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Cost Effectiveness of Influenza Vaccine For U.S. Children Live Attenuated and Inactivated Influenza Vaccine

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Abstract

Introduction—Prior studies showed that live attenuated influenza vaccine (LAIV) is more effective than inactivated influenza vaccine (IIV) in children aged 2–8 years, supporting CDC recommendations in 2014 for preferential LAIV use in this age group. However, 2014–2015 U.S. effectiveness data indicated relatively poor effectiveness of both vaccines, leading CDC in 2015 to no longer prefer LAIV.

Methods—An age-structured model of influenza transmission and vaccination was developed, which incorporated both direct and indirect protection induced by vaccination. Based on this model, the cost effectiveness of influenza vaccination strategies in children aged 2–8 years in the U.S. was estimated. The base case assumed a mixed vaccination strategy where 33.3% and 66.7% of vaccinated children aged 2–8 years receive LAIV and IIV, respectively. Analyses were performed in 2014–2015.

Results—Using published meta-analysis vaccine effectiveness data (83% LAIV and 64% IIV), exclusive LAIV use would be a cost-effective strategy when vaccinating children aged 2–8 years, whereas IIV would not be preferred. However, when 2014–2015 U.S. effectiveness data (0% LAIV and 15% IIV) were used, IIV was likely to be preferred.

Conclusions—The cost effectiveness of influenza vaccination in children aged 2–8 years is highly dependent on vaccine effectiveness; the vaccine type with higher effectiveness is preferred. In general, exclusive IIV use is preferred over LAIV use, as long as vaccine effectiveness is higher for IIV than for LAIV.

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Introduction

Influenza is a significant health and economic burden, affecting approximately 5%–20% of the U.S. population each year and resulting in 300,000 hospitalizations and 24,000 deaths each year.¹ Annual U.S. direct medical costs associated with influenza are estimated to be more than \$10 billion, with indirect costs exceeding \$16 billion.²

Infection rates of influenza are highest among children, ranging between 23% and 49% during inter-pandemic years.³ In addition, children are more likely than adults to spread the disease. Since 2010, CDC has recommended annual influenza vaccination for individuals aged 6 months and older.^{2,4} Currently available influenza vaccines are either an injectable inactivated influenza vaccine (IIV) or intranasal live attenuated influenza vaccine (LAIV). LAIV is licensed in the U.S. for administration to eligible individuals aged 2–49 years, whereas IIV is licensed for eligible individuals aged 6 months or older. Studies comparing LAIV and IIV in children found LAIV more protective against culture-confirmed influenza.³ Specifically, the mean effectiveness of one and two LAIV doses among children was 77% and 87%, respectively, whereas mean IIV effectiveness in children ranged from 59% to 65%.⁵ As a result, the Advisory Committee on Immunization Practices recommended preferential LAIV use for healthy children aged 2–8 years in the 2014–2015 season; therefore, LAIV has been increasingly administered to children in recent vears.³

However, 2014–2015 U.S. effectiveness data indicated that LAIV may not be more effective than IIV against the predominant H3N2 viruses circulating during the 2014–2015 season. The IIV effectiveness estimate against H3N2 in children aged 2–8 years was 15% (95% CI= -20 , 40), compared with -23% (95% CI= -90 , 21) for LAIV.⁶ As a result, the Advisory Committee on Immunization Practices did not renew their 2014–2015 preference for LAIV over IIV in children aged 2–8 years.

Despite increased interest in economic and epidemiologic assessment of influenza vaccination, few economic analyses have explicitly addressed LAIV use. Recent studies evaluated childhood LAIV vaccination, concluding that LAIV use is very cost effective⁷ or even cost saving.³ However, these studies were based on a static infection risk among unvaccinated groups, ignoring possible indirect benefits of vaccination. This paper describes the first cost-effectiveness analysis of influenza vaccination that incorporates the impact of both direct and indirect effects of influenza vaccination, based on the recently observed lower effectiveness of IIV and LAIV. Specifically, the cost effectiveness of LAIV and IIV vaccination in children aged 2–8 years is presented. In addition, the threshold vaccine effectiveness at which LAIV becomes preferred over IIV is examined.

