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Effects of atorvastatin on biomarkers of immune activation, inflammation, and lipids in virologically suppressed, HIV-1 infected individuals with LDL cholesterol <130mg/dl (AIDS Clinical Trials Group Study A5275)

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Abstract

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Author contributions:

All authors played a role in editing the manuscript and approving the text as submitted

DN, SH, JA and RB designed the study.

ML and NF performed the laboratory assays

RB and EC performed the primary statistical analysis.

DN wrote the manuscript.

DN, JA, SH, RB, EC, ML, NF, JL, and KK, revised the manuscript critically for important intellectual content, and approved the final version.

DN, JA, RB, and EC take responsibility for the integrity of the data and the accuracy of the data analysis.

Conflicts of interest:

NF has served as a paid consultant for Gilead Science Inc. Other authors report no conflict of interest

Background—Persistent immune activation and inflammation in virologically suppressed HIV infection are linked to excess cardiovascular risk.

Objective—To evaluate atorvastatin as a strategy to reduce cardiovascular risk.

Methods—A5275 was a multicenter, prospective, randomized, double-blind, placebo-controlled, cross-over pilot study of atorvastatin (10mg/day for 4 weeks then 20mg/day for 16 weeks) with a planned enrollment of 97 HIV-infected participants 18 years old, receiving boosted protease inhibitor-based ART for ϵ 6 months, with plasma HIV-1 RNAs below limits of quantification ϵ 180 days, and fasting LDL-C 70 mg/dL and <130 mg/dL. Primary endpoints were differences of changes ([week 44 – week 24] - [week 20 - baseline]) in CD4+ and CD8+ T-lymphocyte activation (% $CD38^+/DR^+$) and plasma levels of IL-6 and D-dimer. Arms were compared using Wilcoxon rank sum tests and also summarized changes pre-to-post atorvastatin treatment. Analyses were astreated.

Results—Ninety-eight participants were enrolled at 31 U.S. sites and 73 completed study treatment. Atorvastatin treatment did not decrease T-lymphocyte or monocyte activation, circulating biomarker levels (interleukin-6, D-dimer, soluble CD14, soluble CD163, monocyte chemoattractant protein-1, interferon-γ-induced protein-10, high sensitivity C-reactive protein, CD40L, P-selectin) or white blood cell Krüppel-like Factor 2/4 mRNA levels. Pre-to-post atorvastatin reductions in calculated LDL (−38%), oxidized-LDL (−33%), and lipoproteinassociated phospholipase A2 (-31%) were significant (p<0.01).

Conclusion—In virologically suppressed individuals with HIV infection, atorvastatin did not significantly decrease levels of soluble or cellular biomarkers of immune activation and inflammation, but resulted in robust reductions in LDL-C, oxLDL, and $LpPLA₂$, biomarkers associated with cardiovascular risk.

Keywords

HIV; Statin; Immune Activation; Inflammation; Oxidized LDL; Cardiovascular

INTRODUCTION

In persons with HIV infection, cardiovascular disease (CVD) has become a leading cause of morbidity and mortality, and excess CVD risk has been identified after controlling for traditional risk factors [1,2]. CT angiography demonstrates increased non-calcified plaque in coronary arteries and PET-CT shows a similar process in the aortas of HIV-infected individuals compared with -uninfected controls [3,4]. At the core of the atherosclerotic lesion are activated T lymphocytes and monocyte/macrophages, chronically stimulated by microbial translocation, HIV and other viral elements, and lipids including oxidized lowdensity lipoprotein (oxLDL) [4,5,6,7,8,9,10,11,12,13, 14]. Chronic immune cell activation is also at the heart of a broader systemic inflammatory response, manifested by several immune activation biomarkers (including Interleukin-6 and D-dimer) that are positively correlated with morbidity and mortality [15, 16, 17, 18]. CVD risk and inflammatory biomarkers may be partially reduced by antiretroviral therapy (ART), but to further attenuate this risk other interventions are needed [19,20,21].

