# REPORT

# IgG Fc variant cross-reactivity between human and rhesus macaque $Fc\gamma Rs$

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# ABSTRACT

Non-human primate (NHP) studies are often an essential component of antibody development efforts before human trials. Because the efficacy or toxicity of candidate antibodies may depend on their interactions with  $Fc\gamma$  receptors ( $Fc\gamma R$ ) and their resulting ability to induce  $Fc\gamma R$ -mediated effector functions such as antibody-dependent cell-meditated cytotoxicity and phagocytosis (ADCP), the evaluation of human IgG variants with modulated affinity toward human  $Fc\gamma R$  is becoming more prevalent in both infectious disease and oncology studies in NHP. Reliable translation of these results necessitates analysis of the cross-reactivity of these human Fc variants with NHP  $Fc\gamma R$ . We report evaluation of the binding affinities of a panel of human IgG subclasses, Fc amino acid point mutants and Fc glycosylation variants against the common allotypes of human and rhesus macaque  $Fc\gamma R$  by applying a high-throughput array-based surface plasmon resonance platform. The resulting data indicate that amino acid variation present in rhesus  $Fc\gamma R$  orthologs. These observations emphasize the importance of evaluating species cross-reactivity and developing an understanding of the potential limitations or suitability of representative *in vitro* and *in vivo* models before human clinical studies when either efficacy or toxicity may be associated with  $Fc\gamma R$  engagement.

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# Introduction

The adaptive and innate immune systems are linked by the interaction between antibodies and  $Fc\gamma$  receptors ( $Fc\gamma R$ ) expressed on a diverse population of immune effector cells. The critical role that  $Fc\gamma R$  play in driving antibody activity in vivo has been identified in diverse disease settings, including cancer, immunity and infectious disease. As antibody drug products continue to gain predominance in the clinic, understanding of their myriad mechanisms of action has matured into efforts to manipulate and improve upon their properties, including modulating antibody selectivity for individual or sets of  $Fc\gamma R$ , thereby tuning the effector functions, such as antibody-dependent cell-meditated cytotoxicity (ADCC) and phagocytosis (ADCP), they elicit. Modulation of antibody:  $Fc\gamma R$  affinity has been accomplished through IgG Fc changes, including subclass selection,<sup>1</sup> amino acid sequence engineering<sup>2-12</sup> and glycan engineering.<sup>13-21</sup> Although rational selection of human IgG subclasses has long been a key aspect of clinical antibody development, Fc amino acid mutations and glycanoptimized antibodies have only made their way into the clinic more recently, with the first US Food and Drug Administration (FDA)-approval of a glycoengineered antibody achieved in 2013,<sup>22</sup> and several Fc variants currently in clinical trials.<sup>23-25</sup> While many monoclonal IgGs have been shown to be safe and effective in humans, before first-in-human studies, non-human primate (NHP) studies remain a critical step in evaluating drug safety, potential toxicity, and possible efficacy. NHP studies become increasingly important when considering drug development against potential bioterror threats under FDA's 'animal rule,' which allows the use of animal model data to show efficacy when human trials are not ethical or logistically feasible.<sup>26</sup>

Rhesus macaques (macaca mulatta, MM) have been a widely used animal model for preclinical work evaluating monoclonal antibodies for human IgG antigenicity (historically),<sup>27</sup> half-life extension,<sup>11,12</sup> autoimmunity,<sup>28</sup> allograft transplantation,<sup>10,29</sup> and efficacy in infectious disease studies, including sepsis,<sup>30</sup> cytomegalovirus (CMV),<sup>27</sup> chikungunya virus,<sup>31</sup> Ebola virus,<sup>32,33</sup> dengue virus (DENV),<sup>34,35</sup> respiratory syncytial virus,<sup>36</sup> and severe acute respiratory syndrome coronavirus<sup>37</sup> infection. MM have extensively contributed to studies of the potential role of antibodies in protection from human immunodeficiency virus (HIV) infection, given their ability to be infected by simian immunodeficiency virus (SIV), and simianized HIV (SHIV).<sup>38-44</sup> Because passively administered monoclonal antibodies can lead to protection from infection in challenge experiments,<sup>43,44</sup> they have also been used to investigate mechanisms of protection. For example, a pivotal study using IgG-Fc point mutants with abrogated affinity toward Fc receptors in rhesus revealed that broadly neutralizing antibodies also require the engagement of FcyRs for SHIV protection,<sup>39,45</sup> though protection was not enhanced when a glycovariant antibody was administered.<sup>40</sup>

These and other *in vivo* studies using human Fc-variants in MM expose the potential importance of  $Fc\gamma R$  engagement for antibody efficacy. However, depending on the particular disease

