

Expression of the *Plasmodium falciparum* Clonally Variant *clag3* Genes in Human Infections

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Background. Many genes of the malaria parasite *Plasmodium falciparum* show clonally variant expression regulated at the epigenetic level. These genes participate in fundamental host-parasite interactions and contribute to adaptive processes. However, little is known about their expression patterns during human infections. A peculiar case of clonally variant genes are the 2 nearly identical *clag3* genes, *clag3.1* and *clag3.2*, which mediate nutrient uptake and are linked to resistance to some toxic compounds.

Methods. We developed a procedure to characterize the expression of *clag3* genes in naturally infected patients and in experimentally infected human volunteers.

Results. We provide the first description of *clag3* expression during human infections, which revealed mutually exclusive expression and identified the gene predominantly expressed. Adaptation to culture conditions or selection with a toxic compound resulted in isolate-dependent changes in *clag3* expression. We also found that *clag3* expression patterns were reset during transmission stages.

Conclusions. Different environment conditions select for parasites with different *clag3* expression patterns, implying functional differences between the proteins encoded. The epigenetic memory is likely erased before parasites start infection of a new human host. Altogether, our findings support the idea that clonally variant genes facilitate the adaptation of parasite populations to changing conditions through bet-hedging strategies.

Keywords. Malaria; *Plasmodium falciparum*; transcription; epigenetics; adaptation; bet-hedging; controlled human malaria infection (CHMI); mutually exclusive gene expression; transcriptional variation; *clag3*.

Plasmodium falciparum is responsible for the most severe forms of malaria. Asexual growth of the parasites in the human blood is responsible for all clinical symptoms and also for chronic infection. During the approximately 48-hour asexual multiplication cycle, parasites live inside of human erythrocytes, except for the short time between bursting of parasites at the schizont stage and invasion of new erythrocytes [1]. While the human blood is a relatively stable environment, parasites need to adapt to fluctuating conditions, such as changing nutrient concentrations, presence of drugs, occurrence of fever episodes, or immune responses. Recent studies have demonstrated that populations of genetically identical parasites show extensive transcriptional heterogeneity [2], which potentially

allows adaptation by dynamic natural selection of parasites with transcriptional patterns associated with increased fitness as the environment changes. This adaptive strategy, known as bet hedging, is used by many microbial organisms [3–5]. Genes that can be found in either an active or a silenced state in genetically identical parasites at the same stage of cycle progression, known as clonally variant genes, participate in multiple biological pathways involved in fundamental host-parasite interactions [2, 6, 7]. The silenced or active state of these genes is transmitted from one generation to the next by epigenetic mechanisms [8–10]. Switches between the 2 alternative states of these genes occur spontaneously, albeit with low frequency, allowing for the constant generation of transcriptional diversity within parasite populations.

Despite the large number of families of clonally variant genes identified in *P. falciparum*, there are few for which an adaptive role or an association between the transcriptional state of specific genes and the resulting phenotypes has been clearly established. The best characterized family of clonally variant genes is *var*, which consists of about 60 genes per genome that encode PfEMP-1, a major virulence factor linked to cytoadherence and antigenic variation. *var* genes show mutually exclusive expression, such that a single parasite typically expresses only 1 *var* gene at a time and keeps all of the others silenced

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[11]. Spontaneous switches in the expression of *var* genes play an adaptive role, mediating immune evasion and altering the sequestration tropism of infected erythrocytes [12].