Dynamic models implicitly capture the herd protection conferred by vaccination, and have been used in recent economic analyses of vaccination programs against infectious diseases including influenza, $8,9$ rotavirus, $10,11$ and human papillomavirus. $12,13$ We developed a dynamic age-structured model of influenza transmission and vaccination in order to determine the optimal cost effectiveness of vaccination strategies considering LAIV and IIV use among children aged 2–8 years.

Methods

An age-structured influenza dynamic transmission model was developed and then integrated into a cost-effectiveness analysis for vaccination. The benefit of vaccination strategies was defined as the decrease in disease burden and associated costs for children and their caregivers. The focus was on influenza among children aged 2–8 years to calculate qualityadjusted life years (QALYs) and influenza-related costs.

Mathematical Model

The mathematical model incorporated influenza transmission dynamics and vaccination programs using both LAIV and IIV (Appendix Figure 1). The influenza-related epidemiologic status of individuals was tracked in eight age-dependent classes: susceptible (S_k) , vaccinated with LAIV (VL_k), vaccinated with IIV (VT_k), latently infected (E_k), asymptomatically or symptomatically infected $(A_k$ or I_k), recovered (R_k) , and dead due to influenza (D_k) . Each epidemiologic compartment was subdivided into six age groups, denoted by the subscript k ($k = 1, \ldots, 6$), corresponding to 0–6 months, 6 months to 2 years, $2-8$ years, $8-19$ years, $20-64$ years, and 65 years.

It was assumed that the vaccine provides partial protection, resulting in vaccinated individuals being less susceptible than unvaccinated ones. Individuals vaccinated with LAIV become infected at a fraction (1- σ_k) of the rate at which unvaccinated susceptible individuals become infected, where σ_k is a function of LAIV effectiveness for age group k (Appendix). Similarly, it was assumed that infection risk for IIV vaccinees is reduced by a factor, ω_k . \mathcal{V}_k and θ_k are defined as the vaccination rate in age group k using LAIV and IIV, respectively. Owing to lack of data for vaccine-specific coverage across age groups, IIV was assumed to be the only vaccine used to immunize individuals in Age Groups 2, 4, 5, and 6 against influenza ($\Psi_2 = \Psi_4 = \Psi_5 = \Psi_6 = 0$). By contrast, age Group 3 (age 2–8 years) was eligible for either LAIV or IIV. Specifically, it was assumed that a proportion $\Psi_3 / (\Psi_3 + \theta_3)$ of vaccinated individuals in Group 3 receive LAIV, while a proportion θ_3 / ($\Psi_3 + \theta_3$) receive IIV. Individuals aged <6 months receive no influenza vaccination ($\Psi_1 = \theta_1 = 0$).

When susceptible or vaccinated individuals are infected, they enter a latency period. The latent period $(1/h)$ was assumed to be 1.2 days on average.¹⁴ Latently infected individuals become infectious, and the probability of symptomatic illness is denoted by $p (p=0.67)$.¹⁵ People with asymptomatic disease were assumed to be half as infectious as those with symptomatic illness, (i.e., $b=0.5$).¹⁶ The average infectious period, $1/\gamma$, was assumed to be 4 days. Influenza-induced death rates in age group k are denoted by a_k , whereas recovered individuals were assumed to be protected against further influenza infection for the remainder of the outbreak.

Based on these assumptions and definitions, the model can be described as:

$$
\frac{dS_k}{dV_{L_k}^H} = -\lambda_k S_k - (\psi_k + \theta_k) S_k;
$$
\n
$$
\frac{dV_{L_k}^H}{dV_{L_k}^H} = \psi_k S_k - (1 - \sigma_k) \lambda_k V L_k;
$$
\n
$$
\frac{dV_{T_k}^H}{dt} = \theta_k S_k - (1 - \omega_k) \lambda_k V T_k;
$$
\n
$$
\frac{dE_k}{dt} = \lambda_k \left\{ S_k + (1 - \sigma_k) V L_k + (1 - \omega_k) \lambda_k V T_k \right\} - h E_k;
$$
\n
$$
\frac{dA_k}{dt} = h(1 - \rho) E_k - \gamma A_k;
$$
\n
$$
\frac{dA_k}{dt} = h \rho E_k - (\gamma + \alpha_k) l_k;
$$
\n
$$
\frac{dA_k}{dt} = \gamma (A_k + l_k);
$$
\n
$$
\frac{dE_k}{dt} = \alpha_k l_k.
$$