Table	1.	Use of	human	lgG	Fc	: variants	in	in vivo	studies	in	rhesus	macaque.
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Human Fc Variants	Modulation	Results	Reference(s)
IgG2: M428L, T2500/M428L	Increases FcRn	Clearance rate 1.8x and 2.8x slower than WT	11
lgG1: M428L/N434S	Increase FcRn	VRC01-LS had 3x longer half-life and enhanced protection from	12
		intrarectal SHIV challenge relative to WT	
lgG1: K332A, L234A/L235A	KA ablates c1q, LALA ablates c1g/Fcγ/R	LALA variant reduced SHIV protection vs. WT b12	39,45
lgG1: afucosylated	Increases FcyRIII	Afucosylated b12 did not improve SHIV protection over WT	40
IgG1 cocktail: afucosylated	Increases FcyRIII	Afucosylated MB-003 improved post-exposure Ebola survival vs. fucosylated	33
lgG1: S298A/E333A/K334A	Reduces Fcy Rlla/IIb, Increases Fcy Rlla	Limited number of founder viruses during SHIV infection (no WT control)	8,80
lgG1: APELLGGPS deletion in CH2	Ablates binding to $Fc\gamma R$	Eliminated ADE of DENV infection <i>in vitro</i> , 100% protection <i>in vivo</i> (no WT control <i>in vivo</i> )	34,35
lgG1: N297G (aglycosylated)	Ablates binding to $Fc\gamma R$ , reduces c1q	Aglycosylated hu5C8 treatment did not significantly extend renal and islet allograft acceptance like WT	10

pathogenesis and therapeutic strategy being used, IgG-Fc variants with enhanced or abrogated affinity to human  $Fc\gamma R$  may be desirable (Table 1). For instance, antibody-dependent enhancement (ADE) of infection has been reported for dengue virus<sup>46</sup> due to  $Fc\gamma R$  engagement;<sup>47</sup> therefore ablation of  $Fc\gamma R$ binding through point mutations has been leveraged in rhesus to prevent ADE.<sup>34,35</sup> Efficacy of glycosylation variants has also been assessed in NHP. Administration of nonfucosylated antibodies has produced mixed results; a broadly neutralizing antibody with enhanced affinity to FcyRIIIa and ADCC activity in vitro did not augment protection from a vaginal SHIV challenge,<sup>40</sup> while treatment with a nonfucosylated antibody cocktail resulted a higher survival rate compared with a fucosylated antibody cocktail in post-exposure Ebola therapy studies.<sup>33</sup> Given the potential utility of such preclinical studies in NHP to determine safety and possible efficacy of FcyR optimized IgG-Fc variants, there is a need to consider the divergence between human and NHP  $Fc\gamma Rs$  in the interpretation and translation of results to the clinic.

MM possess genes orthologous to human activating receptors  $Fc\gamma RI$ ,  $Fc\gamma RIIa$ , and  $Fc\gamma RIIa$ , and the inhibitory receptor  $Fc\gamma RIIb$ , but not  $Fc\gamma RIIc$  or  $Fc\gamma RIIb$ .<sup>48</sup> Sequencing efforts have identified several allotypic variants<sup>48</sup> that exhibit differences in affinity toward human IgG subclasses relative to their human orthologs,<sup>49</sup> likely due to inter-species differences in amino acid residues in contact regions. Cynomolgus macaque  $Fc\gamma R$  also exhibit differences in affinity to human IgG subclasses.<sup>50</sup> Importantly, intra-species  $Fc\gamma R$  allotypic variation has led to outcome disparities *in vivo*: B cell depletion efficiency driven by treatment with the anti-CD20 antibody rituximab were associated with rhesus  $Fc\gamma RIIIa$  allotypes with

Table 2. Previously published rhesus macaque effector cell  $Fc\gamma R$  expression patterns.

Cell Type	Confirmed Expression	Confirmed Non-expression	Reference(s)
CD3+ T cells	FcνRll	FcvRL FcvRIII	81
CD20 + B cells	FcvRll	FcvRL FcvRIII	81
Macrophage/Monocyte	$Fc\gamma RI, Fc\gamma RII, Fc\gamma RII$	, ,,	82
Natural killer cell	FcγRIII	FcyRl, FcyRll	82
Monocyte-derived dendritic cells	$Fc\gamma RI, Fc\gamma RII$	FcγRIII	83
Granulocytes		FcγRIII	68,70

polymorphisms in the intracellular domain.<sup>51</sup> These studies indicate that intra- and inter-species differences in  $Fc\gamma R$  sequence may manifest significant differences in biologic outcome and point to the potential utility of genotyping animal cohorts.

Beyond sequence disparities leading toward functional differences, the cellular expression profile of  $Fc\gamma R$  should also be considered. The expression patterns of rhesus  $Fc\gamma Rs$  have been evaluated using antibodies against human  $Fc\gamma R$  with presumed cross-reactivity to NHP  $Fc\gamma R$  (Table 2). These studies have resulted in expression profiles generally comparable to those observed in human cell subsets,<sup>52-54</sup> with the exception of granulocytes (such as neutrophils or eosinophils). Human granulocytes express FcyRIIIb while MM do not possess an FcyRIIIb, and their granulocytes apparently do not express  $Fc\gamma$ RIIIa. To the best of our knowledge, FcyRII expression on granulocytes has not been evaluated. A lack of FcyRIII expression on granulocytes has also been observed in other species, including cynomolgus<sup>50</sup> and nemestrina.<sup>55</sup> This difference may be significant to experimental outcomes considering the abundance of neutrophils in blood and the ability of  $Fc\gamma RIIIb$  to drive neutrophil extracellular trap release (NETosis),56,57 elicit phagocytosis,58 and inhibit  $Fc\gamma RIIa$ -driven ADCC<sup>59</sup> in human neutrophils.