A second case of *P. falciparum* clonally variant genes for which transcriptional switches have been associated with specific phenotypes and adaptation to changes in the environment is *clag3*. The 2 *clag3* genes, *clag3.1* (PF3D7_0302500) and *clag3.2* (PF3D7_0302200), are separated by only 10 kb and show 95% sequence coincidence. The *clag3.1* or *clag3.2* identity is determined by the relative position of each gene in the chromosome and by the conserved *clag3.1*- or *clag3.2*-specific flanking regions [13]. These genes are part of the 5-member *clag* family, which encodes the CLAG/RhopH1 component of the RhopH complex. While early reports linked CLAG proteins with erythrocyte invasion or cytoadherence [14], more-recent research has provided strong genetic and biochemical evidence for a key role of CLAG3 proteins in the formation of the plasmodial surface anion channel (PSAC), a broad selectivity channel that mediates the uptake of nutrients and several other solutes at the infected erythrocyte membrane [14–17]. CLAG3 proteins are validated drug targets [16]. Epigenetic silencing of *clag3* genes is mediated by formation of heterochromatin, similar to other clonally variant genes [10, 18]. Together with the *var* family, *clag3* is the only known example of mutually exclusive expression in *P. falciparum* [19]. However, while under normal conditions the vast majority of parasites express only 1 of the 2 *clag3* genes at a time, mutually exclusive expression is not strict, which allows for the occurrence of small selectable subpopulations of parasites with alternative expression patterns that enable additional phenotypic plasticity [20]. We and others have recently demonstrated that a compound that is toxic for the parasite, blasticidin S, can select for low abundance subpopulations of parasites with both *clag3* genes simultaneously silenced [21, 22]. However, lower concentrations of the drug select for parasites that express a specific paralog, which is suggestive of phenotypic differences associated with expression of one or the other *clag3* gene [22]. Altogether, these results indicate that *clag3* expression patterns determine the permeability phenotype of infected erythrocytes and can mediate drug resistance at the epigenetic level. Variant expression of these genes needs to fulfill 2 competing requirements: efficient acquisition of nutrients and restriction of the entrance of harmful compounds.

While the expression patterns of *var* genes in field isolates have been the subject of intensive investigation [12, 23–26], very little is known about the expression of *clag3* genes during human infections. Previous studies of *clag3* expression were conducted with culture-adapted parasites. Genome-wide transcriptomic analysis of *P. falciparum* field isolates [27–29] could not reliably characterize the expression patterns of these genes because the sequences of *clag3.1* and *clag3.2* are almost identical and the regions that are more distinct between the 2 genes are highly polymorphic between parasite isolates [13]. Here we

developed a procedure to analyze *clag3* expression in natural human infections and used it to study the expression of these genes in clinical malaria cases and after parasite adaptation to culture conditions or to blasticidin S pressure. We also studied *clag3* expression in experimental human malarial infections.

METHODS

Ethics Approval

This study was approved by the Institute of Tropical Medicine (ITM) Institutional Review Board (IRB; protocol ITG913/13), the University Hospital of Antwerp IRB (protocol B300201319284), and the Gambian government/Medical Research Council Joint Ethics Committee (protocol SCC1392). Approval for the controlled human malaria infection (CHMI) trial has been previously described [30]. All participants provided written informed consent before enrollment. The study was conducted according to the principles stated in the Declaration of Helsinki.

Sample Collection

Blood samples were obtained from returning travelers (≥ 18 years old) with clinical *P. falciparum* malaria who attended clinics in Antwerp (Belgium) and from children (≤ 12 years old) with clinical *P. falciparum* malaria who attended health centers in the Gambia. We also analyzed blood samples collected from volunteers participating in a CHMI study [30]. Additional details of sample collection and processing are provided in the Supplementary Methods.

Genetic and Transcriptional Analysis

Multiplicity of infection was estimated by genotyping the *msp1* and *msp2* loci [31]. To assess for recombination events between the 2 *clag3* genes, the *clag3* loci were analyzed by long polymerase chain reaction (PCR) [13]. For each isolate, we sequenced the hypervariable region (HVR) [13] of each *clag3* gene from the long PCR products to design gene- and isolate-specific primers.

To prepare RNA for *clag* transcriptional analysis, cultures were harvested when the majority of parasites were at the schizont stage. For natural infections, parasites were cultured only until they reached the schizont stage, with the exception of 2 samples (Supplementary Methods). In the CHMI study, samples collected on day 9 after sporozoite injection and on the day of malaria diagnosis were cryopreserved, and, after thawing, parasites were cultured for 2–3 weeks and for approximately 1 week, respectively, until they reached a parasitemia level of $\geq 0.02\%$.

