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Author manuscript

Mol Psychiatry. Author manuscript; available in PMC 2017 August 14.

Published in final edited form as:

Mol Psychiatry. 2017 May; 22(5): 680-688. doi:10.1038/mp.2017.1.

# Genetic Otx2 mis-localization delays critical period plasticity across brain regions

Henry Hing Cheong Lee, PhD<sup>1,6</sup>, Clémence Bernard, PhD<sup>2,6</sup>, Zhanlei Ye, MA<sup>3,6</sup>, Dario Acampora, PhD<sup>4,5</sup>, Antonio Simeone, PhD<sup>4,5</sup>, Alain Prochiantz, PhD<sup>2</sup>, Ariel A Di Nardo, PhD<sup>2,\*</sup>, and Takao K Hensch, PhD<sup>3,\*</sup>

<sup>1</sup>FM Kirby Neurobiology Center, Department of Neurology, Boston Children's Hospital, Harvard Medical School, 300 Longwood Ave, Boston, MA 02115 USA

<sup>2</sup>Center for Interdisciplinary Research in Biology (CIRB), CNRS UMR 7241/INSERM U1050, Labex Memolife, Collège de France, 11 Place Marcelin Berthelot, 75231 Paris FRANCE

<sup>3</sup>Center for Brain Science, Department of Molecular Cellular Biology, Harvard University, 52 Oxford Street, Cambridge MA 02138 USA

<sup>4</sup>Institute of Genetics and Biophysics "Adriano Buzzati-Traverso", CNR, Via P. Castellino 111, 80131 Naples, ITALY

<sup>5</sup>IRCCS Neuromed, 86077 Pozzilli (IS), ITALY

#### **Abstract**

Accumulation of non-cell autonomous Otx2 homeoprotein in postnatal mouse visual cortex (V1) has been implicated in both the onset and closure of critical period plasticity. Here, we show that a genetic point mutation in the glycosaminoglycan-recognition motif of Otx2 broadly delays the maturation of pivotal parvalbumin-positive (PV+) interneurons not only in V1 but also in the primary auditory (A1) and medial prefrontal cortex (mPFC). Consequently, not only visual, but also auditory plasticity is delayed, including the experience-dependent expansion of tonotopic maps in A1 and the acquisition of acoustic preferences in mPFC which mitigates anxious behavior. In addition, Otx2 mis-localization leads to dynamic turnover of selected perineuronal net (PNN) components well beyond the normal critical period in V1 and mPFC. These findings reveal widespread actions of Otx2 signaling in the postnatal cortex controlling the maturational trajectory across modalities. Disrupted PV+ network function and deficits in PNN integrity are implicated in a variety of psychiatric illnesses, suggesting a potential global role for Otx2 function in establishing mental health.

#### **Conflict of Interest**

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 $<sup>^*</sup>$  co-corresponding authors: a riel.dinardo@college-de-france.fr; hensch@mcb.harvard.edu.  $^6$  equal contribution

#### Introduction

Critical periods (CP) of brain plasticity correspond to defined developmental stages during which experience can shape neural circuitry<sup>1</sup>. For example, during these windows in primary visual cortex (V1), acuity and binocularity can be weakened by discordant sensory experience through the two eyes, such as monocular deprivation (MD)<sup>2, 3</sup>. In humans, such conditions known as 'lazy eye' or amblyopia (blunted vision), occur in 2–4% of the population, currently with no cure beyond age eight<sup>4</sup>. Later, imbalanced visual input typically has little influence on acuity, reflecting the maturational state of fast-spiking, parvalbumin-positive (PV+) inhibitory interneurons<sup>1, 5</sup>. A broader role for GABAergic development, and PV+ circuitry in particular, has been implicated in cognitive dysfunction<sup>6–8</sup>. Prenatal stress<sup>9</sup> or neonatal hypoxia<sup>10</sup> can also weaken these vulnerable GABAergic neurons. Mistiming of CP plasticity following genetic or environmental insults may contribute to the etiology of subsequent disorders such as schizophrenia and autism<sup>11, 12</sup>.

During postnatal brain development, distinct CPs across different modalities must be well orchestrated. For example, CPs for sensory processing normally arise before more complex, highly integrated cognitive functions<sup>1</sup>. Interestingly, PV+ cells emerge in cortical regions just ahead of their respective CP onset<sup>13</sup>. We have previously shown that the non-cell autonomous homeoprotein Otx2 is essential for this PV+ cell maturation in V1 and consequently responsible for regulating CP timing therein<sup>14, 15</sup>. While Otx2 protein has also been found in other cortical regions<sup>16</sup>, a similar developmental role for Otx2 in other modalities beyond V1 remains unknown. Indeed, an *Otx2* polymorphism has been related to bipolar disorder<sup>17</sup>, suggesting a more global role for Otx2. Here, we directly address this question by genetic disruption of a pivotal aspect of postnatal Otx2 signaling.

Plasticity in V1 is controlled by the experience-dependent transfer of Otx2 produced outside the cortex<sup>14, 15</sup>. Binding to the perineuronal net (PNN) – an extracellular matrix structure enriched in glycosaminoglycans (GAG) that tightly enwrap PV+ cells as they mature – is a crucial step<sup>15, 18–20</sup>. Thus, cortical infusion of exogenous Otx2 protein just after eye opening (but before normal CP onset) accelerates PV+ cell maturation in V1 and prematurely triggers plasticity in mice<sup>14</sup>, while CP onset is delayed by extracellular blockade of Otx2 protein<sup>15</sup>. Conversely, PNN removal or reducing Otx2 levels in adulthood reopens a new window of plasticity<sup>15, 16, 18</sup>. Of therapeutic relevance<sup>2, 4</sup>, the latter manipulations successfully restore vision to amblyopic mice<sup>15, 16</sup>.