Given the increasing prevalence of  $Fc\gamma R$ -optimized IgG variants in studies using rhesus macaque, we characterized the affinities of human IgG subclass, glycoform and sequence-engineered variants to the major human and rhesus macaque allotypic  $Fc\gamma R$  variants. To accomplish this goal, we leveraged a high-throughput surface plasmon resonance (SPR) array and affinities ( $K_D$ ) between species to characterize inter-species IgG- $Fc\gamma R$  cross-reactivity. This work expands the knowledge base of cross-reactive Fc-variants available to investigators interested in modulating effector function in preclinical NHP studies, and highlights the use of a high-throughput IgG: $Fc\gamma R$  cross-reactivity characterization platform that is extensible to any protein-protein interaction and animal model of interest.

## Results

# Human IgG subclasses

Human IgG subclasses can variably induce effector functions by differential ability to interact with  $Fc\gamma R$ , but little is known about how the human IgG subclasses cross-react with MM



**Figure 1.** Affinity of human IgG subclasses for human and rhesus  $Fc\gamma R$ . Equilibrium binding constants ( $K_D$ ) measured by SPR for human and rhesus  $Fc\gamma R$  allotypes binding to human IgG subclasses from human serum (IgG1, IgG2, IgG3) and human myeloma plasma (IgG1, IgG2, IgG3) and IgG4) for  $Fc\gamma R$ IIa (A),  $Fc\gamma IIB$  (B), and  $Fc\gamma R$ IIIa (C) allotypes. \*Indicates human myeloma plasma derived IgG. Data represents the mean of up to 4 replicates and error bars denote the standard deviation. Data are representative of 2 independent experiments.

Fc $\gamma$ R. Because this information could contribute to NHP studies, we measured the affinity of each human IgG subclass to both human and rhesus low affinity Fc $\gamma$ Rs with IgG immobilized on a solid phase and Fc $\gamma$ R in solution. Binding of human IgGs to the high affinity rhesus Fc $\gamma$ RI was previously evaluated with Fc $\gamma$ RI immobilized on a solid surface in a BioLayer Interferometry assay and demonstrated that this receptor retained high affinity for human IgG subclasses in the order of IgG3 = IgG1>IgG4>IgG2.<sup>49</sup> Binding of the low affinity human and rhesus Fc $\gamma$ R to human serum- and myeloma-derived IgG1, IgG2 and IgG3 and myeloma-derived IgG4 is shown in Fig. 1. Good agreement (between 1–3-fold) was generally observed between serum- and myeloma-derived IgG, with differences potentially due to variance in Fc glycosylation or IgG allotypic variation.

The affinity of IgG2 was higher for human Fc $\gamma$ RIIa H131 compared with the R131 allotypic variant (Fig. 1A), consistent with previous studies.<sup>50,60</sup> Interestingly, rhesus Fc $\gamma$ RIIa allotypes 2 and 3, which both contain a histidine at this position, displayed similar affinities toward human IgG2 compared with the human H131 allotype. Analogous to the human Fc $\gamma$ RIIa-R131 allotype with weaker affinity toward IgG2, rhesus Fc $\gamma$ RIIa allotypes 1 and 4 had lower affinity toward IgG2, as well as the other subclasses, possibly due to allotype 4 having a proline at position 131 and allotype 1 having an additional N-glycosylation motif at position 128, a putative contact residue,<sup>49</sup> and in close proximity to position 131, a known contact residue in human Fc $\gamma$ RIIa.<sup>61</sup>

The human IgG subclasses demonstrated weaker affinity for human Fc $\gamma$ RIIb than either human Fc $\gamma$ RIIa or rhesus Fc $\gamma$ RIIb-1 (Fig. 1B). Additionally, the affinity of IgG2 relative to the other subclasses was 2–6-fold weaker for human Fc $\gamma$ RIIb, while IgG2 demonstrated comparable affinity to the other subclasses for rhesus Fc $\gamma$ RIIb-1. Both of these effects may be due in part to rhesus Fc $\gamma$ RIIb-1 containing H131 while human Fc $\gamma$ RIIb contains R131. This observation is consistent with a previous study evaluating H131 containing cynomolgus Fc $\gamma$ RIIb binding to human IgG subclasses.<sup>50</sup> The rhesus Fc $\gamma$ RIIb-2 allotypic variant was not evaluated in this study because an amino acid substitution of leucine to proline at position 88 severely compromises binding to all human IgG types.<sup>49</sup>

Human IgG1 and IgG3 exhibited higher affinity than IgG2 and IgG4 for both human and rhesus  $Fc\gamma$ RIIIa allotypes (Fig. 1C). As expected, the human  $Fc\gamma$ RIIIa V158 allotype generally displayed higher affinity for human IgG than the F158 allotype.<sup>60</sup> The rhesus  $Fc\gamma$ RIIIa allotypes appeared to have affinities more similar to the higher affinity human  $Fc\gamma$ RIIIa V158 than the lower affinity F158 allotype. This activity profile is consistent with the presence of valine at this position in rhesus  $Fc\gamma$ RIIIa-3 and isoleucine, a similar side-chain group, in rhesus  $Fc\gamma$ RIIIa-1. This position is a known contact residue between human IgG-Fc and human  $Fc\gamma$ RIIIa.<sup>62</sup>

#### Human IgG1 Fv variants

Human IgG1 is the most common subclass used therapeutically due to its long half-life, robust stability, and ability to engage  $Fc\gamma Rs$ . To determine whether the variable region of the monoclonal antibody we evaluated affects the affinity of its interaction with human or rhesus  $Fc\gamma R$  and to appraise whether the high-throughput SPR assay produces reliable and reproducible results, we printed 4 recombinantly-expressed monoclonal antibodies for affinity assessment. This panel of Fv variants included HIV envelope glycoprotein-specific VRC01 and b12 antibodies, and HER2-specific trastuzumab and pertuzumab antibodies. The affinity of each recombinant human IgG1, which differed only in the variable region, was measured across human and rhesus  $Fc\gamma R$  allotypes (Fig. 2). As expected, the affinity of human IgG1 to both human and rhesus  $Fc\gamma R$  was independent of the antibody specificity and variable region sequence.

