

## Original Article

# Genomic and proteomic characterization of ARID1A chromatin remodeller in ampullary tumors

Anca Nastase<sup>1,10\*</sup>, Jin Yao Teo<sup>2\*</sup>, Hong Lee Heng<sup>1</sup>, Cedric Chuan Young Ng<sup>1</sup>, Swe Swe Myint<sup>1</sup>, Vikneswari Rajasegaran<sup>1</sup>, Jia Liang Loh<sup>1</sup>, Ser Yee Lee<sup>2</sup>, London Lucien Ooi<sup>2</sup>, Alexander Yaw Fui Chung<sup>2</sup>, Pierce Kah Hoe Chow<sup>3</sup>, Peng Chung Cheow<sup>2</sup>, Wei Keat Wan<sup>4</sup>, Rafy Azhar<sup>4</sup>, Avery Khoo<sup>4</sup>, Sam Xin Xiu<sup>4</sup>, Syed Muhammad Fahmy Alkaff<sup>4</sup>, Ioana Cutcutache<sup>5,6</sup>, Jing Quan Lim<sup>7</sup>, Choon Kiat Ong<sup>7</sup>, Vlad Herlea<sup>8,9</sup>, Simona Dima<sup>10</sup>, Dan G Duda<sup>11</sup>, Bin Tean Teh<sup>1,12,13,14</sup>, Irinel Popescu<sup>10</sup>, Tony Kiat Hon Lim<sup>4</sup>

<sup>1</sup>Laboratory of Cancer Epigenome, National Cancer Centre Singapore, Singapore; <sup>2</sup>Department of Hepatopancreatobiliary and Transplant Surgery, Singapore General Hospital, Singapore; <sup>3</sup>Department of Surgical Oncology, National Cancer Centre Singapore, Singapore; <sup>4</sup>Department of Pathology, Singapore General Hospital, Singapore, Singapore; <sup>5</sup>Program in Cancer and Stem Cell Biology, Duke-NUS Medical School, Singapore, Singapore; <sup>6</sup>Centre for Computational Biology, Duke-NUS Medical School, Singapore, Singapore; <sup>7</sup>Lymphoma Genomic Translational Research Laboratory, National Cancer Centre Singapore, Singapore; <sup>8</sup>Department of Pathology, Fundeni Clinical Institute, Bucharest, Romania; <sup>9</sup>Faculty of Medicine, Titu Maiorescu University, Bucharest, Romania; <sup>10</sup>Centre of Digestive Diseases and Liver Transplantation, Fundeni Clinical Institute, Bucharest, Romania; <sup>11</sup>Edwin L. Steele Laboratories for Tumor Biology, Department of Radiation Oncology, Massachusetts General Hospital and Harvard Medical School, Boston, Massachusetts, USA; <sup>12</sup>Program in Cancer and Stem Cell Biology, Duke-NUS Medical School, Singapore, Singapore; <sup>13</sup>Cancer Science Institute of Singapore, National University of Singapore, Singapore, Singapore; <sup>14</sup>Institute of Molecular and Cell Biology, A\*STAR, Singapore, Singapore; \*Equal contributors.

Received December 18, 2016; Accepted January 2, 2017; Epub March 1, 2017; Published March 15, 2017

**Abstract:** *AT rich interactive domain 1A (ARID1A)* is one of the most commonly mutated genes in a broad variety of tumors. The mechanisms that involve *ARID1A* in ampullary cancer progression remains elusive. Here, we evaluated the frequency of *ARID1A* and *KRAS* mutations in ampullary adenomas and adenocarcinomas and in duodenal adenocarcinomas from two cohorts of patients from Singapore and Romania, correlated with clinical and pathological tumor features, and assessed the functional role of *ARID1A*. In the ampullary adenocarcinomas, the frequency of *KRAS* and *ARID1A* mutations was 34.7% and 8.2% respectively, with a loss or reduction of *ARID1A* protein in 17.2% of the cases. *ARID1A* mutational status was significantly correlated with *ARID1A* protein expression level ( $P=0.023$ ). There was a significant difference in frequency of *ARID1A* mutation between Romania and Singapore (2.7% versus 25%,  $P=0.04$ ), suggestive of different etiologies. One somatic mutation was detected in the ampullary adenoma group. *In vitro* studies indicated the tumor suppressive role of *ARID1A*. Our results warrant further investigation of this chromatin remodeller as a potential early biomarker of the disease, as well as identification of therapeutic targets in *ARID1A* mutated ampullary cancers.

**Keywords:** Ampullary cancer, Sanger sequencing, ARID1A, KRAS

## Introduction

Ampullary cancers form a group of rare neoplasms [1]. These tumors arise in the area known as the ampulla of Vater. This is a unique and complex anatomic region found at the confluence of the common bile duct and main pancreatic duct that opens into the duodenum through the papilla of Vater. The area where the ampulla Vater is located is covered by three types of epithelia: duodenal, pancreatic and biliary [2]. Therefore, neoplasms arising from this region can be classified as either pancrea-

tobiliary, intestinal and mixed or ambiguous type [3, 4]. The intestinal subtype originating from the intestinal epithelium lining [5] is associated with a longer overall survival compared to the pancreatobiliary type [6, 7]. This may reflect the fact that the intestinal subtype is more related genetically to colorectal cancers, which have a superior prognosis compared to the pancreatobiliary subtypes.

Because of the close anatomical relations in this area, the true origin of some neoplasms is difficult to establish. As a result, the ampullary

## ARID1A in ampullary tumors

tumors are sometimes classified together with neoplasm that arise from head of the pancreas, distal common bile duct or periampullary duodenum [8-10], and are termed periampullary cancers. Based on site of origin, the most common periampullary tumors are the pancreatic neoplasms followed by ampullary cancers, tumors of the bile duct, and duodenum [11, 12].

*ARID1A* or *AT rich interactive domain 1A* is a recently identified putative tumor suppressor gene located on chromosome 1p36.11. The gene encodes for a large protein (*ARID1A* or *BAF250a*) which is the variant subunit [13] of the SWI/SNF (SWItch/Surcose NonFermentable) multi-component complex. This is an ATP-dependent chromatin remodeling complex [14] that uses the energy of ATP hydrolysis to slide the DNA around the nucleosome and to alter gene expression in a tissue specific manner [15-17]. *ARID1A* is considered both a “caretaker” as well as “gatekeeper” [18], and may mediate carcinogenesis by its involvement in cell proliferation, differentiation, and apoptosis [17]. It also modulates cell-cycle genes such as *c-myc* and is involved in the PI3K pathway [19], with two-hit mutations and/or protein loss demonstrated in mutation-carrying tumors, most notably gastric and ovarian carcinomas [20, 21].

*ARID1A* has been identified as one of the most frequently mutated genes in human cancers by multiple next-generation genomic sequencing studies [22, 23] and high incidence of *ARID1A* somatic mutations have been identified in subtypes of ovarian cancers [20, 24], breast cancer [25], gastric cancer [21], clear cell renal carcinomas [27] and hepatocellular carcinoma [28, 29].

In pancreatic cancer, one study described *ARID1A* mutations in 8% of the patients [30], while another study showed that *ARID1A* mutations by chromosomal deletion were detected in 47% of the tumor samples and cell lines [31]. *ARID1A* mutations were recently discovered in two ampullary cohorts of patients with a frequency of 11% and 5% (in the discovery screen), respectively [32, 33].

The role of *ARID1A* in ampullary malignancies is only beginning to be uncovered. The prognostic and clinical significance of these mutations

remains to be established. In this study, we aimed to determine the frequency of *ARID1A* and *KRAS* mutations in two cohorts of patients from Singapore and Romania, to correlate genomic and proteomic data with clinical information and to determine the functional role of *ARID1A* in ampullary cancers.

### Materials and methods

#### *Patients and tissue samples*

Samples of ampullary (n=74) and duodenal (n=6) adenocarcinoma, and ampullary adenomas (n=3) from patients who underwent surgical resection were identified and retrieved from two tissue banks: Singapore Tissue Repository, Singapore and Fundeni Clinical Institute Tissue Bank, Romania. Tissue was obtained with informed written consent from each patient. The study was approved by the SingHealth Centralized Institutional Review Board, Singapore and Ethical Committee of Fundeni Clinical Institute, respectively. Paraffin-embedded formalin fixed tissues were identified and retrieved from the Pathology Department of Singapore General Hospital and Fundeni Clinical Institute. Clinical and histopathological data were collected and reviewed by two independent GI pathologists. The site of each tumor's origin was based on histopathological reports.

#### *Clinical outcomes*

Data regarding the duration of follow-up, time to recurrence, survival or censoring was calculated in months from the date of surgery for each patient. Censoring of the overall survival was done at the date of the last follow-up if death did not occur or if the cause of death was unrelated to the disease. If available, the time to recurrence was calculated in months from date of surgery for each patient until the date of recurrence (either local or distant). Censoring of the time to recurrence was done at the date of last follow-up if recurrence was not observed.

#### *DNA samples*

Qiagen Blood and Cell Culture Mini Kit (Hilden, Germany) was used to extract genomic DNA from adjacent benign (when available) and tumor tissue as per manufacturer's instructions from a total of 58 patients. In brief, the

## ARID1A in ampullary tumors

frozen tissue was grounded with a pestle and mortar, then mixed with RNaseA, Buffer G2 and Protease and incubated overnight at 50°C. The genomic DNA was bound to column, washed, eluted and quantified using spectrophotometry. Integrity was checked by running 200 ng of genomic DNA on 0.8% agarose gel.

### *Evaluation of ARID1A and KRAS mutations in tumors and matched normal specimens*

Genomic DNA from tumor and matched normal samples were amplified with Illustra Genom-Phi HY DNA Amplification Kit (GE healthcare, Buckinghamshire, UK). PCR was performed with Platinum Taq Polymerase (Life technologies, Carlsbad, USA) and cycled at 95°C for 10 minute; 39 cycles of 95°C for 30 seconds; 58/60°C for 30 seconds, 72°C for 1 minute and a final extension of 72°C for 10 min. Purified PCR products were sequenced with ABI BigDye Terminator v3.1 (Life technologies, Carlsbad, USA) following manufacturer's protocol and ran on an ABI 3730 genetic analyzer. The results were analyzed using Seqman II DNASTar (v.5.05) (Madison, Wisconsin, USA) and Chromas 2.4.3 (South Brisbane, Australia). The primers used for *ARID1A* and *KRAS* to perform PCR and Sanger sequencing are the ones described previously [24]. Additionally the primer sequences can be requested from the corresponding author.