Statins reduce CVD risk by decreasing LDL cholesterol (LDL-C) levels and possibly via lipid-independent, pleotropic anti-inflammatory effects – though the later remains somewhat controversial [22]. In the JUPITER trial, which randomized 17,802 healthy subjects with high-sensitivity C-reactive protein (hs-CRP) >2 mg/dL and LDL-C <130mg/L to rosuvastatin or placebo, rosuvastatin administration resulted in a 44% reduction in vascular events, greater than expected based on LDL-C lowering alone [23]. Here, we examined atorvastatin's effect on immune activation, inflammatory biomarkers and lipoproteins in HIV-infected individuals with suppressed HIV-1 RNA and LDL-C <130 mg/dL.

MATERIALS and METHODS

Study design and population

A5275 was a multicenter, prospective, randomized, double-blind, placebo-controlled, crossover pilot study of atorvastatin among HIV-infected participants 18 years old, receiving boosted protease inhibitor (PI)-based ART for $\,$ 6 months, who had plasma HIV-1 RNA below limits of quantification 180 days and fasting LDL-C 70 mg/dL and <130 mg/dL. The target sample size was 97. An initial eligibility requirement of plasma D-dimer >0.34 μg/mL (QUEST Diagnostics) was included to enrich for subjects with increased inflammation/coagulopathy; this limit was lowered then removed due to a prohibitive number of screening failures. Overall, 52% of enrolled participants had a screening plasma D-dimer >0.34 μg/mL. Exclusion criteria included: pregnancy, malignancy, known CVD, diabetes mellitus, or calculated 10-year CVD risk >20% (National Cholesterol Education Programs Adult treatment Panel Guidelines III), known cirrhosis or chronic active viral hepatitis; other known inflammatory or infectious conditions; serious illness within 4 weeks; and anticoagulation, chemotherapy, lipid-lowering, or immunosuppressant therapy within 45 days of study entry. All participants provided written informed consent. The study was reviewed by the institutional review boards of each participating site and was registered on clinicaltrials.gov (NCT01351025).

Arm A (atorvastatin/placebo) initiated atorvastatin for 20 weeks followed by a 4 week washout, then placebo for 20 weeks and another washout. Arm B (placebo/atorvastatin) started placebo first, followed by washout, atorvastatin, then washout. Atorvastatin/placebo was started at 10mg/day and increased at week 4 to 20mg/day if no symptoms or laboratory findings suggestive of atorvastatin toxicity were found.

Laboratory assessments

For all visits, fasting plasma and serum were stored at −80°C, then thawed once for batched analysis. Peripheral blood mononuclear cells (PBMCs) were cryopreserved for long-term storage at −130°C. Plasma interleukin-6 (IL-6), monocyte chemoattractant protein-1 (MCP-1), interferon-γ-induced protein-10 (IP-10), soluble CD40 ligand (sCD40L), soluble CD14 (sCD14), P-selectin, lipoprotein-associated phospholipase $A2$ (Lp-PLA₂), hs-CRP, soluble CD163 (sCD163; all R&D Systems, Minneapolis, MN), oxLDL (Mercodia, Uppsala, Sweden), and D-dimer (Diagnostica Stago, Asnières sur Seine, France) were measured by ELISA. Intra-assay CV for replicates of these assays was typically <5-7%;

inter-assay CV was <10-15%. LD-C measurements were performed in real time at each site's clinical laboratory.

Krüppel-like Factor 2 (KLF2) and 4 (KLF4) were measured in RNA extracted from PBMCs using the RNeasy® RNA Mini Kit (Qiagen, Hilden, Germany). Complementary DNA (cDNA) was transcribed from total RNA using the High CapacityRNA to cDNA Kit (Invitrogen Life Technologies, Carlsbad, CA). Transcript levels of KL2 and KLF4 were quantified by Taqman Gene expression based real-time PCR (Invitrogen Life Technologies Carlsbad, CA). CD4+ and CD8+ T-lymphocyte activation was measured on thawed PBMCs (dead cells excluded) using a BD Fortessa flow cytometer. Lymphocytes were identified by forward and side light scatter, and by staining with anti-CD3 (Pacific Blue, BioLegend) and anti-CD4 (PE-Cy7) or anti-CD8 (APC-H7, BD Biosciences). Anti-HLA-DR PE (BD Biosciences) and anti-CD38 APC (BD Biosciences) were used to monitor T-lymphocyte activation. Gates were determined by staining with isotype controls (BD Biosciences) [24]. Monocyte activation was measured on thawed PBMCs using a BD LSRII flow cytometer. Monocytes were selected based on scatter characteristics and their subsets were enumerated by reactivity with antibodies (anti-CD14, Pacific Blue, BD Biosciences; anti-CD16 PE, BD Biosciences) using gating strategies, as reported previously [9]. Cells expressing CD3, CD19, and CD56 (BD Biosciences) were excluded from the analysis using PE-Cy7 conjugated antibodies (BD Biosciences). Anti-CCR2 PerCP-Cy5.5 (BioLegend), anti-CD40 AF700 (BD Biosciences), and anti-CX3CR1 APC (BioLegend) were used to further characterize monocyte subsets, and gates were established using isotype controls.