#### Human IgG1 Fc variants

As several antibody variants have been identified that can modulate binding to  $Fc\gamma Rs$ , and therefore differentially elicit effector functions such as ADCC or phagocytosis, an evaluation was performed to compare the binding affinity of a subset



**Figure 2.** Affinity of recombinant human lgG1 Fv variants for human and rhesus  $Fc\gamma Rs$ . Equilibrium binding constants for the interaction between human VRC01, b12, trastuzumab, and pertuzumab lgG1 antibodies and human and rhesus  $Fc\gamma Rlla$  (A),  $Fc\gamma llb$  (B), and  $Fc\gamma Rlla$  (C) allotypes. Bar denotes the mean  $K_D$  observed across Fv variants.

of these previously reported IgG1 Fc variants to both human and rhesus  $Fc\gamma Rs$ . Fourteen Fc variants (Table 3) of the many that have been previously identified to ablate or improve  $Fc\gamma R$  affinity and effector function were prepared in the context of the VRC01 Fv, directly printed onto the SPR array surface, and their equilibrium binding constants to both human and rhesus FcyRs subsequently evaluated. This panel of mutants was selected to provide a range of affinity differences (improved and reduced) across all human  $Fc\gamma R$  and C1q, and variants that had been evaluated simultaneously in in vitro assays with follow up confirmation of relevance in vivo were prioritized. As examples, the VRC01 wild type antibody displayed a typical fast off-rate profile (Fig. 3A), while the afucosylated-Fc and SD/IE/SA variants exhibited much slower offrates relative to wild type (5x and 300x, respectively) (Fig. 3B, D). The genetically-aglycosylated Fc control (N297Q) had extremely low or undetectable binding to all  $Fc\gamma R$ , resulting in indeterminate or unreliable K<sub>D</sub> assessments (Fig. 3C).

The equilibrium dissociation constant ( $K_D s$ ) for each individual Fc variant against both human and rhesus macaque Fc $\gamma$ Rs were determined (Fig. 4). Beyond confirming the effect of these Fc modifications on human Fc $\gamma$ R that have been previously reported, for example, the striking effect of afucosylation (kifunensine) on Fc $\gamma$ RIII but not Fc $\gamma$ RII, this data makes clear that the Fc amino acid substitutions engineered for altering affinity toward human Fc $\gamma$ R also often modulated affinity toward rhesus Fc $\gamma$ R. However, in many cases the magnitude of this effect was less striking (Fig. 4A).

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lgG1 Variant	Abbreviation	Reference
Wild Type	WT	Wu et al. <sup>84</sup>
Kifunensine	Kif	Kanda et al. <sup>66</sup>
S324T	ST	Moore et al. <sup>6</sup>
H268F/S324T	HFST	
S267E/H268F/S324T	SEHFST	
S267E/H268F/S324T/I332E/G236A	SEHFSTIEGA	
1332E	IE	Richards et al. <sup>2</sup>
G236A	GA	
S239D/I332E	SDIE	
I332E/G236A	IEGA	
S239D/I332E/G236A	SDIEGA	
S239D/I332E/A330L	SDIEAL	Lazar et al. <sup>3</sup>
S239D/I332E/S298A	SDIESA	Lazar patent US7662925B2 <sup>65</sup>
N297Q	NQ	Ferrant et al. <sup>10</sup>

The IE and SD/IE substitutions demonstrated improved affinity for  $Fc\gamma RIIa$  by 10-fold over wild type and the relative changes were within 2-fold between species. The SD/IE/SA mutant has been previously shown to increase affinity to human FcyRIIIa; however, we were surprised to observe a 30fold improvement in affinity for the rhesus FcyRIIa-2 allotype versus a 1-4-fold improvement for the human and other rhesus FcyRIIa allotypes (Fig. 4A). When comparing amino acid sequence homology, rhesus FcyRIIa-2 has a polymorphism from serine to alanine at position 126 that is in close proximity to proposed contact residues on the  $Fc\gamma R$  protruding C'  $\beta$ -strand ridge that sits between the 2 lower hinge regions of the antibody  $Fc.^{61}$  The  $Fc\gamma R$  ridge makes contact with the antibody N297 residue, proximal to the S298A mutation, indicating the FcyRIIa-2 S126A polymorphism may induce a conformational change in the ridge that results in favorable binding to S298A IgG variant.

IgG Fc variants containing the G236A mutation were originally designed to enhance binding to human FcyRIIa and increase the ratio of binding to the activating FcyRIIa relative to the inhibitory  $Fc\gamma RIIb$  receptor.<sup>2</sup> However, IgG variants containing the GA mutation did not increase binding to rhesus  $Fc\gamma RIIa$  as much as toward human  $Fc\gamma RIIa$  (Fig. 4A). For example, the affinity for the GA mutant increased approximately 3-fold for both human allotypes, but did not differ relative to wild type for the rhesus  $Fc\gamma RIIa$  allotypes. The inability of the GA substitution to result in an affinity increase toward rhesus  $Fc\gamma$ RIIa is also apparent when analyzing the Fc variants that display concerted effects from multiple mutations. For example, the IE/GA and SD/IE/GA mutants displayed a 65-145-fold increase in affinity for human FcyRIIa, but only a modest 9-15-fold increase for the rhesus FcyRIIa allotypes. Similar, but somewhat less dramatic results were observed in the repeat run. As G236 is a proposed Fc contact residue with Y157 at the tip of the F-G loop of human FcyRIIa,<sup>61</sup> disruption of contact with this residue may be caused by nearby changes L159P and F160Y found in all rhesus FcyRIIa allotypes.