### *ARID1A immunohistochemistry and subtyping*

Staining of ARID1A (Sigma, HPA005456, dilution 1:50) was performed using citrate buffer (pH 6.0) with pressure cooking (3 min) for antigen retrieval. Primary antibody incubation was for 1 h at room temperature. Manual DAKO Envision KIT was used for visualization. Normal epithelial and lymphoid cell nuclei were used as positive internal controls. Results were interpreted as loss if complete nuclear and/or cytoplasmic staining was observed, weak staining if partial nuclear and/or cytoplasmic staining was observed or as positive if strong nuclear and/or cytoplasmic staining was observed. Immunohistochemistry staining for ARID1A was done in a total of 64 patients.

For subtyping, available paraffin embedded tissues (n=63, out of 83) were used for assembly of TMA (tissue microarray) blocks with two cores per tumor. Serial section were cut and

stained for MUC1 (Novocastra, NCL-MUC01, dilution 1:150), MUC2 (Novocastra, NCL-MUC-2-CE, dilution 1:100), CDX2 (Dako, M3636, dilution 1:100) and CK20 (Dako, M7019, dilution 1:50) on a Leica BOND-MAX III automated IHC stainer, in order to establish the type of differentiation: intestinal, pancreatobiliary or ambiguous type [4].

The immunohistochemistry staining for these markers was evaluated as positive if more than 50% of the cells were positively stained, as weak to moderate if less than 50% of the cells were positively stained and as negative if complete loss of staining was noted.

### *SNP array*

For analysis of copy number and loss of heterozygosity the HumanOmni Express 24 v1.1 beadchip from Illumina was used according to manufacturer instruction. Seven pairs of samples with *ARID1A* somatic mutation were genotyped and loss of heterozygosity for chromosome 1p was evaluated by ASCAT 2.0 software (Allele-Specific Copy number Analysis of Tumors). The software provided an estimation of the B-allele frequency and log<sub>2</sub>R ratio. LOH was given by B allele frequency where values deviating from 0.5 indicated allelic imbalance or LOH. Copy-number alterations were identified based on the allele-specific copy-number counts and average ploidy.

### *Cell cultures*

Two ampulla of Vater cell lines, SNU-478 and SNU-869, were purchased from Korean Cell Line Bank and were maintained in RPMI-1640 supplemented with 300 mg/L L-glutamine, 10% fetal bovine serum and penicillin/streptomycin at 37°C, 5% CO<sub>2</sub>. Media was changed at least twice a week. Cell line genotypes were obtained from published studies [34, 35], COSMIC database [36] and CCLE database [37].

### *Cell transfection*

SNU-478 was forward transfected and SNU-869 was reversed transfected with siRNA against ARID1A (ON-Target Plus smart pool Human ARID1A: L-017263-00, ON-Target Plus siRNA Human ARID1A: J-017263-06, ON-Target Plus siRNA Human ARID1A: J-017263-07,

## ARID1A in ampullary tumors

**Table 1.** Summary of clinico-pathological features of patients included in the study

Characteristics	Ampullary tumors (n=74)		Dudodenal tumors <sup>a</sup> (n=6)	Ampullary adenoma <sup>a</sup> (n=3)	p-value <sup>b</sup>
	Total	Cohort 1 (n=49) Cohort 2 (n=25)	Cohort 1	Cohort 1	
Age at surgery (yrs), median [range]	65 [38-79]	62 [40-78] 67 [38-79]	54 [36-74]	69 [46-75]	0.33
Gender (n, %)					0.06
Female	33 (44.6%)	22 (29.7%) 11 (14.9%)	1 (16.7%)	3 (100.0%)	
Male	41 (55.4%)	27 (36.5%) 14 (18.9%)	5 (83.3%)	0 (0.0%)	
Differentiation degree (n, %)					0.43
G1, G1-G2	27 (36.5%)	22 (29.7%) 5 (6.8%)	3 (50.0%)	NA	
G2, G2-G3	36 (48.6%)	20 (27.0%) 16 (21.6%)	1 (16.7%)	NA	
G3	9 (12.2%)	5 (6.8%) 4 (5.4%)	1 (16.7%)	NA	
Unknown <sup>c</sup>	2 (2.7%)	2 (2.7%) 0 (0.0%)	1 (16.7%)	NA	
pN (n, %)				NA	1.0
N0	48 (64.9%)	30 (40.5%) 18 (24.3%)	4 (66.7%)	NA	
N1	25 (33.8%)	18 (24.3%) 7 (9.5%)	2 (33.3%)	NA	
Unknown <sup>c</sup>	1 (1.4%)	1 (1.4%) 0 (0.0%)	0 (0.0%)	NA	
pT (n, %)					<0.0001
T1	13 (17.6%)	9 (12.2%) 4 (5.4%)	0 (0.0%)	NA	
T2	28 (37.8%)	18 (24.3%) 10 (13.5%)	1 (16.7%)	NA	
T3	28 (37.8%)	19 (25.7%) 9 (12.2%)	1 (16.7%)	NA	
T4	4 (5.4%)	2 (2.7%) 2 (2.7%)	4 (66.7%)	NA	
Unknown <sup>c</sup>	1 (1.4%)	1 (1.4%) 0 (0.0%)	0 (0.0%)	NA	
Tumor size (cm), median [range]	2.0 [0.4-10.0]	2.0 [0.4-10.0] 2.05 [1.0-7.0]	4 [2.8-6.0]	5 [2.0-7.0]	0.077 0.008 <sup>d</sup>
Status (n, %)					0.47
Alive	38 (51.4%)	20 (27.0%) 18 (24.3%)	0 (0.0%)	1 (33.3%)	
Dead	34 (45.9%)	27 (36.5%) 7 (9.5%)	1 (16.7%)	2 (66.7%)	
Unknown <sup>c</sup>	2 (2.7%)	2 (2.7%) 0 (0.0%)	5 (83.3%)	0 (0.0%)	
Overall survival (months), median [range]	26.33 [0.39-160.3]	27.5 [0.76-160.3] 19.2 [0.39-57.7]	-	121.0 [109.8-132.3]	0.64
Morphological subtype <sup>e</sup>					0.26

## ARID1A in ampullary tumors

Pancreatobiliary	24 (42.1%)	12 (21.1%) 12 (21.1%)	2 (66.7%)	0 (0.0%)	
Intestinal	23 (40.4%)	13 (22.8%) 10 (17.5%)	1 (33.3%)	3 (100.0%)	
Ambiguous	10 (17.5%)	7 (12.3%) 3 (5.3%)	0 (0.0%)	0 (0.0%)	
Nationality (n, %)					0.07
Romania	37 (50.0%)	37 (50.0%) 0 (0.0%)	5 (83.3%)	3 (100.0%)	
Singapore	37 (50.0%)	12 (16.2%) 25 (33.8%)	1 (16.7%)	0 (0.0%)	

Cohort 1-analyses performed: Sanger sequencing and SNP array (n=7), immunohistochemistry for ARID1A, and classification into pancreatobiliary, intestinal or mixed sub-type; Cohort 2-analysis performed: immunohistochemistry for ARID1A, and classification into pancreatobiliary, intestinal or mixed sub-type. <sup>a</sup>all the duodenal tumors and ampullary adenocarcinoma are included in Cohort 1 only; <sup>b</sup>p-values were calculated between all three groups (the group designated as total ampullary tumors vs duodenal tumors vs ampullary adenoma) unless otherwise specified or if one group had NA or missing information; differences between age and tumor sizes were calculated by means of Kruskal-Wallis test; differences in overall survival were calculated by means of Log-Rank Mantel Cox test; the rest were tested by Fisher exact t-test or Chi-square test; <sup>c</sup>not included in p-value calculation; <sup>d</sup>p-value calculated between ampullary and duodenal tumors groups only; <sup>e</sup>only samples assessed for morphological subtype were included.

Dharmacon, IL) or with a non-targeted control (ON-TARGET plus Non-targeting Pool: D-001810-10, Dharmacon, IL) at a final concentration of 50 nM using Lipofectamine RNAiMAX (Invitrogen, CA) according to the manufacturer's protocol.

### Cell proliferation assay

Forty-eight hours after transfection,  $2 \times 10^3$  SNU-478 cells and  $4 \times 10^3$  SNU-869 cells were plated in 96-well plates in triplicate. Cell proliferation was monitored every 24 hours for 4 days using Cell Titer Glo assay (Promega, WI) according to the manufacturer's instructions. Relative light units were measured with a PerkinElmer plate reader. Three independent experiments were performed, and results are represented as the average normalized to the control at each time point (mean  $\pm$  s.e.m).

### Real time PCR

Forty-eight hours post-transfection total RNA was extracted with Trizol Reagent (Life Technologies, NY) followed by purification using a column based kit (RNeasy mini kit, Qiagen). 1000 ng of total RNA was reverse-transcribed using iScript cDNA Synthesis kit (Bio-Rad, CA). Sso Fast Eva Green Super-Mix using Bio-Rad CFX 96 Real Time Detection System (Bio-Rad, CA) were used to quantify the expression of ARID1A and GAPDH, as an endogenous control, by quantitative real time-PCR. Relative mRNA expression was calculated using  $2^{-\Delta\Delta Ct}$  method and normalized to GAPDH

expression. Primers used can be requested from the corresponding author.