Statistical analysis

Soluble biomarkers were log_{10} transformed and presented as relative (percentage) changes. The primary endpoints are differences of changes ([week 44 – week 24] - [week 20 baseline]) in: CD4+ and CD8+ T-lymphocyte activation (% CD38+/DR+) and plasma levels of IL-6, and D-dimer, which were compared between arms using Wilcoxon rank sum tests [25]. Analyses also summarized changes pre-to-post atorvastatin treatment. Analyses were as-treated, limited to participants with data at baseline, weeks 20, 24, and 44 who remained on study treatment through week 44 (allowing treatment interruption <4 weeks) and who did not use prohibited medications or have virologic failure. Safety analyses evaluated all participants who initiated study treatment. Spearman correlations evaluated associations between baseline biomarkers. We conducted several post hoc exploratory analyses using the median value of selected baseline biomarkers to stratify. Statistical tests were two-sided $(\alpha=0.05)$, without adjustment for multiple testing.

RESULTS

Study Enrollment, Baseline Characteristics, and Follow-up

Five hundred thirty-two participants screened; most screen-failures (66%) were due to Ddimer results below the threshold prior to removal of this exclusion criterion. Between April 14, 2011 and May 31, 2013, 98 participants (49 in each arm) were enrolled at 31 U.S. sites. Four participants did not start study treatment (1 enrollment error, 3 due to non-compliance). Of the 94 participants who started study treatment, 73 (78%) completed study treatment, 37

in arm A and 36 in arm B. There were 21 participants (22%) who discontinued study treatment prematurely, 9 from arm A and 12 from arm B. Of these, 6 had confirmed virologic failure, 5 took disallowed medications, 3 were lost to follow-up, and 7 failed to complete study treatment. Table 1 summarizes baseline demographic and biologic characteristics of the 94 eligible participants who initiated study treatment. Table 2 provides a summary (median, Q1-Q3) of the baseline biomarker data for the as-treated study population and comparable values for HIV-negative populations. The as-treated analysis for the soluble biomarkers includes 34 subjects in arm A and 36 in arm B. For the cellular activation markers, 65 participants had analyzable data, reflecting an insufficiency of viable cells for 5 participants. All participants received the 20mg atorvastatin dose, which is the maximum recommended dose when given with a boosted-PI.

Atorvastatin's Effects on Biomarkers and Krüppel-like factor (KLF) mRNA expression

There were no significant atorvastatin treatment effects in any of the primary endpoints; $CD4+$ and $CD8+$ T-lymphocyte activation, IL-6, and D-dimer (all $p>0.05$) (Figure 1A-C). Secondary endpoints included plasma levels of MCP-1, IP-10, hs-CRP, CD40L, P-selectin, sCD14, and sCD163. Exploratory analyses examined atorvastatin's effects on KLF-2 and KLF-4 mRNA expression, proportional representation of monocyte subsets: CD14⁺CD16⁻, $CD14+CD16^+$ and $CD14^{\text{dim}}CD16^+$, and expression of CCR2, CD40, or CX3CR1 among these cells. There were no significant treatment effects on any of these secondary or exploratory measurements (all $p>0.05$, data not shown), except a modest treatment effect on sCD163, with median difference of changes -9% for arm A and $+8\%$ for arm B (p=0.05), indicating a marginal increase during atorvastatin treatment. Further analyses assessed the pre-to-post atorvastatin change, defined as the combined change from baseline to week 20 for arm A and the change from week 24 to week 44 for arm B, and found non-significant changes for all primary and secondary outcome biomarkers except for sCD14 (median decrease −2%, 95% CI (−6%, −0.2%).