The SE/HF/ST and SE/HF/ST/IE/GA mutants are particularly interesting given they contain the S267E mutation. Previous studies have shown this mutation produces a dramatic increase in affinity for R131-containing human  $Fc\gamma$ RIIa and  $Fc\gamma$ RIIb receptors, but no change in H131  $Fc\gamma$ RIIa affinity.<sup>4,5,7</sup> Our results are consistent with these studies, as we observe that human  $Fc\gamma$ RIIa R131 shows a 7–10-fold increase in affinity for the SE/HF/ST variant, but not the ST or HF/ST variants, while



**Figure 3.** Exemplary SPR response curves and 1:1 stoichiometry kinetic model fits. VRC01 Fc variants were directly printed onto the SPR chip and analyzed for binding to MM Fc $\gamma$ RIIIa-3 over the range of 20  $\mu$ M to 0.2 nM. Raw curves (black) and kinetic fits (red) are shown for wild type (WT, A), oligomannose, afucosylated IgG produced via expression in the presence of kifunensine (B), a genetically aglycosylated Fc variant produced by N297Q point mutation (C), and the Fc-engineered S239D/I332E/S298A point mutat (D).

there was no change in binding for any of these mutants toward the human  $Fc\gamma RIIa$  H131 allotype or toward any of the rhesus allotypes (Figs. 4A, 5A), which also contain H131. Similarly, the SE/HF/ST/IE/GA mutant had a 6-fold greater increase in relative affinity for the human R131 allotype (300-fold) vs. the human H131 allotype (50-fold).

The affinity differences between human and rhesus  $Fc\gamma RIIb$ were generally relatively subtle (Fig. 4B); however, dramatic differences were seen for Fc variants containing the SE mutation. The relative affinity of human  $Fc\gamma RIIb$  (R131) was 7–10-fold greater than the rhesus  $Fc\gamma RIIb$ -1 (H131) allotype for the SE/ HF/ST mutant but unchanged for ST and HF/ST (Figs. 4B, 5B), consistent with the  $Fc\gamma RIIa$  results. This result extends the observation that the S267E mutation improves affinity only to R131-containing  $Fc\gamma RII$  receptors, but not to any human or macaque  $Fc\gamma RII$  receptors that contain H131. Given S267 of the Fc participates in a hydrogen bond interaction with H131 in  $Fc\gamma RIIa$ ,<sup>63</sup> the mutation of serine to glutamic acid may have a compound effect in that it disrupts the hydrogen bonding with H131 and also creates a salt bridge with human  $Fc\gamma RII$ receptors containing R131.<sup>4</sup>

The Fc variants displayed comparable affinities between rhesus and human Fc $\gamma$ RIIIa allotypes (Figs. 4C, 5C). Notably, the non-fucosylated/oligomannose antibody variant mediated an increase in affinity for all human Fc $\gamma$ RIIIa/b and rhesus Fc $\gamma$ RIIIa (10–120-fold) with minimal change toward Fc $\gamma$ RIIa or Fc $\gamma$ RIIb (1–3-fold), as previously observed for rhesus Fc $\gamma$ RIIIa-1 and Fc $\gamma$ RIIIa-3, when evaluating a non-fucosylated/complex glycan antibody.<sup>40</sup> This observation is consistent with cross-species conservation of a glycosylation motif at residue N162, a glycosylation site previously shown for human Fc $\gamma$ RIIIa to contact the N297-linked glycan on the human IgG Fc and stabilize the receptor-antibody complex.<sup>64</sup> In addition to the glycovariant, several mutants, including IE, SD/IE, SD/



**Figure 4.** Equilibrium binding constants ( $K_D$ ) of IgG VRC01 Fc variants for human and rhesus Fc $\gamma$ R. Equilibrium binding constants for human VRC01 IgG1 Fc variants binding to human and rhesus Fc $\gamma$ RIIa (A), Fc $\gamma$ IIIb (B), and Fc $\gamma$ RIIIa (C) allotypes. Data are representative of 2 independent experiments.



**Figure 5.** Relative affinity for VRC01 and Trastuzumab Fc variants binding toward human and rhesus macaque Fc $\gamma$ R. Relative affinities (WT K<sub>D</sub> divided by the Fc variant K<sub>D</sub>) for human and rhesus Fc $\gamma$ Rlla (A), Fc $\gamma$ Illb (B), and Fc $\gamma$ Rlla (C) allotypes. Symbols represent affinity observed for each mAb and the bar denotes the mean.