RNA was purified approximately as described elsewhere [32]. The protocol was validated for use at very low parasitemia levels (Supplementary Figure 1). Quantitative PCR analysis was performed using the standard curve method approximately as described previously [10], with primers listed in Supplementary Table 1.

All new sequences obtained in this study have been deposited to GenBank as accession numbers KY092485-KY092488 (full sequences) and KY364642-KY364689 (HVR sequences). Additional details of the methods for the genetic and transcriptional analysis and also for the CLAG3 sequence analysis can be found in the Supplementary Methods.

RESULTS

Parasites Predominantly Express *clag3.2* in Natural Malaria Infections

We analyzed *clag3* expression patterns in blood specimens from *P. falciparum*-infected symptomatic patients. Parasites were cultured ex vivo until they reached the schizont stage, when *clag3* genes are expressed, and were harvested for genomic DNA and RNA extraction (Figure 1A). To reduce the complexity of the analysis, only samples with a single clone or a clearly predominant clone were retained for *clag3* expression characterization. Isolates presenting a single *clag3* gene in their genome as a consequence of recombination [13] were excluded. For the 20 remaining samples, we sequenced the HVR of the 2 genes to design isolate-specific primers for *clag3.1* and for *clag3.2* and used them to analyze *clag3* expression by reverse transcription followed by quantitative PCR. All isolates showed predominant expression of 1 of the 2 *clag3* paralogs (Figure 1B), consistent with the mutually exclusive expression observed in culture-adapted parasite lines [15, 16, 18, 19]. However, in contrast to the majority of culture-adapted lines, which

predominantly express *clag3.1* [15, 18], we observed predominant *clag3.2* expression in all isolates (Figure 1B).

Adaptation of Field Isolates to Culture Conditions or to Low Drug Pressure Is Associated With Isolate-Dependent Changes in *clag3* Expression

Two of the field isolates, P04 and P12, were maintained under culture conditions for 17 and 13 weeks, respectively, with regular monitoring of *clag3* expression (Figure 2A). In the P12 isolate, parasites expressing *clag3.2* were progressively replaced by parasites expressing *clag3.1*. In contrast, in the P04 isolate, the majority of parasites maintained *clag3.2* expression throughout the experiment. Next we selected the same isolates with a sublethal concentration of blasticidin S that, in the 3D7 genetic background, selects for parasites that express *clag3.1* [22]. In the P04 isolate, parasites expressing *clag3.1* were quickly selected, and by 10 weeks of selection they had almost completely displaced *clag3.2*-expressing parasites (Figure 2B). However, blasticidin S had the opposite effect on the P12 isolate by instead favoring survival of parasites expressing *clag3.2*: while normal culturing of this isolate resulted in selection of *clag3.1*-expressing parasites (Figure 2A), this did not occur in the presence of blasticidin S (Figure 2B). These results indicate that the selective advantage conferred by expression of one or the other *clag3* paralog under different conditions is isolate specific and likely depends on the *clag3* genes sequences.