We previously identified a short arginine-rich sugar-binding motif within Otx2 that interacts specifically with disulfated chondroitin sulfate GAG side chains in the PNN<sup>15</sup>. Here, we used a knock-in mouse bearing point mutations in this motif. This allowed us first to determine whether the short peptide sequence is intrinsically needed for PNN-mediated PV+ cell-specific Otx2 localization. Second, we could then test whether this Otx2 mutation would have a global impact beyond the visual system by analyzing its effect across modalities. Indeed, we found altered PV+ cell maturation and delayed CP timing not only for amblyopic effects in V1, but also for tonotopic map plasticity and acoustic preference behavior in primary auditory (A1) and medial prefrontal cortex (mPFC), respectively. In turn, PNN

components remained dynamic well beyond the normal time of CP closure. This mouse model thus reveals a global role for Otx2 in regulating CP timing throughout the cortex and confirms the importance of GAG binding in localizing non-cell autonomous Otx2 to PV+ cells.

#### **Materials and Methods**

#### Animals

The Otx2-AA mouse line was generated through a knock-in approach, as described previously<sup>21</sup>. In all tests performed, there were no differences between wild-type animals from different litters. All procedures were designed to minimize animal suffering and carried out in accordance with recommendations of the European Directive 86/609 (EEC Council for Animal Protection in Experimental Research and Other Scientific Utilization) and the IACUC committee of Boston Children's Hospital.

### **Immunohistochemistry**

Mice were perfused transcardially with PBS followed by 4% paraformaldehyde prepared in PBS. Brains were dissected, post-fixed overnight at 4°C in 4% paraformaldehyde and immunohistochemistry was performed on free-floating sections (40μm). Sections were incubated with primary antibodies overnight at 4°C, intensively washed and further incubated with corresponding Alexa Fluor-conjugated secondary antibodies for 1 hour at room temperature. The following primary antibodies were used: anti-Otx2 (mouse monoclonal, in house), anti-cFos (rabbit polyclonal, 1/500, Santa Cruz), anti-Cux2 (rabbit polyclonal, 1/200, Sigma), anti-Somatostatin (rat monoclonal, 1/500, Millipore), anti-Calbindin (rabbit polyclonal, 1/200, Millipore), anti-VIP (rabbit polyclonal, 1/200, Genetex), anti-Calretinin (rabbit polyclonal, 1/200, Swant) and anti-PV (rabbit polyclonal, 1/500, Swant). Secondary antibodies were used as follows: anti-mouse Alexa Fluor 488 (1/2000, Molecular Probes) and anti-rabbit Alexa Fluor 546 (1/2000, Molecular Probes).

Biotinylated WFA (1/100, Sigma-Aldrich) was used to reveal perineuronal nets and streptavidin-conjugated Alexa Fluor 633 (1/2000, Molecular Probes) was used for its detection. Stained sections were mounted in Fluoromount medium (Southern Biotech) and images were acquired with a Leica SP5 confocal microscope. Staining intensity analyses and cell counting were then carried out using ImageJ software. In brief, a threshold was applied in order to subtract background signal. Size and circularity filters were used to remove false-positive structures, while DAPI counterstain was used to visually confirm that the analyzed structures were cells. For extracellular WFA staining, the mean intensity of the entire image was analyzed in order to quantify staining on both the cell body and its projections. Colocalizations of different antibodies stains were quantified manually.

#### Monocular deprivation (MD)

Mice were anesthetized by isoflurane and the left eyelid was sutured shut before returning to normal housing cage with littermates<sup>5</sup>. The suture was monitored daily for 4 days to ensure complete closure.

#### Visual evoked potential (VEP) recording

Mice were anesthetized using a mixture of nembutal/chlorprothixene/dexamethasone, and a tracheotomy performed to provide constant  $O_2$  supply and isoflurane supplementation (0.5–1%). After exposure of V1 via craniotomy, a high-resistance tungsten electrode was inserted to 300–400 $\mu$ m beneath the pial surface to cortical layer IV (L4). Transient VEPs in response to abrupt contrast reversal (100%, 1 Hz) of spatial frequency ranging from 0.05–0.5 cycles/degree were band-pass filtered (0.1–100 Hz), amplified, and fed to custom computer software where 20 events were averaged in synchrony with the stimulus contrast reversal. A non-linear regression between VEP amplitude and spatial frequency was plotted, and the visual acuity defined as the spatial frequency at which the regression line reaches zero.

#### Voltage-Sensitive Dye Imaging (VSDI) of A1 tonotopy

Mouse pups and mothers were placed in a sound-attenuating chamber and passively exposed to 7 kHz tones (100 ms pulses at 5 Hz for 1 s, followed by 2 s of silence, 80 dB SPL) generated by Audacity software.

The brain was sectioned peri-horizontally ( $600~\mu m, 15^{\circ}$ ) to preserve the ventral medial geniculate (MGBv) and its projection to the auditory cortex ( $A1)^{22}$ . Thalamocortical brain slices were incubated for at least 90 minutes in the voltage-sensitive dye, Di-4-ANEPPS (Invitrogen D-1199, 5  $\mu$ g/l). Six sites in the MGBv were activated with a glass pipette along the latero-medial axis (5 mA, 1 ms pulse; Iso-Flex, A.M.P.I.; spaced at 100  $\mu$ m). Fluorescence signals (1 ms frame rate; 512 ms) were imaged from regions of interest (130  $\times$  130  $\mu$ m) along the rostro-caudal axis of A1 at a fixed distance from a reference (rostral point of hippocampus) and at constant depth from the pia, corresponding to upper L4. Excitation light from a shuttered LED (BrainVision) was reflected toward the slice. Emitted fluorescence was imaged using a MiCam Ultima CMOS-based camera (SciMedia) and fluorescence change normalized to resting fluorescence ( F/F0). Peak amplitude was defined as the maximum response across all L4 locations averaged over ten trials (MiCam Ultima analysis software). Individual time course traces were exported to Igor Pro (WaveMetrics) for analysis.