IE/AL, and SD/IE/SA, display improvements in affinity toward Fc $\gamma$ RIIIa for both species. It is also noteworthy that the relative affinity for all of the Fc variants toward the 3 Fc $\gamma$ RIIIb allotypes track closely with the human Fc $\gamma$ RIIIa allotypes, consistent with a previously evaluated IgG Fc variant panel<sup>65</sup> and an afucosylated antibody.<sup>58</sup>

Affinity measurements were made with both VRC01 and trastuzumab IgG for a subset of Fc variants to confirm results, and are displayed as a ratio relative to wildtype to make the differences between wild type and the Fc variants more easily apparent (Fig. 5). Relative to wild type, the change in affinity between Fc $\gamma$ RIIa/b allotypes was variable and inconsistent between species (Fig. 5A, B), in contrast to a much more uniform relative profile for the Fc $\gamma$ RIIa variants across species (Fig. 5C). These data indicate aspects of both consistent (Fc $\gamma$ RIIa) and divergent (Fc $\gamma$ RIIa/b) recognition profiles between species.

Overall, the observed changes in affinity of the VRC01 IgG1 Fc variants to human  $Fc\gamma Rs$  were consistent with previous studies.<sup>2,3,6,10,39,65,66</sup> However, variant-specific differences in affinity were observed for several rhesus receptors. When broadly comparing IgG Fc variant equilibrium affinities across

both species and allotypes for each  $Fc\gamma R$  (Fig. 6), there was a strong correlation between rhesus  $Fc\gamma RIIIa$  binding and human  $Fc\gamma RIIIa$ , indicating a high degree of conservation in modes of antibody recognition between rhesus and human  $Fc\gamma RIII (R^2 = 0.934 \text{ to } 0.995, 2\text{-tailed p-value < 0.0001 for all)}$ . In contrast, the agreement between affinity for human  $Fc\gamma RIIa$ and rhesus  $Fc\gamma RIIa$  allotypes was more variant-specific, resulting in correlations that were not as strong as  $Fc\gamma RIII (R^2 =$ 0.123 to 0.428, 2-tailed p-value 0.241 to 0.015). A similarly weak correlation was observed between human and rhesus  $Fc\gamma RIIb (R^2 = 0.449 2\text{-tailed p-value 0.012})$ . Collectively, these correlative relationships suggest that Fc modifications are likely to have a similar effect on recognition by  $Fc\gamma RIII$  for both species, but a less predictable effect on binding to  $Fc\gamma RII$ .

# Discussion

Employing a high-throughput array-based SPR platform, we efficiently characterized the binding of human IgG subclasses, human IgG1 Fv variants and a panel of Fc-engineered human IgG1 variants to human and rhesus  $Fc\gamma Rs$ . These results indicated that there are differences between species that should be



**Figure 6.** Comparison of human IgG1 VRC01 Fc variant equilibrium constants across species and allotypes for each Fc $\gamma$ R. Correlation of binding affinities observed for rhesus and human Fc $\gamma$ RIIa vs. human Fc $\gamma$ RIIa H131 (A), rhesus Fc $\gamma$ RIIb-1 vs. human Fc $\gamma$ RIIb (B), and rhesus Fc $\gamma$ RIIIa-3 and human Fc $\gamma$ RIIIa F158, Fc $\gamma$ RIIIb NA1/NA2/SH vs. human Fc $\gamma$ RIIIa V158 (C).

considered in evaluation of antibody Fc variants in rhesus macaque.

As we have shown, rhesus  $Fc\gamma RIIa$  receptors have a subclass preference similar to that of the human FcyRIIa H131 allotype, and in contrast to the human R131 allotype, consistent with the observation that rhesus allotypes all contain H131. In addition, the affinity of rhesus  $Fc\gamma RIIb-1$  for human IgG2 was markedly higher than that of human  $Fc\gamma RIIb$ , consistent with the fact that rhesus has H131 as opposed to the human receptor's R131. There were also  $Fc\gamma R$  binding differences between species observed for amino acid engineered IgG Fc variants. For instance, neither the G236A mutation designed to increase the  $Fc\gamma RIIa/Fc\gamma RIIb$  activating to inhibitory (A/I) ratio or the S267E previously shown to enhance binding to R131-containing FcyRII receptors translated to rhesus, likely due to divergence in known contact residues or residues within close proximity. Both mutations have the potential to modulate the A/I ratio, which is a particularly important parameter to consider when designing antibodies with differential binding to  $Fc\gamma R$  receptors because this ratio can modulate cellular effector functions, as well as cytokine release profiles.<sup>67</sup>

Unexpectedly, we observed an affinity enhancement for the SD/IE/SA Fc mutant with rhesus Fc $\gamma$ RIIa-2. This result highlights the value of screening Fc-engineered variants against the Fc $\gamma$ Rs of the anticipated animal model because there may be unanticipated changes in affinity. As the Fc variant panel evaluated was not exhaustive, it would be interesting to explore other variants aimed to refine the specificity between the activating and inhibitory Fc $\gamma$ RII receptors, such as the P238D/E233D/ G237D/H268D/P271G/A330R Fc mutant shown to specifically enhance binding to Fc $\gamma$ RIIb.<sup>4</sup>