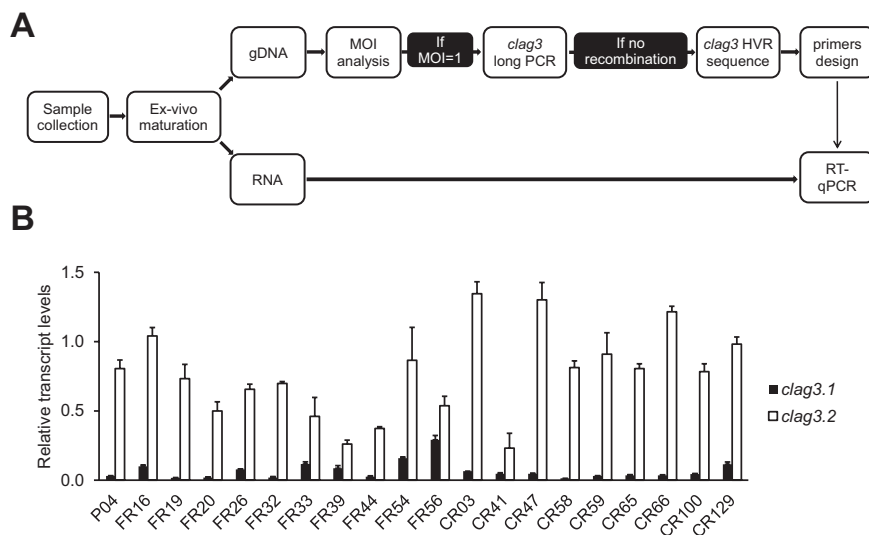


Figure 1. Transcriptional analysis of *clag3.1* and *clag3.2* in *Plasmodium falciparum* natural human infections. *A*, Schematic of the work flow. Forty field isolates were cultured ex vivo until they reached the schizont stage and were harvested for genomic DNA (gDNA) and RNA extraction. In samples with a multiplicity of infection (MOI) of 1 (single infections) or a clear predominant clone and without recombination between the 2 *clag3* genes, the hypervariable region (HVR) of *clag3.1* and *clag3.2* was sequenced to design paralog- and isolate-specific primers for the analysis of *clag3* expression by reverse transcription followed by quantitative polymerase chain reaction analysis (RT-PCR). *B*, Expression of *clag3.1* and *clag3.2* in 20 field isolates. Pxx are samples collected in Belgium, FRxx are samples collected in the Gambia and analyzed directly without freezing, and CRxx are cryopreserved isolates collected in the Gambia. Of note, *clag3.1* has a stronger promoter than *clag3.2* [10, 20], implying that the actual proportion of *clag3.1*-expressing parasites in each isolate is even lower than the proportion of *clag3.1* transcripts relative to total *clag3* transcripts. The significance of variation in total *clag3* transcript levels (*clag3.1* plus *clag3.2* transcripts) among these samples is unclear (Supplementary Methods); the focus of this analysis is on the relative transcript levels between the 2 *clag3* genes. Transcript levels are normalized against *rhoph2*. Error bars are SD.

(Supplementary Figure 2A). However, clustering analysis of the full CLAG3 sequences confirmed that CLAG3.1 and CLAG3.2 separate into discrete clades (Figure 3B), as previously reported [21]. This is a consequence of several polymorphisms occurring at very different frequencies between the 2 paralogs. In contrast, clustering analysis of the HVR did not show separation of CLAG3.1 and CLAG3.2 sequences (Figure 3C), which indicates that a CLAG3.1 HVR can be as similar to a CLAG3.2 HVR as to another CLAG3.1 HVR, and vice versa. HVR sequences separated in 2 distinct clades, both including CLAG3.1 and CLAG3.2 sequences (Figure 3C and Supplementary Figure 2B). Phenotypic traits such as resistance to blasticidin S that are associated with *clag3.1* expression in some isolates and with *clag3.2* expression in others likely depend on polymorphism at the HVR or other positions where polymorphism is not paralog specific.

***clag3* Expression in Parasites Obtained From Experimentally Infected Humans**

We analyzed *clag3* expression patterns in parasites collected from human volunteers participating in a CHMI trial [30] in which cryopreserved sporozoites of the culture-adapted line NF54 (from which 3D7 was derived) were used for infection (Figure 4A). In samples collected from 6 different volunteers when parasites were first detected by microscopy (ie, on the day

of malaria diagnosis [12–15 days after injection]), we observed higher levels of *clag3.2* transcripts, compared with *clag3.1*, in contrast to the parental NF54 line used to infect the mosquitoes that almost exclusively expresses *clag3.1* (Figure 4B). Next we readapted to culture conditions the parasites obtained from 2 volunteers, and in both cases we observed a progressive increase in the ratio of *clag3.1* to *clag3.2* transcripts, reflecting selection of parasites expressing *clag3.1* (Figure 4C). This result indicates that *clag3.1* expression confers a fitness advantage under culture conditions in the NF54 genetic background. Similar levels of transcripts for both *clag3* genes in volunteer samples (Figure 4B) imply that they likely consist of a mixture of parasites expressing *clag3.2* and parasites expressing *clag3.1*, rather than a homogeneous population of individual parasites expressing the 2 genes simultaneously. This idea is based on previous results with culture-adapted parasite lines with similar transcript levels of *clag3.1* and *clag3.2* [19, 20] and is also supported by the analysis of *clag3* expression in subclones of one of the volunteer samples (Supplementary Figure 3).