#### Acoustic preference behavior and open-field task

Mice were assessed for acoustic preference, first naïvely then again after music-exposure (2 weeks, Beethoven Symphony #1). The acoustic preference  $test^{23}$  was conducted using a Phenotyper 4500 (Noldus Information Technology), a 45 cm (width)  $\times$  45 cm (depth)  $\times$  45 cm (height) open arena with clear plastic walls viewed by means of a ceiling-mounted video camera and infrared lights and filters. Two diagonally opposing corners of the Phenotyper 4500 were chosen randomly and furnished with red, opaque plastic shelters bearing a side entrance. A small loudspeaker (1.6 cm diameter  $\times$  1 cm height) was installed on the ceiling of each shelter (6 cm high), where nesting and bedding materials were provided at the bottom (Figure 3d). To minimize ambient noise interference, the entire test setup was placed in an anechoic sound isolation chamber [inner dimensions: 55 cm (width)  $\times$  49 cm (depth)  $\times$  66 cm (height); Industrial Acoustics Company] with an ambient light source (8 W).

Each test was initiated by placing mice in the center of the arena and monitored for 3 h consecutively. The animals' behavior was recorded by video camera, tracked, and analyzed using Ethovision XT software (Noldus Information Technology). Tests were conducted between 0900 and 1700 hours during the light phase to promote mouse dwelling in the shelters. All components of the test setup were wiped clean twice with Clidox solution, followed by 70% ethanol/30% purified water and ddH<sub>2</sub>O, and then air-dried between each trial. The positions of shelters and sound playback were randomized on each trial.

To assay preference, time spent in each shelter during the final 30 min was measured. Mice spending most (80%) of their time in open areas within the test arena but outside either shelter were labeled as having made "no choice" and dropped from further analysis. Preference for silence or for music was calculated, respectively, as (percentage) 100×(time in silent shelter/total time in both shelters) or 100×(time in music shelter/total time in both shelters). Animals spending 75% or more of their time in silent shelter were classified as preferring 'silence' while those spending 75% or more in music shelter were classified as 'music' and the remainder as 'equal'. Overall activity in the open field was measured by center dwell dime, distance (cm) moved, and the number of center crossings during the first 30 min via video recording and quantification with Ethovision XT software.

#### Reverse transcription (RT) and quantitative polymerase chain reaction (qPCR)

Cortical tissue micro-dissection was carried out as previously described<sup>24</sup>. In brief, dissected tissues were snap frozen in liquid nitrogen, lysed in trizol reagent at 4°C and RNA extracted using the RNeasy Micro extraction kit (Qiagen). Genomic DNA was digested before elution from column. Isolated RNA was then subjected to quantification and purity check (Nanodrop). Equivalent amounts of RNA were subjected to reverse transcription using a high capacity RNA-to-cDNA kit (Applied Biosystems) to obtain cDNA. qPCR reactions were set up using Taqman Universal Master Mix (Applied Biosystems) and Taqman probes used as follows: Aggrecan, Brevican, Neurocan, Versican, TenascinR, Hapln1, Hapln4, Mme, Adamts4, Adamts8, Adamts15, MMP15, MMP24, Has1, Has2, Has3, CSGalNAcT1, C6ST-1, Ptprz1, Reln, PTPo, RTN4R, SST, Calb1, Calb2, and Gapdh. All probes were FAM-conjugated except Gapdh which was VIC-conjugated serving as internal control. PCR reactions were performed on a Step One Plus<sup>TM</sup> Real-Time PCR system (Applied Biosystems) in 96-well plates using a standard curve protocol. To quantify gene expression, standard curves loaded with known amount of cDNA were used for each probe. All results were internally normalized to Gapdh expression, then calculated with reference to standard curves by the StepOne Real-Time PCR systems software v2.1 (Applied Biosystems).

#### Statistical analysis

Wilcoxon signed-rank tests were used to compare before/after measures. Mann—Whitney Utests (for data with non-normal distributions) and Student t-tests (for data with Gaussian distributions) were conducted for comparisons of two independent samples. Chi-square tests were performed for group comparisons in acoustic preference tests. Two-way ANOVA tests were used for analyzing (Voltage-Sensitive Dye Imaging (VSDI) results across cortical L4 locations and MGBv stimulus sites. Statistical analyses were performed using SYSTAT 13 (Cranes Software International) or GraphPad Prism 6.

## **Results**

# Altered Otx2 protein localization and delayed PV and PNN expression in Otx2+/AA mouse V1

An arginine-rich GAG-binding motif spans the junction between the N-terminal and homeodomain in Otx2 (Figure 1a). This motif can be weakened through an R36A and K37A double mutation (RK to AA) that reduces affinity for GAGs<sup>15</sup>, which led us to construct an Otx2-AA knock-in mouse line (both heterozygous  $Otx2^{+/AA}$  and homozygous  $Otx2^{AA/AA}$  mice)<sup>21</sup>. Our previous study had revealed a gene dosage-dependent impairment of retinal structure<sup>21</sup>, as confirmed here by loss of visual acuity only in the Otx2-AA homozygous mutants (Supplementary Figure S1a–b). We therefore focused on the Otx2-AA heterozygous animals.

