reduced mortality, postoperative infections, immune modulation, and end organ dysfunction, as well as transfusion-related acute lung injury, transfusion-related circulatory overload, and hemolytic transfusion reactions.<sup>17–21</sup> A subgroup analysis from the leading adult randomized controlled trial, found a conservative transfusion strategy to be associated with reduced mortality and end organ dysfunction among adults admitted with cardiac disease.<sup>19</sup> Additional studies in adults following coronary artery bypass surgery have also demonstrated associations between increased transfusion volumes and increased mortality, infections, prolonged ventilation, renal failure, neurologic dysfunction, and total hospital costs.<sup>22–25</sup> A 2015 study examined specifically graft occlusion post coronary artery bypass surgery and described a 20% increased hazard of graft occlusion in patients who received transfusions, after adjusting for other patient covariates.<sup>26</sup>

Studies in pediatrics are more limited. Those that exist, however, have found similar results. Investigators from the Transfusion Requirements in the Pediatric Intensive Care Unit (TRPICU) study, the only randomized controlled trial of PRBC transfusions in children, confirmed that a restrictive transfusion strategy was safe in children, though this study failed to demonstrate a protective effect.<sup>27</sup> A subgroup analysis found similar results in children post cardiac surgery, though this analysis excluded neonates and children with cyanotic heart disease.<sup>28</sup> In 2011, Slavin and colleagues, in a retrospective study, reported that PRBC transfusions were an independent risk factor for prolonged length of stay for children who underwent cardiac surgery, including infants with cyanotic heart disease. Stratified analyses on this subpopulation, however, were not performed.<sup>29</sup>

To the best of our knowledge, our study represents the first time researchers have looked specifically at the associations between first postoperative hematocrit and either early shunt occlusion or mortality. Our data suggest that lower target postoperative hematocrits yield optimal outcomes. One might hypothesize, as we did, that increased postoperative hematocrit increases blood viscosity, thereby predisposing patients to shunt occlusion. Although we were unable to detect significant associations with mortality, one might further hypothesize, however, that lower is not always better and that exceptionally low hematocrit levels might be associated with either an inability to tolerate increased myocardial demands in the postoperative cyanotic neonate or that reduced hematocrit levels are association with increased transfusion volumes and that increased transfusions carry risks, consistent with much of the literature cited above.

Our study also raises the perpetual question: What is the ideal perioperative prophylactic antithrombotic therapy for these patients? At our center, in keeping with the guidelines of the American Heart Association and the American College of Chest Physicians, we initiate aspirin therapy 12 hours postoperatively, assuming hemostasis has been obtained. All of our

early shunt occlusions, however, occurred at <10 hours postoperatively. The American Heart Association guidelines state that "it is reasonable to initiate a continuous low-dose heparin infusion" in these patients (Level of Evidence C)<sup>30</sup>, but the American College of Chest Physicians guidelines recommend against this.<sup>31</sup> Both guidelines acknowledge that there is no clear data on either the utility or risks of perioperative heparin for shunted neonates. In fact, while the most often cited study in favor of heparin use for Blalock-Taussig shunts reported a reduction in the risk of early shunt occlusion from 3.4% to 1.4%, this difference failed to meet statistical significance (p=0.294).<sup>32</sup> A national database study examining best practices associated with systemic-to-pulmonary artery shunts found no difference in the use of postoperative heparin between centers with low or high incidences of shunt failure.<sup>33</sup> These studies did not consider differences in postoperative hematocrit. Large-scale, thoughtful investigations are clearly needed to determine the best perioperative management.

In our study, it is notable that patients' first postoperative hematocrits increased in the later operative era. We analyzed our practice in detail, and there have been no intentional changes in patient management over the study period. Specifically, there was no change in target hematocrit levels pre-, intra-, or peri-operatively. The only change we have identified at our center was a switch in the point of care analyzer in 2013. In that year, our center switched from the i-STAT portable analyzer to the epoc Blood Analysis System. In response to our investigations, our cardiac anesthesiologists have been asked not to transfuse any postoperative shunted neonate when the point of care analyzer reports a hematocrit level of 35% or higher. Hematocrits are then repeated in our clinical laboratory, using a Sysmex XN-9000® analyzer, immediately upon a patient's return to the cardiac intensive care unit. We also established a protocol for the initiation of partial exchange transfusions for patients with hematocrit levels >55%. Given the lack of evidence on prophylactic heparin infusions described above, we have not changed our routine anticoagulation practices. For patients with low hematocrit levels who are more cyanotic than expected postoperatively, we take an individualized approach. Our initial management includes a diagnostic echocardiogram, nitric oxide, oxygen, and initiation of a heparin bolus and infusion. In some patients, inotropic support is also escalated. If symptoms do not resolve and acute shunt occlusion is not highly suspected, cautious transfusions are sometimes considered.