In contrast to FcyRII receptors, human subclass and Fc variant binding to rhesus FcyRIIIa closely emulates that of human  $Fc\gamma RIII$ . This conservation of recognition properties indicates that effector function discrepancies observed between species in vitro or in vivo may more likely be due to differences in cellular expression patterns, such as the lack of rhesus FcyRIIIb or amino acid differences in the FcyRIIIa intracellular domain, as has been observed previously.<sup>51</sup> Importantly, there is significant homology between rhesus and cynomolgus macaque FcyRIIIa. Indeed, the only sequence difference is serine 24 in rhesus vs. arginine in cynomolgus,<sup>68</sup> a position that is not a proposed contact residue in humans.<sup>62</sup> Therefore, the expectation that Fc variants with enhanced human FcyRIIIa affinity should translate to rhesus or cynomolgus is in agreement with in vivo studies in which an anti-CD20 SD/IE Fc antibody mutant targeting B-cell depletion in a cynomolgus model<sup>3</sup> and a nonfucosylated MB-003 antibody cocktail for passive immunization against acute Ebola infection in a rhesus model<sup>33</sup> displayed greater efficacy over wild type IgG. In contrast, a nonfucosylated monoclonal broadly neutralizing anti-HIV antibody, b12, with enhanced affinity to both rhesus and human FcyRIIIa displaved more potent ADCC in vitro, but did not confer enhanced protection in vivo.40 The nonfucosylated b12 study highlights the importance of continuing to investigate across all of the  $Fc\gamma R$  interactions and their involvement in antibody efficacy for a particular disease, as well as the potential need to genotype NHPs to balance among study arms. Beyond the variants evaluated, Fc constructs with enhanced binding to

Fc $\gamma$ RIIIa have become sophisticated by the asymmetric incorporation of Fc point mutations, glycoforms,<sup>69</sup> or both,<sup>17</sup> and, although our results indicate these constructs would likely cross-react with rhesus Fc $\gamma$ RIIIa, confirmation would be required. Lastly, all 3 Fc $\gamma$ RIIIb allotypes track with the human Fc $\gamma$ RIIIa variants. This finding is important as neutrophils are an abundant Fc $\gamma$ RIIIb-expressing cell type in humans, but there is no known Fc $\gamma$ RIIIb homolog expressed in rhesus macaque,<sup>6870</sup> and therefore rhesus neutrophils may significantly diverge from human neutrophils in aspects of Fc $\gamma$ RIIIb-mediated cellular functions such as phagocytosis,<sup>58</sup> NETo-sis,<sup>56,57</sup> or the inhibition of Fc $\gamma$ RIIa-driven ADCC.<sup>59</sup>

In this study, we explored the cross-reactivity of human IgG Fc variant binding across human and rhesus macaque  $Fc\gamma Rs$ , but there are a multitude of other Fc receptors that should be evaluated for cross-reactivity to provide a more complete picture when interpreting NHP results. Additionally, while existing sequence data<sup>48,49,55,68</sup> suggests they are highly homologous to the rhesus  $Fc\gamma Rs$ , further evaluation of the cynomolgus macaque  $Fc\gamma Rs$  is warranted given their widespread use in safety and toxicity studies. As investigators continue to gain a richer understanding of natural and enhanced antibody activity and effector function in vivo, further experimentation is required to assess the interspecies differences in target and receptor expression level,<sup>71</sup> cellular distribution,<sup>72</sup> complement binding,<sup>73</sup> serum IgG pre-loading of FcyR on effector cells,<sup>74</sup> IgG GM allotype variation,<sup>75,76</sup> effector function mediated by FcRn,<sup>77</sup> IgG transport and clearance via FcRn,<sup>78</sup> and FcyR-FcRn modulation design combinations.<sup>79</sup>

As we have shown, a high-throughput array-based SPR platform can efficiently evaluate interspecies differences between antibody Fc variants and Fc $\gamma$ Rs with minimal antibody and receptor material requirements. By use of rapid, high-resolution assays to evaluate cross-reactivity between species, researchers evaluating the effector function of Fc-optimized antibodies in NHPs can more confidently anticipate the activity of these variants in humans and can glean additional insight into potential efficacy. As more Fc features such as amino acid mutations and controlled Fc glycoforms continue to improve IgG specificity for individual receptors, understanding of the similarities and differences in NHP and human Fc $\gamma$ R biology will remain vital to designing and translating results from this key preclinical model.

# **Materials and methods**

## Protein expression and preparation

#### Construction and expression of VRC01 Fc variants

The construction and expression of VRC01 Fc variants was recently described in detail.<sup>65</sup> Briefly, CMV/R mammalian expression vectors for the VRC01 IgG1 light and heavy chains were obtained from the National Institutes of Health AIDS Reagent Program. Fc domain amino acid point mutations (Table 3) were incorporated via quickchange PCR (Stratagene), and expression in the presence 20  $\mu$ M kifunensine (Tocris) was used to produce afucosylated, oligomannose Fc glycans as described previously.<sup>13</sup> For expression, sequence-verified DNA was isolated via maxi-prep (Qiagen), used to transfect

suspension cultures of human embryonic kidney (HEK) 293F cells grown in Freestyle media (Invitrogen), and transfected using a mixture of DNA and 25  $k_D$  branched PEI (PolySciences). Antibodies were transiently expressed for 7 d at 37°C, 8% CO<sub>2</sub>.

# Construction and expression of human and rhesus macaque $Fc\gamma R$

The construction and expression of FcyRs was recently described in detail.49,65 Briefly, the extracellular domains (ECDs) of human FcyRs, including FcyRI, FcyRIIa, FcyRIIb, FcyRIIIa, and FcyRIIIb were PCR amplified using a forward primer incorporating the CMV/R leader sequence and reverse primer including a sortase A recognition site (amino acid sequence: LPETG) and 6-his tag to enable affinity purification, site-specific conjugation and complementary restriction sites to for ligation into the CMV/R vector. Allotypic variants were generated by single or multiple point mutations using the quickchange strategy (Stratagene). Rhesus FcyR were constructed similarly using the following Genbank identifiers: AFD32558.1, AFD32559.1 and AFD32560.2 for FcyRI; AFD32561.1, AFD32562.1, AFD32563.1 and AFD32564.2 for FcyRIIa; AFD32565.2 and AFD32566.2 for FcyRIIb; AFD32568.1, AFD32569.1 and AFD32570.1 for FcyRIIIa with the substitution of an avitag rather than sortase A site upstream of the 6-his tag. After clone selection and sequence verification, receptors were transiently expressed in HEK293-F cells as described above.