In layer IV of juvenile  $Otx2^{+/AA}$  mouse V1, Otx2 protein levels were lower within each recipient cell compared to that of wild-type (WT) littermates, while the number of PNN-bearing cells (positive for *Wisteria Floribunda Agglutinin* WFA staining), containing Otx2 did not change (Supplementary Figure S1e). Notably, Otx2 failed to accumulate dramatically in PNN-bearing cells during juvenile development up to P100 (Figure 1c). PNNs typically intensify during this period in WT mice, which is thought to consolidate plastic changes and provide a molecular 'brake' on CP closure<sup>1, 18</sup>. Concomitantly over this age range, Otx2 protein in WT mice increased significantly in WFA+ cells (Figure 1c), consistent with a positive feedback model that maturing PNNs condense to permit higher levels of Otx2 protein to accumulate<sup>15</sup>.

Instead, we found significantly increased accumulation of Otx2 protein in non-PNN-bearing cells (negative for WFA) of  $Otx2^{+/AA}$  visual cortex (Figures 1b and e). This ectopic localization led to ~20% increase in the total number of Otx2+ cells (Figure 1d). In addition, these changes were more pronounced in Otx2-AA homozygous mutants indicating a dose-dependent effect (Supplementary Figure S1c-d). We further studied the identity of these cells that ectopically accumulated Otx2, and found that in  $Otx2^{+/AA}$  mutants, there was a significant increase in Calretinin (CR)+ interneurons co-localizing Otx2, but not in pyramidal cells or other subtypes of inhibitory interneurons (Supplementary Figure S2a). However, mis-localization of Otx2 did not lead to changes in cell numbers or gene expression of these neural markers (Supplementary Figure S2b-c).

We then broadened the longitudinal time window over which we examined WFA and PV staining of V1 in layers IV and above, from P14 to P200 (Figures 1f–g). In  $Otx2^{+/AA}$  mice, WFA intensity was significantly weaker between P20 to P60 compared to WT littermates. However, its level finally reached that of WT littermates by P100 (Figure 1f). PV staining was likewise lower in  $Otx2^{+/AA}$  mice prior to P100 (Figure 1g), with significant differences measured at P30 and P40. While the intensities for both markers reached a plateau around P60 in WT mice, they did so only after P100 in  $Otx2^{+/AA}$  mice. Taken together, the RK to AA mutation delayed PNN assembly and PV+ cell maturation, consistent with Otx2 protein mis-localization.

# Normal baseline acuity but delayed visual plasticity in Otx2+/AA mice

Both PNN and Otx2 levels reflect postnatal neuronal activity  $^{14}$ . Given that Otx2 regulates the development of embryonic forebrain  $^{25, 26}$  and eye<sup>27</sup>, reduced accumulation of Otx2-AA protein in the cortex could have been due to compromised retinal activity rather than lowered Otx2 affinity for the PNN. Although heterozygous  $Otx2^{+/AA}$  mice show no gross developmental defects, homozygous  $Otx2^{AA/AA}$  mice exhibit microphthalmia and anophthalmia with incomplete (15 %) penetrance<sup>21</sup>. This is mirrored by histological and physiological defects found in homozygous  $Otx2^{AA/AA}$  mice, but not seen in heterozygous  $Otx2^{+/AA}$  mice<sup>21</sup>. As baseline visual acuity was similar between WT and heterozygous  $Otx2^{+/AA}$  mice throughout life (Supplementary Figure S1b), we restricted our functional analysis only to these mice for the subsequent study of cortical maturation and plasticity.

To assess the functional consequences of RK to AA mutation in CP timing, a brief 4-day monocular deprivation (MD) was followed by visual evoked potential (VEP) assessment of acuity at P30, P100 and P200 to match the delayed PV+ circuit development in  $Otx2^{+/AA}$  mice. Strikingly, these mice lost acuity after MD only at P100, but not at P30 or P200 (Figure 1h, Supplementary Figure S3e–g). This timecourse was shifted as compared to WT mice, which showed typical visual plasticity at P30 but not later<sup>28</sup> (Supplementary Figure S3a–d). Thus, the Otx2 GAG-binding motif is essential for the endogenous maturational trajectory of PV+ cells and consequent timing of CP plasticity in V1.

# Delayed auditory plasticity in Otx2+/AA mouse A1 and mPFC

We next examined whether Otx2 RK to AA mutation might also affect timing of other CP events  $^{16}$ . In mice, optimal auditory processing involves thalamocortical refinement in the days following hearing onset. During a brief 3-day window starting from P12, passive tone-rearing can modify response strength and topography in A1 $^{22}$ . Given the earlier emergence of PV+ cells in A1, it has been hypothesized that they also play a role in auditory CP plasticity $^{29}$ . In fact, Otx2 accumulates in PV+ cells of A1 similar to V1 $^{16}$ . Immunohistochemical analysis in  $Otx2^{+/AA}$  mice also revealed a delayed PV+ cell maturation and Otx2 protein mis-localization at P20 after the typical auditory CP (Figure 2a–c).

Since  $Otx2^{+/AA}$  mice exhibited normal auditory thresholds as measured by auditory brainstem response (ABR; Supplementary Figure S1f), we pursued further functional analyses. Using voltage-sensitive dye imaging in an acute thalamocortical brain slice preparation<sup>22</sup>, we found that late passive tone-rearing from P16–20 altered the topography in A1 of  $Otx2^{+/AA}$  mice, at an age when WT littermates were no longer plastic<sup>22</sup> (Figures 2d–g). Thus, targeted accumulation of Otx2 in PV+ cells also plays a role in regulating CP timing in A1.

Sequentially after this basic tonotopic plasticity, exposure to more complex acoustic stimuli (such as music) can shape lasting preference behaviors in mice. During a ten-day window starting at P15 (but not in adulthood), the mouse's innate bias for silent shelter can be shifted in favor of music, revealing CP plasticity for this complex behavior<sup>23</sup>. Neurons in the mPFC concurrently develop a biased responsiveness in favor of the acquired music preference<sup>23</sup>.