The Expression Patterns of *clag3* Genes Are Reset During Transmission Stages

The *clag3* expression patterns observed in parasites obtained from experimentally infected volunteers can be explained by 2 nonexclusive scenarios: *clag3.2*-expressing parasites are selected

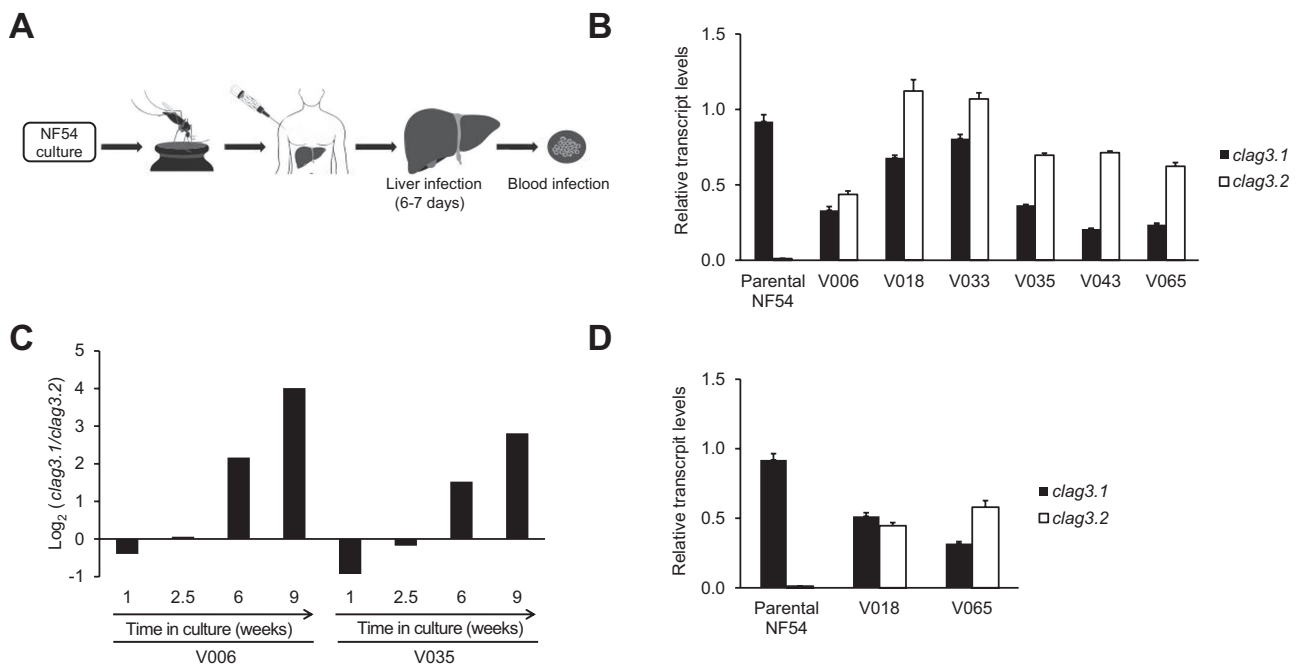


Figure 4. Expression of *clag3.1* and *clag3.2* in controlled human malaria infections. *A*, Schematic of the controlled human malaria infection trial. Sporozoites obtained from mosquitoes fed with NF54-infected blood were cryopreserved and injected into healthy volunteers. *B*, Expression of *clag3.1* and *clag3.2* in the NF54 parental line used to infect the mosquitoes and in parasites collected from volunteers on the day of malaria diagnosis by light microscopy. The significance of variation in total *clag3* transcript levels (*clag3.1* plus *clag3.2* transcripts) among these samples is unclear (Supplementary Methods); the focus of this analysis is on the relative transcript levels between the 2 *clag3* genes. *C*, Relative transcript levels of *clag3.1* and *clag3.2*, expressed as the log₂ of the ratio of *clag3.1*/*clag3.2* transcript levels, in parasites obtained from 2 volunteers and maintained under culture conditions for 9 weeks. *D*, Expression of *clag3.1* and *clag3.2* in parasites collected from 2 volunteers at day 9 after sporozoite injection (approximately 1 cycle in the blood circulation). In all panels, transcript levels are normalized against *rhoph2*. Error bars are SD.