Here, the total population size is denoted by $N(t) = \sum_k S_k(t) + V L_k(t) + V T_k(t) + E_k(t) +$ $A_k(t) + I_k(t) + R_k(t)$, and the force of infection as $\lambda_k = \beta_k \sum_j \phi_{kj} \{bA_j(t) + I_j(t)\}/N(t)$. ϕ_{kj} is defined as the contact rate for infectious individuals of age group $j(A_j \text{ or } I_j)$ with susceptible individuals of age group $k(S_k)$, where β_k represents the transmission probability per contact in age group k. In the transmission model, contact rates (ϕ_{kj}) were parameterized based on the European age-dependent contact matrix that describes age group mixing.17 The transmission probability per contact in age group k, β_k , is calibrated using U.S.-based data on influenza illness rates and vaccine coverage levels in the respective age groups.18 The model was run over a 10-month time horizon (i.e., a single influenza season) from August through May. Given this short time horizon, aging was ignored in this model.

To calibrate the model, 2012–2013 influenza season vaccination coverage was used, which ranged between 35.8% in adults (aged 20–64 years) and 69.3% in younger children (aged 6 months to 4 years) ¹⁸. For children aged 2–8 years, vaccination coverage was 58%, resulting in overall symptomatic infection rates of 7.2% for this age group.¹⁸ Thus, yearly influenza incidence for aged 2–8 years (7.2%) was used for the base case analysis where it was assumed that 33% and 67% of vaccinated children aged 2–8 years receive LAIV and IIV, respectively, with 83% LAIV effectiveness and 64% IIV effectiveness. In a sensitivity analysis, results were examined with 0% LAIV effectiveness and 15% IIV effectiveness, consistent with their recently observed variability in effectiveness. Under such assumptions, "IIV only," "LAIV only," and "mixed" vaccine strategies (i.e., LAIV use in 33% and IIV use in 67%) for children aged 2–8 years were considered. MATLAB 2015b was used for numerical simulations and sensitivity analyses.

The relative reduction in infection risk among the vaccinated compared with the unvaccinated when LAIV and IIV are used, σ_k and ω_k , were parameterized as follows.

From a case-control study, vaccine effectiveness is defined as 1 – OR, where OR represents the OR of vaccination in cases and controls, or the OR of disease in vaccinated and unvaccinated population.¹⁹ Here, $Ω_{A0}$ and $Ω_{A1}$ are defined as the vaccine effectiveness (VE), where $\Omega_{\text{A}0}$ and $\Omega_{\text{A}1}$ represent the attack rates (cumulative incidence) in the unvaccinated and vaccinated groups, respectively:

$$
VE=1-\frac{\Omega_{A1}(1-\Omega_{A0})}{\Omega_{A0}(1-\Omega_{A1})}.
$$

Shim et al.²⁰ derived the expression for $Ω_{A0}$ and $Ω_{A1}$ as functions of attack rates using a dynamic model of disease transmission. Those results are used to calculate Ω_{A0} and Ω_{A1} , and to parameterize σ_k and ω_k (Appendix).

Quality-Adjusted Life Years and Utilities

To calculate QALYs, utilities were weighted by time spent healthy and the number of symptom days. On a scale from 0 (death) to 1 (perfect health), the model used utility of 0.933 for healthy children unaffected by influenza (Table 1).³ The utility lost for children with influenza infections is presented in Table $1³$ In addition, utility lost due to medically significant wheezing was assumed to be 0.082, with an average duration of 12.78 days .³ Because most reactogenicity and injection site events related to vaccination are of short duration and mild severity, the only adverse event considered in QALY calculation was medically significant wheezing.³ To determine life years lost because of influenza-related deaths, it was assumed a life expectancy of 77.9 years.³ As is customary in costeffectiveness analyses, remaining life years were discounted by 3%.