Immunohistochemistry again revealed a delayed PV+ cell maturation and broadly dispersed Otx2 protein in the mPFC of  $Otx2^{+/AA}$  mice at P60 (Figures 3a–c), suggesting a potential delay in this higher cognitive CP.

Using a nesting paradigm requiring no training and free of confounding olfactory, visual or tactile cues (Figure 3d), we found that music exposure for two weeks at P60 successfully reversed the innate preference for silent shelter only in  $Otx2^{+/AA}$  mice (Figure 3e). Acquisition of preference behavior is related to other limbic functions of mPFC circuits<sup>23, 30</sup>. Here too, we found that the delayed acquisition of a music preference in adulthood was linked to anxiolysis. The duration and number of center crossings during the first 30-minute exploratory phase in the open field were increased after music exposure (Figures 4a–c). In addition, the  $Otx2^{+/AA}$  mice showed increased cFos activity in mPFC following music exposure at P60 as compared to WT mice (Figures 4d–f, Supplementary Figure S4), which was seen only if the mice were exposed to music (Supplementary Figure S5a–c). Specifically, the percentage of Otx2+ cells co-stained with cFos was also increased in  $Otx2^{+/AA}$  mice only after music exposure (Figure 4g, Supplementary Figure S5d), accounting for a subset of cells engaged by the music. Taken together, the same  $Otx2^{+/AA}$  mice carry a delayed CP plasticity across multiple brain regions.

# Persistent turnover of PNN components in Otx2+/AA mouse cortex

To investigate a molecular correlate underlying the shifted plasticity profile in *Otx2*<sup>+/AA</sup> mice, we examined PNN integrity in further detail. This complex extracellular matrix structure integrates a variety of components<sup>31</sup>, including: 1) core proteins (aggrecan, brevican, neurocan, versican, tenascinR)<sup>32, 33</sup>; 2) link proteins (Hapln1,4)<sup>34</sup>; 3) membrane-bound chondroitin sulfate proteoglycan (CSPG) receptors (PTPσ, RTN4R)<sup>35–37</sup>; 4) enzymes responsible for the construction (CSGalNAcT1; Has1,2,3)<sup>32, 38</sup>; and 5) degradation (Adamts4,8,15; MMP15,24; Mme)<sup>39–41</sup> or modification (C6ST-1, Ptprz1, Reln)<sup>19, 32, 39</sup> of the PNN. We, therefore, directly compared expression levels in a sensory and prefrontal area across a panel of these 22 PNN-related genes using RT-qPCR of micro-dissected brain homogenates from WT and *Otx2*<sup>+/AA</sup> mice.

Remarkably few genes were altered during ectopic plasticity in V1 at P100 (Figure 5a) and PFC at P60 (Figure 5b) of  $Otx2^{+/AA}$  mice. Notably, core (aggrecan or brevican) and link proteins (Hapln1 or 4) were elevated in both areas. Proteases were also elevated in the PFC, such as Adamts8 and MMP15, while the latter was reduced in V1. Region-specific changes were seen for Has2 in V1 and CSPG receptors (PTP $\sigma$ , RTN4R) in PFC. No other PNN-related genes were altered significantly by the Otx2 RK to AA mutation. These results suggest a dynamic turnover of particular PNN components across brain regions due to Otx2 mis-localization, consistent with delayed plasticity reminiscent of the juvenile brain.

Overall, Otx2-AA protein was mis-localized to one class of non-PNN-bearing cell type (Figure 5c–d), the CR+ interneuron identified previously <sup>14</sup>. It is possible that CSPGs not visible by WFA staining enwrap these cells. Nevertheless, the ectopic Otx2 in CR+ cells neither altered CR expression (Figure 5e–f) nor compensated for the depleted PV+ cells, as CP timing was delayed across brain regions.

# **Discussion**

In the mature neocortex, PV+ cells are enwrapped by a specific extracellular structure, the PNN. Interestingly, direct modification of this structure<sup>18</sup> or its GAG components<sup>19</sup> extends or reopens windows of cortical plasticity. The PNN environment is enriched in sugar-protein complexes like CSPGs. Infusion of small peptides containing the RK motif found in Otx2 can focally disrupt GAG binding and deplete mature PV+ cells of their Otx2 content to reopen CP plasticity in adult V1<sup>15</sup>. However, this motif may not be unique to Otx2, and PNNs are known to bind a variety of other growth factors, chemokines and axon guidance molecules<sup>29</sup>.

Here, we provide genetic evidence that disrupting the essential GAG-binding motif within endogenous Otx2 alone leads to its mis-localization and attenuates its accumulation in PV+ cells. Although we cannot rule out some role for elevated Otx2 specifically in CR+ cells, none has been identified to date for CP brain plasticity. Instead, we have previously proposed a two-threshold model in which Otx2 controls both the opening and closure of a visual CP through a PV+ cell positive feedback loop<sup>16, 42</sup>. In brief, a nascent PNN surrounding PV+ cells attracts Otx2, which in turn promotes further maturation of the PNN. Our analysis of the Otx2-AA mice supports this model and extends it across multiple brain regions.

Attenuated accumulation (~20% decrease) of Otx2 protein in PV+ cells of V1, A1 and mPFC was sufficient to delay PNN and PV expression and alter CP timing. Instead, development of visual acuity *per se* was normal in V1 of  $Otx2^{+/AA}$  mice despite having an altered CP, consistent with these features being dissociable<sup>28</sup>. Recently, PV+ cell-intrinsic *Clock* signaling was found to contribute to CP onset<sup>43</sup>, suggesting that once internalized, Otx2 interacts with such mechanisms to fine-tune CP timing. Conversely, plasticity offset is not simply revealed by the structural presence of PNNs, as V1 remained functionally plastic in  $Otx2^{+/AA}$  mice at P100 even though WFA, PV and Otx2 staining intensity levels were near WT. Rather, the biochemical PNN composition was still found to be dynamic. Ultimately, Otx2 may serve as a 'master key' so that other molecules could bind to the emerging net, like NARP<sup>44</sup>, Sema3A<sup>45</sup> and metallopeptidases<sup>39</sup>, which together impact PV+ cell physiology to control plasticity.