under the conditions of the human circulation, or there is a reset of *clag3* expression patterns during transmission stages. To distinguish between the 2 possibilities, we analyzed *clag3* expression in blood samples collected 9 days after sporozoite injection from 2 volunteers. Considering that parasite liver stage development takes 6–7 days [33], parasites collected at day 9 had been in the peripheral blood for only about 1 multiplication cycle. Despite this, we observed similar levels of transcripts for both *clag3* genes at day 9 (Figure 4D), a pattern similar to that observed on the day of malaria diagnosis. This result is inconsistent with a blood-stage selection–only scenario and supports the idea that *clag3* expression patterns are reset when parasites go through transmission stages. Because parasite densities were extremely low at day 9 after injection, parasites had to be cultured for 2–3 weeks before we could obtain sufficient material for transcriptional analysis. However, this is unlikely to be a confounder for these results because, in parasites of the NF54 genetic background, culture conditions progressively selected for parasites that express *clag3.1* (Figure 4C). Hence, at day 9 after injection, the parasites population contained a large proportion of parasites expressing *clag3.2*, a composition that was clearly distinct from the parental NF54 line.

Transcript Levels of *clag2*, *clag8*, and *clag9* Did Not Show Major Differences Among Isolates or Between Different Growth Conditions

We also analyzed the expression of *clag2* (PF3D7_0220800), *clag8* (PF3D7_0831600), and *clag9* (PF3D7_0935800) in all samples described in this study. There was little variation in the transcript levels of these genes (Supplementary Figures 4–8). Even *clag2*, which shows clonally variant expression in culture-adapted lines [2, 19], was expressed at similar levels in all samples.

DISCUSSION

Variantly expressed malarial genes play key roles in host-parasite interactions and contribute to parasites' adaptation to changes in their environment, but little is known about the expression patterns of these genes during human infections. This is an important limitation because the expression of clonally variant genes in a population of parasites is shaped by the environment, and the environment is different between culture conditions and the natural conditions of the human blood circulation. Here we characterized the expression in human infections of the clonally variant *P. falciparum* *clag3* genes, which provide one of the best models in malaria to study functional variation linked to epigenetic switches. We observed differences from the *clag3* expression patterns commonly observed under culture conditions, but expression conformed with the mutual exclusion principle previously described in cultured parasites. By comparing expression patterns in the same parasite lines between human circulation and culture conditions or by challenging parasites with a toxic compound, we observed

that different environments dynamically select for parasites with different patterns of *clag3* expression in an isolate-dependent manner. These results support the idea that transcriptional variation and bet-hedging strategies play an important role in malarial parasite adaptation.