Our study has a few notable limitations and target hematocrit levels described here ought to be interpreted with caution. Most importantly, our study is retrospective and conducted on a limited number of patients at a single center. In addition, while patients were cared for by a small team of practitioners, there was no prescribed management protocol during the operative era. Fluid management at our center is relatively consistent, but transfusion thresholds and other aspects of care vary to some degree between providers. Thus, while it is tempting to speculate on mechanisms of action between hematocrit levels and shunt occlusion, one cannot assume causality and future studies are needed to determine ideal target thresholds. We must further point out that it is possible that the relationships between hematocrit and both shunt occlusion and mortality are more complex than our models allow us to describe. For example, there might be interactions between hematocrit and other patient factors, such as baseline blood pressure or systemic venous saturations, such that different hematocrit levels are optimal in different patient settings. In addition, other coagulation parameters (such as prothrombin time and partial thromboplastin time) are not

routinely obtained in the immediate post-shunt period at our institution, and so their effects could not be assessed. Larger investigations are needed to explore these possibilities. Finally, during the study period, no children at our center who experienced early shunt occlusion died. This reduces the generalizability of any specific target hematocrit identified, particularly when considered by centers with lower rates of rescue.

In summary, we found that higher postoperative hematocrit levels are associated with early shunt occlusions in infants undergoing primary systemic to pulmonary artery shunt placement. Given the small sample size, these conclusions ought to be considered as preliminary data only; larger, randomized controlled studies are needed to validate these findings and to determine ideal postoperative hematocrit targets.

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## **Glossary of Abbreviations**

HR	HR
IQR	Interquartile range
OR	Odds ratio
PRBC	Packed red blood cell

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## **Central Message**

For neonates undergoing systemic to pulmonary artery shunts higher hematocrit levels are associated with early shunt occlusion.

## **Perspective Statement**

A significant cause of systemic to pulmonary artery shunt failure in neonates is shunt occlusion. In a retrospective study of 80 infants, we found increased first postoperative hematocrit to be associated with early occlusion. Studies are needed to validate these results and determine ideal target hematocrits for this vulnerable population.



## Fig 1. Postoperative shunt occlusion as a function of postoperative hours

A Kaplan-Meier curve displays the timing of early and late postoperative shunt occlusion, with 95% confidence intervals.

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## Fig 2. First postoperative hematocrit as a function of operative date

A scatterplot depicts the relationship between first postoperative hematocrit and operative date. A best-fit line is drawn in red.

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## Fig 3. First postoperative hematocrit and early postoperative shunt occlusion

In this figure, the probability of early postoperative shunt occlusion is depicted as functions of first postoperative hematocrit for the early (2010–2014; blue) and late (2014–2015; green) operative eras. Models are superimposed on a scatter plot, with red circles representing infants who experienced early postoperative shunt occlusion, orange circles representing infants who died within the first 30 postoperative days, and black circles representing infants who experienced neither early postoperative shunt occlusion nor 30-day mortality.