## Antibody and FcyR purification

The preparation of VRC01 variants and human, as well as MM FcyRs has been described in detail previously.<sup>49,65</sup> Briefly, after centrifugation and 0.2  $\mu$ m membrane filtration (Steritop Express, EMD-Millipore), VRC01 production supernatants were loaded onto a Mabselect Protein A column (GE), washed with phosphate-buffered saline (PBS; Teknova) and eluted with 100 mM glycine pH 3.0. The peaks were neutralized with 1 M Tris-Cl at pH 10.5, then filtered (Steriflip, EMD-Millipore), concentrated with a 10 k<sub>D</sub> membrane (Amicon Ultra-15, EMD-Millipore) and loaded over a size-exclusion chromatography (SEC) column, HiPrep 16/60 Sephacryl S-200 HR (GE), operating under the manufacturer's recommendations with PBS to remove aggregates. IgG1, IgG2, IgG4 (Athens Research) and IgG3 (Sigma Aldrich) from myeloma plasma and serum IgG1, IgG2 and IgG3 from healthy donors (Athens Research) were also purified using a Sephacryl S-200 (GE) SEC column to remove aggregates.  $Fc\gamma Rs$  were purified via immobilized metal affinity chromatography. Filtered supernatants were fortified to 500 mM NaCl, 20 mM sodium phosphate and 20 mM imidazole using buffer concentrates, loaded onto nickel-charged Sepharose 4 Fast Flow column (GE), washed with 500 mM NaCl, 20 mM sodium phosphate, then washed with 20 mM imidazole pH 7.5 followed by isocratic elution with 250 mM imidazole. The eluted fraction was filtered (Steriflip, EMD-Millipore), concentrated with a 10 k<sub>D</sub> (Amicon Ultra-15, EMD-Millipore) and loaded onto a 120 ml Superdex 75 SEC column (GE) operating under the manufacturer's recommendations with PBS to isolate the monomeric fraction. Appropriate

molecular weight and purity of all recombinant protein was confirmed by SDS-PAGE.

# Kinetic measurements

SPR was used to measure equilibrium binding affinities. A Continuous Flow Microspotter (CFM) (Wasatch Microfluidics) was used to print up to 96 individual regions of interests (ROI) on a single gold prism surface functionalized with carboxymethyldextran substrate (200M Xantec Bioanalytics). CFM fluid paths were primed with 25 mM sodium acetate pH 5.0 + 0.01%Tween 20 before activation. The substrate of each ROI was activated for 5-7 min with 100 µL of 1.2 mM N-hydroxysulfosuccinimide (NHS) (Pierce) and 0.3 mM 1-ethyl-3-[3 dimethlyaminopropyl]carbodiimide-HCl (EDC) (Pierce) in deionized water under flow at 45  $\mu$ L/min. Antibodies prepared at 50, 25, 12.5 and 6.15  $\mu$ g/ml in 25 mM sodium acetate pH 4.5-5.0 and printed on the activated ROI at the 4 resulting ligand (IgG) densities. The image-based array reader (MX96, IBIS Technologies) was primed with 25 mM sodium acetate pH 5.0 + 0.01% Tween 20 and the prism loaded and quenched with 120  $\mu$ L of 0.5 M ethanolamine (Sigma Aldrich), before priming, conditioning and analyte injections.  $Fc\gamma Rs$  were diluted in running buffer (PBS + 0.01% BSA + 0.005% Tween 20) and injected over an 8-part, 3-fold serial dilution series, generally starting between 10  $\mu$ M to 50  $\mu$ M, and consisting of the following steps: 0.5 min baseline, 5 min association, 5 min dissociation, 0.5 min baseline. The prism was regenerated with 0.5 min 100 mM glycine pH 3.0, and 0.5 min baseline between each analyte ( $Fc\gamma R$ ) dilution tested. ROI signal was double referenced using signal from blank injections and signal from uncoupled interspots to account for nonspecific binding. Data was processed in Scrubber 2 (Biologic Software Ltd) by kinetic analysis applying global analysis to determine the equilibrium dissociation constant K<sub>D</sub>. A panel of serum derived IgGs, mAbs and Fc variants were used as ligands and a genetically aglycosylated human IgG1 (N297Q) was used as a negative control. Excellent relative agreement was observed between these results and published affinities for human  $Fc\gamma Rs$ ,<sup>2,6</sup> although an absolute affinity offset was apparent, and could be attributed to differing chip coupling strategies. Given these different experimental setups and protein sources/preparations, relative but not absolute affinities observed here are comparable to those observed in other studies.<sup>2,6,55,60</sup> Experiments were repeated 2 to 12 times with different conjugation densities and print pH conditions. Data from 2 separate experiments, with multiple print spots for each IgG sample, are presented.

# **Disclosure of potential conflicts of interest**

No potential conflicts of interest were disclosed.

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