In 20 clinical malarial infections, parasites predominantly expressed *clag3.2*, in contrast to most culture-adapted parasite lines that predominantly express *clag3.1* [15, 18]. This result suggests that, under the conditions of the human circulation, with lower concentrations of most nutrients than in the regular parasite culture medium [16], expression of *clag3.2* confers a growth advantage. Whether different clinical presentation (eg, asymptomatic or severe malaria), host malnutrition, exposure to drugs, or other conditions are associated with different *clag3* expression patterns remains to be determined. Together with previous observations showing that, in the 3D7 genetic background, expression of *clag3.1* appears to restrict the entrance of the toxic compound blasticidin S [22], this result may suggest that the PSAC resulting from *clag3.1* expression mediates less efficient solute uptake than the PSAC resulting from *clag3.2* expression. However, we found that blasticidin S pressure or growth under culture conditions select for parasites expressing a different *clag3* gene in isolates of different genetic background, revealing a more complex scenario. Predominant expression of *clag3.2* during clinical malarial infections was the only observation common to all isolates, which suggests that the advantage conferred by *clag3.2* expression in this type of infection depends on characteristics unique to the CLAG3.2 protein, such as the conserved sequence feature identified at its N-terminus. On the other hand, phenotypic characteristics that in different isolates are associated with the expression of a different *clag3* paralog may depend on nonconserved CLAG3 sequence features that in some isolates occur in CLAG3.1 and, in others, in CLAG3.2. In any case, considering that natural selection only operates on phenotypes, our culture adaptation and blasticidin S selection experiments (together with our previous studies using blasticidin S selection of a culture-adapted line [22]) clearly demonstrate that expression of one or the other *clag3* paralog results in phenotypic differences. This is remarkable considering that the 2 CLAG3 proteins have nearly identical sequences. These phenotypic differences are likely linked to infected erythrocyte permeability, although we cannot exclude the possibility that they also involve processes such as cytoadherence or erythrocyte invasion in which CLAG3 proteins may also play a role [14].

Mutually exclusive expression is a phenomenon that affects gene families of utmost importance in several pathogens [34]. In *P. falciparum*, it has been observed for *var* [11] and *clag3* [15, 16, 18, 19] genes in culture-adapted parasites, although it was found not to be strict: for both gene families, single-cell analysis or strong selection applied to cultures revealed the existence of small parasite subpopulations that do not conform with mutually exclusive expression patterns [20, 22, 35–37]. Considering

that the selective pressures operating on parasites in the human blood circulation are different from those under culture conditions, this raises the formal possibility that mutual exclusion may not be the most common pattern in human infections. By focusing only on single infections and using isolate-specific *clag3.1* and *clag3.2* primers, here we provide evidence for predominant mutually exclusive expression in *P. falciparum* genes during natural infections. In the majority of isolates, *clag3.2* transcript levels were >10-fold higher than *clag3.1* levels, although residual expression of the latter was observed in all cases. Residual expression of the silenced paralog is also observed in clonal culture-adapted parasite lines and likely corresponds to small subpopulations of parasites that spontaneously switch the active *clag3* at each cycle of multiplication. The existence of these subpopulations of parasites with alternative expression patterns is essential to enable natural selection when changes in host conditions occur.

We compared *clag3* expression between blood-stage parasites obtained from infected volunteers and the parental cultured parasite line used for the infections. An analogous approach has been previously used to study the expression of *var* genes in the context of a human infection, which revealed a reset of the expression patterns of this gene family during transmission stages [38–40]. Here we show that the expression of *clag3* genes is also reset. This result strongly suggests that the epigenetic memory for the expression of *clag3* genes is erased during gametocyte, mosquito, or liver stages and stochastically reestablished before the onset of a new blood infection, thus providing support to the idea that the epigenetic memory for the expression of clonally variant genes in general is erased during transmission stages, rather than only the epigenetic memory for the peculiar *var* family. An alternative explanation would be that the reset of *clag3* expression depends on selection of parasites expressing a specific *clag3* gene during transmission stages, but we consider this an unlikely possibility because such selection seems incompatible with the relatively small parasite population sizes and few multiplications cycles occurring during transmission stages [41]. Furthermore, no function has been described for CLAG3 proteins outside the asexual cycle. The idea that mosquito passage resets the epigenetic patterns for virulence genes has also been proposed for *Plasmodium chabaudi* [42]. Erasing the epigenetic memory and releasing a transcriptionally diverse population of parasites at the onset of a blood infection is an intuitively advantageous strategy for the parasite to ensure the survival of the population in a new human host with unpredictable conditions.

Altogether, our results support the idea that variant expression of *clag3* genes plays an important adaptive role and provide the first insight into how these genes are used under the natural conditions of a human infection.

Supplementary Data

Supplementary materials are available at *The Journal of Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

Notes

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Potential conflicts of interest. All authors: No reported conflicts. All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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