At a molecular level, Otx2 signaling was found to regulate PNN core, link and proteolytic proteins known to be produced by PV+ cells<sup>32, 39, 46</sup>, including Aggrecan, Hapln1/4 and Adamts8. The role of link protein in stabilizing the interaction between aggrecan, versican and hyaluronan to form aggregates is well established<sup>34</sup>. These genes typically follow a downward trajectory throughout development, suggesting a reduced turnover of these components upon PNN consolidation by internalized Otx2. Indeed, increased expression of PNN-associated genes was observed in  $Otx2^{+/AA}$  mice in both V1 at P100 and mPFC at P60 when plasticity levels were high. Elevated PTP $\sigma$  and RTN4R in the PFC of  $Otx2^{+/AA}$  mice at P60 further suggest CSPG receptor compensation for the loss of their ligands. Notably, C6ST-1 (a determinant of immature sulfation patterns in the PNN related to the open CP in juvenile V1)<sup>19</sup> was unaffected. Ultrastructural and functional dynamics of PNNs after Otx2

mis-localization observed here could be pursued by novel imaging techniques in the future<sup>47</sup>.

Our genetic model reveals that non-cell autonomous Otx2 regulates plasticity broadly across cortical modalities. In  $Otx2^{+/AA}$  mice, PV+ cell maturation was delayed throughout the neocortex and ectopic windows of cortical plasticity arose in occipital, parietal and frontal areas, including A1 and mPFC. This is consistent with a global source of Otx2 coordinating CP timing across brain regions. We previously identified the choroid plexus as one such central Otx2 source<sup>16</sup>. Whether misaligned CP trajectories across brain regions give rise to cognitive consequences will be of great interest. For example, Otx2 signaling may orchestrate complex behaviors reflecting the interplay of multiple sequential CP, such as language<sup>48</sup> and many mental disorders<sup>49</sup>.

Disrupted Otx2 signaling and its consequences may in fact be a hallmark of psychiatric and intellectual disorders<sup>6, 13, 50</sup>. Multisensory integration in the insular cortex is compromised along with PV+ circuits and their PNNs in mouse models of autism spectrum disorders<sup>51</sup>. PNN density is low in the amygdala, entorhinal and prefrontal cortices of schizophrenia patients<sup>52–54</sup> and high in the motor cortex of patients with Rett Syndrome<sup>55, 56</sup>. Weakened PV+ circuits in the mPFC cause deficits in social behavior<sup>57</sup> and behavioral aspects of schizophrenia in both mouse models<sup>58</sup> and patients<sup>58–61</sup>. Gene expression in the choroid plexus is altered in major depressive disorders<sup>62</sup>, and deficits in circadian genes<sup>63</sup> as well as Otx2 polymorphisms<sup>17</sup> are associated with bipolar disorders.

One common hub of impairment in these illnesses is elevated oxidative stress  $^{11}$ , which may normally be buffered by homeoproteins  $^{64}$ , like Otx2, and Clock genes  $^{43}$  in PV+ cells. This suggests potential therapeutic strategies for preserving or restoring PV+ cell function. Moreover, extending CP plasticity in the mPFC of  $Otx2^{+/AA}$  mice enabled music to reduce anxiety in adulthood (Figure 4). Potential beneficial effects of judiciously manipulated brain plasticity paired with behavioral therapies  $^{65}$  may then be promising avenues for psychiatric disorders.

# **Supplementary Material**

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# **Acknowledgments**

We thank M. Nakamura for mouse maintenance; and support from NIH (1P50MH094271 and 1R01MH104488 to T.K.H.), the Italian Association for Cancer Research (AIRC) (grant IG2013 N° 14152 to A.S.) and Fondation Bettencourt Schueller, ERC Advanced Grant HOMEOSIGN n° 339379 and ANR (ANR-11-BLAN-069467) (to A.P.). H.H.C.L. and Z.Y. were further supported, respectively, by a post-doctoral fellowship from the Croucher Foundation (Hong Kong) and a Julius B. Richmond predoctoral fellowship.