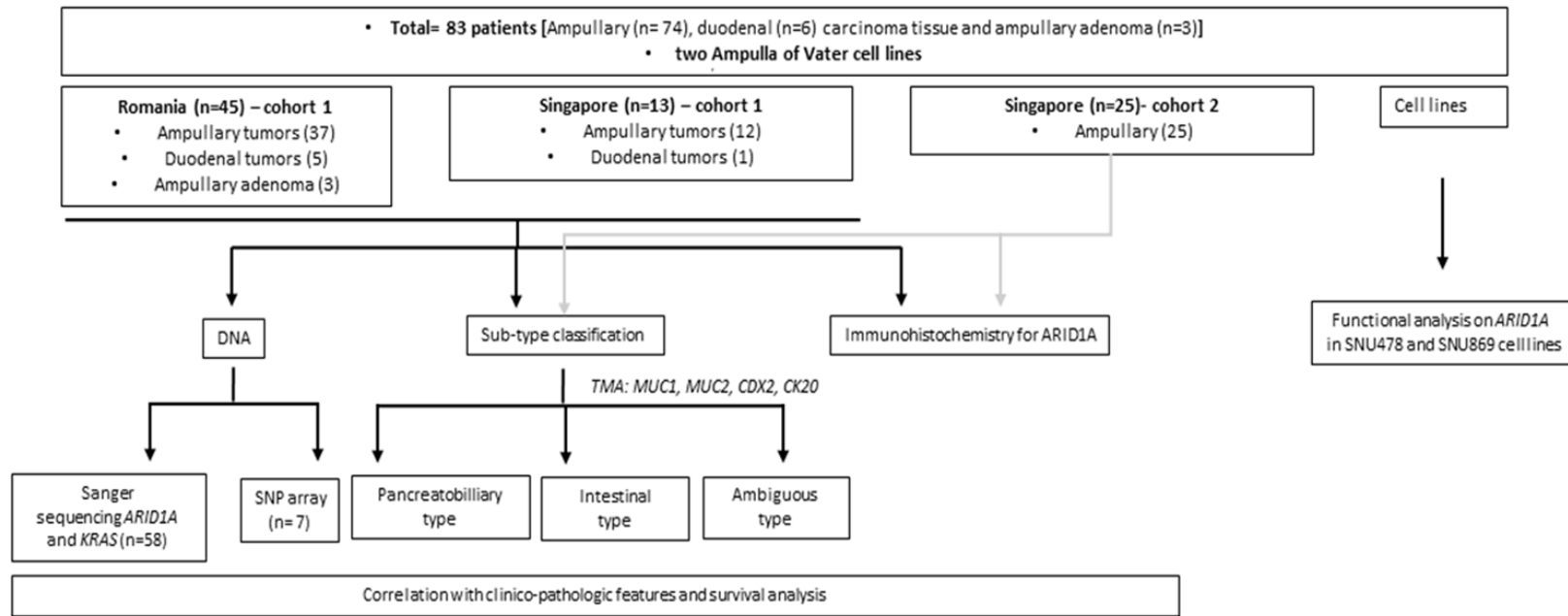
### Western blot

Cells were lysed 48 hours post-transfection with lysis buffer [50 mM Tris-HCl, pH 7.5, 150 mM NaCl, 0.1% SDS, 1% sodium deoxycholate and 1% NP-40 (Igepal)] in the presence of freshly added protease inhibitor and PhoSTOP (Roche). Cell lysate were disrupted and centrifuged at 14000 rpm for 15 min, loaded on gel and transferred, after separated on SDS-PAGE gel, to PVDF or nitrocellulose membranes (Bio-Rad, CA). Membranes were blocked in PBS with 5% skim milk and 0.1% Tween-20, probed with antibodies to ARID1A (1:1000 dilution, Cell Signalling Technology, 12354) and  $\beta$ -actin (1:100,000 dilution, Sigma Aldrich, MO, A19-78), incubated overnight at 4°C, washed and incubated with HRP-conjugated secondary antibodies (IgG anti-mouse NA931 or IgG anti-rabbit NA934, Amersham). Signals were visualized using either SuperSignal West Pico chemiluminescent substrate, (Thermo Scientific) or ECL prime Western Blotting Detection Reagent RPN2236 (Amersham) and Kodak BioMax XAR film (VWR International).

### Statistical analysis

Categorical variables were evaluated using Fisher's exact test for two-by-two comparison or Pearson's  $\chi^2$  for comparison that exceeded the two-by-two condition. Differences between groups were evaluated by means of nonpara-

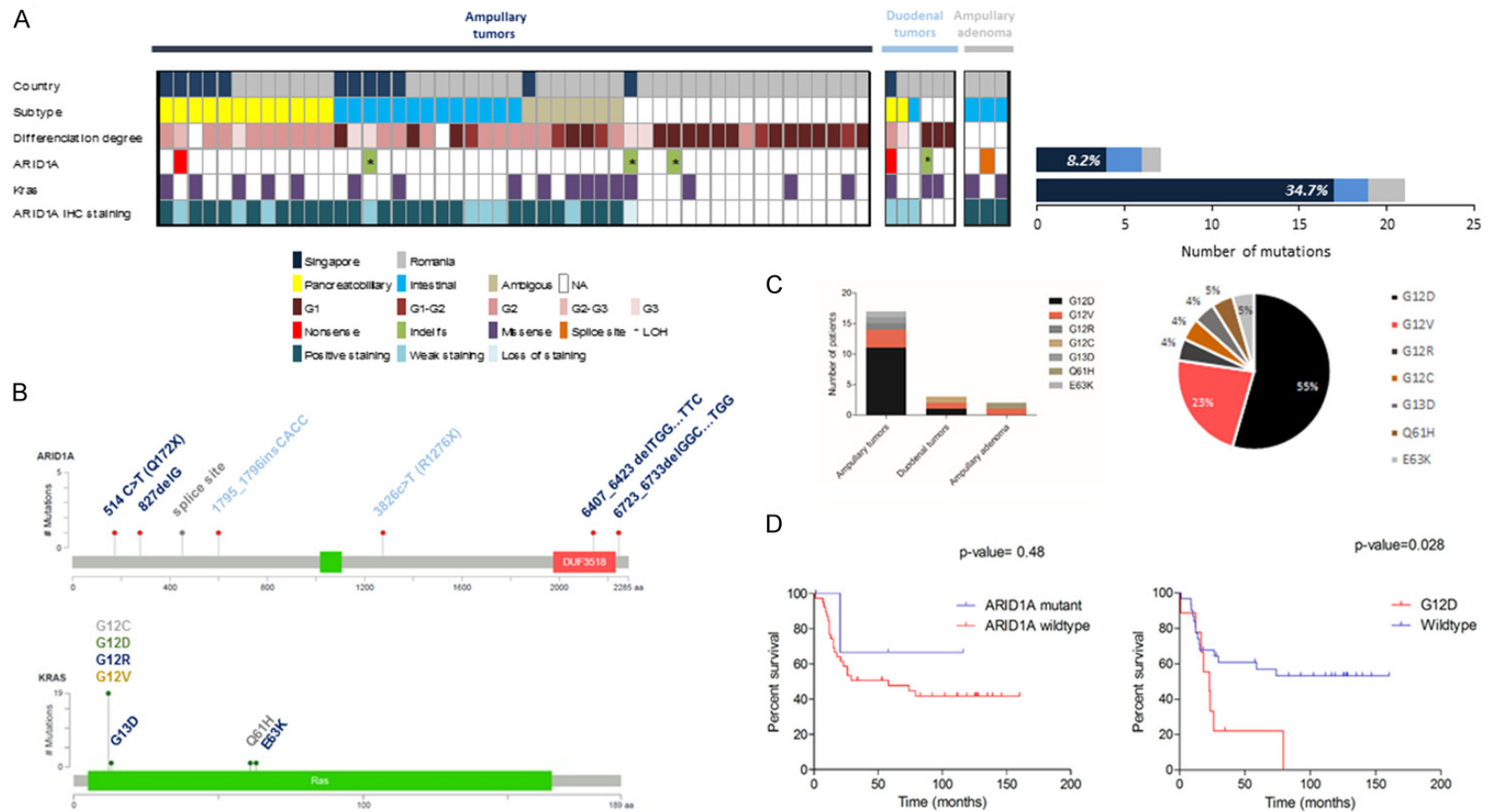
## ARID1A in ampullary tumors



**Figure 1.** Flow chart summarizing the patients groups, experiments and analysis performed within the study.



# ARID1A in ampullary tumors



**Figure 2.** Analysis of *ARID1A* and *KRAS* non-synonymous somatic mutations. A: Plot summarizing samples with non-synonymous somatic mutations in *ARID1A* and *KRAS* based on tumor site and immunohistochemistry subtype classification. B: Graphic representation of *ARID1A* and *KRAS* somatic non-synonymous mutations (modified from cBio portal). C: Type of *KRAS* non-synonymous somatic mutations based on tumor site and percentage of *KRAS* mutations in the whole cohort. D: Survival curves of ampullary adenocarcinoma cohort on mutational status of *ARID1A* and *KRAS* (in the wild type group were included patients with somatic or germline synonymous mutations but non-synonymous germline mutations were excluded).

metric Mann-Whitney or Kruskal-Wallis test. Survival analyses were performed using the Kaplan-Meier curves and the differences in survival curves were assessed by Log rank Mantel Cox test. A  $P \leq 0.05$  was considered statistically significant and noted as: \* $P \leq 0.05$ , \*\* $P \leq 0.01$ , \*\*\* $P \leq 0.001$ . All tests were performed with Graph-Pad Prism 5 (GraphPad Software Inc, San Diego, CA).

Additional Material and Methods details can be requested to the corresponding author.

## Results

### *Patient characteristics*

The clinico-pathologic characteristics of all patients and the flowchart summarizing the experiments within our study are detailed in **Table 1** and **Figure 1**. Of the 83 tumors included in the study, 74 (89.1%) were ampullary adenocarcinomas. Statistically significant differences between tumor groups based on patients' clinicopathologic characteristics are shown in **Table 1**.

A total of 63 patients with available paraffin blocks were analyzed by immunohistochemistry for CK20, CDX2, MUC1 and MUC2 for subtype classification into pancreatobiliary, intestinal or ambiguous subtype (**Figures 2A** and **5A**). Fifty-seven out of the 63 patients (90.4%) had ampullary adenocarcinomas, and 42.1% of samples in this group showed a pancreatobiliary profile by IHC staining, 40.4% showed IHC intestinal staining and 17.5% of ambiguous staining. In the duodenum tumor group, 2 out of 3 analyzed samples (66.7%) showed pancreatobiliary staining, while the adenoma group showed in all 3 cases (100%) intestinal staining (**Figure 2A**).

Analysis of the ampullary adenocarcinoma group alone showed that the tumor size was different in the analyzed subgroups and had medians ranging from 2.0 cm in the pancreatobiliary subgroup, 3.0 cm in the intestinal subgroup to 1.75 cm in the ambiguous subtype.

### *ARID1A and KRAS mutational analysis*

A total of 49 consecutive cases of ampullary adenocarcinoma were analyzed for mutations in *ARID1A* (37 cases from Romania and 12 cases from Singapore). Given the high frequen-

cy of *KRAS* gene as an oncogenic driver in pancreatic adenocarcinoma, and that some of the ampullary cancers develop from the pancreatic lining, we also studied the mutations in the *KRAS* gene. As a comparison, we also analyzed a group of 6 duodenal adenocarcinomas (5 cases from Romania and 1 case from Singapore). Furthermore, to determine the involvement of *ARID1A* mutations in premalignant stages, a group of 3 ampullary adenomas (all from Romania) were also included in the analysis (**Figure 2A**).

Sequencing the 20 exons of *ARID1A* lead to the identification of 4 somatic *ARID1A* mutations in the ampullary adenocarcinoma group (8.2%), 2 somatic *ARID1A* mutations in the duodenal tumor group (33%), and 1 somatic mutation in the ampullary adenoma (33%) (**Figure 2A**). These mutations included two nonsense mutations (p.Q172X and p.R1276X), four frame-shift insertion-deletion (p.G276f.s, p.P599fs, p.L2136 f.s, p.A2241fs) and one splice site (**Figure 2B** and **Table 2**), indicating functional loss of *ARID1A* in these samples and a reminiscent tumor suppressive role.