Costs

In children, 43% of symptomatic influenza infections require over-the-counter medication, 35% require outpatient visits, and 0.53% require hospitalization (Table 1). Vaccination costs included vaccine prices, cost of administration, and cost of adverse events. Influenza-related direct costs included hospitalization, outpatient visits, over-the-counter medications, and transportation costs. Influenza-related indirect costs consisted of caregiver time lost from work attributable to influenza. Costs were adjusted to 2015 U.S. dollars.

Influenza costs depend on infection rates and disease severity. The expected influenza cost

can be calculated as $U_{INF} = \int_0^T \left(C_1 + C_2 + \sum_{k=1}^3 P(k) C_3(k) \right) I_3(t) e^{-rt} dt$, where each k corresponds to a different clinical outcome: hospitalization, outpatient visit, and the use of over-the-counter medication. C_1 and $C_3(k)$ are transportation costs and clinical outcome costs, respectively, where $P(k)$ is the probability of each outcome. C_2 is the cost of caregiver work loss per influenza illness when the cost is calculated from societal perspective, whereas it is assumed $C_2 = 0$ when a healthcare perspective is taken. Finally, vaccination program cost was calculated as

$$
U_{\rm VAC} \!=\!\int_0^T \left(\psi_3(t) S_3(t) \left(C_{\rm LAPV} \!+\! \sum_{h=1}^3 T_{\rm LAPV}(h) C_A(h) \right) \!+\! \theta_3(t) S_3(t) \left(C_{\rm HV} \!+\! \sum_{h=1}^3 T_{\rm HV}(h) C_A(h) \right) \right) e^{-rt} dt,
$$

where each *h* corresponds to different adverse events: medically significant wheezing, reactogenicity, and infection site event. $C_A(k)$ is the cost of each adverse event, where $T_{LAY}(h)$ and $T_{HV}(h)$ refer to the probability of each event associated with LAIV and IIV use, respectively. C_{LAIV} and C_{IIV} are LAIV and IIV vaccination costs, respectively, including administration cost. This cost expectation, U_{VAC} is a summation over a time horizon, from time 0 to T.

Cost-Effectiveness Analysis

A cost-effectiveness analysis of influenza vaccination for children aged 2–8 years was performed to estimate their influenza vaccination cost and incremental health effects. The economic analyses compared LAIV (or IIV) against a mixed vaccination strategy using current vaccine uptake of LAIV and IIV among children aged 2–8 years. For a mixed strategy, the combined use of both LAIV and IIV was considered, based on National Immunization Survey-Flu parental reported data for the 2013–2014 influenza seasons. Specifically, in the 2013–2014 season, 33.3% of vaccinated children aged 2–8 years received LAIV whereas 66.7% of vaccinated children received IIV, which was used to parameterize this strategy.²¹

Cost-effectiveness ratios were evaluated as the incremental cost-effectiveness ratio (ICER) in

terms of dollars per QALY gained, $ICER = \frac{U_{VAC} + \Delta U_{INF}}{\Delta Q_{INF}}$, Where U_{INF} is the cost saved because of outcomes averted by an alternate vaccination program. $Q_{I\!N\!F}$ is the total discounted QALYs gained as a result of a new vaccination intervention. Cost-effectiveness analyses were conducted from two perspectives: healthcare system (which included only direct costs) and societal (which included direct and indirect costs).

A probabilistic sensitivity analysis was conducted via Monte Carlo sampling that produced a distribution of realistic influenza outcomes and their costs (Table 1). This probabilistic sensitivity analysis generated a range of feasible predictions of influenza outcomes among children aged 2–8 years under different scenarios of vaccination over which cost effectiveness was calculated.