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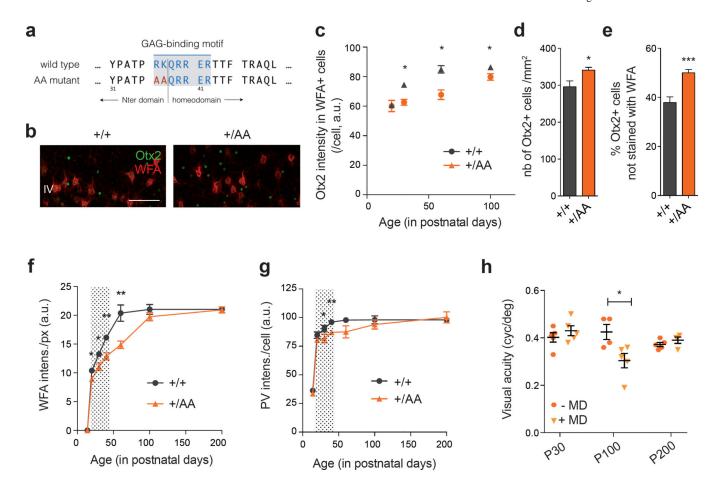
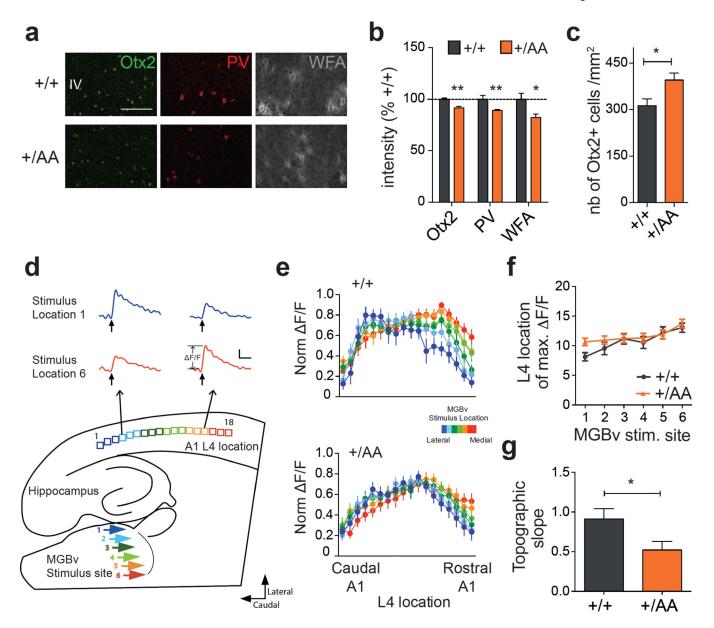


Figure 1. Delayed PV+ cell maturation and visual cortical plasticity in  $Otx2^{+/AA}$  mice. (a) A GAGbinding motif is found between the N-terminal (Nter) and the homeodomain of Otx2. The 'RK' doublet is mutated to 'AA' in the resulting AA mutant. (b) Representative images of WFA (staining for PNN) and Otx2 co-labeling in primary visual cortex (V1) layer IV (L4) at P30 (scale bar:  $100 \mu m$ ), comparing +/+ (WT) and +/AA (heterozygous  $Otx2^{+/AA}$ ). (c-e) Quantification of Otx2 immunostaining intensity (arbitrary unit, a.u.) in V1 L4 WFA+ cells from P20 to P100 (c, N=3–5 mice per group), total number of Otx2+ cells at P60 (d, N=9–12 mice per group) and percentage of Otx2+ cells not stained with WFA at P60 (e, N=9–12 mice per group). (f, g) WFA staining intensity (a.u.) per pixel (f) and PV staining intensity (a.u.) per cell (g) in V1 L4, quantified from P14 to P200 (N=3–10 mice per group). Shaded area indicates WT ocular dominance critical period. (h) Visual acuity measurements of  $Otx2^{+/AA}$  at P30, P100 and P200, with or without short-term (4-day) monocular deprivation (MD; N=4–5 mice per group). (All values: mean  $\pm$  SEM; t-test; \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001).



**Figure 2.** Delayed auditory plasticity in  $Otx2^{+/AA}$  mice. (a) Representative images of Otx2, PV and WFA staining in primary auditory cortex (A1) layer IV (L4) at P20 (scale bar: 100 μm). (b, c) Staining intensity of Otx2, PV and WFA (b, N=4–7 mice per group) and number of Otx2+ cells (c, N=5–8 mice per group) in A1 L4 at P20. (d) Illustration of thalamocortical brain slice preparation to study auditory plasticity (representative traces from a WT slice, scale bar: 100 msec, 0.05 F/F). (e) Normalized (norm.) maximal F/F across L4 loci in response to different ventral medial geniculate body (MGBv) stimulus sites for WT (N=13, p<0.0001 for stimulus location, 2-way ANOVA) and  $Otx2^{+/AA}$  (N=18, p=0.0986 for stimulus location) mice exposed to a 7 kHz tone between P16–20. (f-g) Topographic slope calculated from location of maximal F/F across L4 loci in response to different MGBv stimulus sites 1–6. (All values: mean ± SEM; t-test; \*p < 0.05, \*\*p < 0.01).

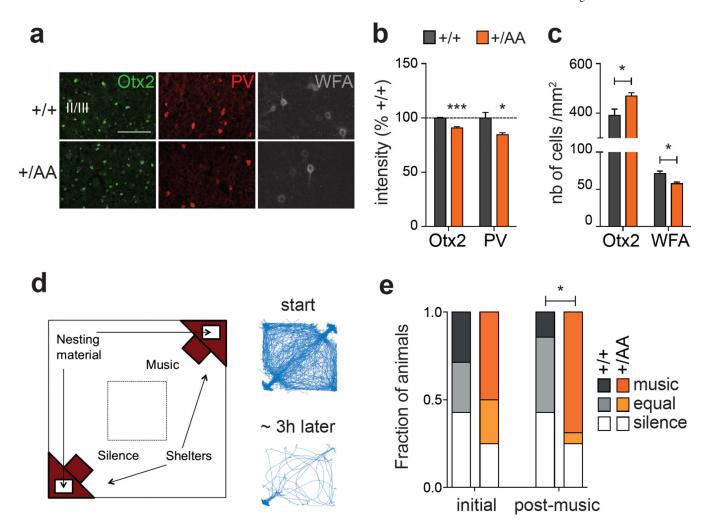


Figure 3. Altered experience-dependent acoustic preference in adult  $Otx2^{+/AA}$  mice. (a) Representative images of Otx2, PV and WFA immunostaining in prefrontal cortex (mPFC) supragranular layers at P60 (scale bar: 100 µm). (b, c) Staining intensity of Otx2 and PV (b, N=4 mice per group) and number of Otx2+ and WFA+ cells (c, N=4–5 mice per group) in supragranular layers of the infra- and pre-limbic regions of mPFC at P60. (d) Typical traces of activity of a mouse inside the arena at the start (first 30 min) and at the end (last 30 min of the 3 h experiment) of the acoustic preference behavior assay. (e) Adult (P60) mice were passively exposed to music for 2 weeks and tested for acoustic preference. Cumulative frequency distribution of WT (N=7) and  $Otx2^{+/AA}$  mice (N=16) before (initial) and after (post-music) two-week music exposure. (All values: mean  $\pm$  SEM; \*p < 0.05, \*\*\*p < 0.001).