We further analyzed the loss of heterozygosity (LOH) in the 7 matched pairs of normal and tumoral samples that harbored mutations. The results were analyzed by ASCAT (Allele-Specific Copy number Analysis of Tumors) that indicated that 3 out of 4 analyzed cases from the ampullary group presented LOH at the gene locus (**Table 2; Figure 3**). In both ampullary and duodenal tumors LOH was identified in patients concomitantly harboring somatic frame shift indels suggesting loss of the functional protein.

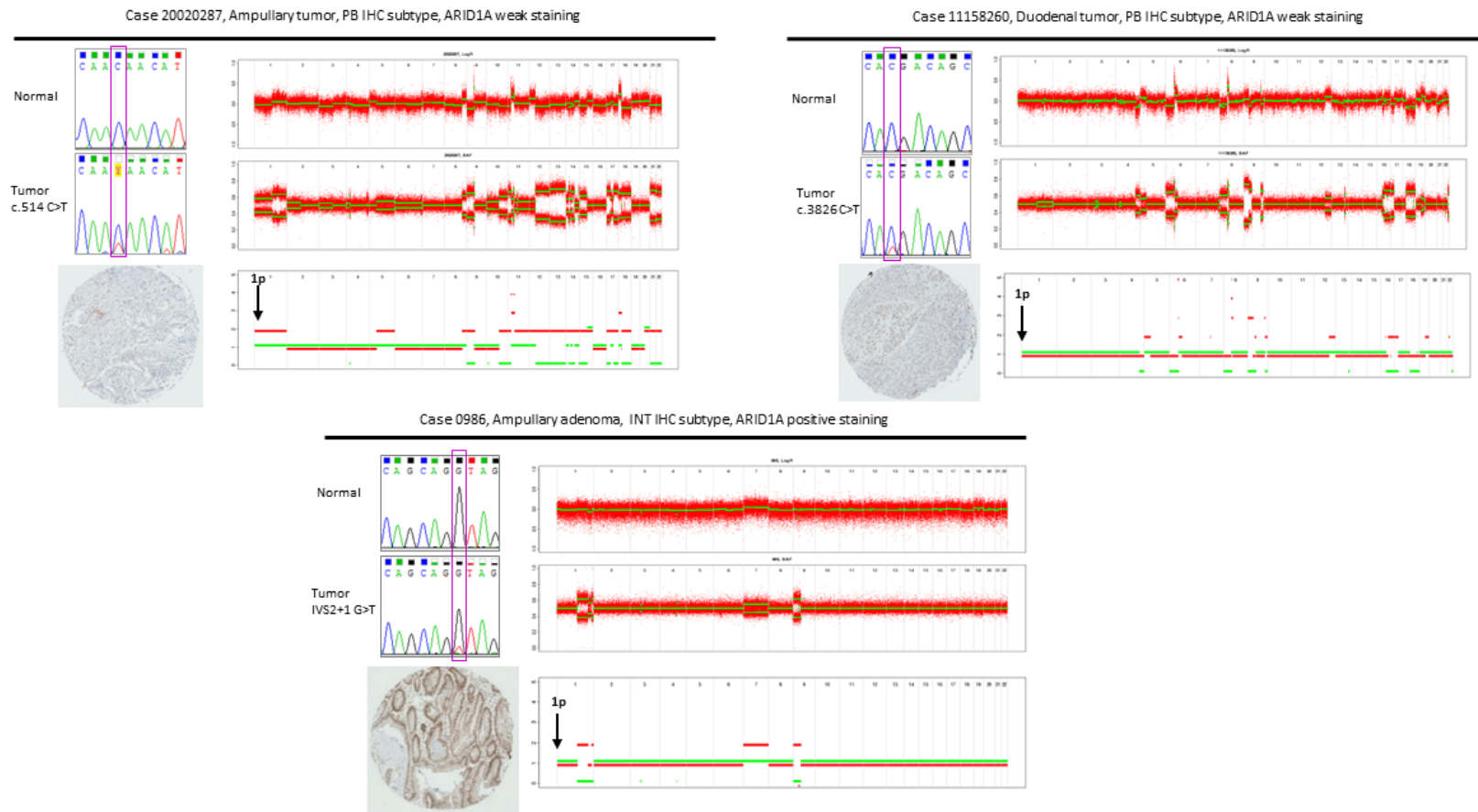
Collectively, the mutational data and LOH analysis support the tumor suppressor role of *ARID1A* in ampullary and duodenal adenocarcinomas.

Of note, the frequency of *ARID1A* somatic mutations in the ampullary group was distinct between Singapore and Romania cohort of 25% and 2.7%, respectively ( $P=0.04$ , **Table 3**). This observation suggests that the same cancer from different geographic regions might have different etiologies.

Analysis on clinico-pathologic features of the patients showed that there was a statistical significant difference based on the degree of dif-

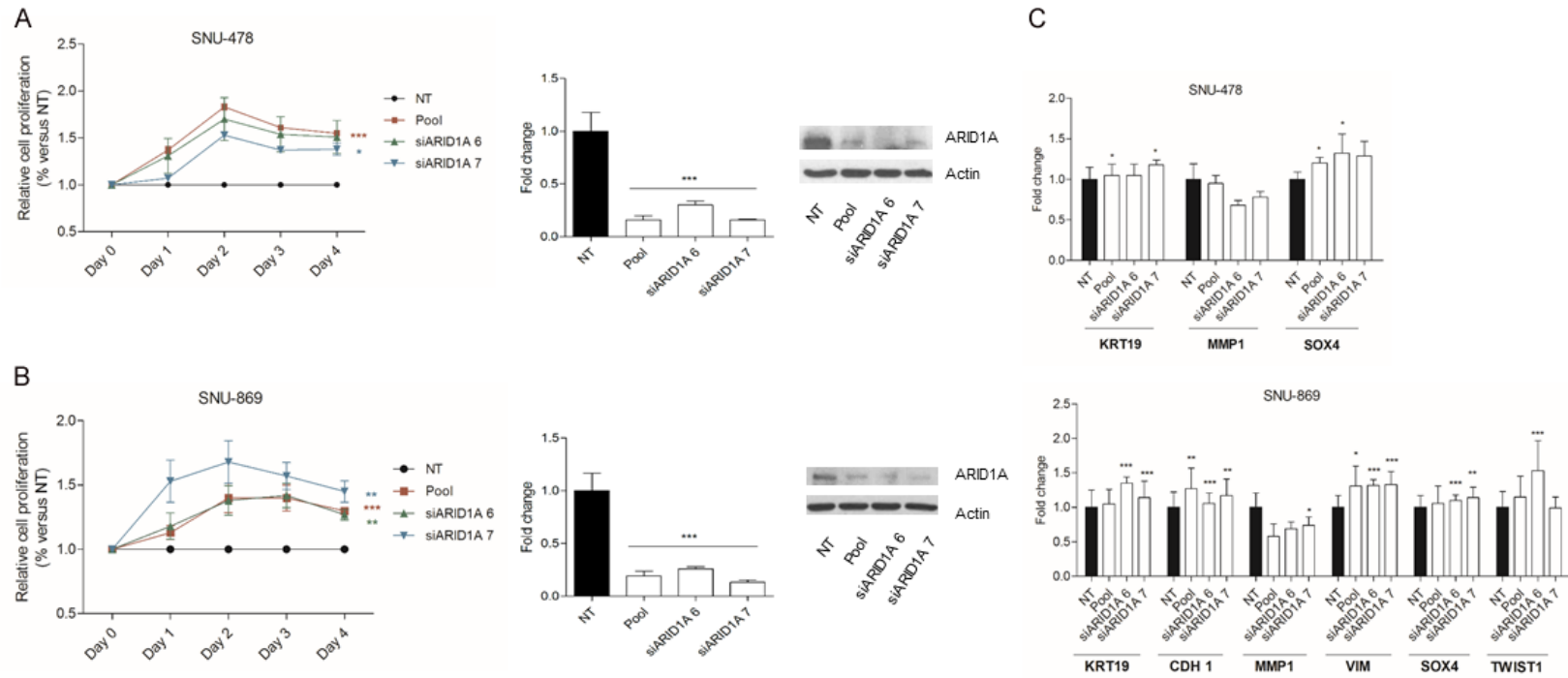


## ARID1A in ampullary tumors



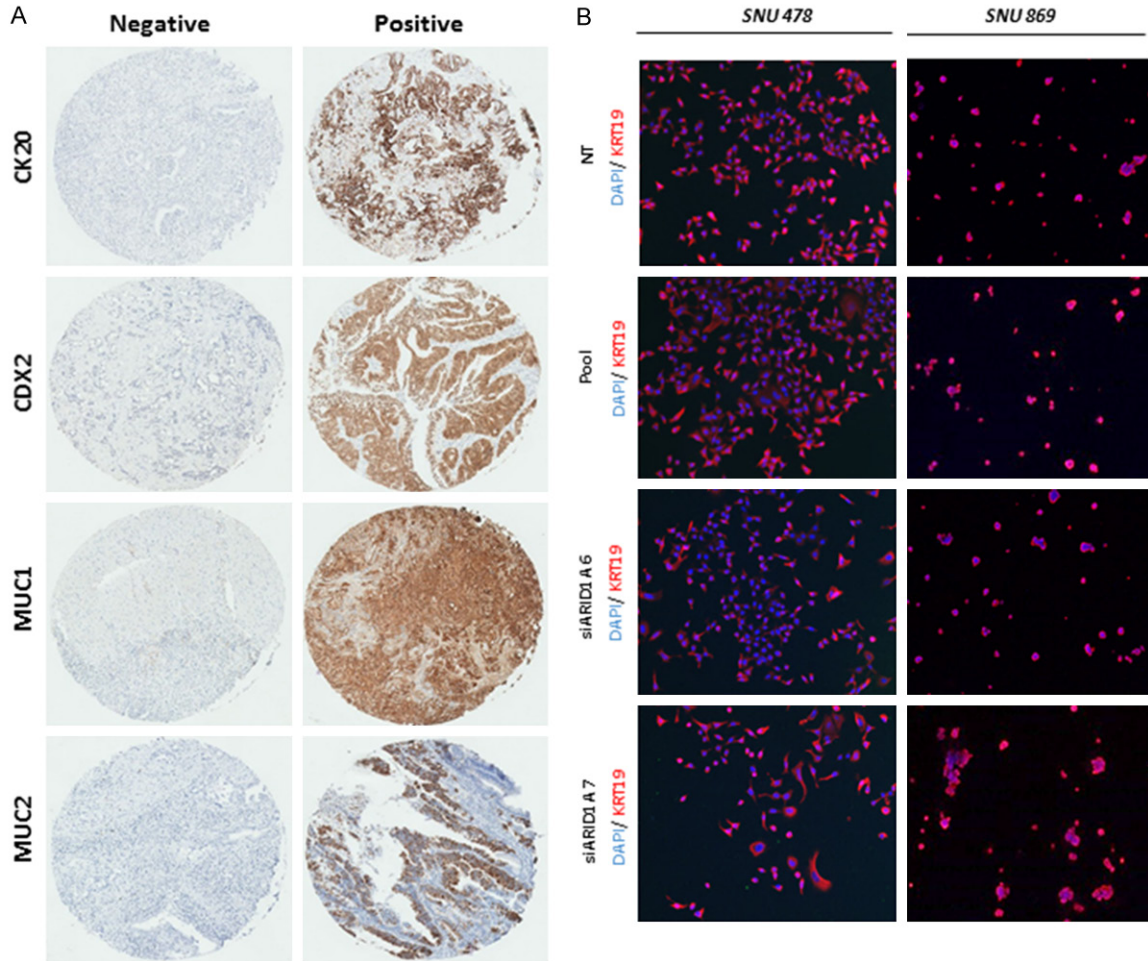
**Figure 3.** ARID1A genomic and proteomic characterization in representative cases with mutations. For each case, three panels are presented: upper left-sequencing chromatograms for normal and tumoral sample, bottom-left: immunohistochemistry staining, right-analysis of copy number alterations by ASCAT in tumoral sample (top panel-Log R ratio, middle panel: B allele frequency, bottom panel: allele specific copy numbers).