Analyses were performed in 2014–2015, and the World Health Report 2002 standard of cost effectiveness was used.²² This standard suggests that "very cost-effective interventions" are those that gain each additional QALY at a cost less than gross domestic product per capita, whereas "cost-effective interventions" are those that gain each additional QALY at a cost less than three times the gross domestic product per capita. 22

Results

The base case assumed published meta-analysis vaccine effectiveness data (i.e., IIV 64% and LAIV 83%) and a mixed vaccination strategy as previously described.²³ Under base case assumptions, the model predicted symptomatic influenza in children aged 2–8 years of 7.2%, assuming 58% vaccination, which resulted in 41 deaths, 9,342 hospitalizations, and 616,940 hospital outpatient visits in this age group. The base case scenario also resulted in costs of \$664 million to the healthcare system and \$978 million to society, in this age group.

The probabilistic sensitivity analysis, varying parameters over their distributions when published meta-analysis vaccine effectiveness data were used, showed that the LAIV strategy versus a base case scenario was cost saving in 32% of the model iterations from societal perspective, being very cost effective 97% of the time (Figure 1A). Similarly, from a healthcare perspective, LAIV strategy was cost effective 99% of the time with an incremental cost-effectiveness ratio of \$53,960/QALY gained (95% CI=18,400, 113,430).

On the other hand, the IIV strategy was not preferred owing to higher net cost and lower effectiveness (Figure 1C).

In light of recently observed variations in vaccine effectiveness, the 2014–2015 U.S. effectiveness data (0% LAIV and 15% IIV) were applied and probabilistic sensitivity analysis (Figures 1B and 1D) was carried out. In this analysis, IIV was cost saving in 73% and 85% of the model iterations, from healthcare and societal perspectives, respectively. Furthermore, IIV was considered very cost effective 86% of the time from the societal perspective. On the other hand, the LAIV strategy was not preferred in this analysis, resulting in lower effectiveness and higher net cost than the base case scenario (Figure 1B).

For direct comparison of LAIV and IIV strategies, analyses incorporating a range of LAIV and IIV effectiveness were carried out. If LAIV effectiveness was <43%, LAIV was never favored when a cost-savings threshold was used (Figure 2A). In addition, if IIV effectiveness was greater than 45%, IIV use was cost saving over the entire range of LAIV effectiveness from the healthcare perspective (Figure 2A). As shown in Figure 2, the favorability threshold for LAIV effectiveness increases as IIV effectiveness increases. Thus, if IIV effectiveness in children aged 2–8 years remains at its 2014-15 level, 15%, then LAIV would not be favored unless its effectiveness was $>63\%$ from the healthcare perspective, or $>31\%$ from the societal perspective. If IIV effectiveness returns to 64%, LAIV effectiveness would have to be >85% for LAIV to be favored from the societal perspective, whereas LAIV would not be favored over the entire range of IIV effectiveness from the healthcare perspective (Figure 2).

These findings were confirmed when the incremental cost-effectiveness ratios of LAIV versus IIV among children aged 2–8 years were computed at various levels of vaccine effectiveness (Table 2). In this two-way sensitivity analysis, if LAIV effectiveness in children aged 2–8 years remains at its 2014–2015 level, 0%, then IIV would be favored unless as long as its effectiveness was >0% from both healthcare and societal perspectives. If LAIV effectiveness becomes as high as 75%, IIV effectiveness would have to be >90% for IIV to be favored, whereas switching to IIV would be considered very cost effective from the healthcare perspective if the effectiveness of IIV is between 30% and 45% (Table 2). From the societal perspective, if LAIV effectiveness is 75%, LAIV would be a favored over IIV unless IIV effectiveness is >45%.

Discussion

For many reasons, LAIV is being increasingly administered to children, despite its higher cost compared with IIV.24-28 In 2014, CDC recommended preferential LAIV use in children aged 2–8 years.²⁴⁻²⁷ However, recent data did not confirm LAIV superiority, and thus this recommendation was subsequently changed by CDC in 2015, stating no preference for either vaccine. In fact, relatively poor effectiveness for both vaccines has been demonstrated in certain situations.²⁹

To incorporate observed variation in vaccine effectiveness, wide effectiveness ranges for both vaccines were considered. In general, when the IIV effectiveness was higher than LAIV effectiveness, exclusive IIV use was preferred over LAIV vaccination (Table 2). Similarly,