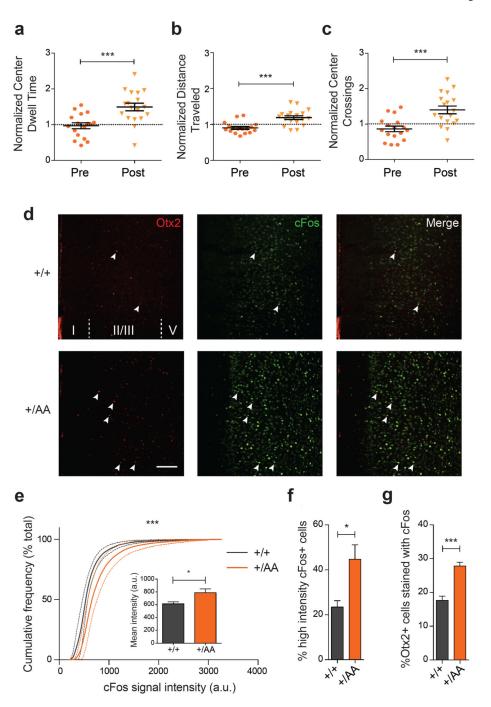


Figure 4. Anxiolysis and recruitment of mPFC circuits following music exposure in  $Otx2^{+/AA}$  mice. (a-c) Open field behavior in the first 30 minutes reflecting exploratory anxiety are compared before (Pre) and after (Post) two-week exposure of  $Otx2^{+/AA}$  mice to music. Several parameters are compared: (a) duration of time spent at the center of the open field, (b) total distance traveled in the field, and (c) number of times crossing the center of the open field. All data are normalized to WT littermates conditions (N=17 mice per genotype). (d-g) Immunofluorescence staining of Otx2 and cFos in mPFC reveals circuit activation after 1h

of music exposure. (d) Representative images of Otx2 and cFos staining in mPFC at P60 (scale bar:  $100~\mu m$ , cortical layers I-V are labeled). cFos signal intensity between genotypes is compared under several parameters: (e) cumulative frequency plot, (inset) mean intensity (arbitrary unit, a.u.), (f) percentage of high intensity cFos+ cells. (g) Percentage of Otx2+ cells co-localized with cFos staining (indicated by arrowheads in (d) (N=5 mice per genotype). (All values: mean  $\pm$  SEM; t-test in a-c and f-g, K-S test in e; \*p<0.05, \*\*\*\*p<0.001).

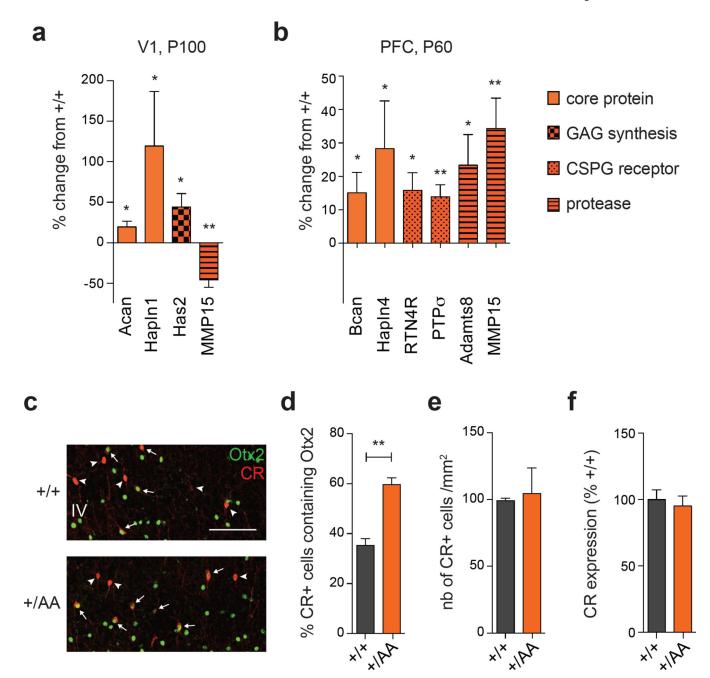


Figure 5. PNN turnover and ectopic Otx2 accumulation in  $Otx2^{+/AA}$  mice. (**a-b**) PNN genes whose expression is changed between  $Otx2^{+/AA}$  and WT mice in V1 at P100 (**a**) and in PFC at P60 (**b**). Results are represented by the percentage change from WT mice (N=5 mice per group). Acan, aggrecan; Bcan, brevican; Hapln, hyaluronan and proteoglycan binding link protein; Has, hyaluronan synthase; Adamts, a disintegrin and metalloproteinase with thrombospondin motifs; RTN4R, reticulon 4 receptor; MMP, matrix metalloproteinase; PTPσ, receptor-type protein tyrosine phosphatase σ. (**c-e**) Ectopic Otx2 accumulation in Calretinin (CR)+ cells. (**c**) Representative images of Otx2 and CR co-labeling in V1 layer IV

(L4) at P60 (scale bar:  $100\mu m$ ). (d) Percentage of CR+ cells accumulating Otx2 in V1 L4 at P60. (e) Total number of CR+ cells in V1 L4 at P60 (N=3 mice per group). (f) CR gene expression in V1 (N=6 mice per group). (All values: mean  $\pm$  SEM; t-test; \*p<0.05, \*\*p<0.01).