## ARID1A in ampullary tumors



**Figure 4.** Functional studies in SNU-478 and SNU-869 cell lines. A: Relative proliferation curves, qPCR and western blot in SNU-478 cell line treated with non-targeting siRNA or Pool siRNA, siARID1A6 and siARID1A7. B: Relative proliferation curves, qPCR and Western blot in SNU-869 cell line treated with non-targeting siRNA or Pool siRNA, siARID1A6 and siARID1A7. C: Real time PCR analysis of EMT markers in SNU-478 and SNU-869 cell lines (in SNU-478, no amplification was detected for VIM and TWIST1 while CDH1 was reported to be hypermethylated (ref 34, 35)).

## ARID1A in ampullary tumors



**Figure 5.** A: Immunohistochemistry of representative cases stained for CK20, CDX2, MUC1 and MUC2 as either negative or positive. B: Immunofluorescence of KRT19 in SNU-478 and SNU-869 cell lines (picture taken with 4× objective).

differentiation between *ARID1A* mutant and *ARID1A* wild-type group ( $P=0.01$ ). Some of *ARID1A* mutant cases (2/4, 50%) had a poorly tumor grade (G3) that might suggest a more aggressive clinical phenotype of these cases.

The mutational status of *ARID1A* was shown to be statistically significant correlated with protein expression ( $P=0.023$ , **Table 4**) that points to the possible use of protein expression as a surrogate marker for *ARID1A* mutations. Analysis between the mutational status and overall survival (**Figure 2D**) or tumor recurrence showed no statistically significant association.

*KRAS* gene sequencing identified 22 missense non-synonymous mutations, 17 in the ampullary adenocarcinoma group (34.7%), 3 in the

duodenal tumors group (50%) and 2 in the ampullary adenoma group (66%) (**Figure 2A, 2B**). In the ampullary adenocarcinoma cohort, the majority of *KRAS* mutation occurred in the pancreatobiliary and ambiguous subtype (5/13, 38.5%) followed by the intestinal subtype (3/13, 23.1%). The frequency of *KRAS* mutations is much lower than the frequency reported in pancreatic cancer, but in line with previous reported results in ampullary adenocarcinoma [32, 33].

In our cohort, it is possible that, from the point of view of the *KRAS* mutations, the ambiguous subtype is more related to the pancreatobiliary subtype than to the intestinal one. In the whole cohort, the majority of the mutations occurred in codon 12 (19/22 cases, 86.4%) with the most frequent mutation being c.35G>A (p.

## ARID1A in ampullary tumors

**Table 2.** Detailed description of the *ARID1A* non-synonymous somatic mutations and associated protein expression

Sample	Country	Histologic diagnostic/tumor location	Mutation type/ Affected exon	Nucleotide change <sup>a</sup>	Protein Change	IHC	MSI	Predicted <i>ARID1A</i> status by SNP array	Amplification/ deletion at gene locus	<i>KRAS</i> status	<i>In</i> <i>COSMIC</i>
37417607	SG	Adenocarcinoma with signet ring morphology/ Ampullary carcinoma group	Deletion (exon 20)	c.6407_6423 del TGGCCACACCCCCTTC	fs	Weak staining	Normal	LOH	Deletion	WT	No
2000368	SG	Adenocarcinoma/Ampullary carcinoma group	Deletion (exon 1)	c.827 del G	fs	Loss	MSH6 loss	LOH	No	p.G12V (s)	Yes
20020287	SG	Papillary adenocarcinoma mucinous type/ Ampullary carcinoma group	Nonsense (exon 1)	c.514C>T	p.Q172X	Weak staining	MSH6 loss PMS loss	No	No	p.G12D (g)	No
1346	RO	Adenocarcinoma/Ampullary carcinoma group	Deletion (exon 20)	c.6723_6733 del GGCCAAGGTGG	fs	NA	NA	LOH	No	WT	No
11158260	SG	Adenocarcinoma/duodenum carcinomagroup	Nonsense (exon 15)	c.3826C>T	p.R1276X	Weak staining	MSH6 loss	No	No	p.G12D (s)	Yes
1303	RO	Adenocarcinoma/duodenum carcinoma group	Insertion (exon 3)	c.1795_1796 ins CACC	fs	NA	NA	LOH	Deletion	p.G12V (s)	No
0986	RO	Tubular adenoma low grade/Ampullary adenoma group	Splice site (exon 2)	Splice site+1G>T	Splice_ site	Normal	Normal	No	No	WT	No

SG-Singapore; RO-Romania; LOH-loss of heterozygosity at chromosome 1p, *ARID1A* locus; f,s-frame shift; WT-wild-type; s-somatic; g-germline; NA-insufficient/unavailable/not assessed sample. <sup>a</sup>Reference sequence CCDS285.1.

## ARID1A in ampullary tumors

**Table 3.** Non-synonymous somatic mutation frequencies of *ARID1A* and *KRAS* in Singapore and Romania cohorts

	Singapore		Romania		Total		p-value	q-value <sup>a</sup>
	Ampullary carcinoma (n=12)	Duodenal carcinoma (n=1)	Ampullary carcinoma (n=37)	Duodenal carcinoma (n=5)	Ampullary carcinoma (n=49)	Duodenal carcinoma (n=6)		
<i>ARID1A</i>	25% (3)	100% (1)	2.7% (1)	20% (1)	8.16% (4)	33.3% (2)	0.04	0.08
<i>KRAS</i>	41.7% (5)	100% (1)	32.43% (12)	40% (2)	34.7% (17)	50% (3)	0.72	0.72

<sup>a</sup>Benjamini-Hochberg correction.

**Table 4.** Analysis on *ARID1A* mutational status in the ampullary tumor group

Characteristics	ARID1A status			KRAS status		
	Mutant (n=4)	Wild-type <sup>a</sup> (n=42)	p-value <sup>b</sup>	Mutant (n=17)	Wild-type <sup>a</sup> (n=31)	p-value <sup>b</sup>
Age at surgery (yrs), median [range]	59 [44-71]	61 [40-78]	0.52	66 [48-77]	60 [40-78]	0.4
Gender (n, %)			0.31			0.77
Female	3 (75.0%)	18 (42.9%)		8 (47.1%)	13 (41.9%)	
Male	1 (25.0%)	24 (57.1%)		9 (52.9%)	18 (58.1%)	
Differentiation degree (n, %)			0.01			0.37
G1, G1-G2	1 (25.0%)	20 (47.6%)		6 (35.3%)	16 (51.6%)	
G2, G2-G3	1 (25.0%)	18 (42.9%)		7 (41.2%)	12 (38.7%)	
G3	2 (50.0%)	2 (4.8%)		3 (17.6%)	2 (6.5%)	
Unknown <sup>c</sup>	0 (0.0%)	2 (4.8%)		1 (5.9%)	1 (3.2%)	
pN (n, %)			1.0			0.21
N0	3 (75.0%)	27 (64.3%)		8 (47.1%)	21 (67.7%)	
N1	1 (25.0%)	15 (35.7%)		9 (52.9%)	9 (29.0%)	
Unknown	0.0 (0.0%)	0.0 (0.0%)		0.0 (0.0%)	1 (3.2%)	
pT (n, %)			0.7			0.85
T1	0 (0.0%)	9 (21.4%)		4 (23.5%)	5 (16.1%)	
T2	2 (50.0%)	16 (38.1%)		5 (29.4%)	12 (38.7%)	
T3	2 (50.0%)	15 (35.7%)		7 (41.2%)	12 (38.7%)	
T4	0 (0.0%)	2 (4.8%)		1 (5.9%)	1 (3.2%)	
Unknown <sup>c</sup>	0 (0.0%)	0 (0.0%)		0 (0.0%)	1 (3.2%)	
Tumor size (cm), median [range]	1.75 [0.5-3.0]	2.0 [0.4-10]	0.54	2.5 [0.5-10]	1.75 [0.4-6]	0.4
Status (n, %)			1.0			0.12
Alive	2 (50.0%)	16 (38.1%)		4 (23.5%)	16 (51.6%)	
Dead	2 (50.0%)	24 (57.1%)		11 (64.7%)	15 (48.4%)	
Unknown <sup>c</sup>	0 (0.0%)	2 (4.8%)		2 (11.8%)	0	
Overall survival (months), median [range]	39.21 [1.64-116.3]	29.8 [0.76-160.3]	0.48	21.96 [0.76-112.0]	58.82 [1.25-160.31]	0.087
Kras mutational status (n, %)			1.0			
Kras mutant	1 (25.0%)	14 (33.3%)		-	-	-
Kras wild type	3 (75.0%)	28 (66.7%)		-	-	-
ARID1A IHC subtype <sup>d</sup> (n, %)			0.72			0.1
Pancreatobiliary	1 (50.0%)	10 (35.7%)		5 (38.5%)	6 (33.3%)	
Intestinal	1 (50.0%)	11 (39.3%)		3 (23.1%)	10 (55.6%)	
Ambiguous	0 (0.0%)	7 (25.0%)		5 (38.5%)	2 (11.1%)	
ARID1A IHC <sup>d</sup> (n, %)			0.023			0.7
ARID1A loss/weak staining	3 (100.0%)	6 (23.1%)		4 (28.6%)	4 (22.2%)	
ARID1A positive	0 (0.0%)	20 (76.9%)		10 (71.4%)	14 (77.8%)	

<sup>a</sup>only wild-type patients and patients with synonymous mutations were included; germline mutations were excluded; <sup>b</sup>p-values were calculated between ARID1A mutant vs wild-type and KRAS mutant vs wild-type; differences between age and tumor sizes were calculated by means of Mann-Whitney test; differences in overall survival were calculated by means of Log-Rank Mantel Cox test; the rest were tested by Fisher exact t-test or Chi-square test; <sup>c</sup>not included in p-value calculation; <sup>d</sup>only samples with available data were included.