#### Table 1

#### Patient Characteristics

	N (%) Mean/Median (SD/IQR)	Association with Early Shunt Occlusion (p-value)	Association with 30-day Mortality (p-value)
Male sex (female)	33 (40.7%)	1.000	1.000
Gestational age <37wks	39 (18.7%)	1.000	0.849
Small for gestational age (SGA)	17 (21.3%)	0.780	0.834
Cardiac anatomy			
Pulmonary atresia	27 (33.3%)	0.929	
Hypoplastic left heart syndrome	16 (20.0%)	0.821	0.586
VSD / PS	12 (14.8%)	REF	REF
Tricuspid atresia	11 (13.6%)	0.606	
Other single ventricle	14 (17.3%)		0.875
Preoperative ventilation	18 (22.5%)	0.105	0.890
Extracardiac malformation	16 (20%)	1.000	0.794
Hematocrit, first in ICU	42 (38-46)	0.009*	0.109
Platelet count, first in ICU	176 (96-260)	0.609	0.823
Use of cardiopulmonary bypass	55 (68.8%)	0.644	1.000
Shunt type			0.250
Norwood with m-BT shunt	28 (35.0%)	0.423	
m-BT shunt	39 (48.8%)	1.000	
Central shunt	12 (15.0%)	REF	REF
Shunt size		0.175 <sup>§</sup>	0.940 <sup>§</sup>
3	1 (1.3%)		
3.5	45 (57.7%)		
4	27 (34.6%)		
5	5 (6.4%)		
Pulmonary artery plasty	10 (12.5%)	0.186	0.444
Intraoperative Volume of PRBCs transfused **	100 (78-129)	0.573	0.948
Intraoperative Volume balance	27 (0-70)	0.441	0.946
Postoperative Volume cell saver transfused **	36 (28-54)	0.721	0.144
Postoperative Volume of PRBCs transfused **	15 (10-24)	1.000	0.001*
Postoperative Volume of Platelets transfused **	14 (10-15)	0.863	0.140
Postoperative Volume of FFP transfused **	9 (8-13)	1.000	1.000
Initial postoperative PaO2	40 (36-48)	0.927	<0.000*
Inotrope score	5 (0-10)	0.196	0.039*
Late operative era ***	19 (23.8%)	<0.000*	1.000

Numbers represent means/medians (SD/IQR) for continuous variables and numbers (%) for categorical variables. All p-values calculated using univariable, logistic regression, considering the effects of clustering by surgeon.

p-values calculated using shunt size as a continuous variable. Shunt sizes were also tested indexed to patient weight with similar results (p=0.312 & p=0.876, respectively).

\*Indicates p< 0.05.

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\*\* Transfusion volumes of packed red blood cells (PRBCs), cell saver, and platelets, and fresh frozen plasma (FFP) were measured as the total volume per kilogram either in the operating room or in first 24 postoperative hours (or until shunt occlusion). Postoperative median volumes are calculated including only those patients who received PRBC (n=11), platelet (n=7), or FFP transfusions within the first 24 postoperative hours.

\*\*\* Late operative era is defined as an operation occurring on or after July 1, 2014.

VSD / PS = Ventricular septal defect with pulmonary atresia

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## Table 2

## Time to Shunt Occlusion and Patient Characteristics

Patient Number	Time (hours/days)	Cardiac Diagnosis	Procedure
1	2.0 hours	PA, hypoplastic RV	m-BTS
2	2.5 hours	PA, TA, hypoplastic RV	m-BTS
3	3.0 hours	HLHS	Norwood / m-BTS
4	4.0 hours	Heterotaxy, PA, discontinuous pulmonary arteries, TAPVR	Central
5	9.5 hours	DORV, PS	m-BTS
6	48 hours	TOF	m-BTS
7	10 days	HLHS	Norwood / m-BTS
8	10 days	HLHS	Norwood / m-BTS
9	12 days	TA, VSD	Norwood / m-BTS
10	18 days	HLHS	Norwood / m-BTS
11	23 days	HLHS	Norwood / m-BTS
12	25 days	Unbalanced AVC, PA, L-TGA	Central

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Table 3

Causes and timing of mortality.

atient entifier	Postoperative Day of Death	<b>Cardiac Diagnosis</b>	Procedure	Occlusion	Declusion	Cause of Death
A	12	HLHS	Norwood / m-BTS	No	Yes	Late shunt occlusion.
В	13	SHTH	Norwood / m-BTS	No	No	Well until POD 13; autopsy suggested acute myocardial infarction.
С	17	Heterotaxy, RVDAVC, dTGA, TAPVR	m-BTS, bilateral PA plasty, TAPRV repair	No	No	Arrested POD 1. Placed on ECMO. Cardiac function remained poor; withdrew care.
D	18	TA, VSD	Norwood / m-BTS	No	Yes	Late shunt occlusion.
Ц	31	VSD, PS, DORV	m-BTS	No	No	Transfusion reaction POD 0 with associated arrest with significant sequellae; made DNR.
Ц	131	SHTH	Norwood / m-BTS, PA debanding, bilateral PA plasty	No	No	Micropremature infant. Remained in hospital through Glenn; thrombus in Glenn circuit.

poplastic left heart syndrome; m-BTS = modified Blalock-Taussig shunt; PA = Pulmonary artery; POD = Postoperative day; PS = Pulmonary stenosis; RVDAVC = Right ventricle dominant atrioventricular canal; TA = Tricuspid atresia; TAPVR = Total anomalous pulmonary venous return; VSD = Ventricular septal defect