IIV is expected to be cost saving when IIV effectiveness is superior to LAIV (Figure 2). Furthermore, the IIV-only strategy is highly like to be cost effective compared with the mixed vaccine strategy, consistent with the current uptake levels (i.e., LAIV given to 36% of vaccine recipients aged 2–8 years) when 2014–2015 U.S. effectiveness data (0% LAIV and 15% IIV) are applied. These results provide insight into vaccination program effectiveness for influenza seasons when LAIV effectiveness was relatively low against the H1N1 influenza strain, as in 2013–2014, and the H3N2 influenza strain, as in 2014–2015.

For probabilistic sensitivity analyses, key epidemiologic parameters were simultaneously varied, showing that LAIV would be almost never favored when 2014–2015 U.S. effectiveness data (0% LAIV and 15% IIV) were used. If LAIV effectiveness returns to 83%, LAIV vaccination would be again a cost-effective strategy compared with both IIV and mixed strategies. Prior analyses of LAIV versus IIV administration in children also concluded that LAIV was cost effective, $3,7,30$ with some discrepancies between results in this paper and those of previous analyses.^{3,7} They may be due to differences in methodology, specifically the inclusion of a mixed strategy where both LAIV and IIV are simultaneously used, and the incorporation of vaccine-induced indirect protection.

Conclusions

With variations in LAIV and IIV effectiveness, evaluation of various vaccination strategies might provide insight for successful influenza immunization programs. Incorporation of indirect effects of vaccination and age-dependent contact patterns enhanced the costeffectiveness analysis of influenza vaccination. New findings should strengthen understanding of the economic benefits of influenza vaccination, and elucidate the epidemiologic and economic burdens associated with influenza in the U.S.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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(a) ICER of LAIV use versus current vaccine uptake, using higher LAIV than IIV vaccine effectiveness from meta-analysis

(c) ICER of IIV use versus current vaccine uptake, using higher LAIV than IIV vaccine effectiveness from meta-analysis

(d) ICER of IIV use versus current vaccine uptake, using 2014-2015 U.S. effectiveness data

Figure 1.

Association between discounted QALYs gained and costs of vaccination.

Note: The crosses are when societal perspective is taken, and circles when healthcare perspective is taken. Regions below the dashed lines indicate cost-effective (<\$159,123/ QALY), whereas regions below the solid lines indicate very cost-effective (<\$53,042/ QALY). The different panels constitute different vaccination scenarios and vaccine effectiveness.

ICER, incremental cost-effectiveness ratio; LAIV, live attenuated influenza vaccine; IIV, inactivated influenza vaccine; QALY, quality-adjusted life years

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Figure 2.

Two-way sensitivity analysis – LAIV vs. IIV effectiveness, cost savings threshold in 2-8 year-olds.

Note: The area where LAIV is cost saving compared to IIV is depicted for hypothetical improved LAIV effectiveness values (y-axis) over IIV (x-axis). In panel (a), healthcare perspective is taken; panel (b) societal perspective is taken.

LAIV, live attenuated influenza vaccine; IIV, inactivated influenza vaccine

Table 1

Epidemiological and Economic Parameters, Baseline Values, Distribution, and Associated References

LAIV, live attenuated influenza vaccine; IIV, inactivated influenza vaccine; AOM, acute otitis media; LRI, lower respiratory tract infection; LR, low risk; HR, high risk; OTC, over-the-counter; MSW, medically significant wheezing

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Table 2

ICER of LAIV Strategy Versus IIV Strategy (in 10³ USD per QALY) 3 USD per QALY) ICER of LAIV Strategy Versus IIV Strategy (in 10

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ICER, incremental cost-effectiveness ratio; LAIV, live attenuated influenza vaccine; IIV, inactivated influenza vaccine; USD, United States dollars; QALY, quality-adjusted life years

ICER, incremental cost-effectiveness ratio; LAIV, live attenuated influenza vaccine; IIV, inactivated influenza vaccine; USD, United States dollars; QALX, quality-adjusted life years