G12D) (12/22, 55%) (Figure 2C). Survival analysis in ampullary tumor group showed a signifi-

cant statistically difference in the overall survival when comparing the patients with c.35G>A



(p.G12D) mutations versus wild-type patients ( $P=0.028$ , **Figure 2D**). These findings support the prognostic implication of c.35G>A (p.G12D) *KRAS* mutations in ampullary cancers.

*ARID1A* protein expression is commonly weaker expressed or loss in cases with mutations

Given the relatively high rate of mutations (8.2%) seen in the subgroup of ampullary adenocarcinoma, immunohistochemistry staining was performed on whole slides or on TMA prepared from tumor blocks with a commercially available antibody (**Figure 3**). A total of 58 ampullary adenocarcinoma cases were stained for ARID1A. A loss or reduction of ARID1A expression was observed in 10 cases (17.2%), while the rest of 48 showed normal staining for ARID1A. Analysis of this group showed that loss or weak staining of ARID1A is more common in younger patients ( $P=0.04$ , **Table 5**).

The loss/weak staining of ARID1A protein in the cases without detectable mutation or with germline mutation in the tumor might suggest that other mechanisms such as epigenetic silencing of the protein may be involved in down regulating ARID1A in ampullary cancers. In addition, the duodenal tumors showed reduced staining, while the ampullary adenoma cases showed no loss (normal) of staining for ARID1A.

### Functional analyses

In order to investigate ARID1A function *in vitro*, we used two immortalized cell lines derived from ampulla of Vater tumors, SNU-478 and SNU-869 with wild-type ARID1A. *ARID1A* knock-down was confirmed by qPCR and by Western blotting at 48 hours post-transfection. Cell proliferation was significantly promoted after siRNA treatment in both cell lines (**Figure 4A, 4B**). In order to test if ARID1A knockdown also induces a pro-invasive phenotype, we assessed by qPCR the expression of a panel of markers associated with epithelial to mesenchymal transition (EMT). KRT19, MMP1 and SOX4 were tested in both cell lines, and CDH1 (E-Cadherin) and vimentin were tested only in SNU-869 cells. In both knock-down cell lines (SNU-478 and SNU-869) SOX4 was found to be up-regulated, while vimentin and TWIST1 were found up-regulated only in the SNU-869 knock-down cell lines. Collectively, the functional analysis data indicate that *ARID1A* knock-down induces

an increase in cell proliferation in ampullary cancer cells, indicative for the tumor suppressive nature of the gene, and promote the expression of markers associated with EMT phenotype (**Figures 4C and 5B**).

### Discussion

*ARID1A* has emerged from the whole exome and genome studies as one of the most commonly mutated gene in human cancers [23]. Loss of its expression is due to nonsense and frame-shift mutations in the gene-coding region that leads to mRNA decay and protein truncation [17].

It has been suggested that *ARID1A* mutations carry prognostic significance, but the results are inconsistent [21, 38, 39, 40]. In liver cancer cell lines, *ARID1A* knock down promoted migration and invasion and had been suggested that loss-of-function *ARID1A* mutations may be a crucial event in hepatocellular carcinoma invasion and metastasis [29].

In our study, we found a rather high frequency of *ARID1A* somatic mutations (8.2%) while loss or weak ARID1A staining confirmed by immunohistochemistry was detected in 17.2% of the ampullary cancers. The presence of mutations was correlated with loss/weak staining of the protein. Further analysis showed that there is a significant correlation between degree of differentiation of the ampullary adenocarcinoma and *ARID1A* mutational status.

Our mutational screening extended to a group of duodenal tumors and ampullary adenomas identified two and respectively one additional *ARID1A* mutations in these groups. These results may be indicative of ARID1A involvement in early stages of carcinogenesis. Previous studies had also identified *ARID1A* alterations in non-cancerous lesions either as mutations, in a colon adenomas with moderate dysplasia and with *APC* mutations [41] or as loss of ARID1A protein in ovarian clear cell carcinoma in precursor lesions like non-atypical endometriosis adjacent to carcinoma or benign clear-cell adenofibroma [42]. In our study, protein expression analysis showed that the weak staining was observed in the duodenal tumor group but not in the ampullary adenoma group.

Given the degree of correlation between the presence of mutations and loss/weak staining



## ARID1A in ampullary tumors

**Table 5.** Analysis on ARID1A immunohistochemistry status in the ampullary tumors group

Characteristics	ARID1A loss or weak staining (n=10)	ARID1A positive staining (n=48)	p-value <sup>a</sup>
Age at surgery (yrs), median [range]	54.0 [38.0-71.0]	67.5 [41.0-79.0]	0.04
Gender (n, %)			
Female	6 (60.0%)	19 (39.6%)	0.30
Male	4 (40.0%)	29 (60.4%)	
Differentiation degree (n, %)			0.29
G1, G1-G2	2 (20.0%)	11 (22.9%)	
G2, G2-G3	5 (50.0%)	30 (62.5%)	
G3	3 (30.0%)	5 (10.4%)	
Unknown <sup>b</sup>	0 (0.0%)	2 (4.2%)	
pN (n, %)			0.29
N0	5 (50.0%)	33 (68.75%)	
N1	5 (50.0%)	15 (31.25%)	
pT (n, %)			0.87
T1	1 (10.0%)	7 (14.6%)	
T2	5 (50.0%)	19 (39.6%)	
T3	3 (30.0%)	19 (39.6%)	
T4	1 (10.0%)	3 (6.3%)	
Tumor size (cm), median [range]	2.5 [1.0-3.0]	2.0 [0.4-10.0]	0.57
Status (n, %)			
Alive	5 (50.0%)	27 (56.2%)	1.0
Dead	4 (40.0%)	21 (43.8%)	
Unknown <sup>b</sup>	1 (10.0%)	0 (0.0%)	
Overall survival (months), median [range]	39.2 [1.64-127.9]	24.9 [0.39-160.3]	0.37
ARID1A IHC subtype (n, %)			
Pancreatobiliary	3 (30.0%)	21 (43.8%)	0.59
Intestinal	5 (50.0%)	18 (35.7%)	
Ambiguous	1 (10.0%)	9 (18.8%)	
Unknown <sup>b</sup>	1 (10.0%)	0 (0.0%)	

<sup>a</sup>differences between age and tumor sizes were calculated by means of Mann-Whitney test; differences in overall survival were calculated by means of Log-Rank Mantel Cox test; the rest were tested by Fisher exact t-test or Chi-square test; <sup>b</sup>not included in p-value calculation.

in ampullary cancers, our data indicate that IHC might be a reliable method for the detection of *ARID1A* mutations in ampullary carcinoma. On the other hand, there were samples that had no loss of staining of ARID1A indicating that additional unknown mechanisms are involved in the retention of ARID1A protein.

A better overall survival of 39.2 months was observed in the group of ampullary adenocarcinomas with mutant *ARID1A* compared to wild-type *ARID1A* that had an overall survival of 29.8 months. The same trend was observed when comparing patients with ARID1A evaluated by immunohistochemistry as loss or weak and negative staining (39.2 versus 24.9 mon-

ths). Additionally loss or weak ARID1A protein expression was observed in younger patients.

Analysis on *KRAS* mutations showed a worse overall survival between the ampullary cancer patients with c.35G>A (G12D) mutations compared to wild type ones. As *KRAS* is a well-known driver of pancreatic cancer and ampullary adenocarcinoma might emerge from the pancreatic lining there is the possibility that a sub-group of patients with a poor survival exists among ampullary cancer group.

The patients with concurrent *ARID1A* and *KRAS* mutations all had a pT stage 3 or 4 and tumor recurrence. It is not yet clear if in ampullary

cancers *ARID1A* potentiate *KRAS* mutations or *KRAS* mutations overcome *ARID1A* mutations.

Interestingly, in our study there was a difference in the frequency of *ARID1A* mutation in ampullary adenocarcinoma in the Asian versus European patients. This difference might be due to different racial genetic differences and different exposure to environmental factors, as we have previously shown for cholangiocarcinoma [43].

The molecular differences in ampullary cancers between intestinal and pancreatobiliary type were shown by the different frequencies of *KRAS* and *APC* mutations with more frequent *KRAS* mutation detected in pancreatobiliary subtype [44]. This observation is in line with our study where we found 38.5% *KRAS* alterations in pancreatobiliary subtype versus 23.1% in intestinal subtype. The fact that we also found 38.5% *KRAS* mutations in the ambiguous subtype suggest that these tumors may be more related to the pancreatobiliary subtype.

Functional analysis of the *ARID1A* alteration in ampullary cancer by means of siRNA knock-down approaches, were consistent with a tumors suppressive function of the gene. Knock-down experiments in two cell lines with intact *ARID1A* promoted some EMT related genes phenotype with *SOX4* consistently up-regulated in both cell lines. *SOX4* was shown to be critical for EMT in breast cancer and to regulates EMT-relevant genes, among them *Ezh2* [45, 46]. Moreover, knock-down of *ARID1A*, *ARID1B* and *SMARCA4* in HPDE pancreatic cell line lead to down-regulation of genes that are up-regulated with knock-down of *EZH2* or *HDAC*. As *EZH2* is the catalytic subunit of PRC2 complex (polycomb repressive complex 2), these results lead to the conclusion that SWI/SNF might oppose PRC2 in PDAC cell lines [31] a process that might be mediated by *SOX4*. As *EZH2* is a therapeutically druggable target this results might have direct clinical implication.

The relatively high mutation rate of *ARID1A* in ampullary cancer shows the critical genomic and epigenomic interplay that leads to tumor development. As recent studies are focusing in targeting *ARID1A* mutations by showing various synthetic lethality synergies [47, 48], tailoring treatment based on *ARID1A* and *KRAS* mutational status might soon prove to be an effective therapeutic strategy in ampullary cancers.