When stratified by either higher or lower screening D-dimer ($\geq 0.34 \text{ µg/mL}$), pre-entry IL-6 (\ge 1.50pg/mL), or baseline CD8⁺ T lymphocyte activation (\ge 19%), no significant effect was observed for any of the pre-to-post atorvastatin $(log_{10}$ change) biomarkers, except the modest increase in sCD163 noted above was seen only with D-dimer >0.34 μg/mL, and a modest increase in $CD4^+$ T lymphocyte activation in the high IL-6 group (data not shown). Baseline IL-6 levels correlated with D-dimer (r=0.41, p<0.001), hs-CRP (r=0.41, p<0.001), and weakly with MCP-1 ($r=0.27$, $p=0.013$); hs-CRP correlated with D-dimer ($r=0.53$, p<0.001); IP-10 correlated with CD8⁺ T lymphocyte activation (r=0.42, p<0.001) and weakly with MCP-1 (r=0.21, p=0.048) and D-dimer (r=0.23, p=0.03).

Atorvastatin Effects on Lipoproteins and Related Proteins

Atorvastatin treatment resulted in significant declines in fasting total cholesterol, calculated LDL-C, oxLDL, and Lp-LPA₂ (all p<0.01, Figure 1D-F). The median changes (from baseline to week 20) in fasting total cholesterol in the atorvastatin vs. the placebo group were −35.5 vs. −2.5 mg/dL, LDL-C −36.0 vs. −1.0 mg/dL, and oxLDL −13.6 vs. −1.4 U/L. The median pre-to-post atorvastatin (95% CI) changes for LDL-C when treatment periods were combined were −39 (−46, −31) mg/dL, and for oxLDL were −14 (−16, −12) U/L,

representing 38% and 33% reductions, respectively. There were no significant changes in triglycerides or calculated high density lipoprotein cholesterol (HDL-C). For Lp-PLA2, the median pre-to-post atorvastatin change was −35.8 ng/mL (95% CI: −68.6, −15.6), a 31% reduction. Baseline Lp-PLA₂ correlated with oxLDL (r=0.32, p=0.003), but not with LDL, with %CCR2⁺ and %CX3CR1⁺(CD14^{dim}/CD16⁺) monocytes (r=0.30, p=0.006 and r=0.22, p=0.041, respectively), and weakly and inversely with D-dimer (r=−0.21, p=0.05) Pre-topost atorvastatin changes in Lp -PLA₂ correlated strongly with changes in LDL (r=0.56, p<0.001) and oxLDL (r=0.49, p<0.001).

Safety

The majority of toxicities (43% and 46% in the atorvastatin and placebo arms before crossover) were grade 2. None was attributed to atorvastatin treatment. No deaths were reported during the study.

DISCUSSION

In this trial, HIV RNA-suppressed individuals on a boosted-PI containing regimen randomized to the maximum recommended dose of atorvastatin for 20 weeks did not demonstrate significantly decreased levels of soluble or cellular biomarkers of immune activation and inflammation, but demonstrated robust reductions in LDL-C, oxLDL, and LpPLA2. OxLDL activates the "inflammasome" within foamy macrophages in the vascular intima, which is central to atherosclerosis pathophysiology and linked to vascular events in general and HIV-infected populations [13,14,26,27,28,29,30]. Plasma oxLDL levels are increased significantly with HIV infection and have correlated with markers of monocyte activation, including sCD14 and tissue factor expression [14]. Lp-PLA₂ is a proinflammatory enzyme produced by monocytes that binds to LDL cholesterol particles, hydrolyzing oxidized phospholipids into free fatty acids and lysophosphatidylcholine metabolites within the vascular intima, contributing to focal vascular intimal inflammation [31]. In the general population, L_p-PLA_2 levels are predictive of CVD [32]. L_p-PLA_2 levels in HIV-infected individuals are higher than in HIV-uninfected, and, in a study of 341 HIVinfected patients, Lp-PLA2 levels were associated with abnormal carotid intima medial thickness and elevated coronary artery calcium scores [33].