In summary, the data presented in this study highlight a potential involvement of *ARID1A* in early stages of carcinogenesis, together with other factors that are essential for tumor transformation, and show a possible influence of different factors in ampullary cancers tumorigenesis based on geographic regions.

### Acknowledgements

This work was supported by project “*Hepatocellular carcinoma stratification based on non-invasive markers*” (HEPMARK), EEA-JRP-Romania-Norway no. 4SEE.). We thank Liang Kai Koh for his support. D.G.D.’s research is supported by the National Institutes of Health Grants P01-CA080124, R01-CA159258 and by the Warsaw Institute for Pancreatic Cancer Research.

### Disclosure of conflict of interest

None.

**Address correspondence to:** Anca Nastase, Laboratory of Cancer Epigenome, National Cancer Centre Singapore, 11 Hospital Drive 169610, Singapore; Centre of Digestive Diseases and Liver Transplantation, Fundeni Clinical Institute, Bucharest, Romania. E-mail: am\_nastase@yahoo.com; Jin Yao Teo, Department of Hepatopancreatobiliary and Transplant Surgery, Singapore General Hospital, Outram Road, Singapore 169608, Singapore. E-mail: teo.jin.yao@sgh.com.sg; Irinel Popescu, Centre of Digestive Diseases and Liver Transplantation, Fundeni Clinical Institute, Bucharest, Romania. E-mail: irinel.popescu@icfundeni.ro; Tony Kiat Hon Lim, Department of Pathology, Singapore General Hospital, Singapore, Singapore. E-mail: lim.kiat.hon@singhealth.com.sg

### References

- [1] Demeure MJ, Craig DW, Sinari S, Moses TM, Christoforides A, Dinh J, Izatt T, Aldrich J, Decker A, Baker A, Cherni I, Watanabe A, Koep L, Lake D, Hostetter G, Trent JM, Von Hoff DD and Carpten JD. Cancer of the ampulla of vater: analysis of the whole genome sequence exposes a potential therapeutic vulnerability. *Genome Med* 2012; 4: 56.
- [2] Chang DK, Jamieson NB, Johns AL, Scarlett CJ, Pajic M, Chou A, Pinese M, Humphris JL, Jones MD, Toon C, Nagrial AM, Chantrill LA, Chin VT, Pinho AV, Rooman I, Cowley MJ, Wu J, Mead RS, Colvin EK, Samra JS, Corbo V, Bassi C, Falconi M, Lawlor RT, Crippa S, Sperandio N, Bersani S, Dickson EJ, Mohamed MA, Oien KA, Foulis AK, Musgrove EA, Sutherland RL, Kench

## ARID1A in ampullary tumors

- JG, Carter CR, Gill AJ, Scarpa A, McKay CJ and Biankin AV. Histomolecular phenotypes and outcome in adenocarcinoma of the ampulla of vater. *J Clin Oncol* 2013; 31: 1348-1356.
- [3] Kimura W and Ohtsubo K. Incidence, sites of origin, and immunohistochemical and histochemical characteristics of atypical epithelium and minute carcinoma of the papilla of vater. *Cancer* 1988; 61: 1394-1402.
- [4] Ang DC, Shia J, Tang LH, Katabi N and Klimstra DS. The utility of immunohistochemistry in subtyping adenocarcinoma of the ampulla of vater. *Am J Surg Pathol* 2014; 38: 1371-1379.
- [5] Chung YE, Kim MJ, Park MS, Choi JY, Kim H, Kim SK, Lee M, Kim HJ, Choi JS, Song SY and Kim KW. Differential features of pancreatobiliary- and intestinal-type ampullary carcinomas at MR imaging. *Radiology* 2010; 257: 384-393.
- [6] Adsay V, Ohike N, Tajiri T, Kim GE, Krasinskas A, Balci S, Bagci P, Basturk O, Bandyopadhyay S, Jang KT, Kooby DA, Maithel SK, Sarmiento J, Staley CA, Gonzalez RS, Kong SY and Goodman M. Ampullary region carcinomas: definition and site specific classification with delineation of four clinicopathologically and prognostically distinct subsets in an analysis of 249 cases. *Am J Surg Pathol* 2012; 36: 1592-1608.
- [7] Overman MJ, Zhang J, Kopetz S, Davies M, Jiang ZQ, Stemke-Hale K, Rummele P, Pilarsky C, Grutzmann R, Hamilton S, Hwang R, Abbruzzese JL, Varadhachary G, Broom B and Wang H. Gene expression profiling of ampullary carcinomas classifies ampullary carcinomas into biliary-like and intestinal-like subtypes that are prognostic of outcome. *PLoS One* 2013; 8: e65144.
- [8] Yeo CJ, Sohn TA, Cameron JL, Hruban RH, Lillemoe KD and Pitt HA. Periampullary adenocarcinoma: analysis of 5-year survivors. *Ann Surg* 1998; 227: 821-831.
- [9] Lillemoe KD, Cameron JL, Hardacre JM, Sohn TA, Sauter PK, Coleman J, Pitt HA and Yeo CJ. Is prophylactic gastrojejunostomy indicated for unresectable periampullary cancer? A prospective randomized trial. *Ann Surg* 1999; 230: 322-328; discussion 328-330.
- [10] Heby M, Elebro J, Nodin B, Jirstrom K and Eberhard J. Prognostic and predictive significance of podocalyxin-like protein expression in pancreatic and periampullary adenocarcinoma. *BMC Clin Pathol* 2015; 15: 10.
- [11] In: Holzheimer RG, Mannick JA, editors. *Surgical treatment: evidence-based and problem-oriented*. Munich: 2001.
- [12] He J, Ahuja N, Makary MA, Cameron JL, Eckhauser FE, Choti MA, Hruban RH, Pawlik TM and Wolfgang CL. 2564 resected periampullary adenocarcinomas at a single institution: trends over three decades. *HPB (Oxford)* 2014; 16: 83-90.
- [13] Oike T, Ogiwara H, Nakano T, Yokota J and Kohno T. Inactivating mutations in SWI/SNF chromatin remodeling genes in human cancer. *Jpn J Clin Oncol* 2013; 43: 849-855.
- [14] Tang L, Nogales E and Cifferri C. Structure and function of SWI/SNF chromatin remodeling complexes and mechanistic implications for transcription. *Prog Biophys Mol Biol* 2010; 102: 122-128.
- [15] Shain AH and Pollack JR. The spectrum of SWI/SNF mutations, ubiquitous in human cancers. *PLoS One* 2013; 8: e55119.
- [16] Dallas PB, Pacchione S, Wilsker D, Bowrin V, Kobayashi R and Moran E. The human SWI-SNF complex protein p270 is an ARID family member with non-sequence-specific DNA binding activity. *Mol Cell Biol* 2000; 20: 3137-3146.
- [17] Wu JN and Roberts CW. ARID1A mutations in cancer: another epigenetic tumor suppressor? *Cancer Discov* 2013; 3: 35-43.
- [18] Wu RC, Wang TL and Shih Ie M. The emerging roles of ARID1A in tumor suppression. *Cancer Biol Ther* 2014; 15: 655-664.
- [19] Yan HB, Wang XF, Zhang Q, Tang ZQ, Jiang YH, Fan HZ, Sun YH, Yang PY and Liu F. Reduced expression of the chromatin remodeling gene ARID1A enhances gastric cancer cell migration and invasion via downregulation of E-cadherin transcription. *Carcinogenesis* 2014; 35: 867-876.
- [20] Wiegand KC, Shah SP, Al-Agha OM, Zhao Y, Tse K, Zeng T, Senz J, McConechy MK, Anglesio MS, Kaloger SE, Yang W, Heravi-Moussavi A, Giuliany R, Chow C, Fee J, Zayed A, Prentice L, Melnyk N, Turashvili G, Delaney AD, Madore J, Yip S, McPherson AW, Ha G, Bell L, Fereday S, Tam A, Galletta L, Tonin PN, Provencher D, Miller D, Jones SJ, Moore RA, Morin GB, Oloumi A, Boyd N, Aparicio SA, Shih Ie M, Mes-Masson AM, Bowtell DD, Hirst M, Gilks B, Marra MA and Huntsman DG. ARID1A mutations in endometriosis-associated ovarian carcinomas. *N Engl J Med* 2010; 363: 1532-1543.
- [21] Wang K, Kan J, Yuen ST, Shi ST, Chu KM, Law S, Chan TL, Kan Z, Chan AS, Tsui WY, Lee SP, Ho SL, Chan AK, Cheng GH, Roberts PC, Rejto PA, Gibson NW, Pocalyko DJ, Mao M, Xu J and Leung SY. Exome sequencing identifies frequent mutation of ARID1A in molecular subtypes of gastric cancer. *Nat Genet* 2011; 43: 1219-1223.
- [22] Wilson BG and Roberts CW. SWI/SNF nucleosome remodellers and cancer. *Nat Rev Cancer* 2011; 11: 481-492.

- [23] Lawrence MS, Stojanov P, Mermel CH, Robinson JT, Garraway LA, Golub TR, Meyerson M, Gabriel SB, Lander ES and Getz G. Discovery and saturation analysis of cancer genes across 21 tumour types. *Nature* 2014; 505: 495-501.
- [24] Jones S, Wang TL, Shih le M, Mao TL, Nakayama K, Roden R, Glas R, Slamon D, Diaz LA Jr, Vogelstein B, Kinzler KW, Velculescu VE and Papadopoulos N. Frequent mutations of chromatin remodeling gene ARID1A in ovarian clear cell carcinoma. *Science* 2010; 330: 228-231.
- [25] Zhang X, Zhang Y, Yang Y, Niu M, Sun S, Ji H, Ma Y, Yao G, Jiang Y, Shan M, Zhang G and Pang D. Frequent low expression of chromatin remodeling gene ARID1A in breast cancer and its clinical significance. *Cancer Epidemiol* 2012; 36: 288-293.
- [26] Cornen S, Adelaide J, Bertucci F, Finetti P, Guille A, Birnbaum DJ, Birnbaum D and Chaffanet M. Mutations and deletions of ARID1A in breast tumors. *Oncogene* 2012; 31: 4255-4256.
- [27] Wang X, Nagl NG Jr, Flowers S, Zweitzig D, Dallas PB and Moran E. Expression of p270 (ARID1A), a component of human SWI/SNF complexes, in human tumors. *Int J Cancer* 2004; 112: 636.
- [28] Fujimoto A, Totoki Y, Abe T, Boroevich KA, Hosoda F, Nguyen HH, Aoki M, Hosono N, Kubo M, Miya F, Arai Y, Takahashi H, Shirakihara T, Nagasaki M, Shibuya T, Nakano K, Watanabe-Makino K, Tanaka H, Nakamura H, Kusuda J, Ojima H, Shimada K, Okusaka T, Ueno M, Shigekawa Y, Kawakami Y, Arihiro K, Ohdan H, Gotoh K, Ishikawa O, Ariizumi S, Yamamoto M, Yamada T, Chayama K, Kosuge T, Yamaue H, Kamatani N, Miyano S, Nakagama H, Nakamura Y, Tsunoda T, Shibata T and Nakagawa H. Whole-genome sequencing of liver cancers identifies etiological influences on mutation patterns and recurrent mutations in chromatin regulators. *Nat Genet* 2012; 44: 760-764.
- [29] Huang J, Deng Q, Wang Q, Li KY, Dai JH, Li N, Zhu ZD, Zhou B, Liu XY, Liu RF, Fei QL, Chen H, Cai B, Zhou B, Xiao HS, Qin LX and Han ZG. Exome sequencing of hepatitis B virus-associated hepatocellular carcinoma. *Nat Genet* 2012; 44: 1117-1121.
- [30] Jones S, Li M, Parsons DW, Zhang X, Wesseling J, Kristel P, Schmidt MK, Markowitz S, Yan H, Bigner D, Hruban RH, Eshleman JR, Iacobuzio-Donahue CA, Goggins M, Maitra A, Malek SN, Powell S, Vogelstein B, Kinzler KW, Velculescu VE and Papadopoulos N. Somatic mutations in the chromatin remodeling gene ARID1A occur in several tumor types. *Hum Mutat* 2012; 33: 100-103.
- [31] Shain AH, Giacomini CP, Matsukuma K, Kari-kari CA, Bashyam MD, Hidalgo M, Maitra A and Pollack JR. Convergent structural alterations define switch/sucrose nonfermentable (SWI/SNF) chromatin remodeler as a central tumor suppressive complex in pancreatic cancer. *Proc Natl Acad Sci U S A* 2012; 109: E252-259.
- [32] Gingras MC, Covington KR, Chang DK, Donehower LA, Gill AJ, Ittmann MM, Creighton CJ, Johns AL, Shinbrot E, Dewal N, Fisher WE; Australian Pancreatic Cancer Genome I, Pilarsky C, Grutzmann R, Overman MJ, Jamieson NB, Van Buren G 2nd, Drummond J, Walker K, Hampton OA, Xi L, Muzny DM, Doddapaneni H, Lee SL, Bellair M, Hu J, Han Y, Dinh HH, Dahdouli M, Samra JS, Bailey P, Waddell N, Pearson JV, Harliwong I, Wang H, Aust D, Oien KA, Hruban RH, Hodges SE, McElhany A, Saengboonmee C, Duthie FR, Grimmond SM, Biankin AV, Wheeler DA and Gibbs RA. Ampullary cancers harbor ELF3 tumor suppressor gene mutations and exhibit frequent WNT dysregulation. *Cell Rep* 2016; 14: 907-919.
- [33] Yachida S, Wood LD, Suzuki M, Takai E, Totoki Y, Kato M, Luchini C, Arai Y, Nakamura H, Hama N, Elzawahry A, Hosoda F, Shirota T, Morimoto N, Hori K, Funazaki J, Tanaka H, Morizane C, Okusaka T, Nara S, Shimada K, Hiraoka N, Taniguchi H, Higuchi R, Oshima M, Okano K, Hirono S, Mizuma M, Arihiro K, Yamamoto M, Unno M, Yamaue H, Weiss MJ, Wolfgang CL, Furukawa T, Nakagama H, Vogelstein B, Kiyono T, Hruban RH and Shibata T. Genomic sequencing identifies ELF3 as a driver of ampullary carcinoma. *Cancer Cell* 2016; 29: 229-240.
- [34] Ku JL, Yoon KA, Kim IJ, Kim WH, Jang JY, Suh KS, Kim SW, Park YH, Hwang JH, Yoon YB and Park JG. Establishment and characterisation of six human biliary tract cancer cell lines. *Br J Cancer* 2002; 87: 187-193.
- [35] Ku JL and Park JG. Biology of SNU cell lines. *Cancer Res Treat* 2005; 37: 1-19.
- [36] Bamford S, Dawson E, Forbes S, Clements J, Pettett R, Dogan A, Flanagan A, Teague J, Futreal PA, Stratton MR and Wooster R. The COSMIC (catalogue of somatic mutations in cancer) database and website. *Br J Cancer* 2004; 91: 355-358.
- [37] Barretina J, Caponigro G, Stransky N, Venkatesan K, Margolin AA, Kim S, Wilson CJ, Lehar J, Kryukov GV, Sonkin D, Reddy A, Liu M, Murray L, Berger MF, Monahan JE, Morais P, Meltzer J, Korejwa A, Jane-Valbuena J, Mapa FA, Thibault J, Bric-Furlong E, Raman P, Shipway A, Engels IH, Cheng J, Yu GK, Yu J, Aspesi P Jr, de Silva M, Jagtap K, Jones MD, Wang L, Hatton C, Palescandolo E, Gupta S, Mahan S, Sougnez C, Ono-

## ARID1A in ampullary tumors

- frio RC, Liefeld T, MacConaill L, Winckler W, Reich M, Li N, Mesirov JP, Gabriel SB, Getz G, Ardlie K, Chan V, Myer VE, Weber BL, Porter J, Warmuth M, Finan P, Harris JL, Meyerson M, Golub TR, Morrissey MP, Sellers WR, Schlegel R and Garraway LA. The cancer cell line encyclopedia enables predictive modelling of anti-cancer drug sensitivity. *Nature* 2012; 483: 603-607.
- [38] Zang ZJ, Cutcutache I, Poon SL, Zhang SL, McPherson JR, Tao J, Rajasegaran V, Heng HL, Deng N, Gan A, Lim KH, Ong CK, Huang D, Chin SY, Tan IB, Ng CC, Yu W, Wu Y, Lee M, Wu J, Poh D, Wan WK, Rha SY, So J, Salto-Tellez M, Yeoh KG, Wong WK, Zhu YJ, Futreal PA, Pang B, Ruan Y, Hillmer AM, Bertrand D, Nagarajan N, Rozen S, Teh BT and Tan P. Exome sequencing of gastric adenocarcinoma identifies recurrent somatic mutations in cell adhesion and chromatin remodeling genes. *Nat Genet* 2012; 44: 570-574.
- [39] Sausen M, Phallen J, Adleff V, Jones S, Leary RJ, Barrett MT, Anagnostou V, Parpart-Li S, Murphy D, Kay Li Q, Hruban CA, Scharpf R, White JR, O'Dwyer PJ, Allen PJ, Eshleman JR, Thompson CB, Klimstra DS, Linehan DC, Maitra A, Hruban RH, Diaz LA Jr, Von Hoff DD, Johansen JS, Drebin JA and Velculescu VE. Clinical implications of genomic alterations in the tumour and circulation of pancreatic cancer patients. *Nat Commun* 2015; 6: 7686.
- [40] Mamo A, Cavallone L, Tuzmen S, Chabot C, Ferrario C, Hassan S, Edgren H, Kallioniemi O, Aleynikova O, Przybytkowski E, Malcolm K, Mousses S, Tonin PN and Basik M. An integrated genomic approach identifies ARID1A as a candidate tumor-suppressor gene in breast cancer. *Oncogene* 2012; 31: 2090-2100.
- [41] Nikolaev SI, Sotiriou SK, Pateras IS, Santoni F, Sougioultzis S, Edgren H, Almusa H, Robyr D, Guipponi M, Saarela J, Gorgoulis VG, Antonarakis SE and Halazonetis TD. A single-nucleotide substitution mutator phenotype revealed by exome sequencing of human colon adenomas. *Cancer Res* 2012; 72: 6279-6289.
- [42] Yamamoto S, Tsuda H, Takano M, Tamai S and Matsubara O. Loss of ARID1A protein expression occurs as an early event in ovarian clear-cell carcinoma development and frequently coexists with PIK3CA mutations. *Mod Pathol* 2012; 25: 615-624.
- [43] Chan-On W, Nairismagi ML, Ong CK, Lim WK, Dima S, Pairojkul C, Lim KH, McPherson JR, Cutcutache I, Heng HL, Ooi L, Chung A, Chow P, Cheow PC, Lee SY, Choo SP, Tan IB, Duda D, Nastase A, Myint SS, Wong BH, Gan A, Rajasegaran V, Ng CC, Nagarajan S, Jusakul A, Zhang S, Vohra P, Yu W, Huang D, Sithithaworn P, Yongvanit P, Wongkham S, Khuntikeo N, Bhudhisawasdi V, Popescu I, Rozen SG, Tan P and Teh BT. Exome sequencing identifies distinct mutational patterns in liver fluke-related and non-infection-related bile duct cancers. *Nat Genet* 2013; 45: 1474-1478.
- [44] Hechtman JF, Liu W, Sadowska J, Zhen L, Borsu L, Arcila ME, Won HH, Shah RH, Berger MF, Vakiani E, Shia J and Klimstra DS. Sequencing of 279 cancer genes in ampullary carcinoma reveals trends relating to histologic subtypes and frequent amplification and overexpression of ERBB2 (HER2). *Mod Pathol* 2015; 28: 1123-1129.
- [45] Zhang J, Liang Q, Lei Y, Yao M, Li L, Gao X, Feng J, Zhang Y, Gao H, Liu DX, Lu J and Huang B. SOX4 induces epithelial-mesenchymal transition and contributes to breast cancer progression. *Cancer Res* 2012; 72: 4597-4608.
- [46] Tiwari N, Tiwari VK, Waldmeier L, Balwierz PJ, Arnold P, Pachkov M, Meyer-Schaller N, Schubeler D, van Nimwegen E and Christofori G. Sox4 is a master regulator of epithelial-mesenchymal transition by controlling Ezh2 expression and epigenetic reprogramming. *Cancer Cell* 2013; 23: 768-783.
- [47] Bitler BG, Aird KM, Garipov A, Li H, Amatangelo M, Kossenkov AV, Schultz DC, Liu Q, Shih Ie M, Conejo-Garcia JR, Speicher DW and Zhang R. Synthetic lethality by targeting EZH2 methyltransferase activity in ARID1A-mutated cancers. *Nat Med* 2015; 21: 231-238.
- [48] Samartzis EP, Gutsche K, Dedes KJ, Fink D, Stucki M and Imesch P. Loss of ARID1A expression sensitizes cancer cells to PI3K- and AKT-inhibition. *Oncotarget* 2014; 5: 5295-5303.