In individuals with untreated HIV-1 viremia or suboptimal T-lymphocyte reconstitution on ART, atorvastatin 80mg/day has been associated with reduced $CD4^+$ and $CD8^+$ Tlymphocyte activation [34,35]. This was not observed in our study that excluded viremic participants, but we did find potent effects on oxLDL and Lp-PLA₂ levels (-31%). In the SATURN-HIV study, rosuvastatin 10mg/day decreased Lp-PLA2 (−12%), sCD14, and the proportions of activated T lymphocytes and tissue factor-positive monocytes at 48 weeks [36]. Of note, the SATURN-HIV trial enrolled some participants with active Hepatitis B or C, HIV-1 viremia (22%), and had a greater percentage of cigarette/cigar-smoking participants (66% vs 34%). These factors along with higher baseline sCD14 levels (performed in the same lab), and longer study duration may have accounted for the greater observed reductions in sCD14. In another randomized, placebo-controlled study in 40 HIVinfected participants (85% virologically suppressed) atorvastatin 40mg/day was associated

with a reduction in non-calcified coronary plaque progression that correlated with reductions in oxLDL and Lp-PLA₂ (-18%) but not sCD14 or sCD163, which, as in the present study, were unaffected [37]. These and other recent studies [21,38] suggests that biomarkers that more closely reflect events within the vascular intima such as $oxLDL$ and $Lp-PLA₂$ may prove more useful in predicting CVD clinical outcomes with statin therapy and related strategies than more systemic biomarkers of immune activation and inflammation.

Strengths of this pilot study include examining a wide array of the cellular, soluble, and lipoprotein biomarkers and the use of a homogeneous participant population without confounding sources of inflammation such as active hepatitis or other inflammatory conditions, all with fasting LDL-C <130 mg/dL and fully suppressed HIV-1 RNA using a boosted-PI – for much more uniform statin pharmacodynamics than mixed ART regimens. The effects of statin drugs may differ in individuals with HIV viremia, active hepatitis, or other inflammatory conditions but current treatment guidelines call for addressing these issues as soon as feasible. Limitations include a predetermined inability to generalized these results to hyperlipidemic or viemic individuals, a lack of any clinical or surrogate CVD markers, and a relatively high dropout rate (driven by relatively stringent restrictions on viremia and disallowed medications) which may have reduced power, though atorvastatin effects on inflammatory biomarkers were quite flat. Though ART reduces many biomarkers of inflammation, we attempted to enrich the study population for post ART residual inflammation. We chose to focus on elevated D-dimer as a screen for inflammation and cardiovascular risk because it was available as a well validated, commercial clinical lab assay with quick turn around, and because it strongly and consistently correlates with cardiovascular disease and mortality in the setting of HIV infection [15,16,17,18]. We were unable to recruit sufficient participants at a higher screening D-dimer value, so we may not have enriched for post ART inflammation. Indeed, the baseline D-dimer range for our study participants did not appear greatly different than other studies have observed in non HIVinfected individuals (see Table 2). Even when we stratified participants for higher baseline levels of D-dimer, IL-6, or CD8+ T lymphocyte activation, we did not observe significant differences in any of the pre-to-post atorvastatin biomarker measurements.

Conclusions

In HIV RNA-suppressed individuals on a boosted-PI containing regimen with LDL <130mg/dL, atorvastatin for 20 weeks did not significantly decrease levels of soluble or cellular biomarkers of immune activation and inflammation, but resulted in robust reductions in LDL-C, oxLDL, and LpPLA_{2.} Statin mediated reductions in these lipoprotein related biomarkers suggest possible reductions in CVD risk, a strategy that is currently being tested in REPRIEVE (NCT02344290), a large multi-center clinical outcome study using pitavastatin in HIV-infected individuals at low risk for CVD by traditional risk factor assessment.

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Highlights

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Figure 1. Atorvastatin treatment reduces plasma levels of LDL, oxidized LDL, and Lp-PLA2 LPPLA2 = lipoprotein-associated phospholipase A_2 , IL-6 = Interleukin-6. Plasma samples from study participants were thawed and levels of A) IL-6, B) D-dimer, D) LpPLA₂ E) fasting LDL cholesterol, and F) fasting oxidized LDL cholesterol were measured. IL-6 and D-dimer plots are log-spaced with y-axis labels showing the original scale of the data to better show any treatment effect. C) $CD8^+$ T-lymphocyte activation (% $CD38^+ / DR^+$ $CD8^+$) was measured on thawed PBMCs using flow cytometery.

Table 1

Baseline characteristics of the study participants by study group Baseline characteristics of the study participants by study group

Table 2

Baseline markers summary and comparable values for HIV-negative population Baseline markers summary and comparable values for HIV-negative population

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